

**3.A. STRATEGY REQUEST**  
 82nd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/10/2010  
 TIME: 9:29:18AM

Agency code: **539** Agency name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Output Measures:</b>						
	1 Number of Long-term Care Facility Certifications Issued	2,109.00	1,988.00	2,039.00	2,039.00	2,039.00
	2 Number of Long-term Care Facility Licenses Issued	2,540.00	2,584.00	2,584.00	2,584.00	2,584.00
	3 # of On-site Nursing Facility/MR Monitoring Visits Completed	57.00	108.00	86.00	86.00	86.00
	4 Number of Inspections Completed Per Year	5,081.00	4,652.00	4,832.00	4,832.00	4,832.00
	5 Number of First Follow-up Visits Completed Per Year	4,781.00	5,246.00	5,226.00	5,226.00	5,226.00
	6 Number of Investigations Completed	17,272.00	19,818.00	17,670.00	17,670.00	17,670.00
	7 Total Dollar Amount Imposed from Fines	5,004,910.00	3,522,450.00	3,521,539.00	3,521,539.00	3,521,539.00
	8 Total Dollar Amount Assessed from Fines	1,327,316.00	587,895.00	616,117.00	616,117.00	616,117.00
KEY	9 Total Dollar Amount Collected from Fines	3,065,453.29	2,652,591.03	2,641,154.00	2,641,154.00	2,641,154.00
	10 Number of Medicaid Facility and Hospice Service Contracts Issued	154.00	140.00	156.00	156.00	156.00
	11 Number of Home and Community Support Services Agency Licenses Issued	4,998.00	2,462.00	2,462.00	2,462.00	2,462.00
	12 Number Home & Community Support Services Agency Inspections Conducted	1,301.00	1,406.00	1,315.00	1,315.00	1,315.00
	13 Number of Complaint Investigations Conducted: HCSSA	1,623.00	1,994.00	1,808.00	1,808.00	1,808.00
	14 # Substantiated Complaint Allegation Abuse/Neglect: Nursing Facilities	1,825.00	2,112.00	1,958.00	1,958.00	1,958.00
	15 # Substantiated Complaint Allegations of Abuse/Neglect: ALF	307.00	350.00	293.00	293.00	293.00
	16 # Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	36.00	32.00	39.00	39.00	39.00
	17 Number of Substantiated Complaint Allegations of Abuse/Neglect: MR	564.00	528.00	553.00	553.00	553.00
	18 # Substantiated Complaint Allegations Physical Plant: NF	250.00	242.00	298.00	298.00	298.00
	19 # Substantiated Complaint Allegations Unsafe Physical Plant: ALF	66.00	84.00	71.00	71.00	71.00

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 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
20	# Substantiated Complaint Allegations Unsafe Physical Plant: ADC	16.00	10.00	9.00	9.00	9.00
21	# Substantiated Complaint Allegations of Unsafe Physical: MR	11.00	24.00	18.00	18.00	18.00
22	# of Initial HCS and TxHmL Reviews Completed	97.00	88.00	88.00	89.00	89.00
23	# of Annual Hcs & TxHmL Recertification Reviews Completed	517.00	620.00	603.00	608.00	612.00
24	Number of Abuse/Neglect Reports Received: HCS and TxHmL Providers	2,049.00	2,365.00	2,814.00	3,311.00	3,896.00
25	Number of Abuse/Neglect Reports Reviewed: HCS and TxHmL Providers	1,952.00	2,268.00	2,564.00	2,913.00	3,310.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Facility Visit	1,840.53	1,920.38	1,972.84	1,989.45	1,989.45
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	345.99	328.46	333.52	333.52	333.52
<b>Explanatory/Input Measures:</b>						
1	Number of Facilities Terminated from Licensure and/or Certification	14.00	8.00	16.00	16.00	16.00
2	Number of Medicaid Facility Contracts Terminated	76.00	81.00	98.00	98.00	98.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$47,186,508	\$50,978,621	\$49,831,322	\$50,019,423	\$50,019,423
1002	OTHER PERSONNEL COSTS	\$1,088,407	\$1,189,297	\$1,211,766	\$1,222,968	\$1,222,968
2001	PROFESSIONAL FEES AND SERVICES	\$404,612	\$412,079	\$570,271	\$575,036	\$575,036
2003	CONSUMABLE SUPPLIES	\$57,476	\$61,608	\$59,542	\$59,974	\$59,974
2004	UTILITIES	\$124,437	\$162,031	\$181,290	\$182,960	\$182,960
2005	TRAVEL	\$4,370,285	\$4,713,554	\$4,637,359	\$4,680,929	\$4,680,929
2006	RENT - BUILDING	\$7,298	\$8,541	\$10,250	\$10,438	\$10,438
2007	RENT - MACHINE AND OTHER	\$57,592	\$81,750	\$105,908	\$107,765	\$107,765

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GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
2009	OTHER OPERATING EXPENSE	\$11,646,558	\$9,062,385	\$8,448,181	\$8,495,384	\$8,495,384
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$64,943,173</b>	<b>\$66,669,866</b>	<b>\$65,055,889</b>	<b>\$65,354,877</b>	<b>\$65,354,877</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$14,779,520	\$13,535,710	\$13,115,958	\$13,325,833	\$13,325,833
758	GR Match For Medicaid	\$7,995,943	\$8,977,375	\$7,843,863	\$8,283,619	\$8,283,619
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$22,775,463</b>	<b>\$22,513,085</b>	<b>\$20,959,821</b>	<b>\$21,609,452</b>	<b>\$21,609,452</b>
<b>Method of Financing:</b>						
5018	Home Health Services Acct	\$1,936,140	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,936,140</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$20,016,110	\$23,065,506	\$21,575,501	\$22,320,504	\$22,320,504
	93.777.002 SURVEY & CERT @ 75%	\$18,328,973	\$16,226,148	\$16,978,247	\$16,221,197	\$16,221,197
	93.778.003 XIX 50%	\$1,886,487	\$2,916,784	\$3,593,977	\$3,255,381	\$3,255,381
CFDA Subtotal, Fund	555	\$40,231,570	\$42,208,438	\$42,147,725	\$41,797,082	\$41,797,082
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$40,231,570</b>	<b>\$42,208,438</b>	<b>\$42,147,725</b>	<b>\$41,797,082</b>	<b>\$41,797,082</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$65,354,877</b>	<b>\$65,354,877</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$64,943,173</b>	<b>\$66,669,866</b>	<b>\$65,055,889</b>	<b>\$65,354,877</b>	<b>\$65,354,877</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>988.4</b>	<b>1,124.6</b>	<b>1,138.8</b>	<b>1,127.8</b>	<b>1,127.8</b>

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DATE: 8/10/2010  
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Agency code: **539** Agency name: **Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service:	17	Income: A.2 Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2009</b>	<b>Est 2010</b>	<b>Bud 2011</b>	<b>BL 2012</b>	<b>BL 2013</b>
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**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Facility and Community-based Regulation strategy covers the licensing and regulation of all long-term care facilities/agencies that meet the definition of nursing homes, assisted living facilities, adult day-care facilities, privately owned Intermediate Care Facilities for Persons with Mental Retardation (ICFs/MR), and Home and Community Support Services Agencies (HCSSAs). Licensed facilities/agencies wishing to participate in Medicare and/or Medicaid programs must be certified and maintain compliance with certification regulations according to Titles XVIII and/or XIX of the Social Security Act. Government-operated ICFs/MR and skilled nursing units within an acute care hospital are also required to be certified in order to participate in Medicare and/or Medicaid.

In addition to licensing these long-term care facilities and agencies, DADS responsibilities for these regulated programs include investigating complaints and self-reported incidents; monitoring facilities for compliance with state and/or federal regulations; certification review of the Home and Community-based Services (HCS) waiver contracts and the Texas Home Living (TxHmL) waiver contracts; investigating complaints related to HCS and TxHmL services; and receiving and following up on Department of Family and Protective Services (DFPS) findings related to abuse, neglect, or exploitation investigations of persons who receive HCS or TxHmL services.

Statutory Authority. Human Resources Code, Chapters 48, 103, and 161; Health and Safety Code, Chapters 142, 242, 247, 252, and 533.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Factors impacting this strategy include federal enforcement regulations and revised survey protocols to further protect facility residents. Increased workload from large “chain” operators undergoing changes of ownership necessitates on-site inspections to determine compliance with regulations by new operators. The growth occurring in licensed Home and Community Support Services Agencies (HCSSAs) has increased application processing, license issuance, surveys to determine compliance with regulations, and taking enforcement action when needed. State licensing regulations and remedy options have increased the licensing workload. In FY 09, the number of Long-Term Care facility licenses and HCSSA licenses issued were changed from a one year to a two year license period. Beginning June 1, 2010, DFPS will assume Abuse, Neglect, and Exploitation investigations in licensed ICFs/MR. DADS will no longer be responsible for providing the due process for those EMR cases. They will be the responsibility of DFPS. The dollars requested for this strategy reflect a reduction of 5% general revenue pursuant to the LAR preparation instructions.

The following exceptional items(s) will impact this strategy: Item 7, Protecting Vulnerable Texans requests funds for additional waiver survey and certification reviewers for conducting initial and annual reviews of all provider contracts funded through HCS and TxHmL waiver programs due to increase of growth. This request also includes upgrades of licensing and waiver program automation systems due to outdated systems and software.

3.D. Sub-Strategy Request

Strategy Code: 2-1-1-1

Date: August 4, 2010

Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 1 Nursing Facilities  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Output Measures:</b>						
1	Number of long-term care facility certifications issued	2,109.00	1,988.00	2,039.00	2,039.00	2,039.00
2	Number of long-term care facility licenses issued	2,540.00	2,584.00	2,584.00	2,584.00	0.00
3	Number of on-site Nursing Facility/Intermediate Care Facilities for Persons with Mental Retardation (ICF-MR) monitoring visits completed	43.00	75.00	65.00	65.00	65.00
4	Number of inspections completed per year	1,389.00	1,256.00	1,305.00	1,305.00	1,305.00
5	Number of first follow-up visits completed per year	2,219.00	2,414.00	2,404.00	2,404.00	2,404.00
6	Number of complaint and incident investigations completed	12,107.00	13,872.00	12,370.00	12,370.00	12,370.00
7	Total dollar amount imposed from fines	5,004,910.00	3,522,450.00	3,521,539.00	3,521,539.00	3,521,539.00
8	Total dollar amount assessed from fines	1,327,316.00	587,895.00	616,117.00	616,117.00	616,117.00
9	Total dollar amount collected from fines	3,065,453.00	2,652,591.00	2,641,154.00	2,641,154.00	2,641,154.00
10	Number of Medicaid facility and hospice service contracts issued	154.00	140.00	156.00	156.00	156.00
11	Number of Home and Community Support Services Agency licenses issued	0.00	0.00	0.00	0.00	0.00
14	Number of substantiated complaint allegations of abuse/neglect: Nursing Facilities	1,825.00	2,112.00	1,958.00	1,958.00	1,958.00
18	Number of substantiated complaint allegations of unsafe physical plant and/or environmental conditions: Nursing Facilities	250.00	242.00	298.00	298.00	298.00
<b>Efficiency Measures:</b>						
1	Average cost per facility visit	\$736.22	\$768.15	\$789.14	\$795.78	\$795.78
2	Average cost per Medicaid facility and hospice service contract issued	\$345.99	\$328.46	\$333.52	\$333.52	\$333.52
<b>Explanatory Measures:</b>						
1	Number of facilities terminated from licensure and/or certification programs	14.00	8.00	16.00	16.00	16.00
2	Number of Medicaid facility contracts terminated	76.00	81.00	98.00	98.00	98.00
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$19,153,689	\$19,119,207	\$19,754,456	\$19,754,456	\$19,754,456
1002	Other Personnel Costs	\$408,170	\$423,124	\$471,217	\$471,217	\$471,217
2001	Professional Fees & Services	\$220,938	\$189,872	\$297,988	\$297,988	\$297,988
2003	Consumable Supplies	\$22,819	\$9,951	\$19,348	\$19,348	\$19,348
2004	Utilities	\$54,118	\$53,828	\$61,232	\$61,231	\$61,231
2005	Travel	\$1,696,297	\$1,597,040	\$1,641,635	\$1,641,635	\$1,641,635
2006	Rent - Building	\$2,081	\$1,856	\$2,448	\$2,448	\$2,448
2007	Rent - Machine and Other	\$104	\$4,764	\$46,043	\$46,044	\$46,044
2009	Other Operating Expense	\$5,706,038	\$4,071,119	\$4,027,227	\$4,027,227	\$4,027,227
3001	Client Services	\$0	\$0	\$0	\$0	\$0
4000	Grants	\$0	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$27,264,255</b>	<b>\$25,470,760</b>	<b>\$26,321,595</b>	<b>\$26,321,594</b>	<b>\$26,321,594</b>

3.D. Sub-Strategy Request

Strategy Code: 2-1-1-1

Date: August 4, 2010

Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 1 Nursing Facilities  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Method of Financing:</b>						
	0001 General Revenue Fund	\$0	\$0	\$0	\$0	\$0
	0758 GR-Match for Medicaid	\$3,046,894	\$2,869,056	\$2,985,958	\$2,985,958	\$2,985,958
<b>SUBTOTAL, MOF (General Revenue)</b>		<b>\$3,046,894</b>	<b>\$2,869,056</b>	<b>\$2,985,958</b>	<b>\$2,985,958</b>	<b>\$2,985,958</b>
<b>Method of Financing:</b>						
<b>0555</b>	<b>Federal Funds</b>					
	93.778.003 XIX ADM 50%	\$53,748	\$54,456	\$80,383	\$80,383	\$80,383
	93.777.000 State Survey and Certification	\$11,877,444	\$7,694,852	\$10,322,434	\$9,262,292	\$9,262,292
	93.777.002 Survey & Certification @ 75%	\$12,286,169	\$14,852,396	\$12,932,819	\$13,992,960	\$13,992,960
<b>CFDA Subtotal, Fund 0555</b>		<b>\$24,217,361</b>	<b>\$22,601,704</b>	<b>\$23,335,637</b>	<b>\$23,335,636</b>	<b>\$23,335,636</b>
<b>TOTAL, METHOD OF FINANCE</b>		<b>\$27,264,255</b>	<b>\$25,470,760</b>	<b>\$26,321,595</b>	<b>\$26,321,594</b>	<b>\$26,321,594</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		384.1	390.1	448.9	439.7	439.7

3.D. SUB Sub-Strategy

Strategy Code: 2-1-1-2

Date: August 4, 2010  
Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 2 Assisted Living Facilities  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Output Measures:</b>						
	4 Number of inspections completed per year	2,250.00	2,047.00	2,126.00	2,126.00	2,126.00
	5 Number of first follow-up visits completed per year	1,359.00	1,469.00	1,463.00	1,463.00	1,463.00
	6 Number of complaint and incident investigations completed	2,547.00	2,973.00	2,650.00	2,650.00	2,650.00
	15 Number of substantiated complaint allegations of abuse/neglect: Assisted Living Facilities	307.00	350.00	293.00	293.00	293.00
	19 Number of substantiated complaint allegations of unsafe physical plant and/or environmental conditions: Assisted Living Facilities	66.00	84.00	71.00	71.00	71.00
<b>Efficiency Measures:</b>						
	1 Average cost per facility visit	\$607.37	\$633.72	\$651.04	\$656.52	\$656.52
<b>Objects of Expense:</b>						
	1001 - Salaries & Wages	\$1,102,855	\$1,100,842	\$1,142,567	\$1,142,567	\$1,142,567
	1002 - Other Personnel Costs	\$18,928	\$23,525	\$26,314	\$26,314	\$26,314
	2001 - Professional Fees & Services	\$5,029	\$4,552	\$7,218	\$7,218	\$7,218
	2003 - Consumable Supplies	\$1,067	\$514	\$1,021	\$1,021	\$1,021
	2004 - Utilities	\$2,775	\$3,227	\$3,591	\$3,591	\$3,591
	2005 - Travel	\$84,915	\$95,505	\$98,356	\$98,356	\$98,356
	2006 - Rent - Building	\$107	\$111	\$147	\$147	\$147
	2007 - Rent - Machine and Other	\$5	\$286	\$3,730	\$3,730	\$3,730
	2009 - Other Operating Expense	\$277,257	\$234,736	\$239,978	\$239,978	\$239,978
	3001 - Client Services	\$0	\$0	\$0	\$0	\$0
	4000 - Grants	\$0	\$0	\$0	\$0	\$0
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$1,492,938</b>	<b>\$1,463,298</b>	<b>\$1,522,922</b>	<b>\$1,522,922</b>	<b>\$1,522,922</b>
<b>Method of Financing:</b>						
	0001 General Revenue Fund	\$1,492,938	\$1,463,298	\$1,522,922	\$1,522,922	\$1,522,922
	<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$1,492,938</b>	<b>\$1,463,298</b>	<b>\$1,522,922</b>	<b>\$1,522,922</b>	<b>\$1,522,922</b>
	<b>TOTAL, METHOD OF FINANCE</b>	<b>\$1,492,938</b>	<b>\$1,463,298</b>	<b>\$1,522,922</b>	<b>\$1,522,922</b>	<b>\$1,522,922</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	55.5	55.5	55.5	55.5	55.5

3.D. SUB Sub-Strategy

Strategy Code: 2-1-1-3

Date: August 4, 2010

Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 3 Adult Day Care  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Output Measures:</b>						
	4 Number of inspections completed per year	545.00	512.00	531.00	531.00	531.00
	5 Number of first follow-up visits completed per year	333.00	367.00	366.00	366.00	366.00
	6 Number of complaint and incident investigations completed	590.00	595.00	530.00	530.00	530.00
	16 Number of substantiated complaint allegations of abuse/neglect: Adult Day Care	36.00	32.00	39.00	39.00	39.00
	20 Number of substantiated complaint allegations of unsafe physical plant and/or environmental conditions: Adult Day Care	16.00	10.00	9.00	9.00	9.00
<b>Efficiency Measures:</b>						
	1 Average cost per facility visit	\$220.86	\$230.45	\$236.74	\$238.73	\$238.73
<b>Objects of Expense:</b>						
	1001 - Salaries & Wages	\$3,275,578	\$3,657,855	\$3,798,944	\$3,798,944	\$3,798,944
	1002 - Other Personnel Costs	\$72,862	\$78,167	\$87,492	\$87,492	\$87,492
	2001 - Professional Fees & Services	\$19,360	\$15,125	\$24,000	\$24,000	\$24,000
	2003 - Consumable Supplies	\$4,106	\$1,708	\$3,395	\$3,395	\$3,395
	2004 - Utilities	\$10,684	\$10,721	\$11,939	\$11,939	\$11,939
	2005 - Travel	\$326,882	\$317,342	\$327,026	\$327,026	\$327,026
	2006 - Rent - Building	\$412	\$370	\$488	\$488	\$488
	2007 - Rent - Machine and Other	\$21	\$950	\$12,402	\$12,402	\$12,402
	2009 - Other Operating Expense	\$1,067,315	\$779,977	\$797,907	\$797,907	\$797,907
	3001 - Client Services	\$0	\$0	\$0	\$0	\$0
	4000 - Grants	\$0	\$0	\$0	\$0	\$0
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$4,777,220</b>	<b>\$4,862,216</b>	<b>\$5,063,594</b>	<b>\$5,063,594</b>	<b>\$5,063,594</b>
<b>Method of Financing:</b>						
	0001 General Revenue Fund	\$4,777,220	\$4,862,216	\$5,063,594	\$5,063,594	\$5,063,594
	<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$4,777,220</b>	<b>\$4,862,216</b>	<b>\$5,063,594</b>	<b>\$5,063,594</b>	<b>\$5,063,594</b>
	<b>TOTAL, METHOD OF FINANCE</b>	<b>\$4,777,220</b>	<b>\$4,862,216</b>	<b>\$5,063,594</b>	<b>\$5,063,594</b>	<b>\$5,063,594</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	76.7	80.2	92.1	90.3	90.3



3.D. Sub-Strategy Request

Strategy Code: 2-1-1-4

Date: August 4, 2010

Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 4 ICF/MR Facilities  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Output Measures:</b>						
	3 Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Mental	14.00	27.00	22.00	21.00	21.00
	4 Number of Inspections Completed Per Year	897.00	837.00	870.00	870.00	870.00
	5 Number of First Follow-up Visits Completed Per Year	870.00	996.00	993.00	993.00	993.00
	6 Number of Complaint and Incident Investigations Completed	2,028.00	2,378.00	2,120.00	2,120.00	2,120.00
	17 Number of Substantiated Complaint Allegations of Abuse/Neglect: Intermediate Care Facilities for the Mentally Retarded	564.00	528.00	553.00	553.00	553.00
	21 Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Intermediate Care Facilities for the Mentally Retarded	11.00	24.00	18.00	18.00	18.00
<b>Efficiency Measures:</b>						
	1 Average cost per facility visit	\$276.08	\$288.06	\$295.92	\$298.42	\$298.42
<b>Objects of Expense:</b>						
	1001 - Salaries & Wages	\$4,010,871	\$3,950,846	\$4,535,608	\$4,535,608	\$4,535,608
	1002 - Other Personnel Costs	\$111,720	\$106,309	\$114,513	\$114,513	\$114,513
	2001 - Professional Fees & Services	\$6,433	\$5,857	\$8,175	\$8,175	\$8,175
	2003 - Consumable Supplies	\$1,515	\$1,034	\$4,308	\$4,308	\$4,308
	2004 - Utilities	\$9,003	\$10,408	\$6,323	\$6,323	\$6,323
	2005 - Travel	\$548,964	\$484,690	\$496,288	\$496,288	\$496,288
	2006 - Rent - Building	\$0	\$725	\$0	\$0	\$0
	2007 - Rent - Machine and Other	\$0	\$0	\$0	\$0	\$0
	2009 - Other Operating Expense	\$1,183,601	\$828,682	\$860,764	\$860,764	\$860,764
	3001 - Client Services	\$0	\$0	\$0	\$0	\$0
	4000 - Grants	\$0	\$0	\$0	\$0	\$0
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$5,872,107</b>	<b>\$5,388,551</b>	<b>\$6,025,979</b>	<b>\$6,025,979</b>	<b>\$6,025,979</b>
<b>Method of Financing:</b>						
	0001 General Revenue Fund	\$0	\$0	\$0	\$0	\$0
	0758 GR-Match for Medicaid	\$1,468,027	\$1,347,138	\$1,506,495	\$1,506,495	\$1,506,495
	<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$1,468,027</b>	<b>\$1,347,138</b>	<b>\$1,506,495</b>	<b>\$1,506,495</b>	<b>\$1,506,495</b>
<b>Method of Financing:</b>						
	<b>0555 Federal Funds</b>					
	93.777.000 State Survey and Certification	\$0	\$0	\$0	\$0	\$0
	93.777.002 Survey & Certification @ 75%	\$4,404,080	\$4,041,413	\$4,519,484	\$4,519,484	\$4,519,484
	<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,404,080</b>	<b>\$4,041,413</b>	<b>\$4,519,484</b>	<b>\$4,519,484</b>	<b>\$4,519,484</b>
	<b>TOTAL, METHOD OF FINANCE</b>	<b>\$5,872,107</b>	<b>\$5,388,551</b>	<b>\$6,025,979</b>	<b>\$6,025,979</b>	<b>\$6,025,979</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>88.6</b>	<b>87.3</b>	<b>97.0</b>	<b>97.0</b>	<b>97.0</b>

3.D. SUB Sub-Strategy

Strategy Code: 2-1-1-5

Date: August 4, 2010  
Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 5 Home and Community Support Services Licensing  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Output Measures:</b>						
	11 Number of Home and Community Support Services Agency Licenses Issued	4,998.00	2,462.00	2,462.00	2,462.00	2,462.00
	12 Number Home and Community Support Services Agency Inspections Conducted	1,301.00	1,406.00	1,315.00	1,315.00	1,315.00
	13 Number of Complaint Investigations Conducted On-site: Home and Community Support Services Agenc	1,623.00	1,994.00	1,808.00	1,808.00	1,808.00
<b>Objects of Expense:</b>						
	1001 - Salaries & Wages	\$6,215,007	\$6,265,970	\$6,825,790	\$6,825,790	\$6,825,790
	1002 - Other Personnel Costs	\$122,274	\$122,564	\$137,368	\$137,368	\$137,368
	2001 - Professional Fees & Services	\$28,066	\$15,251	\$47,198	\$47,198	\$47,198
	2003 - Consumable Supplies	\$9,668	\$2,888	\$12,668	\$12,668	\$12,668
	2004 - Utilities	\$15,256	\$15,997	\$16,224	\$16,224	\$16,224
	2005 - Travel	\$641,627	\$644,554	\$603,531	\$603,531	\$603,531
	2006 - Rent - Building	\$0	\$1,100	\$1,300	\$1,300	\$1,300
	2007 - Rent - Machine and Other	\$23,370	\$17,006	\$13,207	\$13,207	\$13,207
	2009 - Other Operating Expense	\$1,310,421	\$1,018,930	\$1,083,382	\$1,083,382	\$1,083,382
	3001 - Client Services	\$0	\$0	\$0	\$0	\$0
	4000 - Grants	\$0	\$0	\$0	\$0	\$0
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$8,365,689</b>	<b>\$8,104,259</b>	<b>\$8,740,667</b>	<b>\$8,740,667</b>	<b>\$8,740,667</b>
<b>Method of Financing:</b>						
	0001 General Revenue Fund	\$2,580,200	\$2,318,517	\$2,592,930	\$2,592,930	\$2,592,930
	<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$2,580,200</b>	<b>\$2,318,517</b>	<b>\$2,592,930</b>	<b>\$2,592,930</b>	<b>\$2,592,930</b>
<b>Method of Financing:</b>						
	5018 Home Health Services Account	\$1,936,140	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343
	<b>SUBTOTAL, MOF (General Revenue-Dedicated)</b>	<b>\$1,936,140</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b>Method of Financing:</b>						
	93.777.000 State Survey and Certification	\$3,849,349	\$3,837,399	\$4,199,394	\$4,199,394	\$4,199,394
	<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$3,849,349</b>	<b>\$3,837,399</b>	<b>\$4,199,394</b>	<b>\$4,199,394</b>	<b>\$4,199,394</b>
	<b>TOTAL, METHOD OF FINANCE</b>	<b>\$8,365,689</b>	<b>\$8,104,259</b>	<b>\$8,740,667</b>	<b>\$8,740,667</b>	<b>\$8,740,667</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	132.5	137.5	142.7	142.7	142.7

3.D. Sub-Strategy Request

Strategy Code: 2-1-1-6

Date: August 4, 2010

Time: 4:10 PM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach  
 OBJECTIVE: 1 Regulation, Certification, and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY 6 Program Administration  
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$13,428,508	\$16,883,901	\$13,773,958	\$13,962,059	\$13,962,059
1002	Other Personnel Costs	\$354,453	\$435,607	\$374,861	\$386,063	\$386,063
2001	Professional Fees & Services	\$124,786	\$181,423	\$185,693	\$190,458	\$190,458
2003	Consumable Supplies	\$18,301	\$45,514	\$18,802	\$19,234	\$19,234
2004	Utilities	\$32,601	\$67,849	\$81,981	\$83,652	\$83,652
2005	Travel	\$1,071,600	\$1,574,423	\$1,470,524	\$1,514,094	\$1,514,094
2006	Rent - Building	\$4,698	\$4,379	\$5,867	\$6,055	\$6,055
2007	Rent - Machine and Other	\$34,092	\$58,744	\$30,525	\$32,381	\$32,381
2009	Other Operating Expense	\$2,101,926	\$2,128,942	\$1,438,922	\$1,486,126	\$1,486,126
3001	Client Services	\$0	\$0	\$0	\$0	\$0
4000	Grants	\$0	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$17,170,965</b>	<b>\$21,380,782</b>	<b>\$17,381,133</b>	<b>\$17,680,122</b>	<b>\$17,680,122</b>
<b>Method of Financing:</b>						
	0001 General Revenue Fund	\$5,929,162	\$4,891,679	\$3,936,512	\$4,146,387	\$4,146,387
	0758 GR-Match for Medicaid	\$3,481,022	\$4,761,181	\$3,351,410	\$3,791,166	\$3,791,166
<b>SUBTOTAL, MOF (General Revenue)</b>		<b>\$9,410,184</b>	<b>\$9,652,860</b>	<b>\$7,287,922</b>	<b>\$7,937,553</b>	<b>\$7,937,553</b>
<b>Method of Financing:</b>						
<b>0555</b>	<b>Federal Funds</b>					
	93.778.003 XIX ADM 50%	\$1,832,739	\$2,862,328	\$3,513,594	\$3,174,998	\$3,174,998
	93.777.000 State Survey and Certification	\$2,602,180	\$4,693,897	\$2,456,419	\$2,759,511	\$2,759,511
	93.777.002 Survey & Certification @ 75%	\$3,325,861	\$4,171,697	\$4,123,198	\$3,808,060	\$3,808,060
<b>CFDA Subtotal, Fund 0555</b>		<b>\$7,760,780</b>	<b>\$11,727,922</b>	<b>\$10,093,211</b>	<b>\$9,742,569</b>	<b>\$9,742,569</b>
<b>TOTAL, METHOD OF FINANCE</b>		<b>\$17,170,965</b>	<b>\$21,380,782</b>	<b>\$17,381,133</b>	<b>\$17,680,122</b>	<b>\$17,680,122</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		251.0	374.0	302.6	302.6	302.6

### 3. E. Sub-strategy Summary

**Date:** August 4, 2010  
**Time:** 4:10 PM

<b>Agency Code: 539</b>		Agency Name: Texas Department of Aging and Disability Services				Strategy Code: 2.1.1	
<b>AGENCY GOAL</b>		Regulation, Certification, and Outreach					
<b>OBJECTIVE</b>		Regulation, Certification, and Outreach					
<b>STRATEGY</b>		Facility and Community-Based Regulation					
<b>SUB-STRATEGY SUMMARY</b>							
Code	Sub-Strategy Requests	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013	
1	Nursing Facility Administrators	\$27,264,255	\$25,470,760	\$26,321,595	\$26,321,594	\$26,321,594	
2	Nurse Aids, Medication Aids, & uncredial Staff	\$1,492,938	\$1,463,298	\$1,522,922	\$1,522,922	\$1,522,922	
3	Program Administration	\$4,777,220	\$4,862,216	\$5,063,594	\$5,063,594	\$5,063,594	
4	ICF/MR Facilities	\$5,872,107	\$5,388,551	\$6,025,979	\$6,025,979	\$6,025,979	
5	Home and Community Support Services Licensing	\$8,365,689	\$8,104,259	\$8,740,667	\$8,740,667	\$8,740,667	
6	Program Administration	\$17,170,965	\$21,380,782	\$17,381,133	\$17,680,122	\$17,680,122	
<b>Total, Sub-strategies</b>		<b>\$64,943,173</b>	<b>\$66,669,866</b>	<b>\$65,055,889</b>	<b>\$65,354,877</b>	<b>\$65,354,877</b>	