

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
 82nd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/10/2010**  
 TIME: **9:30:53AM**

Agency code: **539**

Agency name:  
**Aging and Disability Services, Department of**

CODE	DESCRIPTION		Excp 2012	Excp 2013
	<b>Item Name:</b>	Promoting Independence		
	<b>Item Priority:</b>	4		
	<b>Includes Funding for the Following Strategy or Strategies:</b>	01-01-01 Intake, Access, and Eligibility to Services and Supports		
		01-02-01 Primary Home Care		
		01-02-02 Community Attendant Services		
		01-02-03 Day Activity and Health Services (DAHS)		
		01-03-01 Community-based Alternatives (CBA)		
		01-03-02 Home and Community-based Services (HCS)		
<b>OBJECTS OF EXPENSE:</b>				
2009	OTHER OPERATING EXPENSE		950,000	0
3001	CLIENT SERVICES		23,048,345	56,334,905
<b>TOTAL, OBJECT OF EXPENSE</b>			<b>\$23,998,345</b>	<b>\$56,334,905</b>

**METHOD OF FINANCING:**

1	General Revenue Fund		0	29,655
555	Federal Funds			
93.778.000	XIX FMAP		13,955,773	34,092,830
93.778.003	XIX 50%		475,000	0
758	GR Match For Medicaid		9,567,572	22,212,420
<b>TOTAL, METHOD OF FINANCING</b>			<b>\$23,998,345</b>	<b>\$56,334,905</b>

**DESCRIPTION / JUSTIFICATION:**

This item would fund 500 slots at Large ICF/MRs and SSLCs, 192 slots for DFPS children aging out of foster care, 240 crisis slots for persons at imminent risk of entering an ICF/MR facility, and 100 slots for individuals at imminent risk of entering a nursing facility.

This item would also create at least one Behavior Intervention Team (BIT) in each of the state's nine waiver contract areas. A BIT can be comprised of a direct care credentialed Certified Behavior Analyst, RN, LVN, and social worker providing enhanced services to individuals with challenging behaviors. Any HCS provider certified as being in good standing may create a BIT. The movement toward community care settings is in line with the 1999 Olmstead lawsuit settlement.

This item would also create a presumptive eligibility pilot in the East Texas region for Medicaid-funded community care services beginning FY 2013. The goal of presumptive eligibility determination is to deliver supports more quickly to individuals who may otherwise access nursing facility services. PHC and DAHS applicants would expect to receive services one month earlier than under the current process, while CAS applicants would expect to receive services three months earlier. The item assumes that DADS will receive Federal matching funds (FMAP) for the service costs. There is a possibility that CMS would not approve FMAP for this pilot because the services would not be offered state-wide.

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**CODE DESCRIPTION**

**Excp 2012**

**Excp 2013**

**EXTERNAL/INTERNAL FACTORS:**

Under the Promoting Independence initiative, the department's commitment is to make community waiver placements available for residents within one year of the date of referral for community placement.

This item, if not funded, would eliminate the department's ability to meet the requirement and consumers would remain in environments that are more restrictive than necessary.

Residential options are limited for children with developmental disabilities whose conservatorship ends with The Department of Family and Protective Services. This item seeks funding for slots to provide the necessary resources for their continued care.

This item also seeks to prevent institutionalization, specifically for those on the interest list with immanent risk associated with their disability. It seeks to provide less restrictive environments through waiver services for these individuals in response to caregivers aging out, in poor health, or passing away.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
<b>Item Name:</b>	Promoting Independence		
<b>Allocation to Strategy:</b>	1-1-1 Intake, Access, and Eligibility to Services and Supports		
<b>OBJECTS OF EXPENSE:</b>			
2009	OTHER OPERATING EXPENSE	950,000	0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$950,000</b>	<b>\$0</b>
<b>METHOD OF FINANCING:</b>			
555	Federal Funds		
93.778.003	XIX 50%	475,000	0
758	GR Match For Medicaid	475,000	0
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$950,000</b>	<b>\$0</b>

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
<b>Item Name:</b> Promoting Independence			
<b>Allocation to Strategy:</b> 1-2-1 Primary Home Care			
<b>OUTPUT MEASURES:</b>			
1	Average Number of Individuals Served Per Month: Primary Home Care	0.00	52.00
<b>OBJECTS OF EXPENSE:</b>			
3001	CLIENT SERVICES	0	534,038
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$0</b>	<b>\$534,038</b>
<b>METHOD OF FINANCING:</b>			
1	General Revenue Fund	0	10,270
555	Federal Funds		
93.778.000	XIX FMAP	0	317,142
758	GR Match For Medicaid	0	206,626
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$0</b>	<b>\$534,038</b>

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
<b>Item Name:</b> Promoting Independence			
<b>Allocation to Strategy:</b> 1-2-2 Community Attendant Services			
<b>OUTPUT MEASURES:</b>			
1	Average # of Individuals Served Per Mnth: Community Attendant Services	0.00	166.00
<b>OBJECTS OF EXPENSE:</b>			
3001	CLIENT SERVICES	0	1,608,958
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$0</b>	<b>\$1,608,958</b>
<b>METHOD OF FINANCING:</b>			
1	General Revenue Fund	0	19,385
555	Federal Funds		
93.778.000	XIX FMAP	0	962,487
758	GR Match For Medicaid	0	627,086
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$0</b>	<b>\$1,608,958</b>

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Code	Description	Excp 2012	Excp 2013
<b>Item Name:</b> Promoting Independence			
<b>Allocation to Strategy:</b> 1-2-3 Day Activity and Health Services (DAHS)			
<b>OUTPUT MEASURES:</b>			
1	Average Number of Individuals Per Month: Day Activity/Health Services	0.00	7.00
<b>OBJECTS OF EXPENSE:</b>			
3001	CLIENT SERVICES	0	45,042
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$0</b>	<b>\$45,042</b>
<b>METHOD OF FINANCING:</b>			
555	Federal Funds		
93.778.000	XIX FMAP	0	27,273
758	GR Match For Medicaid	0	17,769
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$0</b>	<b>\$45,042</b>

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
<b>Item Name:</b> Promoting Independence			
<b>Allocation to Strategy:</b> 1-3-1 Community-based Alternatives (CBA)			
<b>OUTPUT MEASURES:</b>			
<u>1</u>	Average Number of Individuals Served Per Month: Medicaid CBA Waiver	26.00	74.83
<b>EFFICIENCY MEASURES:</b>			
<u>1</u>	Average Monthly Cost Per Individual: Medicaid CBA Waiver	1,579.00	1,579.00
<b>EXPLANATORY/INPUT MEASURES:</b>			
<u>1</u>	Average Number on Interest List Per Month: CBA Waiver	-26.00	-74.83
<u>2</u>	# of Persons Receiving Svcs at the End of the Fiscal Year: CBA Waiver	48.00	100.00
<b>OBJECTS OF EXPENSE:</b>			
3001	CLIENT SERVICES	492,648	1,417,942
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$492,648</b>	<b>\$1,417,942</b>
<b>METHOD OF FINANCING:</b>			
555	Federal Funds		
93.778.000	XIX FMAP	298,298	858,564
758	GR Match For Medicaid	194,350	559,378
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$492,648</b>	<b>\$1,417,942</b>

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
<b>Item Name:</b> Promoting Independence			
<b>Allocation to Strategy:</b> 1-3-2 Home and Community-based Services (HCS)			
<b>OUTPUT MEASURES:</b>			
<u>1</u>	Avg # Individuals Served Per Mth: Home & Commity Based Services (HCS)	247.83	714.33
<b>EFFICIENCY MEASURES:</b>			
<u>1</u>	Avg Mthly Cost Per Individual Served: Home & Community Based Services	5,387.75	5,389.23
<b>EXPLANATORY/INPUT MEASURES:</b>			
<u>1</u>	# Individuals Receiving Services at the End of the Fiscal Year: HCS	460.00	932.00
<u>2</u>	Avg # Individis on Interest List Per Month: Home & Commity Based Svcs	-247.83	-932.00
<b>OBJECTS OF EXPENSE:</b>			
3001	CLIENT SERVICES	22,555,697	52,728,925
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$22,555,697</b>	<b>\$52,728,925</b>
<b>METHOD OF FINANCING:</b>			
555	Federal Funds		
93.778.000	XIX FMAP	13,657,475	31,927,364
758	GR Match For Medicaid	8,898,222	20,801,561
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$22,555,697</b>	<b>\$52,728,925</b>



**Federal Funds Supporting Schedule - Exceptional Items**

Date: Aug 10, 2010

Time: 2:02:02 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

CFDA No. & Strategy No.	CFDA Description & Strategy Description	Requested	
		2012	2013
<b>Item #4</b>	<b>Promoting Independence</b>		
<hr/>			
<b>93.778.003 XIX ADM 50%</b>			
1.1.1	Intake, Access and Eligibility to Services and Supports	\$475,000	\$0
	<b>Total All Strategies</b>	<b>\$475,000</b>	<b>\$0</b>
	<b>Additional Federal Funds for Employee Benefits</b>	<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, Federal Funds</b>	<b>\$475,000</b>	<b>\$0</b>
<b>93.778.005 XIX FMAP</b>			
1.2.1	Primary Home Care	\$0	\$317,142
1.2.2	Community Attendant Services (Formerly Frail Elderly)	\$0	\$962,486
1.2.3	Day Activity and Health Services (DAHS)	\$0	\$27,273
1.3.1	Community Based Alternatives (CBA)	\$298,298	\$858,564
1.3.2	Home and Community Based Services (HCS)	\$13,657,475	\$31,927,364
	<b>Total All Strategies</b>	<b>\$13,955,773</b>	<b>\$34,092,829</b>
	<b>Additional Federal Funds for Employee Benefits</b>	<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, Federal Funds</b>	<b>\$13,955,773</b>	<b>\$34,092,829</b>
	<b>Total All Strategies</b>	<b>\$14,430,773</b>	<b>\$34,092,829</b>
	<b>Additional Federal Funds for Employee Benefits</b>	<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, Federal Funds</b>	<b>\$14,430,773</b>	<b>\$34,092,829</b>
	<b>Additional General Revenue for Employee Benefits</b>	<b>\$0</b>	<b>\$0</b>