

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/10/2010
 TIME: 9:29:18AM

Agency code: **539** Agency name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 3
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:
 STRATEGY: 2 Credentialing/Certification Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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Output Measures:

KEY 1	Number of Licenses Issued Per Year: Nursing Facility Administrators	1,020.00	1,235.00	1,070.00	1,285.00	1,120.00
2	Number of Credentials Issued Per Year: Nurse/Medication Aides	122,359.00	125,976.00	129,593.00	133,210.00	136,827.00
3	Number of Complaints Resolved/Year: Nursing Facility Administrators	114.00	120.00	122.00	125.00	126.00
4	Number of Complaints Resolved/Year: Nurse/Medication Aides/Direct Care	138.00	115.00	118.00	114.00	118.00

Efficiency Measures:

1	Average Cost Per License Issued: Nursing Facility Administrators	75.43	63.36	70.31	61.34	68.43
2	Average Cost Per Credential Issued: Nurse/Medication Aides	78.38	78.52	78.80	78.53	78.63
3	Average Cost Per Complaint Resolved: Nursing Facility Administrators	4,896.43	4,840.56	4,778.64	4,776.21	4,770.96
4	Average Cost Per Complaint Resolved: Nurse/Medication Aides	4,122.96	4,382.96	4,288.10	4,390.45	4,270.41

Objects of Expense:

1001	SALARIES AND WAGES	\$1,033,496	\$1,103,965	\$1,071,188	\$1,070,866	\$1,070,866
1002	OTHER PERSONNEL COSTS	\$32,940	\$36,040	\$40,200	\$40,200	\$40,200
2001	PROFESSIONAL FEES AND SERVICES	\$566	\$5,351	\$16,794	\$16,794	\$16,794
2003	CONSUMABLE SUPPLIES	\$4,093	\$5,616	\$6,300	\$6,300	\$6,300
2004	UTILITIES	\$903	\$2,634	\$7,026	\$7,026	\$7,026
2005	TRAVEL	\$55,491	\$47,275	\$48,427	\$48,427	\$48,427
2009	OTHER OPERATING EXPENSE	\$317,168	\$73,329	\$84,918	\$84,918	\$84,918
TOTAL, OBJECT OF EXPENSE		\$1,444,657	\$1,274,210	\$1,274,853	\$1,274,531	\$1,274,531

Method of Financing:

1	General Revenue Fund	\$650,820	\$532,302	\$532,633	\$532,467	\$532,467
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 STRATEGY: 2 Credentialing/Certification Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
758	GR Match For Medicaid	\$145,501	\$130,850	\$130,520	\$130,685	\$130,685
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$796,321	\$663,152	\$663,153	\$663,152	\$663,152
Method of Financing:						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$215,269	\$254,672	\$254,672	\$254,672	\$254,672
	93.777.002 SURVEY & CERT @ 75%	\$140,766	\$56,440	\$55,836	\$56,138	\$56,138
	93.778.003 XIX 50%	\$98,266	\$101,921	\$103,168	\$102,544	\$102,544
CFDA Subtotal, Fund	555	\$454,301	\$413,033	\$413,676	\$413,354	\$413,354
SUBTOTAL, MOF (FEDERAL FUNDS)		\$454,301	\$413,033	\$413,676	\$413,354	\$413,354
Method of Financing:						
666	Appropriated Receipts	\$194,035	\$198,025	\$198,024	\$198,025	\$198,025
SUBTOTAL, MOF (OTHER FUNDS)		\$194,035	\$198,025	\$198,024	\$198,025	\$198,025
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,274,531	\$1,274,531
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,444,657	\$1,274,210	\$1,274,853	\$1,274,531	\$1,274,531
FULL TIME EQUIVALENT POSITIONS:		26.1	27.0	27.0	27.0	27.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

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Agency code: **539** Agency name: **Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service:	16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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The Credentialing/Certification strategy covers DADS licensing, certification, permitting, and monitoring of individuals for the purpose of employability in facilities and agencies regulated by DADS through four credentialing programs.

Nursing Facility Administrator (NFA) Licensing and Enforcement responsibilities include licensing and continuing education activities; investigating complaints or referrals; coordinating sanction recommendations and other licensure activities; imposing and monitoring sanctions and due process considerations; and developing educational, training, and testing curricula.

Nurse Aide Registry (NAR) and Nurse Aide Training and Competency Evaluation Program (NATCEP) responsibilities include nurse aide certification and sanction activities; approving, renewing or withdrawing approval of NATCEPs; and due process considerations and determination of nurse aide employability in DADS regulated facilities via the NAR.

Employee Misconduct Registry (EMR) responsibilities include due process considerations and determination of unlicensed staff employability in DADS regulated facilities/agencies via the EMR. Medication Aide Program responsibilities include medication aide permit issuance and renewal; imposing and monitoring sanctions; due process considerations; approving and monitoring medication aide training programs in educational institutions; and coordinating/administering examinations.

Statutory Authority. Health and Safety Code, Chapters 142, 242, and 253; Human Resources Code, Chapter 161; and Social Security Act, §1919.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The number of license applications, referrals and complaints for misconduct against licensees, and cases with final sanction depend on contacts from the public and the findings of Regulatory Services staff in facility surveys and complaint investigations. It is anticipated that the Employee Misconduct Registry (EMR) workload will increase as SSLC, MHMR centers, and state hospital employees are being added to the pool of employees subject to the EMR.

3.D. Sub-Strategy Request

Strategy Code: 2-1-2-1

Date: August 5, 2010

Time: 9:13 AM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach
 OBJECTIVE: 1 Regulation, Certification, and Outreach
 STRATEGY: 2 Credentialing/Certification
 SUB-STRATEGY 1 Nursing Facility Administrators
 SUB/SUB-STRATEGY

Code	Description	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Output Measures:						
	1 Number of Licenses Issued or Renewed Per Year: Nursing Facility Administrators	1,020.00	1,235.00	1,070.00	1,285.00	1,120.00
	3 Number of Complaints and Referrals Resolved Per Year: Nursing Facility Administrators	114.00	120.00	122.00	125.00	126.00
Efficiency Measures:						
	1 Average Cost Per License Issued: Nursing Facility Administrators	\$75.43	\$63.36	\$70.31	\$61.34	\$68.43
	3 Average Cost Per Complaint or Referral Resolved: Nursing Facility Administrators	\$4,896.43	\$4,840.56	\$4,778.64	\$4,776.21	\$4,770.96
Objects of Expense:						
	1001 - Salaries & Wages	\$371,439	\$379,431	\$382,545	\$382,545	\$382,545
	1002 - Other Personnel Costs	\$10,900	\$12,040	\$13,320	\$13,320	\$13,320
	2001 - Professional Fees & Services	\$40	\$3,446	\$13,390	\$13,390	\$13,390
	2003 - Consumable Supplies	\$1,540	\$2,883	\$1,820	\$1,820	\$1,820
	2004 - Utilities	\$20	\$1,708	\$6,653	\$6,653	\$6,653
	2005 - Travel	\$45,174	\$34,346	\$34,688	\$34,688	\$34,688
	2006 - Rent - Building	\$0	\$0	\$0	\$0	\$0
	2007 - Rent - Machine and Other	\$0	\$0	\$0	\$0	\$0
	2009 - Other Operating Expense	\$5,177	\$10,017	\$27,128	\$27,128	\$27,128
	3001 - Client Services	\$0	\$0	\$0	\$0	\$0
	4000 - Grants	\$0	\$0	\$0	\$0	\$0
	TOTAL, OBJECT OF EXPENSE	\$434,290	\$443,871	\$479,544	\$479,544	\$479,544
Method of Financing:						
	0001 General Revenue	\$434,290	\$443,871	\$479,544	\$479,544	\$479,544
	SUBTOTAL, MOF (General Revenue)	\$434,290	\$443,871	\$479,544	\$479,544	\$479,544
	TOTAL, METHOD OF FINANCE	\$434,290	\$443,871	\$479,544	\$479,544	\$479,544
	FULL TIME EQUIVALENT POSITIONS:	8.8	10.0	9.0	9.0	9.0

3.D. Sub-Strategy Request

Strategy Code: 2-1-2-2

Date: August 5, 2010

Time: 9:13 AM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach
 OBJECTIVE: 1 Regulation, Certification, and Outreach
 STRATEGY: 2 Credentialing/Certification
 SUB-STRATEGY 2 Nurses Aides, Medication Aides and Uncredial Staff
 SUB/SUB-STRATEGY

Code	Description	\$1,444,657	Est 2010	Bud 2011	BL 2012	BL 2013
Output Measures:						
	2 Number of Credentials Issued or Renewed Per Year: Nurse Aides and Medication Aides	122,359.00	125,976.00	129,593.00	133,210.00	136,827.00
	4 Number of Complaints and Referrals Resolved Per Year: Nurse Aides, Medication Aides and Uncredialted Direct Care Personnel	138.00	115.00	118.00	114.00	118.00
Efficiency Measures:						
	2 Average Cost Per Credential Issued: Nurse Aides and Medication Aides	\$78.38	\$78.52	\$78.80	\$78.53	\$78.63
	4 Average Cost Per Complaint or Referral Resolved: Nurse Aides, Medication Aides and Uncredialted Direct Care Personnel	\$4,122.96	\$4,382.96	\$4,288.10	\$4,390.45	\$4,270.41
Objects of Expense:						
	1001 - Salaries & Wages	\$516,941	\$575,705	\$538,362	\$538,362	\$538,362
	1002 - Other Personnel Costs	\$12,560	\$14,180	\$16,680	\$16,680	\$16,680
	2001 - Professional Fees & Services	\$526	\$1,834	\$3,181	\$3,181	\$3,181
	2003 - Consumable Supplies	\$1,259	\$1,110	\$3,132	\$3,132	\$3,132
	2004 - Utilities	\$813	\$845	\$268	\$268	\$268
	2005 - Travel	\$1,264	\$3,871	\$4,614	\$4,614	\$4,614
	2006 - Rent - Building	\$0	\$0	\$0	\$0	\$0
	2007 - Rent - Machine and Other	\$0	\$0	\$0	\$0	\$0
	2009 - Other Operating Expense	\$310,926	\$62,455	\$56,655	\$56,655	\$56,655
	3001 - Client Services	\$0	\$0	\$0	\$0	\$0
	4000 - Grants	\$0	\$0	\$0	\$0	\$0
	TOTAL, OBJECT OF EXPENSE	\$844,289	\$660,000	\$622,892	\$622,892	\$622,892
Method of Financing:						
	0001 General Revenue	\$180,228	\$47,442	\$8,180	\$8,098	\$8,098
	0758 GR-Match for Medicaid	\$122,690	\$108,039	\$107,680	\$107,888	\$107,888
	SUBTOTAL, MOF (General Revenue)	\$302,918	\$155,480	\$115,860	\$115,986	\$115,986
Method of Financing:						
0555	Federal Funds					
	93.778.003 XIX ADM 50%	\$91,405	\$93,945	\$96,670	\$96,058	\$96,058
	93.777.000 State Survey and Certification	\$87,543	\$6,320	\$6,689	\$7,083	\$7,083
	93.777.002 Survey & Certification @ 75%	\$168,388	\$206,230	\$205,649	\$205,740	\$205,740
	CFDA Subtotal, Fund 0555	\$347,336	\$306,495	\$309,008	\$308,881	\$308,881
Method of Financing:						
	0666 Appropriated Receipts	\$194,035	\$198,025	\$198,024	\$198,025	\$198,025
	SUBTOTAL, MOF (Other Funds)	\$194,035	\$198,025	\$198,024	\$198,025	\$198,025
	TOTAL, METHOD OF FINANCE	\$844,289	\$660,000	\$622,892	\$622,892	\$622,892
	FULL TIME EQUIVALENT POSITIONS:	14.3	13.6	15.0	15.0	15.0

3.D. SUB Sub-Strategy

Strategy Code: 2-1-2-3

Date: August 5, 2010

Time: 9:13 AM

Agency Code: 539

Agency Name: Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach
 OBJECTIVE: 1 Regulation, Certification, and Outreach
 STRATEGY: 2 Credentialing/Certification
 SUB-STRATEGY 3 Program Administration
 SUB/SUB-STRATEGY

Code	Description	\$1,444,657	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001 - Salaries & Wages		\$145,116	\$148,829	\$150,281	\$149,959	\$149,959
1002 - Other Personnel Costs		\$9,480	\$9,820	\$10,200	\$10,200	\$10,200
2001 - Professional Fees & Services		\$0	\$71	\$223	\$223	\$223
2003 - Consumable Supplies		\$1,294	\$1,623	\$1,348	\$1,348	\$1,348
2004 - Utilities		\$70	\$81	\$105	\$105	\$105
2005 - Travel		\$9,053	\$9,058	\$9,125	\$9,125	\$9,125
2006 - Rent - Building		\$0	\$0	\$0	\$0	\$0
2007 - Rent - Machine and Other		\$0	\$0	\$0	\$0	\$0
2009 - Other Operating Expense		\$1,066	\$857	\$1,135	\$1,135	\$1,135
3001 - Client Services		\$0	\$0	\$0	\$0	\$0
4000 - Grants		\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$166,079	\$170,339	\$172,417	\$172,095	\$172,095
Method of Financing:						
0001 General Revenue Fund		\$36,302	\$40,989	\$44,909	\$44,825	\$44,825
0758 GR-Match for Medicaid		\$22,811	\$22,811	\$22,840	\$22,797	\$22,797
SUBTOTAL, MOF (General Revenue)		\$59,113	\$63,801	\$67,749	\$67,622	\$67,622
Method of Financing:						
0555 Federal Funds						
93.778.003 XIX ADM 50%		\$6,862	\$7,976	\$6,498	\$6,486	\$6,486
93.777.000 State Survey and Certification		\$53,223	\$50,120	\$49,147	\$49,055	\$49,055
93.777.002 Survey & Certification @ 75%		\$46,881	\$48,442	\$49,023	\$48,932	\$48,932
CFDA Subtotal, Fund 0555		\$106,966	\$106,538	\$104,668	\$104,473	\$104,473
TOTAL, METHOD OF FINANCE		\$166,079	\$170,339	\$172,417	\$172,095	\$172,095
FULL TIME EQUIVALENT POSITIONS:		3.0	3.4	3.0	3.0	3.0

3. E. Sub-strategy Summary

Date: August 5, 2010

Time: 9:13 AM

Agency Code: 539	Agency Name: Texas Department of Aging and Disability Services					Strategy Code: 2.1.2
AGENCY GOAL	Regulation, Certification, and Outreach					
OBJECTIVE	Regulation, Certification, and Outreach					
STRATEGY	Credentialing/Certification					
SUB-STRATEGY SUMMARY						
Code	Sub-Strategy Requests	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1	Nursing Facility Administrators	\$434,290	\$443,871	\$479,544	\$479,544	\$479,544
2	Nurse Aids, Medication Aids, & uncredential Staff	\$844,289	\$660,000	\$622,892	\$622,892	\$622,892
3	Program Administration	\$166,079	\$170,339	\$172,417	\$172,095	\$172,095
	Total, Sub-strategies	\$1,444,657	\$1,274,210	\$1,274,853	\$1,274,531	\$1,274,531