

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/10/2010**
 TIME: **9:30:53AM**

Agency code: **539**

Agency name:

Aging and Disability Services, Department of

CODE	DESCRIPTION	Excp 2012	Excp 2013
	Item Name: Annualization of Non-Entitlement Programs Service Levels		
	Item Priority: 2		
	Includes Funding for the Following Strategy or Strategies:		
	01-03-01 Community-based Alternatives (CBA)		
	01-03-02 Home and Community-based Services (HCS)		
	01-03-03 Community Living Assistance and Support Services (CLASS)		
	01-03-04 Deaf-Blind Multiple Disabilities (DBMD)		
	01-03-05 Medically Dependent Children Program (MDCP)		
	01-03-06 Consolidated Waiver Program		
	01-03-07 Texas Home Living Waiver		
	01-04-01 Non-Medicaid Services		
	01-04-02 Mental Retardation Community Services		
	01-04-04 In-Home and Family Support		
	01-05-01 Program of All-inclusive Care for the Elderly (PACE)		
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	159,347,334	159,347,334
4000	GRANTS	380,794	380,794
TOTAL, OBJECT OF EXPENSE		\$159,728,128	\$159,728,128

METHOD OF FINANCING:

1	General Revenue Fund	755,240	755,240
555	Federal Funds		
93.778.000	XIX FMAP	96,193,072	96,193,072
93.778.003	XIX 50%	53,684	53,684
758	GR Match For Medicaid	62,726,132	62,726,132
TOTAL, METHOD OF FINANCING		\$159,728,128	\$159,728,128

DESCRIPTION / JUSTIFICATION:

The FY 2010-11 Appropriations Act included funding for expansion of community-based services. The Act assumed that this expansion would ramp-up steadily over the course of the biennium. As a result, the estimated number of individuals in August 2011 will exceed the average monthly number of individuals served in FY 2010 or FY 2011. However, the instructions for determining the baseline General Revenue (GR) funding limit for FY 2012-13 is based upon the two-year average GR level for FY 2010-11, which is insufficient to fund the number of individuals served in August 2011. An additional \$127 million GR is needed to maintain services at August 2011 levels.

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CODE DESCRIPTION

Excp 2012

Excp 2013

EXTERNAL/INTERNAL FACTORS:

The base funding level will require DADS to reduce the estimated number of persons who will be served in waivers as well as the Program of All –Inclusive Care for the Elderly (PACE) on August 31, 2011 by 13,368, which is a 24.2% reduction. About 39.5% of this reduction is the result of “ramp-up”, as explained above. Funding this exceptional item would enable DADS to serve a monthly average of 5,278 individuals in waiver/PACE services, as well as 312 individuals in non-waiver services (non-Medicaid services, Mental Retardation Community Services and In home and Family Support).

The Affordable Care Act (Federal Health Care Reform) has maintenance-of-effort (MOE) requirements regarding the number of persons served in waivers. While there have been no definitive guidelines from the Federal Centers for Medicare and Medicaid Services (CMS), it appears that the MOE will be established as the number of individuals who were being served in March 2010. Without additional funding for this item as well as the “restoration of ARRA funding” exceptional item, the number of individuals served in these programs will have to be reduced below March 2010 levels, which may jeopardize federal Medicaid funding.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-1 Community-based Alternatives (CBA)			
OUTPUT MEASURES:			
1	Average Number of Individuals Served Per Month: Medicaid CBA Waiver	2,099.29	2,099.29
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: Medicaid CBA Waiver	1,587.48	1,587.48
EXPLANATORY/INPUT MEASURES:			
1	Average Number on Interest List Per Month: CBA Waiver	-2,099.29	-2,099.29
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	40,102,316	40,102,316
TOTAL, OBJECT OF EXPENSE		\$40,102,316	\$40,102,316
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	24,216,941	24,216,941
555	Federal Funds		
93.778.003	XIX 50%	53,684	53,684
758	GR Match For Medicaid	15,831,691	15,831,691
TOTAL, METHOD OF FINANCING		\$40,102,316	\$40,102,316

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-2 Home and Community-based Services (HCS)			
OUTPUT MEASURES:			
1	Avg # Individuals Served Per Mth: Home & Commity Based Services (HCS)	2,079.00	2,079.00
EFFICIENCY MEASURES:			
1	Avg Mthly Cost Per Individual Served: Home & Community Based Services	3,328.88	3,328.88
EXPLANATORY/INPUT MEASURES:			
2	Avg # Individis on Interest List Per Month: Home & Commity Based Svcs	-2,079.00	-2,079.00
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	83,033,708	83,033,708
TOTAL, OBJECT OF EXPENSE		\$83,033,708	\$83,033,708
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	50,276,910	50,276,910
758	GR Match For Medicaid	32,756,798	32,756,798
TOTAL, METHOD OF FINANCING		\$83,033,708	\$83,033,708

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-3 Community Living Assistance and Support Services (CLASS)			
OUTPUT MEASURES:			
1	Average Number of Individuals Served Per Month: CLASS Waiver	561.00	561.00
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: CLASS Waiver	3,652.48	3,652.48
EXPLANATORY/INPUT MEASURES:			
1	Average Number on Interest List: Community Living Assistance & Support	-561.00	-561.00
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	24,595,767	24,595,767
TOTAL, OBJECT OF EXPENSE		\$24,595,767	\$24,595,767
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	14,892,737	14,892,737
758	GR Match For Medicaid	9,703,030	9,703,030
TOTAL, METHOD OF FINANCING		\$24,595,767	\$24,595,767

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-4 Deaf-Blind Multiple Disabilities (DBMD)			
OUTPUT MEASURES:			
1	Average Number of Individuals Served Per Month: Deaf-Blind Waiver	15.00	15.00
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: Deaf-Blind Waiver	4,078.92	4,078.92
EXPLANATORY/INPUT MEASURES:			
1	Average Number on Interest List: Deaf-Blind Mult Disabilities Waiver	-15.00	-15.00
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	718,589	718,589
TOTAL, OBJECT OF EXPENSE		\$718,589	\$718,589
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	435,106	435,106
758	GR Match For Medicaid	283,483	283,483
TOTAL, METHOD OF FINANCING		\$718,589	\$718,589

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-5 Medically Dependent Children Program (MDCP)			
OUTPUT MEASURES:			
1	Average Number of Individuals Served Per Month: MDCP Waiver	279.00	279.00
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: MDCP Waiver	1,575.35	1,575.35
EXPLANATORY/INPUT MEASURES:			
1	Average Number on Interest List Per Month: MDCP Waiver	-279.00	-279.00
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	5,263,518	5,263,518
TOTAL, OBJECT OF EXPENSE		\$5,263,518	\$5,263,518
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	3,187,060	3,187,060
758	GR Match For Medicaid	2,076,458	2,076,458
TOTAL, METHOD OF FINANCING		\$5,263,518	\$5,263,518

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-6 Consolidated Waiver Program			
OUTPUT MEASURES:			
1	Avg Number of Individuals Served/Mo: Consolidated Waiver Program (CWP)	16.00	16.00
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: Consolidated Waiver (CWP)	1,911.30	1,911.30
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	348,327	348,327
TOTAL, OBJECT OF EXPENSE		\$348,327	\$348,327
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	210,912	210,912
758	GR Match For Medicaid	137,415	137,415
TOTAL, METHOD OF FINANCING		\$348,327	\$348,327

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-3-7 Texas Home Living Waiver			
OUTPUT MEASURES:			
1	Avg Number of Individuals Served Per Month: Texas Home Living Waiver	112.00	112.00
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual Served: Texas Home Living Waiver	697.82	697.82
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	938,975	938,975
TOTAL, OBJECT OF EXPENSE		\$938,975	\$938,975
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	568,549	568,549
758	GR Match For Medicaid	370,426	370,426
TOTAL, METHOD OF FINANCING		\$938,975	\$938,975

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-4-1 Non-Medicaid Services			
OUTPUT MEASURES:			
<u>12</u>	Avg # of Individuals Served Per Month: Non Medicaid Comm Care (XX/GR)	80.00	80.00
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Avg # of Persons on Interest List Per Month: Non-Medicaid CSS (XX)	-80.00	-80.00
<u>2</u>	Avg # Individuals Receiving Non-Medicaid Commuony Svcs & Supports XX	37.00	37.00
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	203,453	203,453
TOTAL, OBJECT OF EXPENSE		\$203,453	\$203,453
METHOD OF FINANCING:			
1	General Revenue Fund	203,453	203,453
TOTAL, METHOD OF FINANCING		\$203,453	\$203,453

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name:	Annualization of Non-Entitlement Programs Service Levels		
Allocation to Strategy:	1-4-2 Mental Retardation Community Services		
OUTPUT MEASURES:			
<u>1</u>	Average Monthly # of Individuals with MR Receiving Community Services	48.00	48.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Mthly Cost Per Individual Receiving Community Services	661.10	661.10
EXPLANATORY/INPUT MEASURES:			
<u>2</u>	Avg # Individuals on Interest List Per Month: MR Community Services	-48.00	-48.00
OBJECTS OF EXPENSE:			
4000	GRANTS	380,794	380,794
TOTAL, OBJECT OF EXPENSE		\$380,794	\$380,794
METHOD OF FINANCING:			
1	General Revenue Fund	380,794	380,794
TOTAL, METHOD OF FINANCING		\$380,794	\$380,794

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name:	Annualization of Non-Entitlement Programs Service Levels		
Allocation to Strategy:	1-4-4 In-Home and Family Support		
OUTPUT MEASURES:			
<u>1</u>	Average Number of Individuals Per Month Receiving IHFS	184.00	184.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Monthly Cost of In-home Family Support Per Individual	77.36	77.36
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Average Number on Interest List Per Month: IHFS Individuals	-184.00	-184.00
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	170,993	170,993
TOTAL, OBJECT OF EXPENSE		\$170,993	\$170,993
METHOD OF FINANCING:			
1	General Revenue Fund	170,993	170,993
TOTAL, METHOD OF FINANCING		\$170,993	\$170,993

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Annualization of Non-Entitlement Programs Service Levels			
Allocation to Strategy: 1-5-1 Program of All-inclusive Care for the Elderly (PACE)			
OUTPUT MEASURES:			
1	Avg # of Recipients Per Month: Program for All Inclusive Care (PACE)	117.00	117.00
EFFICIENCY MEASURES:			
1	Avg Monthly Cost Per Recipient: Program for All Inclusive Care (PACE)	2,830.26	2,830.26
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	3,971,688	3,971,688
TOTAL, OBJECT OF EXPENSE		\$3,971,688	\$3,971,688
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	2,404,857	2,404,857
758	GR Match For Medicaid	1,566,831	1,566,831
TOTAL, METHOD OF FINANCING		\$3,971,688	\$3,971,688

Federal Funds Supporting Schedule - Exceptional Items

Date: Aug 10, 2010

Time: 2:02:02 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

CFDA No. & Strategy No.	CFDA Description & Strategy Description	Requested 2012	Requested 2013
Item #2	Annualization of Waiver Programs (non-entitlement)		
<hr/>			
93.778.003 XIX ADM 50%			
1.3.1	Community Based Alternatives (CBA)	\$53,684	\$53,684
	Total All Strategies	\$53,684	\$53,684
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$53,684	\$53,684
93.778.005 XIX FMAP			
1.3.1	Community Based Alternatives (CBA)	\$24,216,942	\$24,216,942
1.3.2	Home and Community Based Services (HCS)	\$50,276,910	\$50,276,910
1.3.3	Community Living Assistance & Support Services (CLASS)	\$14,892,737	\$14,892,737
1.3.4	Deaf-Blind Multiple Disabilities (DBMD)	\$435,106	\$435,106
1.3.5	Medically Dependent Children Program (MDCP)	\$3,187,060	\$3,187,060
1.3.6	Consolidated Waiver Program	\$210,912	\$210,912
1.3.7	Texas Home Living Waiver	\$568,549	\$568,549
1.5.1	Program of All-inclusive Care for the Elderly (PACE)	\$2,404,857	\$2,404,857
	Total All Strategies	\$96,193,073	\$96,193,073
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$96,193,073	\$96,193,073
	Total All Strategies	\$96,246,756	\$96,246,756
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$96,246,756	\$96,246,756
	Additional General Revenue for Employee Benefits	\$0	\$0