

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/10/2010**
 TIME: **9:30:53AM**

Agency code: **539**

Agency name: **Aging and Disability Services, Department of**

CODE	DESCRIPTION	Excp 2012	Excp 2013
	Item Name: Strengthen Contracting for Community Services		
	Item Priority: 8		
	Includes Funding for the Following Strategy or Strategies: 01-01-01 Intake, Access, and Eligibility to Services and Supports		
	01-01-02 Guardianship		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	2,185,402	2,167,484
2001	PROFESSIONAL FEES AND SERVICES	161,506	161,506
2005	TRAVEL	238,000	238,000
2009	OTHER OPERATING EXPENSE	779,734	523,208
TOTAL, OBJECT OF EXPENSE		\$3,364,642	\$3,090,198
 METHOD OF FINANCING:			
1	General Revenue Fund	794,306	746,422
555	Federal Funds		
93.778.003	XIX 50%	1,285,168	1,171,888
758	GR Match For Medicaid	1,285,168	1,171,888
TOTAL, METHOD OF FINANCING		\$3,364,642	\$3,090,198
FULL-TIME EQUIVALENT POSITIONS (FTE):		40.00	40.00

DESCRIPTION / JUSTIFICATION:

Department of Aging and Disability Services (DADS) is responsible for providing oversight and accountability relating to contractors providing community-based services to individuals covered by its programs. These programs include community care waivers and community care entitlement services. Providers of guardianship services are also under the oversight of DADS.

This exceptional item would provide 38 new oversight and accountability positions to serve in regional offices across the state. The number of community-based service clients has risen exponentially in recent years with the approval of additional funding by the legislature. These FTEs will substantially improve the physical oversight of contractors providing services as well as strengthen billing and payment review functions.

Contract oversight staff has proven to be a net financial gain for the state in past years, saving as much as one million a year in unallowable costs.

The number of contracts with guardianship contractors that require monitoring and complaint investigation has increased from five to ten in recent years and the geographic area covered has increased from 24 to 87 counties. While a staff of five currently provides oversight for these contracts, more vigilance and an additional two FTEs are needed to ensure maximization of state resources and prevention of fraud and waste.

EXTERNAL/INTERNAL FACTORS:

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/10/2010**
TIME: **9:30:53AM**

Agency code: **539**

Agency name:

Aging and Disability Services, Department of

CODE DESCRIPTION

Excp 2012

Excp 2013

Requested contract management positions housed in the regions will allow for more timely enrollment of new providers, more thorough orientation of providers, and increased technical assistance for providers. In addition, a key function of the contract management staff in the regions is review of provider performance, identification of billing errors and enforcement of compliance as needed. Adequate staff is necessary to ensure appropriate frequency and depth of reviews.

Two additional contract management staff housed in state office will substantially strengthen the billing and payment review functions.

A key focus of the new positions will be improving oversight of contractors participating in the Consumer Directed Services (CDS) option. The expanding use of this popular option creates a substantial risk of fraud or waste that necessitates a much more robust oversight structure.

DADS Guardianship program monitors both DADS guardianship staff and contracted guardianship providers. The internal DADS program has grown from ten to fourteen units, each require quality assurance reviews, complaint investigations and other reviews as directed. The resources of the unit are currently overburdened. The number of complaints and follow up visits as well as the 50% increase in contracts and 40% increase in quality assurance reviews has stretched resources to the limit.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/10/2010
 TIME: 9:32:30AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
Item Name: Strengthen Contracting for Community Services			
Allocation to Strategy: 1-1-1 Intake, Access, and Eligibility to Services and Supports			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	2,071,552	2,053,634
2005	TRAVEL	228,000	228,000
2009	OTHER OPERATING EXPENSE	744,693	502,108
TOTAL, OBJECT OF EXPENSE		\$3,044,245	\$2,783,742
METHOD OF FINANCING:			
1	General Revenue Fund	473,909	439,966
555	Federal Funds		
	93.778.003 XIX 50%	1,285,168	1,171,888
758	GR Match For Medicaid	1,285,168	1,171,888
TOTAL, METHOD OF FINANCING		\$3,044,245	\$2,783,742
FULL-TIME EQUIVALENT POSITIONS (FTE):		38.0	38.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/10/2010
 TIME: 9:32:30AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2012	Excp 2013
Item Name: Strengthen Contracting for Community Services			
Allocation to Strategy: 1-1-2 Guardianship			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	113,850	113,850
2001	PROFESSIONAL FEES AND SERVICES	161,506	161,506
2005	TRAVEL	10,000	10,000
2009	OTHER OPERATING EXPENSE	35,041	21,100
TOTAL, OBJECT OF EXPENSE		\$320,397	\$306,456
METHOD OF FINANCING:			
1 General Revenue Fund		320,397	306,456
TOTAL, METHOD OF FINANCING		\$320,397	\$306,456
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Federal Funds Supporting Schedule - Exceptional Items

Date: Aug 10, 2010

Time: 2:02:02 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

CFDA No. & Strategy No.	CFDA Description & Strategy Description	Requested	
		2012	2013
Item #8	Strengthen Contracting for Community Services		
<hr/>			
93.778.003 XIX ADM 50%			
1.1.1	Intake, Access and Eligibility to Services and Supports	\$1,285,168	\$1,171,888
	Total All Strategies	\$1,285,168	\$1,171,888
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$1,285,168	\$1,171,888
	Total All Strategies	\$1,285,168	\$1,171,888
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$1,285,168	\$1,171,888
	Additional General Revenue for Employee Benefits	\$0	\$0