

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/10/2010**
 TIME: **9:30:53AM**

Agency code: **539**

Agency name: **Aging and Disability Services, Department of**

CODE	DESCRIPTION	Excp 2012	Excp 2013
	Item Name: Protecting Vulnerable Texans		
	Item Priority: 7		
	Includes Funding for the Following Strategy or Strategies:		
	02-01-01 Facility and Community-Based Regulation		
	02-01-03 Long-Term Care Quality Outreach		
	03-01-01 Central Administration		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	876,706	1,256,721
2001	PROFESSIONAL FEES AND SERVICES	4,589,990	4,489,190
2005	TRAVEL	109,200	160,800
2009	OTHER OPERATING EXPENSE	248,231	308,792
TOTAL, OBJECT OF EXPENSE		\$5,824,127	\$6,215,503
 METHOD OF FINANCING:			
1	General Revenue Fund	3,202,701	3,094,930
555	Federal Funds		
93.777.002	SURVEY & CERT @ 75%	909,557	909,557
93.778.003	XIX 50%	530,666	793,785
93.778.004	XIX ADM @ 75%	260,504	240,186
758	GR Match For Medicaid	920,699	1,177,045
TOTAL, METHOD OF FINANCING		\$5,824,127	\$6,215,503
FULL-TIME EQUIVALENT POSITIONS (FTE):		14.00	20.00

DESCRIPTION / JUSTIFICATION:

DADS seeks additional funding to increase efforts to protect the health, safety and welfare of individuals receiving services in two broad categories:

First, funding is requested for the Facility and Community Based Regulation Program to increase regional FTEs by nine in FY 2012 and fifteen in 2013 respectively. These FTEs would include waiver survey and certification reviewers, assisted living facility ombudsmen and quality monitors. DADS is experiencing significant workload issues with completing reviews of all provider contracts funded through the Home and Community Service (HCS) and Texas Home-Living (TxHmL) waiver programs. Also, expanding the Long-Term Care Ombudsmen Program by one FTE and contracting for twenty eight Assisted Living Ombudsmen is necessary to increase the protection of and advocacy for people living in assisted living facilities. The final four FTEs funded through this item would enhance the Quality Monitoring Program by dedicating a team to at-risk ICF/MR facilities.

This exceptional item would also upgrade several outdated automation systems that are slow and unstable and inadequate to sustain DADS' daily operations. Funding would specifically be used to upgrade the agency's licensing and waiver automation programs. Support for these programs is no longer available and replacement is necessary to ensure timely funding of provider contracts and return of license applications.

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CODE DESCRIPTION

Excp 2012

Excp 2013

EXTERNAL/INTERNAL FACTORS:

The Waiver Survey and Certification Unit is currently at maximum capacity handling the projected growth in the program through FY 2011. With these additional program reviewer positions, all requirements for completion of initial and annual program reviews and complaint investigations within specified timeframes should be achievable. This expansion would also address the continued projected growth in the program. Without these positions, the program's ability to complete initial and annual program reviews as well as to conducting follow-up investigations of complaints and allegations of abuse, neglect and/or exploitation will not be possible and persons receiving services through these waiver programs will not be afforded their necessary and required protections.

There is a critical need to provide on- site quality monitoring service to ICF/MR providers. Current resources are not available to conduct onsite visits to ICFs/MR. During onsite visits, staff is able to review records, interview people served/family members, and staff as well as assess systems in place. Feedback from nursing facility providers regarding the QMP states face-to-face technical assistance is invaluable.

Long-term care ombudsmen will significantly increase the quantity and quality of services to people living in Assisted Living Facilities (ALFs), provided primarily through onsite visitation in facilities. All licensed facilities would receive an annual visit by a long-term care ombudsman and facilities in greatest need of advocacy services will be visited on a quarterly basis. With this increase in funding, the program will provide a total of 10,000 visits and onsite monitoring of each licensed ALFs (currently 1,567 facilities).

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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DATE: 8/10/2010
TIME: 9:32:30AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 2-1-1 Facility and Community-Based Regulation			
OUTPUT MEASURES:			
<u>22</u>	# of Initial HCS and TxHmL Reviews Completed	14.00	26.00
<u>23</u>	# of Annual Hcs & TxHmL Recertification Reviews Completed	86.00	158.00
<u>24</u>	Number of Abuse/Neglect Reports Received: HCS and TxHmL Providers	1,548.00	2,064.00
<u>25</u>	Number of Abuse/Neglect Reports Reviewed: HCS and TxHmL Providers	1,548.00	2,064.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	570,022	950,037
2001	PROFESSIONAL FEES AND SERVICES	3,198,950	3,198,950
2005	TRAVEL	77,400	129,000
2009	OTHER OPERATING EXPENSE	162,627	258,042
TOTAL, OBJECT OF EXPENSE		\$4,008,999	\$4,536,029
METHOD OF FINANCING:			
1	General Revenue Fund	1,745,060	1,745,060
555	Federal Funds		
93.777.002	SURVEY & CERT @ 75%	909,557	909,557
555	Federal Funds		
93.778.003	XIX 50%	525,593	789,108
758	GR Match For Medicaid	828,789	1,092,304
TOTAL, METHOD OF FINANCING		\$4,008,999	\$4,536,029
FULL-TIME EQUIVALENT POSITIONS (FTE):		9.0	15.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 2-1-3 Long-Term Care Quality Outreach			
OUTPUT MEASURES:			
1	Number of Quality Monitoring Visits to Nursing Facilities	18.00	48.00
EFFICIENCY MEASURES:			
1	Average Cost Per Quality Monitoring Program Visit	7,546.94	6,866.75
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	260,604	260,604
2005	TRAVEL	28,800	28,800
2009	OTHER OPERATING EXPENSE	68,083	40,200
TOTAL, OBJECT OF EXPENSE		\$357,487	\$329,604
METHOD OF FINANCING:			
555	Federal Funds		
93.778.003	XIX 50%	5,073	4,677
555	Federal Funds		
93.778.004	XIX ADM @ 75%	260,504	240,186
758	GR Match For Medicaid	91,910	84,741
TOTAL, METHOD OF FINANCING		\$357,487	\$329,604
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

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Code	Description	Excp 2012	Excp 2013
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 3-1-1 Central Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	46,080	46,080
2001	PROFESSIONAL FEES AND SERVICES	1,391,040	1,290,240
2005	TRAVEL	3,000	3,000
2009	OTHER OPERATING EXPENSE	17,521	10,550
TOTAL, OBJECT OF EXPENSE		\$1,457,641	\$1,349,870
METHOD OF FINANCING:			
1 General Revenue Fund		1,457,641	1,349,870
TOTAL, METHOD OF FINANCING		\$1,457,641	\$1,349,870
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Federal Funds Supporting Schedule - Exceptional Items

Date: Aug 10, 2010

Time: 2:02:02 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

CFDA No. & Strategy No.	CFDA Description & Strategy Description	Requested 2012	Requested 2013
Item #7	Protecting Vulnerable Texans		
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93.777.002 SUR&C-75%			
2.1.1	Facility and Community-Based Regulation	\$909,557	\$909,557
	Total All Strategies	\$909,557	\$909,557
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$909,557	\$909,557
93.778.003 XIX ADM 50%			
2.1.1	Facility and Community-Based Regulation	\$525,593	\$789,108
2.1.3	Quality Outreach	\$5,073	\$4,677
	Total All Strategies	\$530,666	\$793,785
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$530,666	\$793,785
93.778.004 XIXADM 75%			
2.1.3	Quality Outreach	\$260,504	\$240,186
	Total All Strategies	\$260,504	\$240,186
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$260,504	\$240,186
	Total All Strategies	\$1,700,727	\$1,943,528
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$1,700,727	\$1,943,528
	Additional General Revenue for Employee Benefits	\$0	\$0