

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/10/2010**
 TIME: **9:30:53AM**

Agency code: **539**

Agency name:

Aging and Disability Services, Department of

CODE	DESCRIPTION	Excp 2012	Excp 2013
	Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies:		
	01-02-01 Primary Home Care		
	01-02-02 Community Attendant Services		
	01-02-03 Day Activity and Health Services (DAHS)		
	01-03-01 Community-based Alternatives (CBA)		
	01-03-03 Community Living Assistance and Support Services (CLASS)		
	01-03-04 Deaf-Blind Multiple Disabilities (DBMD)		
	01-03-05 Medically Dependent Children Program (MDCP)		
	01-03-06 Consolidated Waiver Program		
	01-06-01 Nursing Facility Payments		
	01-06-02 Medicare Skilled Nursing Facility		
	01-06-03 Hospice		
	01-06-04 Promote Independence by Providing Community-based Services		
	01-07-01 Intermed Care Facilities - for Persons w/ MR (ICF/MR)		

OBJECTS OF EXPENSE:

3001	CLIENT SERVICES	84,617,662	173,413,259
	TOTAL, OBJECT OF EXPENSE	84,617,662	173,413,259

METHOD OF FINANCING:

555	Federal Funds		
93.778.000	XIX FMAP	51,235,994	105,001,728
758	GR Match For Medicaid	33,381,668	68,411,531
	TOTAL, METHOD OF FINANCING	84,617,662	173,413,259

DESCRIPTION / JUSTIFICATION:

The LAR 2012-13 instructions state that rate increases, cost inflation, utilization, and acuity should be shown as exceptional items and should not be included in the base request. Even without rate increases, DADS is experiencing increases in the average cost per individual served in many programs as the result of increased utilization or acuity.

The department has limited ability to control these cost increases. Without additional funding, DADS will serve fewer individuals in the waiver programs, and DADS will experience funding deficits in the entitlement programs.

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EXTERNAL/INTERNAL FACTORS:

Below are the estimated annual (non-rate) inflationary cost trends.

Entitlement Programs:

- Primary Home Care -- 2.6%;
- Community Attendant Services--2.2%
- Day Activity and Health Services--1.2%
- Nursing Facility--1.8%
- Medicare Skilled Nursing Facility--3.3%
- Hospice--1.8%
- Promoting Independence Services--.9%
- ICF/MR--.1%

Waiver Programs

- CBA--.9%
- HCS-- 0%
- CLASS-- 2.0%
- DBMD-- 2.0%
- MDCP--.9%
- Consolidated waiver--2.0%

The Affordable Care Act (Federal Health Care Reform) has maintenance-of-effort (MOE) requirements regarding the number of individuals served in waivers. While there have been no definitive guidelines from the Federal Centers for Medicare and Medicaid Services (CMS), it appears that the MOE will be established as the number of individuals who were being served in March 2010. Without additional funding for this item as well as the "restoration of ARRA funding" exceptional item, the number of individuals served in the waiver programs will have to be reduced below March 2010 levels, which may jeopardize federal Medicaid funding.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-2-1 Primary Home Care			
EFFICIENCY MEASURES:			
	<u>1</u> Average Monthly Cost Per Individual Served: Primary Home Care	21.82	44.20
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	13,807,513	28,794,124
TOTAL, OBJECT OF EXPENSE		\$13,807,513	\$28,794,124
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	8,360,449	17,434,842
758	GR Match For Medicaid	5,447,064	11,359,282
TOTAL, METHOD OF FINANCING		\$13,807,513	\$28,794,124

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-2-2 Community Attendant Services			
EFFICIENCY MEASURES:			
1	Average Mthly Cost Per Individual Served: Community Attendant Services	18.01	36.42
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	9,504,646	19,377,720
TOTAL, OBJECT OF EXPENSE		\$9,504,646	\$19,377,720
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	5,755,063	11,733,209
758	GR Match For Medicaid	3,749,583	7,644,511
TOTAL, METHOD OF FINANCING		\$9,504,646	\$19,377,720

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-2-3 Day Activity and Health Services (DAHS)			
EFFICIENCY MEASURES:			
1	Avg Mthly Cost Per Individual Served: Day Activity and Health Services	6.27	12.62
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	1,339,244	2,765,329
TOTAL, OBJECT OF EXPENSE		\$1,339,244	\$2,765,329
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	810,912	1,674,407
758	GR Match For Medicaid	528,332	1,090,922
TOTAL, METHOD OF FINANCING		\$1,339,244	\$2,765,329

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-3-1 Community-based Alternatives (CBA)			
EFFICIENCY MEASURES:			
	<u>1</u> Average Monthly Cost Per Individual: Medicaid CBA Waiver	14.13	28.38
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	3,714,600	7,460,747
TOTAL, OBJECT OF EXPENSE		\$3,714,600	\$7,460,747
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	2,249,190	4,517,482
758	GR Match For Medicaid	1,465,410	2,943,265
TOTAL, METHOD OF FINANCING		\$3,714,600	\$7,460,747

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Allocation to Strategy: 1-3-3 Community Living Assistance and Support Services (CLASS)			
EFFICIENCY MEASURES:			
	1 Average Monthly Cost Per Individual: CLASS Waiver	73.04	147.55
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	5,132,667	10,368,634
TOTAL, OBJECT OF EXPENSE		\$5,132,667	\$10,368,634
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	3,107,830	6,278,208
758	GR Match For Medicaid	2,024,837	4,090,426
TOTAL, METHOD OF FINANCING		\$5,132,667	\$10,368,634

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-3-4 Deaf-Blind Multiple Disabilities (DBMD)			
EFFICIENCY MEASURES:			
	<u>1</u> Average Monthly Cost Per Individual: Deaf-Blind Waiver	81.68	164.99
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	149,964	302,922
TOTAL, OBJECT OF EXPENSE		\$149,964	\$302,922
METHOD OF FINANCING:			
555	Federal Funds		
	93.778.000 XIX FMAP	90,803	183,419
758	GR Match For Medicaid	59,161	119,503
TOTAL, METHOD OF FINANCING		\$149,964	\$302,922

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-3-5 Medically Dependent Children Program (MDCP)			
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: MDCP Waiver	14.02	28.16
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	488,905	981,996
TOTAL, OBJECT OF EXPENSE		\$488,905	\$981,996
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	296,032	594,599
758	GR Match For Medicaid	192,873	387,397
TOTAL, METHOD OF FINANCING		\$488,905	\$981,996

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-3-6 Consolidated Waiver Program			
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual: Consolidated Waiver (CWP)	38.10	76.96
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	72,695	146,840
TOTAL, OBJECT OF EXPENSE		\$72,695	\$146,840
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	44,017	88,912
758	GR Match For Medicaid	28,678	57,928
TOTAL, METHOD OF FINANCING		\$72,695	\$146,840

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-6-1 Nursing Facility Payments			
EFFICIENCY MEASURES:			
	<u>1</u> Average Daily Nursing Facility Rate	57.63	116.31
OBJECTS OF EXPENSE:			
	3001 CLIENT SERVICES	38,139,954	76,974,805
TOTAL, OBJECT OF EXPENSE		\$38,139,954	\$76,974,805
METHOD OF FINANCING:			
	555 Federal Funds		
	93.778.000 XIX FMAP	23,093,742	46,608,244
	758 GR Match For Medicaid	15,046,212	30,366,561
TOTAL, METHOD OF FINANCING		\$38,139,954	\$76,974,805

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-6-2 Medicare Skilled Nursing Facility			
EFFICIENCY MEASURES:			
	<u>1</u> Net Medicaid/Medicare Copay Per Individual-Nursing Facility Svcs/Mo	68.01	138.26
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	5,898,936	12,358,463
TOTAL, OBJECT OF EXPENSE		\$5,898,936	\$12,358,463
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	3,571,806	7,483,049
758	GR Match For Medicaid	2,327,130	4,875,414
TOTAL, METHOD OF FINANCING		\$5,898,936	\$12,358,463

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-6-3 Hospice			
EFFICIENCY MEASURES:			
	<u>1</u> Average Net Payment Per Individual Per Month for Hospice	52.33	105.60
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	4,776,768	10,389,476
TOTAL, OBJECT OF EXPENSE		\$4,776,768	\$10,389,476
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	2,892,333	6,290,828
758	GR Match For Medicaid	1,884,435	4,098,648
TOTAL, METHOD OF FINANCING		\$4,776,768	\$10,389,476

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-6-4 Promote Independence by Providing Community-based Services			
EFFICIENCY MEASURES:			
1	Average Monthly Cost Per Individual Served: Promoting Independence	14.08	28.29
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	1,149,476	2,607,476
TOTAL, OBJECT OF EXPENSE		\$1,149,476	\$2,607,476
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	696,008	1,578,827
758	GR Match For Medicaid	453,468	1,028,649
TOTAL, METHOD OF FINANCING		\$1,149,476	\$2,607,476

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Maintain FY 2010-11 Service Levels-Cost Trends (entitlement and non-entitlement)			
Allocation to Strategy: 1-7-1 Intermed Care Facilities - for Persons w/ MR (ICF/MR)			
EFFICIENCY MEASURES:			
1	Monthly Cost Per ICF/MR Medicaid Eligible Individual	6.28	12.57
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	442,294	884,727
TOTAL, OBJECT OF EXPENSE		\$442,294	\$884,727
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	267,809	535,702
758	GR Match For Medicaid	174,485	349,025
TOTAL, METHOD OF FINANCING		\$442,294	\$884,727

Federal Funds Supporting Schedule - Exceptional Items

Date: Aug 10, 2010

Time: 2:02:02 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

CFDA No. & Strategy No. CFDA Description & Strategy Description		Requested	
		2012	2013
Item #3	Maintain FY2010-2011 Service Levels-Cost Trends (entitlement and non-entitlement)		
<hr/>			
93.778.005 XIX FMAP			
1.2.1	Primary Home Care	\$8,360,449	\$17,434,842
1.2.2	Community Attendant Services (Formerly Frail Elderly)	\$5,755,063	\$11,733,209
1.2.3	Day Activity and Health Services (DAHS)	\$810,912	\$1,674,407
1.3.1	Community Based Alternatives (CBA)	\$2,249,190	\$4,517,482
1.3.3	Community Living Assistance & Support Services (CLASS)	\$3,107,830	\$6,278,208
1.3.4	Deaf-Blind Multiple Disabilities (DBMD)	\$90,803	\$183,419
1.3.5	Medically Dependent Children Program (MDCP)	\$296,032	\$594,599
1.3.6	Consolidated Waiver Program	\$44,017	\$88,912
1.6.1	Nursing Facility Payments	\$23,093,742	\$46,608,244
1.6.2	Medicare Skilled Nursing Facility	\$3,571,806	\$7,483,049
1.6.3	Hospice	\$2,892,333	\$6,290,828
1.6.4	Promoting Independence Services	\$696,008	\$1,578,827
1.7.1	Intermediate Care Facilities - Mental Retardation (ICF/MR)	\$267,809	\$535,702
Total All Strategies		\$51,235,994	\$105,001,728
Additional Federal Funds for Employee Benefits		\$0	\$0
TOTAL, Federal Funds		\$51,235,994	\$105,001,728
Total All Strategies		\$51,235,994	\$105,001,728
Additional Federal Funds for Employee Benefits		\$0	\$0
TOTAL, Federal Funds		\$51,235,994	\$105,001,728
Additional General Revenue for Employee Benefits		\$0	\$0