

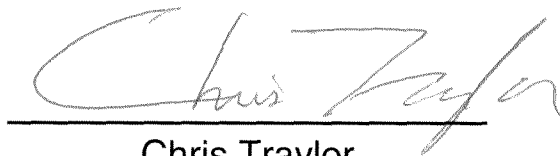
**Legislative Appropriations Request  
For Fiscal Years 2014 and 2015**

Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board

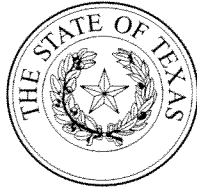
by

**Department of Aging and Disability Services**

August 9, 2012

A handwritten signature in cursive script, appearing to read "Chris Traylor", is written over a horizontal line.

Chris Traylor  
Commissioner



## CERTIFICATE

**Agency Name:** Department of Aging and Disability Services

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2012-13 GAA).

**Chief Executive Office or Presiding Judge**

  
\_\_\_\_\_  
Signature

Chris Traylor  
\_\_\_\_\_  
Printed Name

Commissioner  
\_\_\_\_\_  
Title

August 9, 2012  
\_\_\_\_\_  
Date

**Board or Commission Chair**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**Chief Financial Officer**

  
\_\_\_\_\_  
Signature

Gordon Taylor  
\_\_\_\_\_  
Printed Name

Chief Financial Officer  
\_\_\_\_\_  
Title

August 9, 2012  
\_\_\_\_\_  
Date

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## INTRODUCTION

The Department of Aging and Disability Services' (DADS) mission is "to provide a comprehensive array of aging and disability services, supports and opportunities that are easily accessed in local communities." DADS provides a continuum of long-term services and supports to older Texans and individuals with disabilities, licenses and certifies providers of these services and monitors compliance with regulatory requirements. Services are provided through its Access and Intake, State Supported Living Center and Regulatory divisions.

The involvement of stakeholders and coordination with other health and human services agencies is critical to fulfilling the agency's mission. Through this interaction, DADS is better equipped to achieve our shared goals to enhance quality of life and improve services and supports.

## PREPARING FOR THE AGING OF TEXANS

Texas' aging population directly impacts DADS service delivery. In 2012 the population of Texans 60 years or older is projected to be 4.1 million or 15.5 percent of the total population. By 2020, the projection is 5.5 million or 18.1 percent of the total population. By 2040, the projection is 10 million or 22 percent of the total population. To address this shift, DADS continues to develop and implement initiatives and programs, such as building community capacity to serve the aging population, promoting wellness and increasing access to informal caregiver services.

## CONTINUUM OF SERVICES AND SUPPORTS

DADS is responsible for ensuring that a continuum of services and supports is available for individuals with physical as well as intellectual and developmental disabilities (IDD). Individuals facing physical disabilities are provided with a number of options ranging from Primary Home Care (PHC) and Community Attendant Services (CAS) to the Community Based Alternative (CBA) program and nursing facilities. Those facing challenges with IDD are offered assistance extending from the 13 state supported living centers (SSLCs) to community-centered alternatives such as the Home and Community-based Services (HCS) and Community Living Assistance and Support Services (CLASS) programs. The agency must be flexible in meeting the needs of and providing choices for those it serves and must provide those services efficiently so the greatest numbers of individuals are served within available resources.

Ensuring the health and safety and improving the quality of life for persons residing in SSLCs is a direct responsibility of the agency. The 81st Legislature took strong action in response to concerns about the quality of services provided by the SSLCs and for individuals with IDD who receive services and supports in other settings regulated by DADS.

SB 643, 81<sup>st</sup> Legislature, Regular Session, 2009, provided a framework for the protection and care of individuals with IDD served by public and private providers. Major elements of this legislation focused on requiring fingerprint-based criminal background checks for all SSLC employees and volunteers, random drug testing of SSLC employees, installation of video surveillance camera systems in common areas throughout each of the SSLCs, creation of a mortality review process for persons receiving services in the IDD system, designation of the Mexia SSLC as the state's forensic facility for persons with IDD, creation of an Office of Independent Ombudsman for SSLCs, expanded regulatory oversight for providers of Home and Community-based Services (HCS) and expanded training for persons providing services and supports in both institutional and community-based settings.

In addition, SCR 77, 81<sup>st</sup> Legislature, Regular Session, 2009, approved the state's settlement agreement with the U.S. Department of Justice (DOJ), which provides a comprehensive framework for service improvements in each of the SSLCs. In January 2010, court-approved monitors began baseline reviews of each SSLC to establish a foundation from which Texas and DADS will come into compliance with the agreement. In July 2010, the monitors began conducting semi-annual compliance reviews at the SSLCs.

Three teams of independent monitors jointly selected by the state of Texas and the U.S. Department of Justice have conducted a baseline review and four semi-annual compliance reviews at each of the state supported living centers during the period from January 2010 through June 2012. The fifth round of semi-annual compliance reviews began in July 2012, and is scheduled to be completed by December 2012.

The most recent detailed report of compliance determination for each facility can be found on the DADS website. The website postings are updated upon receipt of each report. As stipulated in § III.Q of the settlement agreement, the independent monitors will provide the parties and the court an assessment of the status of compliance with each substantive provision of the settlement agreement within 60 days prior to the fourth anniversary of the settlement agreement. Given that monitoring of the agreement began in January 2010, and subject to agreement by the parties and the judge, this report is anticipated to be submitted to the parties and the court by January 31, 2014. Due to the depth and range of issues in the agreement, it is expected DOJ involvement in Texas will continue for several years.

#### COMMUNITY-BASED SERVICES

In the last 15 years, there has been a dramatic shift toward community services. These services are critical to allowing older Texans and those with disabilities to achieve and maintain independence and community integration. Demand for services outpaces available funding, despite generous increases from the legislature over the past three sessions. More than 76,000 individuals are enrolled in DADS and STAR+PLUS waiver programs; however, about 109,000 individuals are on interest lists and may wait as long as ten years for requested assistance.

Analyzing and anticipating trends for community programs is essential to meeting future needs. DADS and stakeholders have identified emerging issues in the provision of services, including the need to develop system-wide capacity to serve persons with high behavioral health and/or intensive medical needs.

#### IMPROVING LOCAL ACCESS TO LONG-TERM SERVICES AND SUPPORTS

At the local level, long-term services and supports are administered by multiple agencies with complex and fragmented intake, assessment and eligibility functions, making it challenging for individuals to identify which services are available and where to obtain them. Aging and Disability Resource Centers (ADRC) provide a "no wrong door" approach to services through a network of local agencies, which coordinate information and access to public and private services and support programs and benefits. DADS plans to expand the ADRC initiative to include statewide coverage of an integrated "no wrong door."

#### REGULATORY SERVICES

DADS impacts the lives of more than 647,520 Texans through licensing, certification and complaint investigation of nursing facilities (NF), adult daycare providers, assisted living facilities (ALF), ICFs/IID, home and community support services agencies (HCSSA) and individuals providing services in facilities or home settings. These functions ensure that individuals receive high-quality services and are protected from abuse, neglect and exploitation. In



FY2011, DADS regulated 1,211 NFs, 1,664 ALFs, 5,834 HCSSAs, 861 ICFs/IID and 497 adult daycare facilities. DADS conducts annual, on-site reviews of 681 HCS and 188 Texas Home Living (TxHmL) waiver contracts for compliance with program certification principles.

#### OPPORTUNITIES FOR BEST PRACTICES AND INNOVATION

The DADS LAR represents a comprehensive approach to meeting the present and future needs of Texans across the array of services based on input from the DADS Advisory Council and stakeholders. DADS understands the needs of those we serve and will work to meet these within a finite system of available resources. We request sufficient funding to maintain our current services and improve our systems to meet the state's future growth.

It should be noted that the federal Budget Control Act of 2011 (BCA) includes provisions that will automatically implement a 7.8 percent sequester, or across-the-board reduction, in 2013 federal funding if the U.S. Congress is not able to come to agreement on other spending reductions by January 1, 2013. The BCA requires federal cuts of \$984 billion over FYs 2013-2021, which is about \$109 billion per year split equally between defense and nondefense spending. Some programs, such as Medicaid and several highway programs, are exempt from the sequester. Sequestration, if triggered, could have a substantial negative impact on funding for DADS' non-Medicaid programs.

#### BASELINE REQUEST

The FY2014–15 baseline request will serve an estimated 220,900 Texans with 141,700 served in community settings. The baseline request totals over \$12.58 billion in all funds (AF) over the biennium, \$5.10 billion in general revenue-related funds (GRR). This is a reduction of \$186.88 million in AF from the FY2012-13 base budget of \$12.77 billion. The biennial GRR reduction is \$131.94 million, largely due to individuals receiving services at DADS transferring to STAR+PLUS Medicaid managed care at HHSC.

The funding request for DADS has been significantly impacted by the expansion of STAR+PLUS managed care that has occurred in three phases: February 2011 (Dallas/Tarrant catchment area), September 2011 (Contiguous counties of the STARPLUS catchment areas that were in place prior to expansion), and March 2012 (Amarillo/Lubbock, El Paso, and South Texas catchment areas). Each successive rollout has moved an increasing number of individuals previously served by DADS to HHSC services.

In accordance with the instructions, the request does not include \$9.14 million in GR to serve an anticipated 284 individuals who are currently receiving services or are expected to receive services by the end of FY2013. Continuing services to these individuals will be addressed in our exceptional items.

#### EXCEPTIONAL ITEMS

DADS' services and supports touch the lives of many Texans, many of whom will require long-term services and supports to achieve and maintain their independence or protect their health and safety. This exceptional item request is made to better serve individuals in the models of care they seek. DADS' request attempts to address these needs, while recognizing the reality of the state's fiscal constraints.

DADS' FY2014–15 biennial exceptional item request includes 8 items totaling \$465.2 million in GR and \$1.47 billion in AF. It includes three distinct categories. (1) Maintenance of expected caseloads and cost trends. (2) Continued movement of long-term care to the community setting of choice to the individual. (3) Efforts to improve and streamline the protection and service of individuals receiving DADS services or seeking to access assistance.

## MAINTENANCE OF EXPECTED SERVICE LEVELS

DADS has two exceptional items associated with maintaining expected service levels. These items total approximately \$123.7 million in GR and \$306.6 million in AF. Those funds would be used to continue services to eligible individuals and prevent deficits, especially in agency entitlement programs.

\* Caseload – In order to continue services to approximately 284 individuals expected to require assistance in the FY2014–15 biennium, DADS requests \$9.14 million in GR and \$22.7 million in AF. This amount is necessary to annualize appropriations for non-entitlement individuals expected to be served at the end of FY2013. The FY2012–13 appropriations bill included funding for the expansion of community-based services and assumed the steady ramp-up of those slots over the course of the biennium. Failure to recognize these caseload demands will result in the removal of some individuals, particularly in the HCS program, receiving services on August 31, 2013.

\* Cost Trends – Client-related increases in cost and acuity are expected to trigger a need for approximately \$114.6 million in GR and \$284.9 million in AF over the next biennium. The majority of these funds are tied to increases in DADS' entitlement programs, over which the agency has no almost no discretion in service provision. This estimate was based on cost increases observed in the current biennium of up to 4 percent in some of the agency's largest programs. The lack of funding for this item could result in fewer individuals in waiver programs and deficits in entitlement programs.

## CONTINUED MOVEMENT OF LTC TO THE COMMUNITY

DADS has two exceptional items totaling approximately \$291.6 million in GR and \$976.3 million in AF focused on providing community-based services to aging individuals and those with IDD. Taken together, these programs would potentially serve 29,882 individuals in the community, including 11,902 persons receiving attendant care services through a new Community First Choice program which carries an enhanced Medicaid match rate. These items were heavily supported by agency stakeholders, the DADS Council and best practices found in Texas and other states.

\* Promoting Independence Slots – Experience has proven that individuals are served most effectively and efficiently in community settings. It is this manner of thinking, in line with the 1999 Olmstead lawsuit settlement, that has driven the state's tremendous investment in community-based care in recent biennia. To continue this momentum, DADS requests \$32.1 million in GR and \$79.9 million in AF to fund 400 slots for moves from large and medium-sized ICFs/IID, 192 slots for children aging out of foster care, 300 crisis slots for persons at imminent risk of entering an ICF/IID, and 100 slots for individuals at imminent risk of entering a NF. This item also includes 360 slots for the movement of individuals with IDD from NFs over the biennium. This request totals 1,352 in new community care slots.

\* Community Expansion – This item is a combination of requests resulting in an increase of 28,530 in DADS' slots for community services and implementation of the new Community First Choice program.

The targeted decrease in individuals on community-based care interest lists will result in 16,628 new Medicaid waiver and Non-Medicaid slots over the biennium. This reduction will cost approximately \$223.7 million in GR and \$525 million in AF. For HCS and CLASS, funding would serve 20% of the estimated number of eligible individuals on the interest lists who would likely accept services. For CBA, MDCP, Texas Home Living, STAR+PLUS CBA individuals above the SSI level, In Home and Family Support, Title XX services, and IDD Community services, this item requests funding to increase

service levels by 10% over FY 2012-13 levels. The request includes funding for acute care, drug and administrative costs at HHSC, as well as long-term care and administrative costs at DADS.

In addition, this item requests funding of \$35.8 million in GR and \$371.4 million in AF for a new Community First Choice (CFC) program serving 11,902 individuals. Federal law now gives states the option to provide Medicaid State Plan home and community based attendant services, habilitation and personal emergency response services in exchange for a 6 percent enhanced Federal Medical Assistance Percentage (FMAP). Under federal statute, individuals eligible for CFC must already be eligible for Medicaid under the state plan and meet an institutional level of care. Implementation is anticipated in 2015.

Currently, Texas provides up to 50 hours per week of attendant care to adults with physical disabilities under its Medicaid state plan through the PHC and CAS programs. No analogous state plan program exists for individuals with intellectual and developmental disabilities (IDD). With CFC, adults with a primary diagnosis of IDD, who are currently eligible for Medicaid but are not receiving attendant care services, would have access to attendant care, habilitation and personal emergency response services through the state plan. This option may reduce the need for waiver type services and may also result in diversion from costlier institutional settings by providing these basic services in the individual's home.

#### IMPROVE AND STREAMLINE INDIVIDUAL PROTECTION AND SERVICES

DADS is requesting approximately \$49.9 million in GR and \$188.9 million in AF to make necessary improvements in the agency's guardianship and regulatory programs, improve conditions at SSLCs and further develop ongoing efforts to create a Single Service Authorization System to integrate the existing legacy MH/MR and DHS automation systems.

\* Protecting Vulnerable Texans – In these requests, DADS would utilize approximately \$15.7 million in GR and \$23.0 million in AF to keep pace with the substantial growth in guardianship contracts by hiring 11 new contract supervisors. These funds would also add one FTE and 28 assisted living facility (ALF) contract ombudsman to ensure regular and timely monitoring and advocacy for individuals in those facilities. Also, through the hiring of 20 new waiver survey and certification reviewers and automation system modernization, efforts would be made to further match DADS' resources to the increase in the service programs over which the agency has regulatory authority. Finally, 18.5 FTEs are requested to match the sizable growth in the certification of day habilitation providers. It should be noted that DADS lost 60 FTEs in direct service regulatory personnel impacting the assisted living and adult daycare programs during the 82<sup>nd</sup> Legislative Session.

Several of DADS' regulatory automation systems and tools are outdated and inadequate to effectively sustain the agency's daily statutory operations. A portion of this funding would be used to allow trained investigators to spend the maximum amount of time in the field interacting with DADS clients and service providers.

\* Improving Support for SSLC Residents – Texas' 13 SSLCs serve approximately 3,628 individuals per month and include facilities for medical services, therapy, vocational programs, and other services. Residential and programming support buildings can be up to 100 years old with the newest buildings at approximately 35 years old. This item requests \$27.7 million in GR and \$136 million in AF, including \$88.5 million in bond proceeds, to make necessary life/safety repairs and renovations at the state facilities. This amount would also finance a 10-year replacement plan for vehicles, PCs for 1276

workstations, an electronic health record system, statewide videoconferencing and construction needed to provide physical security of IT assets at the SSLCs.

The insufficiency of direct support professional staffing affects all state supported living centers adversely. This has been a central theme of the reports from the independent monitors who evaluate efforts to achieve compliance with the terms of the settlement agreement with the U.S. Department of Justice. Just over \$2.1 million in GR and \$5.2 million in AF would be dedicated for the recruitment and retention of these direct care staff.

\* Streamlining Service Systems – DADS requests approximately \$1.8 million in GR and \$18.0 million in AF for Phase II of the Single Service Authorization System (SSAS). Phase II will address all remaining information dependencies and usage between the CARE mainframe system and Long Term Care Medicaid information systems. This is necessary to eliminate dependence on outdated and obsolete information technology and move to a system that complies with the Centers for Medicare and Medicaid Services (CMS) Medicaid Information Technology Architecture (MITA) requirements. CMS has indicated that, in the future, the State will not receive Federal Financial Participation for antiquated systems that do not meet MITA Standards.

Phase II will also eliminate remaining DADS information dependencies from the CARE mainframe system for Long Term Medicaid services including but not limited to the State Supported Living Centers and the Quality Assurance Fee (QAF) program. The CARE mainframe system does not have the ability to transmit this information in a form and manner that meets the CMS MITA standards.

Finally, DADS is requesting \$4.8 million in GR and \$11.9 million in AF to add two new Program of All-Inclusive Care for the Elderly (PACE) sites at 150 slots each and provide additional slots at the state's three existing sites. Specifically, 96 additional slots at each existing PACE site is requested. PACE provides care through an integrated managed care system for aging individuals and those with IDD. Using a comprehensive care approach, PACE offers an array of services at a capitated monthly fee and provides all health-related services. Currently, Texas has three PACE sites serving approximately 989 individuals.

HHSC's LAR and the HHS Consolidated Budget will include several DADS-related items. The largest of these is approximately \$13.6 million in GR and \$32.7 million in AF dedicated to further recruitment and retention of Direct Support Personnel. Lesser amounts of funding are requested for a DADS Information Security Program and the replacement of equipment at the consolidated state-operated laundry network (shared between DADS and DSHS).

We appreciate your consideration of our Legislative Appropriations Request and we look forward to working with the 83<sup>rd</sup> Legislature to address the needs of Texas' citizens who are aging or have disabilities.

**539 Aging and Disability Services, Department of**

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b>1</b> Long-term Services and Supports					
<b>1</b> <i>Intake, Access, and Eligibility</i>					
<b>1 INTAKE, ACCESS, &amp; ELIGIBILITY</b>	232,991,295	226,990,951	220,134,867	226,232,515	226,258,319
<b>2 GUARDIANSHIP</b>	6,995,223	6,995,223	6,995,223	6,995,223	6,995,223
<b>2</b> <i>Community Services and Supports - Entitlement</i>					
<b>1 PRIMARY HOME CARE</b>	551,415,224	308,926,939	98,779,244	97,772,788	97,785,950
<b>2 COMMUNITY ATTENDANT SERVICES</b>	458,790,522	494,460,811	528,991,397	534,814,870	545,173,976
<b>3 DAY ACTIVITY &amp; HEALTH SERVICES</b>	115,083,470	62,061,395	9,733,072	11,639,319	11,837,319
<b>3</b> <i>Community Services and Supports - Waivers</i>					
<b>1 COMMUNITY-BASED ALTERNATIVES</b>	438,890,245	257,204,469	162,844,245	162,899,844	162,903,731
<b>2 HOME AND COMMUNITY-BASED SERVICES</b>	808,171,460	816,518,516	847,287,096	848,956,749	848,956,749
<b>3 COMMUNITY LIVING ASSISTANCE (CLASS)</b>	192,726,160	196,337,036	195,682,608	195,682,607	195,682,607
<b>4 DEAF-BLIND MULTIPLE DISABILITIES</b>	7,536,630	7,881,621	7,946,688	7,946,688	7,946,688

## 2.A. SUMMARY OF BASE REQUEST BY STRATEGY

8/14/2012 9:45:12AM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

## 539 Aging and Disability Services, Department of

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b>5 MEDICALLY DEPENDENT CHILDREN PGM</b>	43,579,249	41,750,047	41,476,500	41,476,500	41,476,500
<b>6 TEXAS HOME LIVING WAIVER</b>	7,427,958	39,217,936	55,084,800	55,084,801	55,084,801
<b>4</b> <i>Community Services and Supports - State</i>					
<b>1 NON-MEDICAID SERVICES</b>	159,188,663	153,094,399	153,311,157	151,329,600	151,329,600
<b>2 ID COMMUNITY SERVICES</b>	65,872,219	39,569,857	34,396,380	34,401,920	34,401,920
<b>3 PROMOTING INDEPENDENCE PLAN</b>	4,048,098	4,161,537	4,161,537	4,161,537	4,161,537
<b>4 IN-HOME AND FAMILY SUPPORT</b>	5,160,901	4,989,907	4,989,908	4,989,908	4,989,908
<b>5</b> <i>Program of All-inclusive Care for the Elderly (PACE)</i>					
<b>1 ALL-INCLUSIVE CARE - ELDERLY (PACE)</b>	34,758,290	35,728,327	37,912,992	37,912,992	37,912,992
<b>6</b> <i>Nursing Facility and Hospice Payments</i>					
<b>1 NURSING FACILITY PAYMENTS</b>	2,135,249,572	2,237,579,988	2,255,815,253	2,267,154,446	2,256,733,318
<b>2 MEDICARE SKILLED NURSING FACILITY</b>	151,493,071	154,021,523	159,045,087	153,921,425	155,886,282
<b>3 HOSPICE</b>	224,350,455	223,962,450	226,443,099	245,175,112	251,977,963

2.A. SUMMARY OF BASE REQUEST BY STRATEGY

8/14/2012 9:45:12AM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<b>4 PROMOTING INDEPENDENCE SERVICES</b>	123,938,650	104,077,784	96,515,445	99,107,497	104,329,052
<u>7</u> <i>Intermediate Care Facilities - Individuals w/ Intellectual Disability</i>					
<b>1 INTERMEDIATE CARE FACILITIES - IID</b>	303,209,967	292,850,494	293,599,879	296,053,241	296,053,241
<u>8</u> <i>State Supported Living Centers</i>					
<b>1 STATE SUPPORTED LIVING CENTERS</b>	661,913,217	669,936,418	660,931,644	664,663,962	664,663,963
<u>9</u> <i>Capital Repairs and Renovations</i>					
<b>1 CAPITAL REPAIRS AND RENOVATIONS</b>	25,524,445	352,186	15,185,518	352,186	352,186
<b>TOTAL, GOAL 1</b>	<b>\$6,758,314,984</b>	<b>\$6,378,669,814</b>	<b>\$6,117,263,639</b>	<b>\$6,148,725,730</b>	<b>\$6,162,893,825</b>

2 Regulation, Certification, and Outreach

1 *Regulation, Certification, and Outreach*

<b>1 FACILITY/COMMUNITY-BASED REGULATION</b>	64,623,985	65,411,445	65,409,621	65,410,533	65,410,533
<b>2 CREDENTIALING/CERTIFICATION</b>	1,204,665	1,275,421	1,274,532	1,269,815	1,269,816
<b>3 LTC QUALITY OUTREACH</b>	5,510,862	5,080,203	5,080,202	5,080,202	5,080,202

2.A. SUMMARY OF BASE REQUEST BY STRATEGY

8/14/2012 9:45:12AM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

Goal / Objective / STRATEGY		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<b>TOTAL, GOAL</b>	<b>2</b>	<b>\$71,339,512</b>	<b>\$71,767,069</b>	<b>\$71,764,355</b>	<b>\$71,760,550</b>	<b>\$71,760,551</b>
<b>3</b> Indirect Administration						
<b>1</b> General Program Support						
<b>1</b> CENTRAL ADMINISTRATION		35,328,024	31,364,432	31,597,577	30,488,960	30,488,960
<b>2</b> IT PROGRAM SUPPORT		33,324,295	34,222,856	33,742,856	33,697,644	33,697,644
<b>TOTAL, GOAL</b>	<b>3</b>	<b>\$68,652,319</b>	<b>\$65,587,288</b>	<b>\$65,340,433</b>	<b>\$64,186,604</b>	<b>\$64,186,604</b>
<b>4</b> DADS Exceptional Items HHSC Impact						
<b>1</b> DADS Exceptional Items HHSC Impact						
<b>1</b> INCREASE CAPACITY OF COMMUNITY SVCS		0	0	0	0	0
<b>2</b> COMMUNITY FIRST CHOICE PROGRAM		0	0	0	0	0
<b>TOTAL, GOAL</b>	<b>4</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>		<b>\$6,898,306,815</b>	<b>\$6,516,024,171</b>	<b>\$6,254,368,427</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>



**2.A. SUMMARY OF BASE REQUEST BY STRATEGY**

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**539 Aging and Disability Services, Department of**

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*</b>				<b>\$0</b>	<b>\$0</b>
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$6,898,306,815</b>	<b>\$6,516,024,171</b>	<b>\$6,254,368,427</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>

## 2.A. SUMMARY OF BASE REQUEST BY STRATEGY

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## 539 Aging and Disability Services, Department of

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<u>METHOD OF FINANCING:</u>					
<b>General Revenue Funds:</b>					
1 General Revenue Fund	188,905,910	149,316,828	150,335,175	143,883,440	143,862,404
758 GR Match For Medicaid	1,926,307,025	2,190,927,771	1,062,289,498	2,103,806,176	2,107,486,640
8004 GR For Fed Funds (Older Am Act)	4,282,380	4,282,380	4,282,380	4,282,380	4,282,380
8032 GR Certified As Match For Medicaid	231,858,096	225,398,665	233,998,844	238,504,731	238,513,037
8091 Eff- Match For Medicaid	0	48,480,220	0	0	0
8137 GR Match: Medicaid E/W FY 12-13	0	0	1,026,484,973	0	0
<b>SUBTOTAL</b>	<b>\$2,351,353,411</b>	<b>\$2,618,405,864</b>	<b>\$2,477,390,870</b>	<b>\$2,490,476,727</b>	<b>\$2,494,144,461</b>
<b>General Revenue Dedicated Funds:</b>					
543 Texas Capital Trust Acct	289,802	289,803	289,802	289,802	289,802
5018 Home Health Services Acct	1,948,343	1,948,343	1,948,343	1,948,343	1,948,343
5055 Special Olympic License Plates	5,000	3,000	3,000	3,000	3,000
5080 Quality Assurance	52,321,479	70,582,979	59,321,479	54,571,478	54,571,478
<b>SUBTOTAL</b>	<b>\$54,564,624</b>	<b>\$72,824,125</b>	<b>\$61,562,624</b>	<b>\$56,812,623</b>	<b>\$56,812,623</b>
<b>Federal Funds:</b>					
369 Fed Recovery & Reinvestment Fund	394,490,357	299,968	4,137	0	0
555 Federal Funds	4,042,431,477	3,800,614,736	2,186,676,017	3,713,755,820	3,724,255,505
8138 FF for FY 12-13 Entitlement/Waiver	0	0	1,490,026,361	0	0
<b>SUBTOTAL</b>	<b>\$4,436,921,834</b>	<b>\$3,800,914,704</b>	<b>\$3,676,706,515</b>	<b>\$3,713,755,820</b>	<b>\$3,724,255,505</b>
<b>Other Funds:</b>					

2.A. SUMMARY OF BASE REQUEST BY STRATEGY

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**539 Aging and Disability Services, Department of**

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
666 Appropriated Receipts	3,371,848	2,149,232	2,148,711	2,196,503	2,196,509
777 Interagency Contracts	3,637,964	3,635,317	3,635,317	3,458,850	3,458,929
780 Bond Proceed-Gen Obligat	25,092,615	0	14,833,333	0	0
8095 MR Collect-Pat Supp & Maint	22,525,956	17,258,647	17,254,920	17,131,066	17,131,632
8096 MR Appropriated Receipts	756,403	754,122	753,977	759,135	759,161
8098 MR Revolving Fund Receipts	82,160	82,160	82,160	82,160	82,160
<b>SUBTOTAL</b>	<b>\$55,466,946</b>	<b>\$23,879,478</b>	<b>\$38,708,418</b>	<b>\$23,627,714</b>	<b>\$23,628,391</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$6,898,306,815</b>	<b>\$6,516,024,171</b>	<b>\$6,254,368,427</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>

\*Rider appropriations for the historical years are included in the strategy amounts.

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**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **539**

Agency name: **Aging and Disability Services, Department of**

<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
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**GENERAL REVENUE**

**1** General Revenue Fund

*REGULAR APPROPRIATIONS*

Regular Appropriation from MOF Table (2010-11 GAA)

	\$195,724,062	\$0	\$0	\$0	\$0
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Regular Appropriation from MOF Table (2012-13 GAA)

	\$0	\$148,625,478	\$148,684,263	\$0	\$0
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GR reclassified as GR Certified Match for Medicaid

	\$(10,619,005)	\$0	\$(1,090,125)	\$0	\$0
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Gr reclassified as GR Match for Medicaid

	\$(318,213)	\$(841,022)	\$0	\$0	\$0
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GR reclassified from GR Certified Match for Medicaid

	\$0	\$878,574	\$0	\$0	\$0
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GR reclassified from GR Match for Medicaid

	\$3,092,499	\$527,494	\$2,562,524	\$0	\$0
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**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>					
Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$143,883,440	\$143,862,404
<i>RIDER APPROPRIATION</i>					
Art IX Sec. 17.01(a), Reductions Related to DCS (2012-13 GAA)	\$0	\$(14,704)	\$(19,341)	\$0	\$0
Art IX Sec. 17.01(b), Reductions Related to DCS (2012-13 GAA)	\$0	\$(623)	\$(2,120)	\$0	\$0
<i>TRANSFERS</i>					
Art II SP Sec 10, Limitations on Transfer Authority (2012-13 GAA)	\$35,963	\$35,963	\$86,310	\$0	\$0
<b>Comments:</b> Transfer from DARS - Media Services Staffing					
Art II SP Sec 10, Limitations on Transfer Authority (2012-13 GAA)	\$105,668	\$105,668	\$113,664	\$0	\$0
<b>Comments:</b> Transfer from DFPS for Center for Elimination of Disportionality Disparities					

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$341,329	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for Identity & Access Management					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$539,460	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for Security					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$861,953	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for TIERS Roll-out					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$1,711,383	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for Telecommunication Enhancement					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$(538,625)	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer to HHS agencies for Messaging and Collaboration					

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>	
<b><u>GENERAL REVENUE</u></b>						
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$21,158	\$0	\$0	\$0	\$0	
<b>Comments:</b> Transfer from HHSC for OES Staffing						
Art IX Section 8.03 Reimbursements and Payments (2010-11)	\$3,388	\$0	\$0	\$0	\$0	
<b>Comments:</b> DIR Rebate						
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>						
Art IX Section 17.38 - Respite HB 802 (2010-11 GAA)	\$500,000	\$0	\$0	\$0	\$0	
HB 4, 82nd Leg, RS, Sec 1 (a) General Revenue and Federal Reductions	\$(2,510,110)	\$0	\$0	\$0	\$0	
<i>LAPSED APPROPRIATIONS</i>						
Nursing Facility Payments Lapse	\$(45,000)	\$0	\$0	\$0	\$0	
<b>TOTAL, General Revenue Fund</b>	<b>\$188,905,910</b>	<b>\$149,316,828</b>	<b>\$150,335,175</b>	<b>\$143,883,440</b>	<b>\$143,862,404</b>	



2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

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Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015	
<b><u>GENERAL REVENUE</u></b>						
<b><u>758</u> GR Match for Medicaid</b>						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriation from MOF Table (2010-11 GAA)	\$2,350,896,603	\$0	\$0	\$0	\$0	
Art XII Sec 12 - GR reduction, Medicaid Enhanced FMAP (2010-11 GAA)	\$(138,067,582)	\$0	\$0	\$0	\$0	
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$2,128,893,990	\$1,002,067,463	\$0	\$0	
GR Match for Medicaid reclassified as GR	\$(3,092,499)	\$0	\$0	\$0	\$0	
GR Match for Medicaid reclassified from GR	\$318,213	\$0	\$0	\$0	\$0	
GR Match for Medicaid reclassified from GR Certified Match for Medicaid	\$9,983,407	\$0	\$0	\$0	\$0	

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

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Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015	
<b><u>GENERAL REVENUE</u></b>						
GR Match for Medicaid reclassified as GR	\$0	\$(527,494)	\$(2,562,524)	\$0	\$0	
GR Match for Medicaid reclassified from GR	\$0	\$841,022	\$0	\$0	\$0	
GR Match for Medicaid reclassified from GR Certified Match for Medica	\$0	\$10,761,500	\$0	\$0	\$0	
Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$2,103,806,176	\$2,107,486,640	
<i>RIDER APPROPRIATION</i>						
Art II, Rider 42, (2012-13 GAA)	\$(661,702)	\$661,702	\$0	\$0	\$0	
<b>Comments:</b> Unexpended Balance Authority for SAS/CARE Consolidation Project						
Art IX Sec 17.78, Nursing Facility Incentive Program (2010-11 GAA)	\$1,250,000	\$0	\$0	\$0	\$0	

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>					
Art IX Sec. 17.01 (a), Reductions Related to DCS (2012-13 GAA)	\$0	\$(21,377)	\$(27,713)	\$0	\$0
Art IX Sec. 17.01 (b), Reductions Related to DCS (2012-13 GAA)	\$0	\$(912)	\$(3,053)	\$0	\$0
Art IX Sec. 18.65, Contingency for SB 223 (2012-13 GAA)	\$0	\$142,378	\$141,010	\$0	\$0
Art II Rider 11, Appropriation Transfer between Fiscal Years (2012-201	\$0	\$50,000,000	\$(50,000,000)	\$0	\$0
<i>TRANSFERS</i>					
Art II Rider 35, Eliminating Consolidated Waiver Program (2012-13 GAA)	\$(155,886)	\$(155,886)	\$(229,218)	\$0	\$0
<b>Comments:</b> Transfer to HHSC					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$325,416	\$1,094,348	\$0	\$0	\$0

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: **539** Agency name: **Aging and Disability Services, Department of**

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
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**GENERAL REVENUE**

**Comments:** Transfer from HHSC for HR/Payroll System Upgrade

Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)

\$ (27,100,000)	\$0	\$0	\$0	\$0
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**Comments:** Transfer to DFPS for 6 Months Extension of ARRA

Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)

\$ (105,900,000)	\$0	\$0	\$0	\$0
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**Comments:** Transfer to HHSC for 6 Months Phase-Down ARRA Extension

Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)

\$ (30,181,815)	\$0	\$0	\$0	\$0
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**Comments:** Transfer to HHSC for More Favorable FMAP Per Art II ,SP Sec 7;  
81st Legislature

Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)

\$ (33,969,515)	\$0	\$0	\$0	\$0
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**Comments:** Transfer to HHSC for STAR+PLUS

Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>					
	\$(38,434,266)	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer to HHSC for additional ARRA					
Art IX Section 8.03, Reimbursements and Payments (2010-11)					
	\$1,239	\$0	\$0	\$0	\$0
<b>Comments:</b> DIR Rebate					
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>					
Art IX Sec. 18.12 (a), Additional Funding for Medicaid					
	\$0	\$0	\$112,903,533	\$0	\$0
<b>Comments:</b> GR Medicaid Match					
HB 4, 82nd Leg, RS, Sec 1 (a) General Revenue and Federal Reductions					
	\$(54,384,548)	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Nursing Facility Payments Lapse					
	\$(4,520,040)	\$0	\$0	\$0	\$0
Program of All-Inclusive Care for the Elderly (PACE) Lapse					

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>						
		\$0	\$(761,500)	\$0	\$0	\$0
<b>TOTAL,</b>	<b>GR Match for Medicaid</b>	<b>\$1,926,307,025</b>	<b>\$2,190,927,771</b>	<b>\$1,062,289,498</b>	<b>\$2,103,806,176</b>	<b>\$2,107,486,640</b>
<b><u>8004</u></b>	GR Match for Federal Funds (Older Americans Act)					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2010-11 GAA)	\$4,282,380	\$0	\$0	\$0	\$0
	Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$4,282,380	\$4,282,380	\$0	\$0
	Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$4,282,380	\$4,282,380
<b>TOTAL,</b>	<b>GR Match for Federal Funds (Older Americans Act)</b>	<b>\$4,282,380</b>	<b>\$4,282,380</b>	<b>\$4,282,380</b>	<b>\$4,282,380</b>	<b>\$4,282,380</b>
<b><u>8032</u></b>	GR Certified as Match for Medicaid					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2010-11 GAA)					

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>					
	\$218,020,585	\$0	\$0	\$0	\$0
Art XII Sec 12 - GR reduction, Medicaid Enhanced FMAP (2010-11 GAA)	\$(2,600,000)	\$0	\$0	\$0	\$0
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$237,147,291	\$233,065,997	\$0	\$0
GR Match for Medicaid reclassified from GR Certified Match for Medicaid	\$(9,983,407)	\$0	\$0	\$0	\$0
GR Match for Medicaid reclassified from GR	\$10,619,005	\$0	\$0	\$0	\$0
GR Match for Medicaid reclassified as GR	\$0	\$(878,574)	\$0	\$0	\$0
GR Match for Medicaid reclassified from GR Certified Match for Medicaid	\$0	\$(10,761,500)	\$0	\$0	\$0

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 539	Agency name: Aging and Disability Services, Department of				
METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<b><u>GENERAL REVENUE</u></b>					
GR Match for Medicaid reclassified from GR	\$0	\$0	\$1,090,125	\$0	\$0
Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$238,504,731	\$238,513,037
<i>RIDER APPROPRIATION</i>					
HB 4586, 81st Leg, Sec 68	\$16,864,803	\$0	\$0	\$0	\$0
<b>Comments:</b> UB GR Cert Match for Medicaid, Carry Forward from FY 2010 to FY 2011					
Art IX Sec 14.03(j), Capital Budget UB (2010-11 GAA)	\$651,811	\$0	\$0	\$0	\$0
Art IX Sec. 17.01 (a), Reductions Related to DCS (2012-13 GAA)	\$0	\$(104,136)	\$(141,741)	\$0	\$0
Art IX Sec. 17.01 (B), Reductions Related to DCS (2012-13 GAA)	\$0	\$(4,416)	\$(15,537)	\$0	\$0



**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>						
<i>TRANSFERS</i>						
	HB 4586, 81st Leg, Sec 68, Transfer to DFPS	\$ (1,162,563)	\$ 0	\$ 0	\$ 0	\$ 0
	Art IX Section 8.03 IR reimbursements and Payments (2010-11)	\$ 39,716	\$ 0	\$ 0	\$ 0	\$ 0
	<b>Comments:</b> DIR Rebate					
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>						
	HB 4, 82nd Leg, RS, Sec 1 (a) General Revenue and Federal Reductions	\$ (591,854)	\$ 0	\$ 0	\$ 0	\$ 0
<b>TOTAL,</b>	<b>GR Certified as Match for Medicaid</b>	<b>\$231,858,096</b>	<b>\$225,398,665</b>	<b>\$233,998,844</b>	<b>\$238,504,731</b>	<b>\$238,513,037</b>
<b><u>8091</u></b>	EFF- Match for Medicaid					
	<i>RIDER APPROPRIATION</i>					
	Art IX, Sec 6.22 (c), Earned Fed Funds (2012-13 GAA)	\$ 0	\$ 48,480,220	\$ 0	\$ 0	\$ 0
<b>TOTAL,</b>	<b>EFF- Match for Medicaid</b>	<b>\$ 0</b>	<b>\$ 48,480,220</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE</u></b>					
<b>8137</b> GR Match for Medicaid - FY 12-13 Entitlement/waiver Demand <i>BASE ADJUSTMENT</i>					
Estimate of Expenditures above Appropriated to meet FY13 Caseload/Cost	\$0	\$0	\$1,026,484,973	\$0	\$0
<b>TOTAL, GR Match for Medicaid - FY 12-13 Entitlement/waiver Demand</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,026,484,973</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, ALL GENERAL REVENUE</b>	<b>\$2,351,353,411</b>	<b>\$2,618,405,864</b>	<b>\$2,477,390,870</b>	<b>\$2,490,476,727</b>	<b>\$2,494,144,461</b>

**GENERAL REVENUE FUND - DEDICATED**

**543** GR Dedicated - Texas Capital Trust Fund Account No. 543  
*REGULAR APPROPRIATIONS*

Regular Appropriation from MOF Table (2010-11 GAA)	\$289,802	\$0	\$0	\$0	\$0
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$289,803	\$289,802	\$0	\$0
Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$289,802	\$289,802

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<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>						
<b>TOTAL,</b>	<b>GR Dedicated - Texas Capital Trust Fund Account No. 543</b>	<b>\$289,802</b>	<b>\$289,803</b>	<b>\$289,802</b>	<b>\$289,802</b>	<b>\$289,802</b>
<b><u>5018</u></b>	GR Dedicated - Home Health Services Account No. 5018					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2010-11 GAA)	\$1,948,343	\$0	\$0	\$0	\$0
	Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$1,948,343	\$1,948,343	\$0	\$0
	Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$1,948,343	\$1,948,343
<b>TOTAL,</b>	<b>GR Dedicated - Home Health Services Account No. 5018</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b><u>5055</u></b>	GR Dedicated - Texas Special Olympic License Plates Account No. 5055					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2010-11 GAA)	\$5,000	\$0	\$0	\$0	\$0

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<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>						
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$1,500	\$1,500	\$0	\$0	
SB 2 Section 24 Specialty License Plate Revenue	\$0	\$1,500	\$1,500	\$0	\$0	
Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$3,000	\$3,000	
<b>TOTAL, GR Dedicated - Texas Special Olympic License Plates Account No. 5055</b>	<b>\$5,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	
<b><u>5080</u></b> GR Dedicated - Quality Assurance Account No. 5080						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriation from MOF Table (2010-11 GAA)	\$52,321,479	\$0	\$0	\$0	\$0	
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$59,821,479	\$59,321,479	\$0	\$0	

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<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>						
	Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$54,571,478	\$54,571,478
<i>RIDER APPROPRIATION</i>						
	Art II Rider 32, Appropriation: Quality Assurance Fees (2012-2013 GAA)	\$0	\$10,761,500	\$0	\$0	\$0
<b>TOTAL,</b>	<b>GR Dedicated - Quality Assurance Account No. 5080</b>	<b>\$52,321,479</b>	<b>\$70,582,979</b>	<b>\$59,321,479</b>	<b>\$54,571,478</b>	<b>\$54,571,478</b>
<b>TOTAL, ALL</b>	<b>GENERAL REVENUE FUND - DEDICATED</b>	<b>\$54,564,624</b>	<b>\$72,824,125</b>	<b>\$61,562,624</b>	<b>\$56,812,623</b>	<b>\$56,812,623</b>
<b>TOTAL,</b>	<b>GR &amp; GR-DEDICATED FUNDS</b>	<b>\$2,405,918,035</b>	<b>\$2,691,229,989</b>	<b>\$2,538,953,494</b>	<b>\$2,547,289,350</b>	<b>\$2,550,957,084</b>

**FEDERAL FUNDS**

**369** Federal American Recovery and Reinvestment Fund

*RIDER APPROPRIATION*

Art IX Sec 8.02, Fed Funds/Block Grants (2010-11 GAA)

\$393,839,165	\$0	\$0	\$0	\$0
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**Comments:** Federal Funds Adjustment for Medicaid ARRA

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<hr/>					
Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Fed Funds/Block Grants (2010-11 GAA)	\$537,375	\$0	\$0	\$0	\$0
<b>Comments:</b> UB Federal Funds Adjustment Chronic Disease Management					
Art IX Sec 8.02, Fed Funds/Block Grants (2012-13 GAA)	\$0	\$299,968	\$4,137	\$0	\$0
<b>Comments:</b> UB Federal Funds Adjustment Chronic Disease Management					
Art XII Sec 4, UB Authority for ARRA funds (2010-11 GAA)	\$57,092	\$0	\$0	\$0	\$0
<b>Comments:</b> Congregate Nutrition Services					
Art XII Sec 4, UB Authority for ARRA funds (2010-11 GAA)	\$56,725	\$0	\$0	\$0	\$0
<b>Comments:</b> Home Delivered Nutrition Services					
<b>TOTAL, Federal American Recovery and Reinvestment Fund</b>	<b>\$394,490,357</b>	<b>\$299,968</b>	<b>\$4,137</b>	<b>\$0</b>	<b>\$0</b>

555 Federal Funds

REGULAR APPROPRIATIONS

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METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
Agency code: <b>539</b> Agency name: <b>Aging and Disability Services, Department of</b>					
<b><u>FEDERAL FUNDS</u></b>					
Regular Appropriation from MOF Table (2010-11 GAA)	\$3,830,589,936	\$0	\$0	\$0	\$0
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$3,613,620,416	\$1,968,629,019	\$0	\$0
Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$3,713,755,820	\$3,724,255,505
<i>RIDER APPROPRIATION</i>					
Art II SP Sec 7, Federal Match Assumptions (2012-13 GAA)	\$0	\$0	\$45,420,591	\$0	\$0
<b>Comments:</b> More Favorable Medicaid FMAP Adjustment					
Art II, Rider 42, (2012-13 GAA)	\$(5,955,320)	\$5,955,320	\$0	\$0	\$0
<b>Comments:</b> Unexpended Balance Authority for SAS/CARE Consolidation Project					
Art IX Sec 14.03(j), Capital Budget UB (2010-11 GAA)	\$724,278	\$0	\$0	\$0	\$0

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 17.78, Nursing Facility Incentive Program (2010-11 GAA)	\$1,250,000	\$0	\$0	\$0	\$0
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$1,089,155	\$0	\$0	\$0	\$0
<b>Comments:</b> Affordable Care Act-Medicare Improvements for Patients and Providers Act (MIPPA)					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$(174,844)	\$0	\$0	\$0	\$0
<b>Comments:</b> Alzheimer's Grant					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$17,116,939	\$0	\$0	\$0	\$0
<b>Comments:</b> Centers for Medicare and Medicaid Services (CMS)					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$(133,594)	\$0	\$0	\$0	\$0
<b>Comments:</b> Foster Grandparent Program					



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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$7,916,695	\$0	\$0	\$0	\$0
<b>Comments:</b> Medicaid Administrative Match Adjustment					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$432,716	\$0	\$0	\$0	\$0
<b>Comments:</b> Medicare Enrollment Assistance Program					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$1,568,671	\$0	\$0	\$0	\$0
<b>Comments:</b> Nutritional Services Incentive Program					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$980,813	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Discretionary					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$2,136,846	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-B					

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$8,257,588	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-C					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$(126,588)	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-D					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$(340,508)	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-E					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$1,726,916	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title VII-Chapter 2					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$(66,832)	\$0	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title VII-Chapter 3					

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$(639,854)	\$0	\$0	\$0	\$0
<b>Comments:</b> Survey and Certification					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$103,448	\$0	\$0	\$0	\$0
<b>Comments:</b> Texas Lifespan Respite Care					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$1,541,253	\$100,000	\$0	\$0
<b>Comments:</b> Affordable Care Act-Medicare Improvements for Patients and Providers Act (MIPPA)					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$18,768,394	\$2,091,700	\$0	\$0
<b>Comments:</b> Centers for Medicare and Medicaid Services (CMS)					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$(810,106)	\$15,012,387	\$0	\$0
<b>Comments:</b> Medicaid Administrative Match					

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$10,594,192	\$71,152,518	\$0	\$0
<b>Comments:</b> Adjust FMAP with Demand					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$2,782,802	\$1,137,838	\$0	\$0
<b>Comments:</b> Money Follows the Person Demo					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$113,575	\$113,575	\$0	\$0
<b>Comments:</b> National School Lunch Program					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$1,489,777	\$1,309,096	\$0	\$0
<b>Comments:</b> Nutritional Services Incentive Program					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$182,663	\$(399,800)	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Discretionary					

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$3,042,475	\$2,528,187	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-B					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$9,176,890	\$8,412,802	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-C					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$(86,034)	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-D					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$(778,833)	\$15,115	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title III-E					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$76,803	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title VII-Chapter 2					

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$(68,931)	\$0	\$0	\$0
<b>Comments:</b> Special Programs for the Aging Title VII-Chapter 3					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$2,185,116	\$2,419,024	\$0	\$0
<b>Comments:</b> Survey and Certification					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$193,511	\$164,511	\$0	\$0
<b>Comments:</b> Texas Lifespan Respite Care					
Art IX Sec. 17.01 (b), Reductions Related to DCS(2012-13 GAA)	\$0	\$(8,328)	\$(29,176)	\$0	\$0
Art IX Sec. 18.65, Contingency for SB 223 (2012-13 GAA)	\$0	\$40,765	\$40,309	\$0	\$0

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<b><u>FEDERAL FUNDS</u></b>					
Art IX Sec. 17.01 (a), Reductions Related to DCS (2012-13 GAA)	\$0	\$(196,538)	\$(266,293)	\$0	\$0
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$70,250,120	\$(72,579,064)	\$0	\$0
<b>Comments:</b> Carry Back from FY2013					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)	\$0	\$68,114,826	\$0	\$0	\$0
<b>Comments:</b> EFF Medicaid Match					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$113,982,292	\$0	\$0	\$0	\$0
<b>Comments:</b> Adjust FMAP with Demand					
Art II SP Sec 7, Federal Match Assumptions (2010-11 GAA)	\$135,097,699	\$0	\$0	\$0	\$0
<b>Comments:</b> More Favorable Medicaid FMAP Adjustment					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)					

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<b><u>FEDERAL FUNDS</u></b>					
	\$23,249,525	\$0	\$0	\$0	\$0
<b>Comments:</b> Align FMAP with 2010-11 GAA					
Art IX Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)					
	\$224,424	\$0	\$0	\$0	\$0
<b>Comments:</b> National School Lunch Program					
Art IX Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)					
	\$0	\$(5,896,364)	\$(10,631,516)	\$0	\$0
<b>Comments:</b> Align FMAP with 2012-13 GAA					
<i>TRANSFERS</i>					
Art II Rider 35, Eliminating Consolidated Waiver Program (2012-13 GAA)					
	\$(219,021)	\$(219,021)	\$(333,970)	\$0	\$0
<b>Comments:</b> Transfer to HHSC					
Art II SP Sec 10, Limitations on Transfer Authority (2012-13 GAA)					
	\$178,100	\$178,100	\$427,440	\$0	\$0
<b>Comments:</b> Transfer from DARS - Media Services Staffing					



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<b><u>FEDERAL FUNDS</u></b>					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$428,734	\$1,441,801	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for HR/Payroll System Upgrade					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$341,330	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for Identity & Access Management					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$21,155	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for OES Staffing					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$539,460	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for Security					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$1,711,384	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer from HHSC for Telecommunication Enhancement					

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>FEDERAL FUNDS</u></b>					
Art II SP Sec 11, Limitations on Transfer Authority (2010-11 GAA)	\$(628,359)	\$0	\$0	\$0	\$0
<b>Comments:</b> Transfer to HHS agencies for Messaging & Collaboration					
Art IX Section 8.03 Reimbursements and Payments (2010-11)	\$84,447	\$0	\$0	\$0	\$0
<b>Comments:</b> DIR Rebate					
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>					
Art IX Sec. 18.12 (a), Additional Funding for Medicaid	\$0	\$0	\$151,941,724	\$0	\$0
<b>Comments:</b> GR Medicaid Match					
HB 4, 82nd Leg, RS, Sec 1 (a) General Revenue and Federal Reductions	\$(99,036,154)	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Program of All-Inclusive Care for the Elderly (PACE)	\$0	\$(1,069,908)	\$0	\$0	\$0

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>FEDERAL FUNDS</u></b>						
<b>TOTAL,</b>	<b>Federal Funds</b>	<b>\$4,042,431,477</b>	<b>\$3,800,614,736</b>	<b>\$2,186,676,017</b>	<b>\$3,713,755,820</b>	<b>\$3,724,255,505</b>
<b><u>8138</u></b>	Federal Funds - FY 12-13 Entitlement/waiver Demand					
	<i>BASE ADJUSTMENT</i>					
	Estimate of Expenditures above Appropriated to meet FY13 Caseload/Cost	\$0	\$0	\$1,490,026,361	\$0	\$0
<b>TOTAL,</b>	<b>Federal Funds - FY 12-13 Entitlement/waiver Demand</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,490,026,361</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, ALL</b>	<b>FEDERAL FUNDS</b>	<b>\$4,436,921,834</b>	<b>\$3,800,914,704</b>	<b>\$3,676,706,515</b>	<b>\$3,713,755,820</b>	<b>\$3,724,255,505</b>

**OTHER FUNDS**

**666** Appropriated Receipts  
*REGULAR APPROPRIATIONS*

Regular Appropriation from MOF Table (2010-11 GAA)	\$4,094,425	\$0	\$0	\$0	\$0
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$2,150,393	\$2,150,393	\$0	\$0

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>OTHER FUNDS</u></b>						
	Regular Appropriation from MOF Table (2014-15 GAA)	\$0	\$0	\$0	\$2,196,503	\$2,196,509
<i>RIDER APPROPRIATION</i>						
	Art IX Sec 8.03, Reimbursements and Payments (2010-11 GAA)	\$(722,577)	\$0	\$0	\$0	\$0
	Art IX Sec. 17.01 (a), Reductions Related to DCS (2012-13 GAA)	\$0	\$(1,114)	\$(1,516)	\$0	\$0
	Art IX Sec. 17.01 (b), Reductions Related to DCS (2012-13 GAA)	\$0	\$(47)	\$(166)	\$0	\$0
<b>TOTAL,</b>	<b>Appropriated Receipts</b>	<b>\$3,371,848</b>	<b>\$2,149,232</b>	<b>\$2,148,711</b>	<b>\$2,196,503</b>	<b>\$2,196,509</b>
<u>777</u>	Interagency Contracts					
<i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriation from MOF Table (2010-11 GAA)	\$3,637,499	\$0	\$0	\$0	\$0

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>OTHER FUNDS</u></b>						
	Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$3,635,317	\$3,635,317	\$0	\$0
	Regular Appropriation from MOF Table (2014-15 GAA)	\$0	\$0	\$0	\$3,458,850	\$3,458,929
	<i>TRANSFERS</i>					
	Art IX Section 8.03 Reimbursements and Payments	\$465	\$0	\$0	\$0	\$0
	<b>Comments:</b> DIR Rebate					
<b>TOTAL,</b>	<b>Interagency Contracts</b>	<b>\$3,637,964</b>	<b>\$3,635,317</b>	<b>\$3,635,317</b>	<b>\$3,458,850</b>	<b>\$3,458,929</b>
<b><u>780</u></b>	Bond Proceeds - General Obligation Bonds					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$0	\$14,833,333	\$0	\$0
	<i>RIDER APPROPRIATION</i>					
	Art IX Sec 8.09, Appropriation of Bond Proceeds (2010-11 GAA)	\$878,461	\$0	\$0	\$0	\$0

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>	
<b><u>OTHER FUNDS</u></b>						
Art II, Rider 47, GO Bond Proceeds Carryforward Authority (2010-11 GAA)	\$39,047,487	\$0	\$0	\$0	\$0	
Art I Rider 20, Unexpended Construction Balances to FY2013 (2012-13 GA	\$(14,833,333)	\$0	\$0	\$0	\$0	
<b>TOTAL, Bond Proceeds - General Obligation Bonds</b>	<b>\$25,092,615</b>	<b>\$0</b>	<b>\$14,833,333</b>	<b>\$0</b>	<b>\$0</b>	
<b><u>8095</u> MR Collections for Patient Support and Maintenance</b>						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriation from MOF Table (2010-11 GAA)	\$22,469,137	\$0	\$0	\$0	\$0	
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$17,266,929	\$17,266,928	\$0	\$0	
Regular Appropriation from MOF Table (2014-15 GAA)	\$0	\$0	\$0	\$17,131,066	\$17,131,632	
<i>RIDER APPROPRIATION</i>						

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>	
<b><u>OTHER FUNDS</u></b>						
Art IX Sec 14.03(j), (2010-11 GAA) Capital Budget UB	\$52,406	\$0	\$0	\$0	\$0	
Art IX Sec. 17.01 (b), Reductions Related to DCS (2012-13 GAA)	\$0	\$(7,945)	\$(10,824)	\$0	\$0	
Art IX Sec. 17.01 (b), Reductions Related to DCS (2012-13 GAA)	\$0	\$(337)	\$(1,184)	\$0	\$0	
<i>TRANSFERS</i>						
Art IX Section 8.03 Reimbursements and Payments (2010-11)	\$4,413	\$0	\$0	\$0	\$0	
<b>Comments:</b> DIR Rebate						
<b>TOTAL,</b>	<b>MR Collections for Patient Support and Maintenance</b>					
	<b>\$22,525,956</b>	<b>\$17,258,647</b>	<b>\$17,254,920</b>	<b>\$17,131,066</b>	<b>\$17,131,632</b>	
<b><u>8096</u></b>	MR Appropriated Receipts					
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriation from MOF Table (2010-11 GAA)	\$754,449	\$0	\$0	\$0	\$0	

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>OTHER FUNDS</u></b>					
Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$754,450	\$754,450	\$0	\$0
Regular Appropriation from MOF Table (2014-15 GAA)	\$0	\$0	\$0	\$759,135	\$759,161
<i>RIDER APPROPRIATION</i>					
Art IX Sec 14.03(j), (2010-11 GAA) Capital Budget UB	\$1,816	\$0	\$0	\$0	\$0
Art IX Sec. 17.01 (a), Reductions Related to DCS (2012-13 GAA)	\$0	\$(315)	\$(426)	\$0	\$0
Art IX Sec. 17.01 (b), Reductions Related to DCS (2012-13 GAA)	\$0	\$(13)	\$(47)	\$0	\$0
<i>TRANSFERS</i>					
Art IX Section 8.03 Reimbursements and Payments (2010-11)	\$138	\$0	\$0	\$0	\$0



**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b><u>OTHER FUNDS</u></b>						
	<b>Comments:</b> DIR Rebate					
<b>TOTAL,</b>	<b>MR Appropriated Receipts</b>	<b>\$756,403</b>	<b>\$754,122</b>	<b>\$753,977</b>	<b>\$759,135</b>	<b>\$759,161</b>
<b><u>8098</u></b>	MR Revolving Fund Receipts					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2010-11 GAA)	\$82,160	\$0	\$0	\$0	\$0
	Regular Appropriation from MOF Table (2012-13 GAA)	\$0	\$82,160	\$82,160	\$0	\$0
	Regular Appropriation from MOF Table (2014-2015 GAA)	\$0	\$0	\$0	\$82,160	\$82,160
<b>TOTAL,</b>	<b>MR Revolving Fund Receipts</b>	<b>\$82,160</b>	<b>\$82,160</b>	<b>\$82,160</b>	<b>\$82,160</b>	<b>\$82,160</b>
<b><u>8115</u></b>	Medicare Part D Receipts					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2010-11 GAA)					

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>		Agency name: <b>Aging and Disability Services, Department of</b>				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<b><u>OTHER FUNDS</u></b>						
		\$1,651,058	\$0	\$0	\$0	\$0
<i>RIDER APPROPRIATION</i>						
	Art II SP Sec 22, MR Medicare Reciepts (2010-11 GAA)					
		\$(1,651,058)	\$0	\$0	\$0	\$0
<b>TOTAL,</b>	<b>Medicare Part D Receipts</b>					
		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, ALL</b>	<b>OTHER FUNDS</b>					
		<b>\$55,466,946</b>	<b>\$23,879,478</b>	<b>\$38,708,418</b>	<b>\$23,627,714</b>	<b>\$23,628,391</b>
<b>GRAND TOTAL</b>		<b>\$6,898,306,815</b>	<b>\$6,516,024,171</b>	<b>\$6,254,368,427</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>539</b>	Agency name: <b>Aging and Disability Services, Department of</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>Req 2014</b>	<b>Req 2015</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2010-11 GAA)	16,660.9	17,662.4	17,492.0	17,499.0	17,499.0
TRANSFERS					
HB 4586 Section 68-DOJ	1,160.0	0.0	0.0	0.0	0.0
HB 4586 Section 73 - SB 643, 81st Leg	186.0	0.0	0.0	0.0	0.0
Transfer to DSHS	(45.0)	0.0	0.0	0.0	0.0
Art. IX, Sec.18.65, Contingency for SB 223 (2012-13 GAA)	0.0	2.0	2.0	0.0	0.0
Art 11, SP Section 10 Limitations on Transfer Authority (2012-13)	0.0	5.0	5.0	0.0	0.0
LAPSED APPROPRIATIONS					
Average vacant FTE's	(773.7)	(733.2)	0.0	0.0	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>17,188.2</b>	<b>16,936.2</b>	<b>17,499.0</b>	<b>17,499.0</b>	<b>17,499.0</b>
<b>NUMBER OF 100% FEDERALLY FUNDED FTEs</b>	<b>11.5</b>	<b>119.5</b>	<b>119.5</b>	<b>119.5</b>	<b>119.5</b>

**2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE**

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Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

<b>OBJECT OF EXPENSE</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
1001 SALARIES AND WAGES	\$568,440,817	\$565,499,323	\$575,615,715	\$574,616,764	\$574,616,764
1002 OTHER PERSONNEL COSTS	\$23,497,628	\$18,919,521	\$19,358,730	\$19,627,373	\$19,627,373
2001 PROFESSIONAL FEES AND SERVICES	\$64,216,508	\$64,217,585	\$43,323,607	\$44,156,908	\$44,204,090
2002 FUELS AND LUBRICANTS	\$1,772,224	\$1,848,144	\$1,458,837	\$1,601,416	\$1,601,416
2003 CONSUMABLE SUPPLIES	\$6,746,459	\$6,702,156	\$6,745,080	\$7,063,125	\$7,063,125
2004 UTILITIES	\$12,415,604	\$12,332,908	\$12,947,616	\$12,793,408	\$12,793,408
2005 TRAVEL	\$11,932,293	\$12,816,840	\$12,613,514	\$12,783,624	\$12,783,624
2006 RENT - BUILDING	\$288,967	\$295,387	\$274,039	\$284,453	\$284,453
2007 RENT - MACHINE AND OTHER	\$6,909,468	\$10,566,315	\$7,495,369	\$7,544,170	\$7,574,170
2009 OTHER OPERATING EXPENSE	\$227,599,887	\$207,284,284	\$222,458,871	\$207,741,697	\$207,711,698
3001 CLIENT SERVICES	\$5,754,880,546	\$5,426,050,490	\$5,172,150,940	\$5,215,290,675	\$5,229,432,965
3002 FOOD FOR PERSONS - WARDS OF STATE	\$12,740,798	\$12,761,545	\$12,389,202	\$12,572,920	\$12,572,920
4000 GRANTS	\$203,082,654	\$171,831,570	\$163,794,689	\$165,320,125	\$165,320,127
5000 CAPITAL EXPENDITURES	\$3,782,962	\$4,898,103	\$3,742,218	\$3,276,226	\$3,254,847
<b>OOE Total (Excluding Riders)</b>	<b>\$6,898,306,815</b>	<b>\$6,516,024,171</b>	<b>\$6,254,368,427</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>
<b>OOE Total (Riders)</b>					
<b>Grand Total</b>	<b>\$6,898,306,815</b>	<b>\$6,516,024,171</b>	<b>\$6,254,368,427</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

8/14/2012 9:48:09AM

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

539 Aging and Disability Services, Department of

Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1 Long-term Services and Supports					
1 Intake, Access, and Eligibility					
1 Avg # of Individuals Serv Per Mth: Total Community Services & Supports					
	205,104.00	168,092.00	140,185.00	141,401.00	143,061.00
2 Avg # Persons on Interest Lists/Mth: Total Community Serv & Supports					
	181,409.00	189,821.00	202,703.00	225,075.00	250,772.00
3 % LTC Individuals with ID Served in Community Settings					
	72.23%	75.33%	77.03%	77.43 %	78.11 %
4 Avg # Individuals with ID Deinsti/Diverted Institutional Settings Mth					
	25,179.00	28,927.00	31,022.00	30,888.00	30,888.00
5 Percent LTC Ombudsman Complaints Resolved or Partially Resolved					
	88.00	85.00	85.00	82.64	82.79
2 Community Services and Supports - Entitlement					
KEY 1 Avg # Individuals Serve/Mth: Medicaid Non-waiver Commity Serv & Suppts					
	117,125.00	86,969.00	62,020.00	62,774.00	63,766.00
2 Avg Mthly Cost/Individual: Medicaid Non-waiver Commity Svc & Supports					
	800.63	829.11	856.58	855.23	855.73
3 Community Services and Supports - Waivers					
KEY 1 Average Number of Individuals Served Per Month: Waivers					
	50,426.00	45,723.00	43,220.00	43,108.00	43,108.00
2 Avg Cost/Individual Served: Commity Services & Support Waivers (Total)					
	2,464.29	2,476.97	2,524.86	2,527.36	2,535.02
KEY 3 Number of Persons Receiving Svcs at End of the Fiscal Year: Waivers					
	49,670.00	42,754.00	43,566.00	43,108.00	43,108.00
4 Community Services and Supports - State					
1 Avg # Individuals Served Per Mth: Total Non-Medicaid Commity Serv/Supp					
	29,975.00	28,560.00	28,340.00	28,645.00	28,645.00

**2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES**

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Automated Budget and Evaluation system of Texas (ABEST)

**539 Aging and Disability Services, Department of**

<i>Goal/ Objective / Outcome</i>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>2 Avg Mthly Cost/Individual Served: Total Non-Medicaid Comunity Serv/Supp</b>	305.30	266.43	256.34	261.15	261.15
<b>3 Avg # of Persons on Interest List Per Month: Total Non-Medicaid CC</b>	43,217.00	47,967.00	48,343.00	48,945.00	49,581.00
<i>6 Nursing Facility and Hospice Payments</i>					
<b>1 Percent of At-risk Population Served in Nursing Facilities</b>	9.07%	8.95%	8.75%	8.58%	8.42%
<b>2 Medicaid Nursing Facility Bed Utilization Per 10,000 Aged and Disabled</b>	135.82	132.54	128.05	124.31	120.58
<i>8 State Supported Living Centers</i>					
<b>1 Avg # Days SSLC Residents Recom for Comunity Placemt Wait for Placement</b>	229.00	239.00	234.00	234.00	234.00
<b>2 Number of Individuals with IID Who Moved from Campus to Community</b>	204.00	241.00	251.00	251.00	251.00
<b>3 % Consumers Expressed Satisfaction w Ombudsman's Resolution of Issue</b>	0.00%	0.00%	95.00%	95.00%	95.00%

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

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Automated Budget and Evaluation system of Texas (ABEST)

539 Aging and Disability Services, Department of

Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2 Regulation, Certification, and Outreach					
1 Regulation, Certification, and Outreach					
<b>KEY</b>					
<b>1 % Facilities Complying with Stds at Inspection Licen-Medicare/Medicaid</b>					
	44.31%	41.03%	42.67%	42.67%	42.67 %
<b>2 % Facilities Correcting Adverse Findings by 1st Follow-up Visit</b>					
	83.50%	85.60%	85.60%	85.00%	85.00 %
<b>3 % NF with More Than Six On-site Monitoring Visits Per Year</b>					
	53.67%	26.62%	26.62%	26.00%	26.00 %
<b>4 Rate (1000) Substantiated Complaint Allegations of Abuse/Neglect: NF</b>					
	22.00	21.00	21.00	20.00	20.00
<b>5 Rate (1000) Substantiated Complaint Allegations Abuse/Neglect: ICF/IID</b>					
	49.00	49.00	0.00	0.00	0.00
<b>6 Percent of Nursing Facility Administrators with No Recent Violations</b>					
	98.00%	99.00%	99.98%	99.98%	99.98 %
<b>7 Percent of Nurse Aides and Medication Aides with No Recent Violations</b>					
	99.60%	99.00%	99.99%	99.99%	99.99 %
<b>8 % Complaints and Referrals Resulting in Disciplinary Action: NFA</b>					
	31.43%	46.00%	35.00%	38.00%	38.00 %
<b>9 % Complaints and Referrals Resulting in Disciplinary Action: NA &amp; MA</b>					
	91.00%	98.00%	94.50%	94.50%	94.50 %
<b>10 % HCSSA Complying with Standards at Time of Inspection</b>					
	98.00%	98.00%	98.00%	98.00%	98.00 %

**2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/14/2012

TIME : 9:48:47AM

Agency code: 539

Agency name: Aging and Disability Services, Department of

Priority	Item	2014			2015			Biennium		
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	
1	Maintain Caseload	\$4,571,581	\$11,360,788		\$4,567,035	\$11,360,784		\$9,138,616	\$22,721,572	
2	Cost Trends	\$36,065,540	\$89,626,097		\$78,518,119	\$195,318,706		\$114,583,659	\$284,944,803	
3	Promoting Independence	\$7,907,946	\$19,651,954		\$24,219,702	\$60,248,016		\$32,127,648	\$79,899,970	
4	Community Expansion	\$55,994,590	\$130,946,935	53.4	\$203,452,803	\$765,468,508	107.2	\$259,447,393	\$896,415,443	
5	Protecting Vulnerable Texans	\$7,910,374	\$11,681,334	44.5	\$7,767,875	\$11,339,970	50.5	\$15,678,249	\$23,021,304	
6	Improving Support for SSLC Resident	\$13,946,460	\$112,497,234	24.0	\$13,718,012	\$23,533,305	24.0	\$27,664,472	\$136,030,539	
7	Streamlining Service Systems	\$857,180	\$8,571,798		\$941,662	\$9,416,623		\$1,798,842	\$17,988,421	
8	PACE Expansion	\$402,780	\$1,000,944		\$4,383,002	\$10,902,990		\$4,785,782	\$11,903,934	
<b>Total, Exceptional Items Request</b>		<b>\$127,656,451</b>	<b>\$385,337,084</b>	<b>121.9</b>	<b>\$337,568,210</b>	<b>\$1,087,588,902</b>	<b>181.7</b>	<b>\$465,224,661</b>	<b>\$1,472,925,986</b>	
<b>Method of Financing</b>										
	General Revenue	\$127,656,451	\$127,656,451		\$337,568,210	\$337,568,210		\$465,224,661	\$465,224,661	
	General Revenue - Dedicated									
	Federal Funds		169,149,663			750,020,692			919,170,355	
	Other Funds		88,530,970			0			88,530,970	
		<b>\$127,656,451</b>	<b>\$385,337,084</b>		<b>\$337,568,210</b>	<b>\$1,087,588,902</b>		<b>\$465,224,661</b>	<b>\$1,472,925,986</b>	
<b>Full Time Equivalent Positions</b>				<b>121.9</b>				<b>181.7</b>		
<b>Number of 100% Federally Funded FTEs</b>				<b>121.9</b>				<b>181.7</b>		



**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/14/2012  
 TIME : 9:50:01AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
<b>1 Long-term Services and Supports</b>						
<i>1 Intake, Access, and Eligibility</i>						
1 INTAKE, ACCESS, & ELIGIBILITY	\$226,232,515	\$226,258,319	\$6,340,061	\$42,500,419	\$232,572,576	\$268,758,738
2 GUARDIANSHIP	6,995,223	6,995,223	332,165	684,362	7,327,388	7,679,585
<i>2 Community Services and Supports - Entitlement</i>						
1 PRIMARY HOME CARE	97,772,788	97,785,950	2,175,271	15,711,639	99,948,059	113,497,589
2 COMMUNITY ATTENDANT SERVICES	534,814,870	545,173,976	20,665,889	42,300,209	555,480,759	587,474,185
3 DAY ACTIVITY & HEALTH SERVICES	11,639,319	11,837,319	0	0	11,639,319	11,837,319
<i>3 Community Services and Supports - Waivers</i>						
1 COMMUNITY-BASED ALTERNATIVES	162,899,844	162,903,731	7,574,931	19,817,789	170,474,775	182,721,520
2 HOME AND COMMUNITY-BASED SERVICES	848,956,749	848,956,749	94,517,868	471,038,671	943,474,617	1,319,995,420
3 COMMUNITY LIVING ASSISTANCE (CLASS)	195,682,607	195,682,607	32,437,484	217,636,120	228,120,091	413,318,727
4 DEAF-BLIND MULTIPLE DISABILITIES	7,946,688	7,946,688	203,194	1,289,600	8,149,882	9,236,288
5 MEDICALLY DEPENDENT CHILDREN PGM	41,476,500	41,476,500	1,045,541	3,150,386	42,522,041	44,626,886
6 TEXAS HOME LIVING WAIVER	55,084,801	55,084,801	1,386,528	4,210,973	56,471,329	59,295,774
<i>4 Community Services and Supports - State</i>						
1 NON-MEDICAID SERVICES	151,329,600	151,329,600	2,391,175	7,245,371	153,720,775	158,574,971
2 ID COMMUNITY SERVICES	34,401,920	34,401,920	1,975,367	5,926,100	36,377,287	40,328,020
3 PROMOTING INDEPENDENCE PLAN	4,161,537	4,161,537	0	0	4,161,537	4,161,537
4 IN-HOME AND FAMILY SUPPORT	4,989,908	4,989,908	124,395	374,113	5,114,303	5,364,021
<i>5 Program of All-inclusive Care for the Elderly (PACE)</i>						
1 ALL-INCLUSIVE CARE - ELDERLY (PACE)	37,912,992	37,912,992	1,000,944	10,902,990	38,913,936	48,815,982
<i>6 Nursing Facility and Hospice Payments</i>						
1 NURSING FACILITY PAYMENTS	2,267,154,446	2,256,733,318	62,792,825	131,814,409	2,329,947,271	2,388,547,727

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/14/2012  
 TIME : 9:50:01AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
2 MEDICARE SKILLED NURSING FACILITY	\$153,921,425	\$155,886,282	\$0	\$0	\$153,921,425	\$155,886,282
3 HOSPICE	245,175,112	251,977,963	0	0	245,175,112	251,977,963
4 PROMOTING INDEPENDENCE SERVICES	99,107,497	104,329,052	1,864,310	3,964,225	100,971,807	108,293,277
<i>7 Intermediate Care Facilities - Individuals w/ Intellectual Disability</i>						
1 INTERMEDIATE CARE FACILITIES - IID	296,053,241	296,053,241	0	0	296,053,241	296,053,241
<i>8 State Supported Living Centers</i>						
1 STATE SUPPORTED LIVING CENTERS	664,663,962	664,663,963	9,694,650	9,694,650	674,358,612	674,358,613
<i>9 Capital Repairs and Renovations</i>						
1 CAPITAL REPAIRS AND RENOVATIONS	352,186	352,186	88,530,970	0	88,883,156	352,186
<b>TOTAL, GOAL 1</b>	<b>\$6,148,725,730</b>	<b>\$6,162,893,825</b>	<b>\$335,053,568</b>	<b>\$988,262,026</b>	<b>\$6,483,779,298</b>	<b>\$7,151,155,851</b>
<b>2 Regulation, Certification, and Outreach</b>						
<i>1 Regulation, Certification, and Outreach</i>						
1 FACILITY/COMMUNITY-BASED REGULATION	65,410,533	65,410,533	6,276,430	6,718,344	71,686,963	72,128,877
2 CREDENTIALING/CERTIFICATION	1,269,815	1,269,816	0	0	1,269,815	1,269,816
3 LTC QUALITY OUTREACH	5,080,202	5,080,202	0	0	5,080,202	5,080,202
<b>TOTAL, GOAL 2</b>	<b>\$71,760,550</b>	<b>\$71,760,551</b>	<b>\$6,276,430</b>	<b>\$6,718,344</b>	<b>\$78,036,980</b>	<b>\$78,478,895</b>
<b>3 Indirect Administration</b>						
<i>1 General Program Support</i>						
1 CENTRAL ADMINISTRATION	30,488,960	30,488,960	1,470,806	1,363,035	31,959,766	31,851,995
2 IT PROGRAM SUPPORT	33,697,644	33,697,644	18,660,046	17,964,004	52,357,690	51,661,648
<b>TOTAL, GOAL 3</b>	<b>\$64,186,604</b>	<b>\$64,186,604</b>	<b>\$20,130,852</b>	<b>\$19,327,039</b>	<b>\$84,317,456</b>	<b>\$83,513,643</b>

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/14/2012  
 TIME : 9:50:01AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

<b>Goal/Objective/STRATEGY</b>	<b>Base 2014</b>	<b>Base 2015</b>	<b>Exceptional 2014</b>	<b>Exceptional 2015</b>	<b>Total Request 2014</b>	<b>Total Request 2015</b>
<b>4 DADS Exceptional Items HHSC Impact</b>						
<b>1 DADS Exceptional Items HHSC Impact</b>						
<b>1 INCREASE CAPACITY OF COMMUNITY SVCS</b>	\$0	\$0	\$23,876,234	\$73,281,493	\$23,876,234	\$73,281,493
<b>2 COMMUNITY FIRST CHOICE PROGRAM</b>	0	0	0	0	0	0
<b>TOTAL, GOAL 4</b>	<b>\$0</b>	<b>\$0</b>	<b>\$23,876,234</b>	<b>\$73,281,493</b>	<b>\$23,876,234</b>	<b>\$73,281,493</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>	<b>\$385,337,084</b>	<b>\$1,087,588,902</b>	<b>\$6,670,009,968</b>	<b>\$7,386,429,882</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST</b>						
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>	<b>\$385,337,084</b>	<b>\$1,087,588,902</b>	<b>\$6,670,009,968</b>	<b>\$7,386,429,882</b>

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/14/2012  
 TIME : 9:50:01AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
<b>General Revenue Funds:</b>						
1 General Revenue Fund	\$143,883,440	\$143,862,404	\$18,546,124	\$26,689,225	\$162,429,564	\$170,551,629
758 GR Match For Medicaid	2,103,806,176	2,107,486,640	106,009,936	306,953,174	2,209,816,112	2,414,439,814
8004 GR For Fed Funds (Older Am Act)	4,282,380	4,282,380	0	0	4,282,380	4,282,380
8032 GR Certified As Match For Medicaid	238,504,731	238,513,037	3,100,391	3,925,811	241,605,122	242,438,848
8091 Eff- Match For Medicaid	0	0	0	0	0	0
8137 GR Match: Medicaid E/W FY 12-13	0	0	0	0	0	0
	<b>\$2,490,476,727</b>	<b>\$2,494,144,461</b>	<b>\$127,656,451</b>	<b>\$337,568,210</b>	<b>\$2,618,133,178</b>	<b>\$2,831,712,671</b>
<b>General Revenue Dedicated Funds:</b>						
543 Texas Capital Trust Acct	289,802	289,802	0	0	289,802	289,802
5018 Home Health Services Acct	1,948,343	1,948,343	0	0	1,948,343	1,948,343
5055 Special Olympic License Plates	3,000	3,000	0	0	3,000	3,000
5080 Quality Assurance	54,571,478	54,571,478	0	0	54,571,478	54,571,478
	<b>\$56,812,623</b>	<b>\$56,812,623</b>	<b>\$0</b>	<b>\$0</b>	<b>\$56,812,623</b>	<b>\$56,812,623</b>
<b>Federal Funds:</b>						
369 Fed Recovery & Reinvestment Fund	0	0	0	0	0	0
555 Federal Funds	3,713,755,820	3,724,255,505	169,149,663	750,020,692	3,882,905,483	4,474,276,197
8138 FF for FY 12-13 Entitlement/Waiver	0	0	0	0	0	0
	<b>\$3,713,755,820</b>	<b>\$3,724,255,505</b>	<b>\$169,149,663</b>	<b>\$750,020,692</b>	<b>\$3,882,905,483</b>	<b>\$4,474,276,197</b>
<b>Other Funds:</b>						
666 Appropriated Receipts	2,196,503	2,196,509	0	0	2,196,503	2,196,509
777 Interagency Contracts	3,458,850	3,458,929	0	0	3,458,850	3,458,929

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/14/2012  
 TIME : 9:50:01AM

Agency code: 539 Agency name: Aging and Disability Services, Department of

<b>Goal/Objective/STRATEGY</b>	<b>Base 2014</b>	<b>Base 2015</b>	<b>Exceptional 2014</b>	<b>Exceptional 2015</b>	<b>Total Request 2014</b>	<b>Total Request 2015</b>
<b>Other Funds:</b>						
780 Bond Proceed-Gen Obligat	\$0	\$0	\$88,530,970	\$0	\$88,530,970	\$0
8095 MR Collect-Pat Supp & Maint	17,131,066	17,131,632	0	0	17,131,066	17,131,632
8096 MR Appropriated Receipts	759,135	759,161	0	0	759,135	759,161
8098 MR Revolving Fund Receipts	82,160	82,160	0	0	82,160	82,160
	<b>\$23,627,714</b>	<b>\$23,628,391</b>	<b>\$88,530,970</b>	<b>\$0</b>	<b>\$112,158,684</b>	<b>\$23,628,391</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$6,284,672,884</b>	<b>\$6,298,840,980</b>	<b>\$385,337,084</b>	<b>\$1,087,588,902</b>	<b>\$6,670,009,968</b>	<b>\$7,386,429,882</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>17,499.0</b>	<b>17,499.0</b>	<b>121.9</b>	<b>181.7</b>	<b>17,620.9</b>	<b>17,680.7</b>

**2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES**

Date : 8/14/2012

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Time: 9:50:49AM

Agency code: **539** Agency name: **Aging and Disability Services, Department of**

Goal/ Objective / Outcome

	<b>BL 2014</b>	<b>BL 2015</b>	<b>Excp 2014</b>	<b>Excp 2015</b>	<b>Total Request 2014</b>	<b>Total Request 2015</b>
1 Long-term Services and Supports						
1 <i>Intake, Access, and Eligibility</i>						
<b>1 Avg # of Individuals Serv Per Mth: Total Community Services &amp; Supports</b>						
	141,401.00	143,061.00	143,241.00	164,819.00	143,241.00	164,819.00
<b>2 Avg # Persons on Interest Lists/Mth: Total Community Serv &amp; Supports</b>						
	225,075.00	250,772.00	224,281.00	245,159.00	224,281.00	245,159.00
<b>3 % LTC Individuals with ID Served in Community Settings</b>						
	77.43%	78.11%	77.71%	81.73%	77.71%	81.73 %
<b>4 Avg # Individuals with ID Deinsti/Diverted Institutional Settings Mth</b>						
	30,888.00	30,888.00	31,214.00	38,992.00	31,214.00	38,992.00
<b>5 Percent LTC Ombudsman Complaints Resolved or Partially Resolved</b>						
	82.64	82.79	0.00	0.00	82.64	82.79
2 <i>Community Services and Supports - Entitlement</i>						
<b>KEY 1 Avg # Individuals Serve/Mth: Medicaid Non-waiver Commity Serv &amp; Suppts</b>						
	62,774.00	63,766.00	62,652.00	63,398.00	62,652.00	63,398.00
<b>2 Avg Mthly Cost/Individual: Medicaid Non-waiver Commity Svc &amp; Supports</b>						
	855.23	855.73	882.96	912.17	882.96	912.17
3 <i>Community Services and Supports - Waivers</i>						

**2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES**

Date : 8/14/2012

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Time: 9:50:49AM

Agency code: 539

Agency name: Aging and Disability Services, Department of

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
<b>KEY</b>						
<b>1 Average Number of Individuals Served Per Month: Waivers</b>						
	43,108.00	43,108.00	46,509.00	68,446.00	46,509.00	68,446.00
<b>2 Avg Cost/Individual Served: Community Services &amp; Support Waivers (Total)</b>						
	2,527.36	2,535.02	2,554.49	2,582.43	2,554.49	2,582.43
<b>KEY</b>						
<b>3 Number of Persons Receiving Svcs at End of the Fiscal Year: Waivers</b>						
	43,108.00	43,108.00	46,509.00	68,446.00	46,509.00	68,446.00
4 <i>Community Services and Supports - State</i>						
<b>1 Avg # Individuals Served Per Mth: Total Non-Medicaid Community Serv/Supp</b>						
	28,645.00	28,645.00	31,384.00	30,377.00	31,384.00	30,377.00
<b>2 Avg Mthly Cost/Individual Served: Total Non-Medicaid Community Serv/Supp</b>						
	261.15	261.15	0.00	0.00	261.15	261.15
<b>3 Avg # of Persons on Interest List Per Month: Total Non-Medicaid CC</b>						
	48,945.00	49,581.00	0.00	0.00	48,945.00	49,581.00
6 <i>Nursing Facility and Hospice Payments</i>						
<b>1 Percent of At-risk Population Served in Nursing Facilities</b>						
	8.58%	8.42%	0.00%	0.00%	8.58%	8.42%
<b>2 Medicaid Nursing Facility Bed Utilization Per 10,000 Aged and Disabled</b>						
	124.31	120.58	0.00	0.00	124.31	120.58
8 <i>State Supported Living Centers</i>						

**2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES**

Date : 8/14/2012

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Time: 9:50:49AM

Agency code: 539

Agency name: Aging and Disability Services, Department of

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
<b>1 Avg # Days SSLC Residents Recom for Comuntly Placemt Wait for Placement</b>	234.00	234.00	0.00	0.00	234.00	234.00
<b>2 Number of Individuals with IID Who Moved from Campus to Community</b>	251.00	251.00	0.00	0.00	251.00	251.00
<b>3 % Consumers Expressed Satisfaction w Ombudsman's Resolution of Issue</b>	95.00%	95.00%	0.00%	0.00%	95.00%	95.00 %
2 Regulation, Certification, and Outreach						
1 Regulation, Certification, and Outreach						
<b>KEY 1 % Facilities Complying with Stds at Inspection Licen-Medicare/Medicaid</b>	42.67%	42.67%	0.00%	0.00%	42.67%	42.67 %
<b>2 % Facilities Correcting Adverse Findings by 1st Follow-up Visit</b>	85.00%	85.00%	0.00%	0.00%	85.00%	85.00 %
<b>3 % NF with More Than Six On-site Monitoring Visits Per Year</b>	26.00%	26.00%	0.00%	0.00%	26.00%	26.00 %
<b>4 Rate (1000) Substantiated Complaint Allegations of Abuse/Neglect: NF</b>	20.00	20.00	0.00	0.00	20.00	20.00
<b>5 Rate (1000) Substantiated Complaint Allegations Abuse/Neglect: ICF/IID</b>	0.00	0.00	0.00	0.00	0.00	0.00



**2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES**

Date : 8/14/2012

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Time: 9:50:49AM

Agency code: 539

Agency name: Aging and Disability Services, Department of

Goal/ Objective / Outcome

	<b>BL 2014</b>	<b>BL 2015</b>	<b>Excp 2014</b>	<b>Excp 2015</b>	<b>Total Request 2014</b>	<b>Total Request 2015</b>
<b>6 Percent of Nursing Facility Administrators with No Recent Violations</b>	99.98%	99.98%	0.00%	0.00%	99.98%	99.98 %
<b>7 Percent of Nurse Aides and Medication Aides with No Recent Violations</b>	99.99%	99.99%	0.00%	0.00%	99.99%	99.99 %
<b>8 % Complaints and Referrals Resulting in Disciplinary Action: NFA</b>	38.00%	38.00%	0.00%	0.00%	38.00%	38.00 %
<b>9 % Complaints and Referrals Resulting in Disciplinary Action: NA &amp; MA</b>	94.50%	94.50%	0.00%	0.00%	94.50%	94.50 %
<b>10 % HCSSA Complying with Standards at Time of Inspection</b>	98.00%	98.00%	0.00%	0.00%	98.00%	98.00 %

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3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 1 Intake, Access, and Eligibility Service Categories:  
 STRATEGY: 1 Intake, Access, and Eligibility to Services and Supports Service: 22 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
	1 Number of Certified Ombudsmen	1,010.00	996.00	982.00	968.00	977.00
	2 Number of Persons Receiving Care Coordination	17,365.00	18,111.00	18,889.00	19,604.00	19,980.00
	3 Number of Persons Receiving Legal Assistance	19,874.00	21,062.00	22,321.00	23,437.00	24,154.00
KEY	4 Avg Mthly # Individuals w/ ID Receiving Assessment & Serv Coordination	26,213.00	27,660.00	28,222.00	29,382.00	29,382.00
	5 Avg Number of Individuals Eligible Per Month: Community Serv and Supp	176,562.00	137,947.00	110,753.00	112,078.00	113,407.00
	6 Average Case Equivalents Per Community Services and Supports Worker	306.00	330.00	330.00	330.00	330.00
	7 Avg Number of Standardized Community Serv Case Equivalents Per Month	283,226.00	249,220.00	210,802.00	214,036.00	217,347.00
	8 Avg Mthly # of Individ W/ ID Recv Assess, Serv Coord - Non-Medicaid FD	3,987.00	2,562.00	2,562.00	2,562.00	2,562.00
	9 # Events of Persons Recv Community Contacts Concerning ID Serv/Year	52,586.00	46,349.00	46,349.00	46,349.00	46,349.00
<b>Efficiency Measures:</b>						
	1 Statewide Average Cost Per Care Coordination Client	244.67	242.08	239.52	236.90	237.49
	2 Statewide Average Cost Per Person Receiving Legal Assistance	146.31	142.18	138.16	133.91	133.99

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 1 Intake, Access, and Eligibility Service Categories:  
 STRATEGY: 1 Intake, Access, and Eligibility to Services and Supports Service: 22 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
3	Avg Mthly Cost Per Individual ID Receivg Assessment & Svc Coordination	182.80	182.80	182.80	182.80	182.80
4	Average Monthly Cost Per Case: Community Services and Supports	29.73	28.66	32.10	33.52	33.12
5	Avg Mthly Cost Indiv ID Recvng Assessment & Svc Coordination Non-Med	440.11	624.98	624.98	624.98	624.98
<b>Explanatory/Input Measures:</b>						
1	Total Expenditures for the Ombudsman Program	3,891,211.00	3,919,175.00	3,947,340.00	3,975,104.00	4,001,774.00
2	Number of Assisted Living Facilities Visited By A Certified Ombudsman	1,248.00	1,274.00	1,301.00	1,326.00	1,326.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$65,578,515	\$60,441,756	\$56,900,669	\$56,900,667	\$56,900,667
1002	OTHER PERSONNEL COSTS	\$3,100,324	\$2,809,548	\$2,633,400	\$2,633,400	\$2,633,400
2001	PROFESSIONAL FEES AND SERVICES	\$9,731,479	\$11,817,097	\$9,964,331	\$11,230,055	\$11,255,858
2003	CONSUMABLE SUPPLIES	\$48,966	\$49,616	\$44,076	\$46,846	\$46,846
2004	UTILITIES	\$61,935	\$65,110	\$71,686	\$68,398	\$68,398
2005	TRAVEL	\$4,422,073	\$4,631,486	\$4,813,091	\$4,722,289	\$4,722,289
2006	RENT - BUILDING	\$8,768	\$4,736	\$4,250	\$4,493	\$4,493

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	1	Intake, Access, and Eligibility to Services and Supports	Service:	22	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2007	RENT - MACHINE AND OTHER	\$25,240	\$20,048	\$14,153	\$17,000	\$17,000
2009	OTHER OPERATING EXPENSE	\$19,374,697	\$17,283,937	\$17,707,763	\$17,495,952	\$17,495,951
3001	CLIENT SERVICES	\$57,671,688	\$60,720,741	\$61,907,126	\$64,452,355	\$64,452,355
4000	GRANTS	\$72,967,610	\$69,146,876	\$66,074,322	\$68,661,060	\$68,661,062
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$232,991,295</b>	<b>\$226,990,951</b>	<b>\$220,134,867</b>	<b>\$226,232,515</b>	<b>\$226,258,319</b>

**Method of Financing:**

1	General Revenue Fund	\$57,453,137	\$51,662,105	\$59,676,206	\$53,686,880	\$53,686,883
758	GR Match For Medicaid	\$51,039,457	\$56,692,196	\$49,737,443	\$57,778,292	\$57,778,292
8004	GR For Fed Funds (Older Am Act)	\$880,791	\$880,791	\$880,791	\$880,791	\$880,791
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$109,373,385</b>	<b>\$109,235,092</b>	<b>\$110,294,440</b>	<b>\$112,345,963</b>	<b>\$112,345,966</b>

**Method of Financing:**

369	Fed Recovery & Reinvestment Fund					
	93.725.000 Chrnc Dis Self-Mgmt Prog - Stimulus	\$537,375	\$299,968	\$4,137	\$0	\$0
	93.778.014 Medicaid - Stimulus	\$4,251,436	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund 369		\$4,788,811	\$299,968	\$4,137	\$0	\$0
555	Federal Funds					
	93.041.000 Special Programs for the	\$288,790	\$297,532	\$290,557	\$290,557	\$290,557

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 1 Intake, Access, and Eligibility Service Categories:  
 STRATEGY: 1 Intake, Access, and Eligibility to Services and Supports Service: 22 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
93.042.000	Special Programs for the	\$2,700,519	\$1,050,406	\$1,051,034	\$1,051,034	\$1,051,034
93.044.000	SPECIAL PROGRAMS FOR THE	\$13,984,079	\$14,798,127	\$13,889,532	\$13,898,307	\$13,898,307
93.045.000	Special Programs for the	\$4,405,424	\$5,296,156	\$5,197,405	\$5,210,880	\$5,210,880
93.048.000	Special Programs for the	\$1,111,058	\$552,463	\$0	\$0	\$0
93.052.000	NATL FAMILY CAREGIVER SUPPORT PGM	\$3,133,849	\$3,040,275	\$3,267,513	\$3,271,107	\$3,271,107
93.071.000	Medicare Enrollment Assistance Prog	\$432,716	\$0	\$0	\$0	\$0
93.072.000	Lifespan Respite Care Program	\$0	\$193,511	\$0	\$0	\$0
93.518.000	ACA-Medicare Imprvmnts Ptnts&Prvds	\$479,724	\$1,541,253	\$0	\$0	\$0
93.667.000	Social Svcs Block Grants	\$7,165,734	\$6,502,557	\$6,502,557	\$6,502,557	\$6,502,557
93.778.000	XIX FMAP	\$34,839,467	\$35,473,057	\$36,655,209	\$38,533,887	\$38,533,865
93.778.003	XIX 50%	\$37,762,997	\$29,812,448	\$27,917,474	\$28,908,885	\$28,934,688
93.778.004	XIX ADM @ 75%	\$7,792,389	\$8,541,986	\$8,980,432	\$8,734,713	\$8,734,713
93.778.005	XIX FMAP @ 90%	\$166,699	\$1,598,760	\$461,863	\$0	\$0
93.779.000	Health Care Financing Res	\$3,638,777	\$5,318,701	\$3,677,580	\$4,189,188	\$4,189,208
93.791.000	Money Follows Person Reblncng Demo	\$0	\$1,644,964	\$0	\$1,436,876	\$1,436,876
CFDA Subtotal, Fund	555	\$117,902,222	\$115,662,196	\$107,891,156	\$112,027,991	\$112,053,792
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$122,691,033</b>	<b>\$115,962,164</b>	<b>\$107,895,293</b>	<b>\$112,027,991</b>	<b>\$112,053,792</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$223,621	\$585,134	\$585,134	\$650,000	\$650,000
777	Interagency Contracts	\$703,256	\$1,208,561	\$1,360,000	\$1,208,561	\$1,208,561

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	1	Intake, Access, and Eligibility to Services and Supports	Service: 22	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
	<b>SUBTOTAL, MOF (OTHER FUNDS)</b>	\$926,877	\$1,793,695	\$1,945,134	\$1,858,561	\$1,858,561
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				\$226,232,515	\$226,258,319
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	\$232,991,295	\$226,990,951	\$220,134,867	\$226,232,515	\$226,258,319
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	1,776.9	1,581.9	1,511.2	1,511.2	1,511.2
	<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>					

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	1	Intake, Access, and Eligibility to Services and Supports	Service: 22	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The Intake, Access and Eligibility to Services and Supports strategy provides functional eligibility determination, development of individual service plans that are based on consumer needs and preferences, assistance in obtaining information, and authorizing appropriate services and supports through effective and efficient management of DADS staff, and contracts with the Area Agencies on Aging (AAAs) and Local Authorities (LAs).

DADS Community Services & Program Operations staff determines functional eligibility for certain individuals living in the community who would be eligible for Medicaid-funded (Title XIX) nursing facility services, but who wish to remain in the community. LAs determine eligibility and assist consumers in accessing appropriate services and supports. AAAs assist older individuals, their family members and/or other caregivers by providing information and assistance in accessing services and supports.

Statutory Authority. Social Security Act, Title XIX; Human Resources Code, Chapter 32, Chapter 101, §§101.030, and Chapter 161; Governmental Code, Chapter 531; and Section 307 of the Older Americans Act.

The dollars requested for this strategy reflects the realignment of administrative services (service coordination – GR funding, assessment & eligibility determination, local authorities administrative expenses, and CLOIP) which were previously provided in strategy A.4.2.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**



**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	1	Intake, Access, and Eligibility to Services and Supports	Service: 22	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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Each LA identifies local service needs and priorities. Depending on the mix of services delivered at the local level, expenditures in this strategy can vary each year. Funds provided through this strategy also provide assistance as individuals are enrolled into waiver services or are seeking other Medicaid supports such as community based ICF/IDD facilities or state supported living centers. If, because of reductions in GR funding, resources are not available to provide necessary local services for maintenance in the community, more individuals will seek emergency services, including institutional placement.

The dollars requested in this strategy included \$1,208,561 interagency contract with HHSC. However, HHSC included this amount in its 10% reduction plan.

The following exceptional item(s) will impact this strategy: Item 3, Promoting Independence Slots. Item 4, Community Expansion – Interest List. Item 5, Protecting Vulnerable Texans

As noted in Administrator Statement, the potential losses for this strategy due to the federal Budget Control Act of 2011 are as follow: Aging Grants FY 2013-2015 \$1.8 million per year; Title XX FY 2013-2015 \$0.5 million per year.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
4	Average Monthly Number of Individuals with Intellectual Disabilities (ID) Receiving Assessment and Service Coordination	26,213.00	27,660.00	28,222.00	29,382.00	29,382.00
8	Average Monthly Number of Individuals with Intellectual Disability Receiving Assessment and Service Coordination - Non-Medicaid Funding	3,987.00	2,562.00	2,562.00	2,562.00	2,562.00
9	Number of Events of persons receiving community contacts concerning ID services per year	52,586.00	46,349.00	46,349.00	46,349.00	46,349.00
<b>Efficiency Measures:</b>						
3	Average Monthly Cost Per Individual with ID Receiving Assessment and Service Coordination	\$182.80	\$182.80	\$182.80	\$182.80	\$182.80
<b>Objects of Expense:</b>						
2009	- Other Operating Expense	\$7,137	\$0	\$0	\$0	\$0
3001	- Client Services	\$57,671,688	\$60,720,741	\$61,907,126	\$64,452,355	\$64,452,355
4000	- Grants	\$44,870,811	\$39,258,282	\$39,258,282	\$41,845,020	\$41,845,022
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$102,549,636</b>	<b>\$99,979,023</b>	<b>\$101,165,408</b>	<b>\$106,297,375</b>	<b>\$106,297,377</b>
<b>Method of Financing:</b>						
0001	General Revenue Fund	\$44,174,693	\$38,555,026	\$38,555,026	\$41,141,764	\$41,141,766
0758	GR-Match for Medicaid	\$18,580,784	\$25,247,684	\$25,251,917	\$25,918,468	\$25,918,490
<b>SUBTOTAL, MOF (General Revenue)</b>		<b>\$62,755,477</b>	<b>\$63,802,710</b>	<b>\$63,806,943</b>	<b>\$67,060,232</b>	<b>\$67,060,256</b>
<b>Method of Financing:</b>						
0777	Interagency Contracts	\$703,255	\$703,256	\$703,256	\$703,256	\$703,256
<b>SUBTOTAL, MOF (Other Funds)</b>		<b>\$703,255</b>	<b>\$703,256</b>	<b>\$703,256</b>	<b>\$703,256</b>	<b>\$703,256</b>
<b>Method of Financing:</b>						
0369	Federal Funds					
93.778.014	XIX Stimulus	\$4,251,437	\$0	\$0	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0369</b>	<b>\$4,251,437</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$34,839,467	\$35,473,057	\$36,655,209	\$38,533,887	\$38,533,865
<b>CFDA Subtotal, Fund 0555</b>	<b>\$34,839,467</b>	<b>\$35,473,057</b>	<b>\$36,655,209</b>	<b>\$38,533,887</b>	<b>\$38,533,865</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$39,090,904</b>	<b>\$35,473,057</b>	<b>\$36,655,209</b>	<b>\$38,533,887</b>	<b>\$38,533,865</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$102,549,636</b>	<b>\$99,979,023</b>	<b>\$101,165,408</b>	<b>\$106,297,375</b>	<b>\$106,297,377</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities  
 SUB SUB-STRATEGY: 1 Service Coordination - Medicaid

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
3001 - Client Services	\$57,671,688	\$60,720,741	\$61,907,126	\$64,452,355	\$64,452,355
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$57,671,688</b>	<b>\$60,720,741</b>	<b>\$61,907,126</b>	<b>\$64,452,355</b>	<b>\$64,452,355</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$18,580,784	\$25,247,684	\$25,251,917	\$25,918,468	\$25,918,490
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$18,580,784</b>	<b>\$25,247,684</b>	<b>\$25,251,917</b>	<b>\$25,918,468</b>	<b>\$25,918,490</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$4,251,437	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$4,251,437</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$34,839,467	\$35,473,057	\$36,655,209	\$38,533,887	\$38,533,865
<b>CFDA Subtotal, Fund 0555</b>	<b>\$34,839,467</b>	<b>\$35,473,057</b>	<b>\$36,655,209</b>	<b>\$38,533,887</b>	<b>\$38,533,865</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$39,090,904</b>	<b>\$35,473,057</b>	<b>\$36,655,209</b>	<b>\$38,533,887</b>	<b>\$38,533,865</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$57,671,688</b>	<b>\$60,720,741</b>	<b>\$61,907,126</b>	<b>\$64,452,355</b>	<b>\$64,452,355</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities  
 SUB SUB-STRATEGY: 2 Service Coordination - General Revenue Funding

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
4000 - Grants	\$21,056,681	\$19,214,540	\$19,214,540	\$19,214,540	\$19,214,540
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$21,056,681</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$21,056,681	\$19,214,540	\$19,214,540	\$19,214,540	\$19,214,540
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$21,056,681</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$21,056,681</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>	<b>\$19,214,540</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities  
 SUB SUB-STRATEGY: 3 Assessment and Eligibility Determination

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
4000 - Grants	\$9,165,739	\$7,877,945	\$7,877,945	\$9,171,314	\$9,171,315
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$9,165,739</b>	<b>\$7,877,945</b>	<b>\$7,877,945</b>	<b>\$9,171,314</b>	<b>\$9,171,315</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$9,165,739	\$7,877,945	\$7,877,945	\$9,171,314	\$9,171,315
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$9,165,739</b>	<b>\$7,877,945</b>	<b>\$7,877,945</b>	<b>\$9,171,314</b>	<b>\$9,171,315</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$9,165,739</b>	<b>\$7,877,945</b>	<b>\$7,877,945</b>	<b>\$9,171,314</b>	<b>\$9,171,315</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities  
 SUB SUB-STRATEGY: 4 Permanency Planning

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
4000 - Grants	\$1,348,933	\$864,775	\$864,775	\$864,775	\$864,775
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$1,348,933</b>	<b>\$864,775</b>	<b>\$864,775</b>	<b>\$864,775</b>	<b>\$864,775</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$645,678	\$161,519	\$161,519	\$161,519	\$161,519
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$645,678</b>	<b>\$161,519</b>	<b>\$161,519</b>	<b>\$161,519</b>	<b>\$161,519</b>
<b>Method of Financing:</b>					
0777 Interagency Contracts	\$703,255	\$703,256	\$703,256	\$703,256	\$703,256
<b>SUBTOTAL, MOF (Other Funds)</b>	<b>\$703,255</b>	<b>\$703,256</b>	<b>\$703,256</b>	<b>\$703,256</b>	<b>\$703,256</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$1,348,933</b>	<b>\$864,775</b>	<b>\$864,775</b>	<b>\$864,775</b>	<b>\$864,775</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities  
 SUB SUB-STRATEGY: 5 LAs Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
4000 - Grants	\$9,823,850	\$7,746,442	\$7,746,442	\$9,039,811	\$9,039,812
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$9,823,850</b>	<b>\$7,746,442</b>	<b>\$7,746,442</b>	<b>\$9,039,811</b>	<b>\$9,039,812</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$9,823,850	\$7,746,442	\$7,746,442	\$9,039,811	\$9,039,812
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$9,823,850</b>	<b>\$7,746,442</b>	<b>\$7,746,442</b>	<b>\$9,039,811</b>	<b>\$9,039,812</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$9,823,850</b>	<b>\$7,746,442</b>	<b>\$7,746,442</b>	<b>\$9,039,811</b>	<b>\$9,039,812</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 1 Intake and Access - Local Authorities  
 SUB SUB-STRATEGY: 6 Community Living Options Information Process (CLOIP)

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2009 - Other Operating Expense	\$7,137	\$0	\$0	\$0	\$0
4000 - Grants	\$3,475,608	\$3,554,580	\$3,554,580	\$3,554,580	\$3,554,580
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$3,482,745</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$3,482,745	\$3,554,580	\$3,554,580	\$3,554,580	\$3,554,580
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$3,482,745</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$3,482,745</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>	<b>\$3,554,580</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 2 Intake and Access - Area of Aging Agencies (AAAs)

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Number of Certified Ombudsmen	1,010.00	996.00	982.00	968.00	977.00
2 Number of Persons Receiving Care Coordination	17,365.00	18,111.00	18,889.00	19,604.00	19,980.00
3 Number of Persons Receiving Legal Assistance	19,874.00	21,062.00	22,321.00	23,437.00	24,154.00
<b>Efficiency Measures:</b>					
1 Statewide Average Cost Per Care Coordination Client	\$244.67	\$242.08	\$239.52	\$236.90	\$237.49
2 Statewide Average Cost Per Person Receiving Legal Assistance	\$146.31	\$142.18	\$138.16	\$133.91	\$133.99
<b>Explanatory Measures:</b>					
2 Total Expenditures for the Ombudsman Program	\$3,891,211.00	\$3,919,175.00	\$3,947,340.00	\$3,975,104.00	\$4,001,774.00
3 Number of Assisted Living Facilities Visited By A Certified Ombudsman	1,248.00	1,274.00	1,301.00	1,326.00	1,326.00
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$0	\$47,412	\$0	\$23,706	\$23,706
4000 - Grants	\$26,279,179	\$27,835,617	\$26,816,040	\$26,816,040	\$26,816,040
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$26,279,179</b>	<b>\$27,883,029</b>	<b>\$26,816,040</b>	<b>\$26,839,746</b>	<b>\$26,839,746</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$2,036,050	\$2,341,780	\$1,793,738	\$1,793,738	\$1,793,738
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$2,036,050</b>	<b>\$2,341,780</b>	<b>\$1,793,738</b>	<b>\$1,793,738</b>	<b>\$1,793,738</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.041.000 Spc Svcs Aging-VII3	\$281,726	\$297,532	\$290,557	\$290,557	\$290,557
93.042.000 Spc Svcs Aging-VII2	\$1,622,999	\$1,050,406	\$1,051,034	\$1,051,034	\$1,051,034
93.044.000 Spc Svcs Aging-IIIB	\$13,356,653	\$14,367,980	\$13,476,935	\$13,476,935	\$13,476,935
93.045.000 Spc Svcs Aging-IIIC	\$3,775,251	\$4,635,364	\$4,563,562	\$4,563,562	\$4,563,562
93.052.000 Natl Family Caregiver	\$2,965,911	\$2,783,776	\$3,098,554	\$3,098,554	\$3,098,554
93.779.000 CMS Res, Demo, & Eval	\$2,240,589	\$2,406,190	\$2,541,660	\$2,565,366	\$2,565,366
<b>CFDA Subtotal, Fund 0555</b>	<b>\$24,243,129</b>	<b>\$25,541,248</b>	<b>\$25,022,302</b>	<b>\$25,046,008</b>	<b>\$25,046,008</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 2 Intake and Access - Area of Aging Agencies (AAAs)

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$24,243,129</b>	<b>\$25,541,248</b>	<b>\$25,022,302</b>	<b>\$25,046,008</b>	<b>\$25,046,008</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$26,279,179</b>	<b>\$27,883,029</b>	<b>\$26,816,040</b>	<b>\$26,839,746</b>	<b>\$26,839,746</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 2 Intake and Access - Area of Aging Agencies (AAAs)  
 SUB SUB-STRATEGY: 1 Access and Assistance Coordination

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$0	\$47,412	\$0	\$23,706	\$23,706
4000 - Grants	\$13,828,466	\$16,886,561	\$16,737,976	\$16,737,976	\$16,737,976
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$13,828,466</b>	<b>\$16,933,973</b>	<b>\$16,737,976</b>	<b>\$16,761,682</b>	<b>\$16,761,682</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$1,498,793	\$1,738,954	\$1,004,631	\$1,004,631	\$1,004,631
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$1,498,793</b>	<b>\$1,738,954</b>	<b>\$1,004,631</b>	<b>\$1,004,631</b>	<b>\$1,004,631</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.041.000 Spc Svcs Aging-VII3	\$35,938	\$19,882	\$145,278	\$145,278	\$145,278
93.044.000 Spc Svcs Aging-IIIB	\$7,814,523	\$10,556,193	\$10,434,034	\$10,434,034	\$10,434,034
93.045.000 Spc Svcs Aging-IIIC	\$143,058	\$315,774	\$355,429	\$355,429	\$355,429
93.052.000 Natl Family Caregiver	\$2,095,565	\$1,896,980	\$2,256,944	\$2,256,944	\$2,256,944
93.779.000 CMS Res, Demo, & Eval	\$2,240,589	\$2,406,190	\$2,541,660	\$2,565,366	\$2,565,366
<b>CFDA Subtotal, Fund 0555</b>	<b>\$12,329,673</b>	<b>\$15,195,019</b>	<b>\$15,733,345</b>	<b>\$15,757,052</b>	<b>\$15,757,052</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$12,329,673</b>	<b>\$15,195,019</b>	<b>\$15,733,345</b>	<b>\$15,757,052</b>	<b>\$15,757,052</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$13,828,466</b>	<b>\$16,933,973</b>	<b>\$16,737,976</b>	<b>\$16,761,682</b>	<b>\$16,761,682</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 2 Intake and Access - Area of Aging Agencies (AAAs)  
 SUB SUB-STRATEGY: 2 Ombudsman

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
4000 - Grants	\$4,085,641	\$3,302,592	\$3,719,771	\$3,719,771	\$3,719,771
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$4,085,641</b>	<b>\$3,302,592</b>	<b>\$3,719,771</b>	<b>\$3,719,771</b>	<b>\$3,719,771</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$289,375	\$387,903	\$526,814	\$526,814	\$526,814
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$289,375</b>	<b>\$387,903</b>	<b>\$526,814</b>	<b>\$526,814</b>	<b>\$526,814</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.041.000 Spc Svcs Aging-VII3	\$245,788	\$277,650	\$145,279	\$145,279	\$145,279
93.042.000 Spc Svcs Aging-VII2	\$1,049,719	\$1,050,406	\$1,051,034	\$1,051,034	\$1,051,034
93.044.000 Spc Svcs Aging-IIIB	\$2,500,759	\$1,586,634	\$1,996,644	\$1,996,644	\$1,996,644
<b>CFDA Subtotal, Fund 0555</b>	<b>\$3,796,266</b>	<b>\$2,914,689</b>	<b>\$3,192,957</b>	<b>\$3,192,957</b>	<b>\$3,192,957</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$3,796,266</b>	<b>\$2,914,689</b>	<b>\$3,192,957</b>	<b>\$3,192,957</b>	<b>\$3,192,957</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$4,085,641</b>	<b>\$3,302,592</b>	<b>\$3,719,771</b>	<b>\$3,719,771</b>	<b>\$3,719,771</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 2 Intake and Access - Area of Aging Agencies (AAAs)  
 SUB SUB-STRATEGY: 3 AAA's Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
4000 - Grants	\$8,365,072	\$7,646,464	\$6,358,293	\$6,358,293	\$6,358,293
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$8,365,072</b>	<b>\$7,646,464</b>	<b>\$6,358,293</b>	<b>\$6,358,293</b>	<b>\$6,358,293</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$247,882	\$214,924	\$262,293	\$262,293	\$262,293
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$247,882</b>	<b>\$214,924</b>	<b>\$262,293</b>	<b>\$262,293</b>	<b>\$262,293</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.042.000 Spc Svcs Aging-VII2	\$573,280	\$0	\$0	\$0	\$0
93.044.000 Spc Svcs Aging-IIIB	\$3,041,371	\$2,225,154	\$1,046,257	\$1,046,257	\$1,046,257
93.045.000 Spc Svcs Aging-IIIC	\$3,632,193	\$4,319,590	\$4,208,133	\$4,208,133	\$4,208,133
93.052.000 Natl Family Caregiver	\$870,346	\$886,796	\$841,610	\$841,610	\$841,610
<b>CFDA Subtotal, Fund 0555</b>	<b>\$8,117,190</b>	<b>\$7,431,540</b>	<b>\$6,096,000</b>	<b>\$6,096,000</b>	<b>\$6,096,000</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$8,117,190</b>	<b>\$7,431,540</b>	<b>\$6,096,000</b>	<b>\$6,096,000</b>	<b>\$6,096,000</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$8,365,072</b>	<b>\$7,646,464</b>	<b>\$6,358,293</b>	<b>\$6,358,293</b>	<b>\$6,358,293</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
5 Average Number of Persons Eligible Per Month: Community Services and Supports	176,562.00	137,947.00	110,753.00	112,078.00	113,407.00
6 Average Case Equivalents Per Community Services and Supports Worker	306.00	330.00	330.00	330.00	330.00
7 Average Number of Standardized Community Services and Supports Case Equivalents Per Month	283,226.00	249,220.00	210,802.00	214,036.00	217,347.00
<b>Efficiency Measures:</b>					
4 Average Monthly Cost Per Case: Community Services and Supports	\$29.73	\$28.66	\$32.10	\$33.52	\$33.12
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$49,317,450	\$44,022,854	\$40,743,049	\$40,965,931	\$40,965,931
1002 - Other Personnel Costs	\$2,099,824	\$2,311,862	\$2,228,280	\$2,181,957	\$2,181,957
2001 - Professional Fees & Services	\$6,460,527	\$7,369,135	\$7,696,651	\$8,637,148	\$8,637,148
2003 - Consumable Supplies	\$32,494	\$30,185	\$31,576	\$30,881	\$30,881
2004 - Utilities	\$36,491	\$47,906	\$63,046	\$55,476	\$55,476
2005 - Travel	\$3,406,409	\$2,827,803	\$2,739,677	\$2,784,202	\$2,784,202
2006 - Rent - Building	\$2,796	\$3,366	\$4,250	\$3,808	\$3,808
2007 - Rent - Machine and Other	\$23,181	\$13,853	\$14,153	\$14,003	\$14,003
2009 - Other Operating Expense	\$15,697,952	\$11,708,431	\$12,511,080	\$12,013,099	\$12,013,099
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$77,077,124</b>	<b>\$68,335,395</b>	<b>\$66,031,762</b>	<b>\$66,686,506</b>	<b>\$66,686,506</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$8,967,114	\$8,733,729	\$8,274,022	\$8,313,914	\$8,288,112
0758 GR-Match for Medicaid	\$23,912,146	\$24,307,558	\$23,844,581	\$23,590,649	\$23,590,649
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$32,879,260</b>	<b>\$33,041,287</b>	<b>\$32,118,603</b>	<b>\$31,904,563</b>	<b>\$31,878,761</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.667.000 Title XX	\$6,246,638	\$5,426,576	\$4,180,348	\$5,472,041	\$5,472,041
93.778.003 XIX ADM 50%	\$30,354,412	\$21,486,588	\$20,900,527	\$20,704,768	\$20,730,570
93.778.004 XIXADM 75%	\$7,596,814	\$8,374,045	\$8,832,284	\$8,605,135	\$8,605,135
93.778.005 XIXADM 90%	\$0	\$6,898	\$0	\$0	\$0
93.779.000 CMS Res, Demo, & Eval	\$0	\$0	\$0	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$44,197,864</b>	<b>\$35,294,107</b>	<b>\$33,913,159</b>	<b>\$34,781,944</b>	<b>\$34,807,746</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$44,197,864</b>	<b>\$35,294,107</b>	<b>\$33,913,159</b>	<b>\$34,781,944</b>	<b>\$34,807,746</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$77,077,124</b>	<b>\$68,335,395</b>	<b>\$66,031,762</b>	<b>\$66,686,506</b>	<b>\$66,686,506</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>1,494.0</b>	<b>1,292.2</b>	<b>1,181.6</b>	<b>1,181.6</b>	<b>1,181.6</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 1 CCAD Medicaid Eligibility

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$21,872,598	\$19,239,837	\$16,224,244	\$16,315,021	\$16,315,021
1002 - Other Personnel Costs	\$986,742	\$1,071,167	\$1,048,836	\$971,886	\$971,886
2001 - Professional Fees & Services	\$40,277	\$259,874	\$98,252	\$1,398,884	\$1,398,884
2003 - Consumable Supplies	\$15,667	\$15,947	\$7,979	\$11,962	\$11,962
2004 - Utilities	\$17,300	\$28,701	\$43,712	\$36,206	\$36,206
2005 - Travel	\$1,833,687	\$1,475,426	\$1,283,058	\$1,379,705	\$1,379,705
2006 - Rent - Building	\$1,938	\$2,334	\$2,946	\$2,640	\$2,640
2007 - Rent - Machine and Other	\$15,086	\$8,895	\$9,015	\$8,955	\$8,955
2009 - Other Operating Expense	\$11,517,483	\$6,807,657	\$7,703,426	\$7,158,885	\$7,158,885
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$36,300,778</b>	<b>\$28,909,838</b>	<b>\$26,421,468</b>	<b>\$27,284,146</b>	<b>\$27,284,146</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$7,201,437	\$5,179,914	\$5,212,013	\$5,030,793	\$5,004,991
0758 GR-Match for Medicaid	\$6,316,236	\$9,347,303	\$8,501,549	\$8,469,037	\$8,469,037
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$13,517,673</b>	<b>\$14,527,216</b>	<b>\$13,713,562</b>	<b>\$13,499,830</b>	<b>\$13,474,028</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.667.000 Title XX	\$4,479,647	\$3,550,842	\$2,567,587	\$3,754,435	\$3,754,435
93.778.003 XIX ADM 50%	\$16,184,408	\$8,561,790	\$7,682,164	\$7,662,301	\$7,688,103
93.778.004 XIXADM 75%	\$2,119,051	\$2,269,990	\$2,458,155	\$2,367,580	\$2,367,580
<b>CFDA Subtotal, Fund 0555</b>	<b>\$22,783,105</b>	<b>\$14,382,622</b>	<b>\$12,707,906</b>	<b>\$13,784,316</b>	<b>\$13,810,118</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$22,783,105</b>	<b>\$14,382,622</b>	<b>\$12,707,906</b>	<b>\$13,784,316</b>	<b>\$13,810,118</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$36,300,778</b>	<b>\$28,909,838</b>	<b>\$26,421,468</b>	<b>\$27,284,146</b>	<b>\$27,284,146</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>744.9</b>	<b>627.4</b>	<b>521.2</b>	<b>521.2</b>	<b>521.2</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 2 CCAD Non-Medicaid Eligibility

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$9,801,246	\$7,396,696	\$5,962,017	\$6,679,356	\$6,679,356
1002 - Other Personnel Costs	\$411,629	\$438,828	\$435,684	\$437,257	\$437,257
2001 - Professional Fees & Services	\$17,731	\$114,813	\$43,248	\$79,031	\$79,031
2003 - Consumable Supplies	\$4,421	\$7,275	\$11,502	\$9,389	\$9,389
2005 - Travel	\$754,464	\$604,684	\$507,357	\$556,020	\$556,020
2006 - Rent - Building	\$858	\$1,032	\$1,304	\$1,168	\$1,168
2007 - Rent - Machine and Other	\$6,675	\$3,936	\$3,988	\$3,962	\$3,962
2009 - Other Operating Expense	\$2,707,467	\$2,870,299	\$2,048,236	\$2,459,268	\$2,459,268
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$13,704,491</b>	<b>\$11,437,563</b>	<b>\$9,013,336</b>	<b>\$10,225,451</b>	<b>\$10,225,451</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$922,449	\$2,575,739	\$2,029,803	\$2,302,772	\$2,302,772
0758 GR-Match for Medicaid	\$6,576,786	\$3,796,470	\$2,991,797	\$3,394,134	\$3,394,134
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$7,499,235</b>	<b>\$6,372,209</b>	<b>\$5,021,600</b>	<b>\$5,696,906</b>	<b>\$5,696,906</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.667.000 Title XX	\$1,228,471	\$1,268,883	\$999,939	\$1,134,412	\$1,134,412
93.778.003 XIX ADM 50%	\$4,976,786	\$3,796,470	\$2,991,797	\$3,394,134	\$3,394,134
<b>CFDA Subtotal, Fund 0555</b>	<b>\$6,205,256</b>	<b>\$5,065,354</b>	<b>\$3,991,736</b>	<b>\$4,528,545</b>	<b>\$4,528,545</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$6,205,256</b>	<b>\$5,065,354</b>	<b>\$3,991,736</b>	<b>\$4,528,545</b>	<b>\$4,528,545</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$13,704,491</b>	<b>\$11,437,563</b>	<b>\$9,013,336</b>	<b>\$10,225,451</b>	<b>\$10,225,451</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>305.0</b>	<b>254.5</b>	<b>213.0</b>	<b>213.0</b>	<b>213.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 3 Community Based Alternatives Eligibility

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$6,973,830	\$5,788,328	\$6,752,957	\$6,270,642	\$6,270,642
1002 - Other Personnel Costs	\$335,253	\$323,011	\$347,520	\$335,266	\$335,266
2003 - Consumable Supplies	\$267	\$0	\$0	\$0	\$0
2004 - Utilities	\$0	\$28	\$0	\$14	\$14
2005 - Travel	\$362,966	\$348,936	\$431,481	\$390,208	\$390,208
2009 - Other Operating Expense	\$635,984	\$677,708	\$678,896	\$678,302	\$678,302
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$8,308,300</b>	<b>\$7,138,011</b>	<b>\$8,210,854</b>	<b>\$7,674,432</b>	<b>\$7,674,432</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$4,154,150	\$3,569,005	\$4,105,427	\$3,837,216	\$3,837,216
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$4,154,150</b>	<b>\$3,569,005</b>	<b>\$4,105,427</b>	<b>\$3,837,216</b>	<b>\$3,837,216</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.778.003 XIX ADM 50%	\$4,154,150	\$3,569,005	\$4,105,427	\$3,837,216	\$3,837,216
<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,154,150</b>	<b>\$3,569,005</b>	<b>\$4,105,427</b>	<b>\$3,837,216</b>	<b>\$3,837,216</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$4,154,150</b>	<b>\$3,569,005</b>	<b>\$4,105,427</b>	<b>\$3,837,216</b>	<b>\$3,837,216</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$8,308,300</b>	<b>\$7,138,011</b>	<b>\$8,210,854</b>	<b>\$7,674,432</b>	<b>\$7,674,432</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>194.4</b>	<b>149.4</b>	<b>130.0</b>	<b>130.0</b>	<b>130.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 4 Managed Care

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$1,331,558	\$2,453,094	\$2,649,795	\$2,792,449	\$2,792,449
1002 - Other Personnel Costs	\$60,820	\$101,305	\$77,520	\$89,412	\$89,412
2003 - Consumable Supplies	\$675	\$72	\$0	\$36	\$36
2004 - Utilities	\$183	\$0	\$0	\$0	\$0
2005 - Travel	\$12,920	\$25,045	\$2,266	\$13,656	\$13,656
2009 - Other Operating Expense	\$66,937	\$72,192	\$70,189	\$71,190	\$71,190
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$1,473,093</b>	<b>\$2,651,708</b>	<b>\$2,799,770</b>	<b>\$2,966,743</b>	<b>\$2,966,743</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$736,546	\$1,325,854	\$1,399,885	\$1,483,372	\$1,483,372
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$736,546</b>	<b>\$1,325,854</b>	<b>\$1,399,885</b>	<b>\$1,483,372</b>	<b>\$1,483,372</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.778.003 XIX ADM 50%	\$736,546	\$1,325,854	\$1,399,885	\$1,483,372	\$1,483,372
<b>CFDA Subtotal, Fund 0555</b>	<b>\$736,546</b>	<b>\$1,325,854</b>	<b>\$1,399,885</b>	<b>\$1,483,372</b>	<b>\$1,483,372</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$736,546</b>	<b>\$1,325,854</b>	<b>\$1,399,885</b>	<b>\$1,483,372</b>	<b>\$1,483,372</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$1,473,093</b>	<b>\$2,651,708</b>	<b>\$2,799,770</b>	<b>\$2,966,743</b>	<b>\$2,966,743</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>39.6</b>	<b>61.9</b>	<b>90.8</b>	<b>90.8</b>	<b>90.8</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 5 Medically Dependent Children Program Eligibility

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$2,308,793	\$2,295,808	\$1,575,192	\$1,694,495	\$1,694,495
1002 - Other Personnel Costs	\$70,860	\$89,724	\$80,760	\$85,242	\$85,242
2003 - Consumable Supplies	\$616	\$131	\$500	\$316	\$316
2004 - Utilities	\$4,789	\$6,334	\$7,944	\$7,139	\$7,139
2005 - Travel	\$248,106	\$205,785	\$231,150	\$218,468	\$218,468
2009 - Other Operating Expense	\$373,014	\$392,861	\$396,345	\$394,603	\$394,603
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$3,006,178</b>	<b>\$2,990,643</b>	<b>\$2,291,891</b>	<b>\$2,400,262</b>	<b>\$2,400,262</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$1,503,089	\$1,495,321	\$1,145,946	\$1,200,131	\$1,200,131
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$1,503,089</b>	<b>\$1,495,321</b>	<b>\$1,145,946</b>	<b>\$1,200,131</b>	<b>\$1,200,131</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.778.003 XIX ADM 50%	\$1,503,089	\$1,495,321	\$1,145,946	\$1,200,131	\$1,200,131
<b>CFDA Subtotal, Fund 0555</b>	<b>\$1,503,089</b>	<b>\$1,495,321</b>	<b>\$1,145,946</b>	<b>\$1,200,131</b>	<b>\$1,200,131</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$1,503,089</b>	<b>\$1,495,321</b>	<b>\$1,145,946</b>	<b>\$1,200,131</b>	<b>\$1,200,131</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$3,006,178</b>	<b>\$2,990,643</b>	<b>\$2,291,891</b>	<b>\$2,400,262</b>	<b>\$2,400,262</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>59.0</b>	<b>57.9</b>	<b>60.0</b>	<b>60.0</b>	<b>60.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 6 Nursing Facility Payments (Contract and Staffing Costs)

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$3,188,628	\$3,088,737	\$3,313,579	\$3,201,158	\$3,201,158
1002 - Other Personnel Costs	\$103,140	\$124,457	\$107,400	\$115,928	\$115,928
2001 - Professional Fees & Services	\$6,343,703	\$6,971,867	\$7,555,151	\$7,147,942	\$7,147,942
2003 - Consumable Supplies	\$6,401	\$3,586	\$8,145	\$5,866	\$5,866
2004 - Utilities	\$4,700	\$5,230	\$5,716	\$5,473	\$5,473
2005 - Travel	\$22,292	\$14,682	\$31,311	\$22,996	\$22,996
2007 - Rent - Machine and Other	\$1,006	\$705	\$650	\$677	\$677
2009 - Other Operating Expense	\$154,347	\$543,345	\$1,253,729	\$898,537	\$898,537
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$9,824,217</b>	<b>\$10,752,607</b>	<b>\$12,275,681</b>	<b>\$11,398,578</b>	<b>\$11,398,578</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$126,942	\$140,576	\$144,588	\$142,582	\$142,582
0758 GR-Match for Medicaid	\$3,002,142	\$3,254,753	\$3,929,860	\$3,536,056	\$3,536,056
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$3,129,084</b>	<b>\$3,395,329</b>	<b>\$4,074,448</b>	<b>\$3,678,639</b>	<b>\$3,678,639</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.667.000 Title XX	\$118,784	\$110,160	\$113,304	\$111,732	\$111,732
93.778.003 XIX ADM 50%	\$1,215,038	\$1,260,906	\$1,850,862	\$1,500,017	\$1,500,017
93.778.004 XIXADM 75%	\$5,361,311	\$5,979,313	\$6,237,067	\$6,108,190	\$6,108,190
93.778.005 XIXADM 90%	\$0	\$6,898	\$0	\$0	\$0
93.779.000 CMS Res, Demo, & Eval	\$0	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$6,695,133</b>	<b>\$7,357,278</b>	<b>\$8,201,233</b>	<b>\$7,719,939</b>	<b>\$7,719,939</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$6,695,133</b>	<b>\$7,357,278</b>	<b>\$8,201,233</b>	<b>\$7,719,939</b>	<b>\$7,719,939</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$9,824,217</b>	<b>\$10,752,607</b>	<b>\$12,275,681</b>	<b>\$11,398,578</b>	<b>\$11,398,578</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>78.2</b>	<b>73.4</b>	<b>71.0</b>	<b>71.0</b>	<b>71.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

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Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 3 LTC Functional Eligibility  
 SUB SUB-STRATEGY: 7 Community Services Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$3,840,797	\$3,760,355	\$4,265,265	\$4,012,810	\$4,012,810
1002 - Other Personnel Costs	\$131,380	\$163,370	\$130,560	\$146,965	\$146,965
2001 - Professional Fees & Services	\$58,816	\$22,581	\$0	\$11,290	\$11,290
2003 - Consumable Supplies	\$4,447	\$3,174	\$3,450	\$3,312	\$3,312
2004 - Utilities	\$9,519	\$7,613	\$5,674	\$6,644	\$6,644
2005 - Travel	\$171,974	\$153,245	\$253,054	\$203,150	\$203,150
2007 - Rent - Machine and Other	\$414	\$317	\$500	\$408	\$408
2009 - Other Operating Expense	\$242,720	\$344,369	\$360,259	\$352,314	\$352,314
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$4,460,067</b>	<b>\$4,455,025</b>	<b>\$5,018,762</b>	<b>\$4,736,893</b>	<b>\$4,736,893</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$716,287	\$837,500	\$887,618	\$837,767	\$837,767
0758 GR-Match for Medicaid	\$1,623,197	\$1,518,852	\$1,770,117	\$1,670,702	\$1,670,702
<b>SUBTOTAL, MOF (General Revenue)</b>	<b>\$2,339,484</b>	<b>\$2,356,352</b>	<b>\$2,657,736</b>	<b>\$2,508,469</b>	<b>\$2,508,469</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.667.000 Title XX	\$419,737	\$496,691	\$499,517	\$471,463	\$471,463
93.778.003 XIX ADM 50%	\$1,584,394	\$1,477,242	\$1,724,447	\$1,627,597	\$1,627,597
93.778.004 XIXADM 75%	\$116,452	\$124,741	\$137,062	\$129,365	\$129,365
<b>CFDA Subtotal, Fund 0555</b>	<b>\$2,120,583</b>	<b>\$2,098,673</b>	<b>\$2,361,026</b>	<b>\$2,228,424</b>	<b>\$2,228,424</b>
<b>SUBTOTAL, MOF (Federal Funds)</b>	<b>\$2,120,583</b>	<b>\$2,098,673</b>	<b>\$2,361,026</b>	<b>\$2,228,424</b>	<b>\$2,228,424</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$4,460,067</b>	<b>\$4,455,025</b>	<b>\$5,018,762</b>	<b>\$4,736,893</b>	<b>\$4,736,893</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>72.9</b>	<b>67.7</b>	<b>95.6</b>	<b>95.6</b>	<b>95.6</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 4 Community Services Contracts

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$11,346,766	\$12,014,122	\$13,373,988	\$12,694,055	\$12,694,055
1002 - Other Personnel Costs	\$330,669	\$435,138	\$350,400	\$392,769	\$392,769
2001 - Professional Fees & Services	\$113,319	\$61,438	\$63,018	\$62,228	\$62,228
2003 - Consumable Supplies	\$11,920	\$16,873	\$12,500	\$14,686	\$14,686
2004 - Utilities	\$12,566	\$12,116	\$8,640	\$10,378	\$10,378
2005 - Travel	\$902,462	\$1,663,991	\$1,900,686	\$1,782,339	\$1,782,339
2006 - Rent - Building	\$950	\$0	\$0	\$0	\$0
2007 - Rent - Machine and Other	\$951	\$804	\$0	\$402	\$402
2009 - Other Operating Expense	\$110,375	\$246,547	\$277,152	\$261,850	\$261,850
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$12,829,978</b>	<b>\$14,451,029</b>	<b>\$15,986,384</b>	<b>\$15,218,706</b>	<b>\$15,218,706</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$552,628	\$780,318	\$1,053,720	\$917,019	\$917,019
0758 GR-Match for Medicaid	\$5,447,610	\$6,066,326	\$6,663,138	\$6,364,732	\$6,364,732
8004 GR for Fed Funds (OAA)	\$880,791	\$880,791	\$880,791	\$880,791	\$880,791
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$6,881,029</b>	<b>\$7,727,435</b>	<b>\$8,597,649</b>	<b>\$8,162,542</b>	<b>\$8,162,542</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.044.000 Spc Svcs Aging-IIIB	\$1,578	\$1,017	\$1,035	\$1,026	\$1,026
93.045.000 Spc Svcs Aging-IIIC	\$2,685	\$1,734	\$1,764	\$1,749	\$1,749
93.052.000 Natl Family Caregiver	\$609	\$399	\$406	\$403	\$403
93.667.000 Title XX	\$479,958	\$634,200	\$702,124	\$668,162	\$668,162
93.778.003 XIX ADM 50%	\$5,439,353	\$6,056,353	\$6,652,991	\$6,354,672	\$6,354,672
93.778.004 XIXADM 75%	\$24,765	\$29,890	\$30,414	\$30,152	\$30,152
<b>CFDA Subtotal, Fund 0555</b>	<b>\$5,948,949</b>	<b>\$6,723,594</b>	<b>\$7,388,735</b>	<b>\$7,056,165</b>	<b>\$7,056,165</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$5,948,949</b>	<b>\$6,723,594</b>	<b>\$7,388,735</b>	<b>\$7,056,165</b>	<b>\$7,056,165</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$12,829,978</b>	<b>\$14,451,029</b>	<b>\$15,986,384</b>	<b>\$15,218,706</b>	<b>\$15,218,706</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

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GOAL: 1 Long Term Services and Supports  
OBJECTIVE: 1 Intake, Access, and Eligibility  
STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
SUB-STRATEGY: 4 Community Services Contracts

<b>Code</b>	<b>Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>244.3</b>	<b>253.6</b>	<b>291.6</b>	<b>291.6</b>	<b>291.6</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 5 State Office - Administration/Contracts

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$4,914,299	\$4,404,780	\$2,783,630	\$3,240,681	\$3,240,681
1002 - Other Personnel Costs	\$669,831	\$62,548	\$54,720	\$58,674	\$58,674
2001 - Professional Fees & Services	\$3,157,633	\$4,339,112	\$2,204,662	\$2,506,973	\$2,532,776
2003 - Consumable Supplies	\$4,552	\$2,558	\$0	\$1,279	\$1,279
2004 - Utilities	\$12,878	\$5,088	\$0	\$2,544	\$2,544
2005 - Travel	\$113,202	\$139,692	\$172,728	\$155,748	\$155,748
2006 - Rent - Building	\$5,022	\$1,370	\$0	\$685	\$685
2007 - Rent - Machine and Other	\$1,108	\$5,391	\$0	\$2,596	\$2,596
2009 - Other Operating Expense	\$3,559,235	\$5,328,960	\$3,863,031	\$5,076,502	\$5,221,002
4000 - Grants	\$1,817,620	\$2,052,977	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$14,255,380</b>	<b>\$16,342,476</b>	<b>\$9,078,771</b>	<b>\$11,045,681</b>	<b>\$11,215,983</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$1,722,652	\$1,251,251	\$2,204,862	\$1,520,448	\$1,546,250
0758 GR-Match for Medicaid	\$3,098,917	\$1,070,628	\$1,772,644	\$1,904,444	\$1,904,422
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$4,821,570</b>	<b>\$2,321,879</b>	<b>\$3,977,506</b>	<b>\$3,424,892</b>	<b>\$3,450,673</b>
<b>Method of Financing:</b>					
0666 Appropriated Receipts	\$223,621	\$585,134	\$585,134	\$650,000	\$650,000
0777 Interagency Contracts	\$0	\$505,304	\$656,744	\$505,305	\$505,305
<b>SUBTOTAL, MOF (Other Funds )</b>	<b>\$223,621</b>	<b>\$1,090,438</b>	<b>\$1,241,878</b>	<b>\$1,155,305</b>	<b>\$1,155,305</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.725.000 AoA ARRA Communities Putting Pr	\$537,376	\$299,968	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$537,376</b>	<b>\$299,968</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.041.000 Spc Svcs Aging-VII3	\$7,064	\$0	\$0	\$0	\$0
93.042.000 Spc Svcs Aging-VII2	\$1,077,520	\$0	\$0	\$0	\$0
93.044.000 Spc Svcs Aging-IIIB	\$625,847	\$429,130	\$411,562	\$420,346	\$420,346
93.045.000 Spc Svcs Aging-IIIC	\$627,489	\$659,058	\$632,078	\$645,568	\$645,568

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 5 State Office - Administration/Contracts

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
93.048.000 Spc Svcs Aging-Discretionary	\$1,111,058	\$552,463	\$0	\$0	\$0
93.052.000 Natl Family Caregiver	\$167,329	\$256,100	\$168,552	\$172,150	\$172,150
93.071.000 Medicare Enrollment Assistance Pr	\$432,716	\$0	\$0	\$0	\$0
93.072.000 AoA Demonstration Grant for Texz	\$0	\$193,511	\$0	\$0	\$0
93.518.000 Affordable Care Act - Medicare Im	\$263,524	\$813,438	\$0	\$0	\$0
93.518.001 Affordable Care Act - Medicare Im	\$216,200	\$727,815	\$0	\$0	\$0
93.667.000 Title XX	\$439,137	\$441,781	\$330,317	\$362,353	\$362,353
93.778.003 XIX ADM 50%	\$1,969,232	\$2,269,506	\$1,657,860	\$1,849,446	\$1,849,446
93.778.004 XIXADM 75%	\$170,811	\$138,051	\$117,735	\$99,426	\$99,426
93.778.005 XIXADM 90%	\$166,699	\$1,591,862	\$461,863	\$0	\$0
93.779.000 CMS Res, Demo, & Eval	\$1,398,188	\$2,912,511	\$79,420	\$1,479,321	\$1,623,843
93.791.000 MFP Demo	\$0	\$1,644,965	\$0	\$1,436,876	\$1,436,876
<b>CFDA Subtotal, Fund 0555</b>	<b>\$8,672,813</b>	<b>\$12,630,191</b>	<b>\$3,859,387</b>	<b>\$6,465,485</b>	<b>\$6,610,006</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$9,210,189</b>	<b>\$12,930,159</b>	<b>\$3,859,387</b>	<b>\$6,465,484</b>	<b>\$6,610,006</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$14,255,380</b>	<b>\$16,342,476</b>	<b>\$9,078,771</b>	<b>\$11,045,681</b>	<b>\$11,215,983</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>38.6</b>	<b>36.1</b>	<b>38.0</b>	<b>38.0</b>	<b>38.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 1 Intake, Access, and Eligibility  
 STRATEGY: 1 Intake, Access and Eligibility to Services and Supports  
 SUB-STRATEGY: 6 Capital Projects

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2009 - Other Operating Expense	\$0	\$0	\$1,056,500	\$144,500	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,056,500</b>	<b>\$144,500</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.779.000 CMS Res, Demo, & Eval	\$0	\$0	\$1,056,500	\$144,500	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,056,500</b>	<b>\$144,500</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,056,500</b>	<b>\$144,500</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,056,500</b>	<b>\$144,500</b>	<b>\$0</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	19
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	2	Guardianship	Service: 26	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
1	Avg Number of Wards Receiving Guardianship Services from DADS Staff	898.00	913.00	913.00	913.00	913.00
2	Avg # Wards Rec Guardianship Svcs: Private Guardianship Programs	411.00	436.00	436.00	436.00	436.00
<b>Efficiency Measures:</b>						
1	Average Mthly Cost Per Adult Guardianship Ward Served by DADS Staff	549.13	540.21	540.21	540.21	540.21
2	Average Mthly Cost/Adult Guardianship Ward Served - DADS Contractors	218.36	205.79	205.79	205.79	205.79
<b>Explanatory/Input Measures:</b>						
1	Average Monthly Cost Per Adult Guardianship Ward	445.30	432.44	432.44	432.44	432.44
2	Avg Mthly # Referrals DFPS to DADS for Assessment/Need Guardianship	40.00	39.00	39.00	39.00	39.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$4,680,932	\$4,697,457	\$4,741,936	\$4,728,746	\$4,728,746
1002	OTHER PERSONNEL COSTS	\$160,424	\$165,082	\$133,440	\$149,261	\$149,261
2001	PROFESSIONAL FEES AND SERVICES	\$1,047,494	\$1,081,446	\$1,078,872	\$1,080,159	\$1,080,159
2003	CONSUMABLE SUPPLIES	\$5,667	\$2,405	\$2,500	\$2,454	\$2,454

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 19  
 OBJECTIVE: 1 Intake, Access, and Eligibility Service Categories:  
 STRATEGY: 2 Guardianship Service: 26 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2004	UTILITIES	\$3,401	\$3,634	\$4,475	\$4,054	\$4,054
2005	TRAVEL	\$503,509	\$505,008	\$505,489	\$500,748	\$500,748
2009	OTHER OPERATING EXPENSE	\$516,841	\$463,236	\$451,556	\$452,846	\$452,846
3001	CLIENT SERVICES	\$76,955	\$76,955	\$76,955	\$76,955	\$76,955
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$3,607,338	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$3,607,338</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.667.000 Social Svcs Block Grants	\$3,387,885	\$6,995,223	\$6,995,223	\$6,995,223	\$6,995,223
CFDA Subtotal, Fund	555	\$3,387,885	\$6,995,223	\$6,995,223	\$6,995,223	\$6,995,223
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$3,387,885</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	19
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	2	Guardianship	Service: 26	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$6,995,223</b>	<b>\$6,995,223</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>	<b>\$6,995,223</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>101.6</b>	<b>104.8</b>	<b>108.0</b>	<b>108.0</b>	<b>108.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The DADS Guardianship strategy provides guardianship services, either directly or through contracts with local guardianship programs, to individuals referred to the program by the Texas Department of Family and Protective Services after a validated incident of abuse, neglect or exploitation.

As of September 1, 2007, anyone who receives payment for serving as guardian, including DADS guardianship staff, is required to be certified by the Guardianship Certification Board.

A guardian is a court-appointed person or entity (such as a state agency) that makes decisions on behalf of a person with diminished capacity. Chapter 13 of the Texas Probate Code defines the purpose, laws and responsibilities of a guardian. Depending upon the powers granted by the court, guardianship responsibilities include, but are not limited to, providing services for adults with limited capacity that otherwise qualify for guardianship services under the laws of the state of Texas; arranging for placement in facilities, such as long-term care facilities, hospitals or foster homes; managing estates; and making medical decisions.

Statutory Authority. SB 6, 79th Legislature, Regular Session, 2005; Human Resources Code §§161.071(10) and 161.101; Probate Code §§682 and 875(j).

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	19
OBJECTIVE:	1	Intake, Access, and Eligibility	Service Categories:		
STRATEGY:	2	Guardianship	Service: 26	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The following exceptional items(s) will impact this strategy: Item 5, Protecting Vulnerable Texans, requests funds for 5 FTEs for FY 2014 and additional 6 FTEs for FY 2015. The appropriation of the requested 11 FTEs will afford the program the ability to continue to meet the needs and expectations of the individuals served, APS, CPS, courts, Texas Certification Board, families, and other stakeholders. Failure to provide these FTEs will result in unmanageable caseloads, the inability to appropriately ensure the safety and welfare of wards, failure to appropriately meet legislative and Texas Certification Board expectations, and a significant decline in the working relationships with courts, APS, CPS, elected officials, and other valued stakeholders.

As noted in Administrator Statement, the potential loss for this strategy due to the federal Budget Control Act of 2011 is as follow: FY 2013-2015 is \$0.5 million per year



**3.A. STRATEGY REQUEST**  
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 Automated Budget and Evaluation System of Texas (ABEST)

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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 2 Community Services and Supports - Entitlement Service Categories:  
 STRATEGY: 1 Primary Home Care Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Served Per Month: Primary Home Care	53,573.00	30,245.00	11,488.00	11,371.00	11,372.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual Served: Primary Home Care	858.00	851.02	716.55	716.55	716.55
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$551,415,224	\$308,926,939	\$98,779,244	\$97,772,788	\$97,785,950
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$551,415,224</b>	<b>\$308,926,939</b>	<b>\$98,779,244</b>	<b>\$97,772,788</b>	<b>\$97,785,950</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$182,481,373	\$128,451,821	\$12,610,991	\$39,343,770	\$39,309,952
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$27,681,063	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$182,481,373</b>	<b>\$128,451,821</b>	<b>\$40,292,054</b>	<b>\$39,343,770</b>	<b>\$39,309,952</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$35,671,388	\$0	\$0	\$0	\$0

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 2 Community Services and Supports - Entitlement Service Categories:  
 STRATEGY: 1 Primary Home Care Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	369	\$35,671,388	\$0	\$0	\$0	\$0
555	Federal Funds					
93.778.000	XIX FMAP	\$333,262,463	\$180,475,118	\$18,305,878	\$58,429,018	\$58,475,998
CFDA Subtotal, Fund	555	\$333,262,463	\$180,475,118	\$18,305,878	\$58,429,018	\$58,475,998
8138	FF for FY 12-13 Entitlement/Waiver					
93.778.000	XIX FMAP	\$0	\$0	\$40,181,312	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$40,181,312	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$368,933,851</b>	<b>\$180,475,118</b>	<b>\$58,487,190</b>	<b>\$58,429,018</b>	<b>\$58,475,998</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$97,772,788</b>	<b>\$97,785,950</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$551,415,224</b>	<b>\$308,926,939</b>	<b>\$98,779,244</b>	<b>\$97,772,788</b>	<b>\$97,785,950</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 2 Community Services and Supports - Entitlement Service Categories:  
 STRATEGY: 1 Primary Home Care Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The Primary Home Care (PHC) strategy provides non-skilled, personal care services for individuals whose chronic health problems impair their ability to perform activities of daily living (ADLs). Personal attendants assist individuals in performing ADLs, such as arranging or accompanying individuals on trips to receive medical treatment, bathing, dressing, grooming, preparing meals, housekeeping, and shopping. On average, individuals are authorized to receive approximately 16.6 hours of assistance per week.

To be eligible to receive Medicaid funded PHC, an individual must be at least 21 years of age (as of September 2007, individuals under age 21 are served by the Health and Human Services Commission's Personal Care Services program), have a monthly income that is equal to or less than 100% of the monthly income limit for Supplemental Security Income (SSI), which is currently \$698/month (SSI levels are adjusted at the federal level each year based upon the annual increase in the Consumer Price Index); have countable resources of no more than \$2,000; have a functional assessment score of 24 or greater; and have a medical practitioner's statement that the individual's medical condition causes a functional limitation for at least one personal care task.

Statutory Authority. Social Security Act, §§1902(a)(10)(A)(i)(I)-(VII), §1905(a)(24), §1915(b), and §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	2	Community Services and Supports - Entitlement	Service Categories:		
STRATEGY:	1	Primary Home Care	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The funding request for this strategy has been significantly impacted by the expansion of STAR+PLUS managed care that has occurred in three phases: February 2011 (Dallas/Tarrant catchment area), September 2011 (Contiguous counties of the STARPLUS catchment areas that were in place prior to expansion), and March 2012 (Amarillo/Lubbock, El Paso, and South Texas catchment areas). The “STARPLUS” and “non-STARPLUS” sub-strategies lay out the impact of managed care expansion for FYs 2011 and FY 2012. If STARPLUS is expanded state-wide in FY 2015, this strategy will be totally eliminated.

This strategy has experienced a 2.7% annual increase in the average monthly hours of service per individual served. This equates to an increased cost per individual served of \$19.35 in FY 2014 and \$39.22 in FY 2015. Funding for this utilization/acuity related cost increase has been included in the department’s “Cost Trends” Exceptional Item.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 2 Community Services and Supports - Entitlement  
 STRATEGY: 1 Primary Home Care  
 SUB-STRATEGY: 1 STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Per Month: Primary Home Care	42,055.00	18,886.00	0.00	0.00	0.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Primary Home Care	\$906.87	\$946.20	\$0.00	\$0.00	\$0.00
<b>Objects of Expense:</b>					
3001 - Client Services	\$457,663,250	\$214,438,093	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$457,663,250</b>	<b>\$214,438,093</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$151,852,604	\$89,163,359	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$151,852,604</b>	<b>\$89,163,359</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$29,183,751	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$29,183,751</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$276,626,895	\$125,274,734	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$276,626,895</b>	<b>\$125,274,734</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$305,810,646</b>	<b>\$125,274,734</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$457,663,250</b>	<b>\$214,438,093</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 2 Community Services and Supports - Entitlement  
 STRATEGY: 1 Primary Home Care  
 SUB-STRATEGY: 2 Non STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Per Month: Primary Home Care	11,519.00	11,359.00	11,488.00	11,371.00	11,372.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Primary Home Care	\$677.83	\$692.75	\$716.55	\$716.55	\$716.55
<b>Objects of Expense:</b>					
3001 - Client Services	\$93,751,974	\$94,488,846	\$98,779,244	\$97,772,788	\$97,785,950
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$93,751,974</b>	<b>\$94,488,846</b>	<b>\$98,779,244</b>	<b>\$97,772,788</b>	<b>\$97,785,950</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$30,628,770	\$39,288,462	\$12,610,991	\$39,343,770	\$39,309,952
8137 GR Match for Medicaid-FY 12-13 demand	\$0	\$0	\$27,681,063	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$30,628,770</b>	<b>\$39,288,462</b>	<b>\$40,292,054</b>	<b>\$39,343,770</b>	<b>\$39,309,952</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$6,487,637	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$6,487,637</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$56,635,567	\$55,200,384	\$18,305,878	\$58,429,018	\$58,475,998
<b>CFDA Subtotal, Fund 0555</b>	<b>\$56,635,567</b>	<b>\$55,200,384</b>	<b>\$18,305,878</b>	<b>\$58,429,018</b>	<b>\$58,475,998</b>
8138 Federal Funds-FY 12-13 demand					
93.778.000 XIX FMAP	\$0	\$0	\$40,181,312	\$0	\$0
<b>CFDA Subtotal, Fund 8138</b>	<b>\$0</b>	<b>\$0</b>	<b>\$40,181,312</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$63,123,204</b>	<b>\$55,200,384</b>	<b>\$58,487,190</b>	<b>\$58,429,018</b>	<b>\$58,475,998</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$93,751,974</b>	<b>\$94,488,846</b>	<b>\$98,779,244</b>	<b>\$97,772,788</b>	<b>\$97,785,950</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

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GOAL: 1 Long Term Services and Supports  
OBJECTIVE: 2 Community Services and Supports - Entitlement  
STRATEGY: 1 Primary Home Care  
SUB-STRATEGY: 2 Non STAR+PLUS

<b>Code</b>	<b>Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

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**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 2 Community Services and Supports - Entitlement Service Categories:  
 STRATEGY: 2 Community Attendant Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average # of Individuals Served Per Mnth: Community Attendant Services	45,606.00	47,037.00	48,832.00	49,370.00	50,326.00
<b>Efficiency Measures:</b>						
KEY 1	Average Mthly Cost Per Individual Served: Community Attendant Services	838.00	875.83	902.74	902.74	902.74
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$458,790,522	\$494,460,811	\$528,991,397	\$534,814,870	\$545,173,976
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$458,790,522</b>	<b>\$494,460,811</b>	<b>\$528,991,397</b>	<b>\$534,814,870</b>	<b>\$545,173,976</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$153,309,158	\$203,091,932	\$67,428,366	\$215,209,504	\$219,159,938
8091	Eff- Match For Medicaid	\$0	\$2,504,873	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$148,347,225	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$153,309,158</b>	<b>\$205,596,805</b>	<b>\$215,775,591</b>	<b>\$215,209,504</b>	<b>\$219,159,938</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$28,429,046	\$0	\$0	\$0	\$0

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 2 Community Services and Supports - Entitlement Service Categories:  
 STRATEGY: 2 Community Attendant Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	369	\$28,429,046	\$0	\$0	\$0	\$0
555	Federal Funds					
93.778.000	XIX FMAP	\$277,052,318	\$288,864,006	\$97,877,753	\$319,605,366	\$326,014,038
CFDA Subtotal, Fund	555	\$277,052,318	\$288,864,006	\$97,877,753	\$319,605,366	\$326,014,038
8138	FF for FY 12-13 Entitlement/Waiver					
93.778.000	XIX FMAP	\$0	\$0	\$215,338,053	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$215,338,053	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$305,481,364</b>	<b>\$288,864,006</b>	<b>\$313,215,806</b>	<b>\$319,605,366</b>	<b>\$326,014,038</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$534,814,870</b>	<b>\$545,173,976</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$458,790,522</b>	<b>\$494,460,811</b>	<b>\$528,991,397</b>	<b>\$534,814,870</b>	<b>\$545,173,976</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	2	Community Services and Supports - Entitlement	Service Categories:		
STRATEGY:	2	Community Attendant Services	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The Community Attendant Services (CAS) strategy provides non-skilled personal care services for individuals whose chronic health problems impair their ability to perform activities of daily living (ADLs) and whose income makes them ineligible for Primary Home Care (PHC). Personal attendants provide services to assist individuals in performing ADLs, such as arranging or accompanying the individual on trips to receive medical treatment, bathing, dressing, grooming, preparing meals, housekeeping, and shopping. On average, individuals are authorized to receive approximately 16.4 hours of assistance per week. (Note: The term Frail Elderly is still used in federal language to refer to the law where the Federal legal authority can be located as part of the Social Security Act.)

To be eligible to receive CAS, an individual may be of any age, and must have a monthly income that is within 300% of the monthly income limit for SSI (currently \$2,094/month, updated annually); have countable resources of no more than \$2,000; have a functional assessment score of 24 or greater; and have a medical practitioner's statement that the individual's medical condition causes a functional limitation for at least one personal care task.

Statutory Authority. Social Security Act, §§1905(a)(24) and 1929(b); Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

This strategy has experienced a 4.0% annual increase in the average monthly hours of service per individual served. This equates to an increased cost per individual served of \$36.11 in FY 2014 and \$73.66 in FY 2015. Funding for this utilization/acuity related cost increase has been included in the department's "Cost Trends" Exceptional Item.

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**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 2 Community Services and Supports - Entitlement Service Categories:  
 STRATEGY: 3 Day Activity and Health Services (DAHS) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Per Month: Day Activity/Health Services	17,946.00	9,687.00	1,700.00	2,033.00	2,068.00
<b>Efficiency Measures:</b>						
KEY 1	Avg Mthly Cost Per Individual Served: Day Activity and Health Services	534.42	533.88	477.08	477.08	477.08
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$115,083,470	\$62,061,395	\$9,733,072	\$11,639,319	\$11,837,319
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$115,083,470</b>	<b>\$62,061,395</b>	<b>\$9,733,072</b>	<b>\$11,639,319</b>	<b>\$11,837,319</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$38,557,232	\$25,422,071	\$1,230,246	\$4,683,662	\$4,758,602
8091	Eff- Match For Medicaid	\$0	\$383,057	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$2,739,874	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$38,557,232</b>	<b>\$25,805,128</b>	<b>\$3,970,120</b>	<b>\$4,683,662</b>	<b>\$4,758,602</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$7,495,132	\$0	\$0	\$0	\$0

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	2	Community Services and Supports - Entitlement	Service Categories:		
STRATEGY:	3	Day Activity and Health Services (DAHS)	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	369	\$7,495,132	\$0	\$0	\$0	\$0
555	Federal Funds					
93.778.000	XIX FMAP	\$69,031,106	\$36,256,267	\$1,785,802	\$6,955,657	\$7,078,717
CFDA Subtotal, Fund	555	\$69,031,106	\$36,256,267	\$1,785,802	\$6,955,657	\$7,078,717
8138	FF for FY 12-13 Entitlement/Waiver					
93.778.000	XIX FMAP	\$0	\$0	\$3,977,150	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$3,977,150	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$76,526,238</b>	<b>\$36,256,267</b>	<b>\$5,762,952</b>	<b>\$6,955,657</b>	<b>\$7,078,717</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$11,639,319</b>	<b>\$11,837,319</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$115,083,470</b>	<b>\$62,061,395</b>	<b>\$9,733,072</b>	<b>\$11,639,319</b>	<b>\$11,837,319</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	2	Community Services and Supports - Entitlement	Service Categories:		
STRATEGY:	3	Day Activity and Health Services (DAHS)	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Title XIX, Day Activity & Health Services (DAHS) strategy provides licensed adult day care facility daytime services five days a week (Monday-Friday). Services are designed to address the physical, mental, medical, and social needs of individuals, and must be provided or supervised by a licensed nurse. Services include nursing and personal care, noontime meal, snacks, transportation, and social, educational, and recreational activities. Individuals receive services based on half-day (three to six hours) units of service; an individual may receive a maximum of 10 units of service a week, depending on the physician's orders and related requirements.

To be eligible for DAHS, an individual may be of any age (individuals under 18 are not ineligible, however, those under age 18 are not able to attend adult day-care facilities due to licensure issues), and have a physician's order requiring care or supervision by a licensed nurse because the individual has a need for skilled or restorative nursing that can be met at the facility. (For Title XX funded DAHS, the income limit is 300% of SSI and the resources limit is \$5,000 or less for an individual if not SSI eligible or \$6,000 or less for a couple if not SSI eligible.)

Statutory Authority. Social Security Act, §§1902(a)(10)(A)(i) (I)-(VII), §1905(a)(13), §1915(b), and §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	2	Community Services and Supports - Entitlement	Service Categories:		
STRATEGY:	3	Day Activity and Health Services (DAHS)	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The funding request for this strategy has been significantly impacted by the expansion of STAR+PLUS managed care that has occurred in three phases: February 2011 (Dallas/Tarrant catchment area), September 2011 (Contiguous counties of the STARPLUS catchment areas that were in place prior to expansion), and March 2012 (Amarillo/Lubbock, El Paso, and South Texas catchment areas). The “STARPLUS” and “non-STARPLUS” sub-strategies lay out the impact of managed care expansion for FYs 2011 and FY 2012. If STARPLUS is expanded state-wide in FY 2015, this strategy will be totally eliminated.

In addition, because of issues the Center for Medicare/Medicaid (CMS) has with this program, the state plans to convert the Day Activity and Health Services (DAHS) program to a Medicaid 1915(i) program. (The planned effective date for this conversion is September 1, 2012. However, CMS has not yet approved the State Plan amendment.) The conversion of DAHS to a 1915(i) may result in a small number of individuals in CBA and MDCP who currently receive DAHS to begin receiving this service through their respective waiver, which will result in the need for funding transfers from this strategy into waivers.



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 2 Community Services and Supports - Entitlement  
 STRATEGY: 3 Day Activity and Health Services (DAHS)  
 SUB-STRATEGY: 1 STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Per Month: Day Activity and Health Services	16,023.00	7,728.00	0.00	0.00	0.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Day Activity and Health Services	\$542.41	\$546.75	\$0.00	\$0.00	\$0.00
<b>Objects of Expense:</b>					
3001 - Client Services	\$104,293,073	\$50,703,755	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$104,293,073</b>	<b>\$50,703,755</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$35,032,009	\$20,769,666	\$0	\$0	\$0
8091 EFF-Match for Medicaid	\$0	\$312,955	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$35,032,009</b>	<b>\$21,082,621</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$6,748,437	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$6,748,437</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$62,512,627	\$29,621,134	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$62,512,627</b>	<b>\$29,621,134</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$69,261,064</b>	<b>\$29,621,134</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$104,293,073</b>	<b>\$50,703,755</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 2 Community Services and Supports - Entitlement  
 STRATEGY: 3 Day Activity and Health Services (DAHS)  
 SUB-STRATEGY: 2 Non STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Per Month: Day Activity and Health Services	1,923.00	1,959.00	1,700.00	2,033.00	2,068.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Day Activity and Health Services	\$467.60	\$483.14	\$477.08	\$477.08	\$477.08
<b>Objects of Expense:</b>					
3001 - Client Services	\$10,790,397	\$11,357,641	\$9,733,072	\$11,639,319	\$11,837,319
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$10,790,397</b>	<b>\$11,357,641</b>	<b>\$9,733,072</b>	<b>\$11,639,319</b>	<b>\$11,837,319</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$3,525,223	\$4,652,405	\$1,230,246	\$4,683,662	\$4,758,602
8091 EFF-Match for Medicaid	\$0	\$70,102	\$0	\$0	\$0
8137 GR Match for Medicaid-FY 12-13 demand	\$0	\$0	\$2,739,874	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$3,525,223</b>	<b>\$4,722,507</b>	<b>\$3,970,120</b>	<b>\$4,683,662</b>	<b>\$4,758,602</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$746,695	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$746,695</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$6,518,479	\$6,635,134	\$1,785,802	\$6,955,657	\$7,078,717
<b>CFDA Subtotal, Fund 0555</b>	<b>\$6,518,479</b>	<b>\$6,635,134</b>	<b>\$1,785,802</b>	<b>\$6,955,657</b>	<b>\$7,078,717</b>
8138 Federal Funds-FY 12-13 demand					
93.778.000 XIX FMAP	\$0	\$0	\$3,977,150	\$0	\$0
<b>CFDA Subtotal, Fund 8138</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,977,150</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$7,265,174</b>	<b>\$6,635,134</b>	<b>\$5,762,952</b>	<b>\$6,955,657</b>	<b>\$7,078,717</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$10,790,397</b>	<b>\$11,357,641</b>	<b>\$9,733,072</b>	<b>\$11,639,319</b>	<b>\$11,837,319</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

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GOAL: 1 Long Term Services and Supports  
OBJECTIVE: 2 Community Services and Supports - Entitlement  
STRATEGY: 3 Day Activity and Health Services (DAHS)  
SUB-STRATEGY: 2 Non STAR+PLUS

<b>Code</b>	<b>Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

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3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 1 Community-based Alternatives (CBA) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Served Per Month: Medicaid CBA Waiver	22,810.00	14,441.00	9,794.00	9,816.00	9,816.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual: Medicaid CBA Waiver	1,567.58	1,479.05	1,378.36	1,378.36	1,378.36
<b>Explanatory/Input Measures:</b>						
KEY 1	Average Number on Interest List Per Month: CBA Waiver	32,763.00	19,169.00	12,847.00	13,108.00	13,372.00
KEY 2	# of Persons Receiving Svcs at the End of the Fiscal Year: CBA Waiver	20,764.00	9,768.00	9,816.00	9,816.00	9,816.00
KEY 3	Total # Declined Svcs or Found to be Ineligible for Svcs FY CBA Waiver	15,377.00	15,487.00	8,800.00	8,800.00	8,800.00
KEY 4	Avg # on CBA Interest List Receiving Other DADS Svcs Per Mth	20,852.00	9,671.33	6,310.00	6,436.00	6,564.00
KEY 5	% Declined Svcs or Found to be Ineligible Svcs at the EOY CBA Waiver	81.40	79.30	79.30	79.30	79.30
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$438,890,245	\$257,204,469	\$162,844,245	\$162,899,844	\$162,903,731

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 1 Community-based Alternatives (CBA) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$438,890,245</b>	<b>\$257,204,469</b>	<b>\$162,844,245</b>	<b>\$162,899,844</b>	<b>\$162,903,731</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$144,420,439	\$98,730,890	\$52,773,203	\$65,603,607	\$65,540,607
8091	Eff- Match For Medicaid	\$0	\$7,214,158	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$13,729,098	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$144,420,439</b>	<b>\$105,945,048</b>	<b>\$66,502,301</b>	<b>\$65,603,607</b>	<b>\$65,540,607</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$28,974,917	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$28,974,917	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$264,838,223	\$150,835,247	\$75,988,867	\$97,026,205	\$97,091,149
	93.778.003 XIX 50%	\$656,666	\$424,174	\$424,176	\$270,032	\$271,975
CFDA Subtotal, Fund	555	\$265,494,889	\$151,259,421	\$76,413,043	\$97,296,237	\$97,363,124
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$19,928,901	\$0	\$0

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 1 Community-based Alternatives (CBA) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund 8138		\$0	\$0	\$19,928,901	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$294,469,806</b>	<b>\$151,259,421</b>	<b>\$96,341,944</b>	<b>\$97,296,237</b>	<b>\$97,363,124</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$162,899,844</b>	<b>\$162,903,731</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$438,890,245</b>	<b>\$257,204,469</b>	<b>\$162,844,245</b>	<b>\$162,899,844</b>	<b>\$162,903,731</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Community Based Alternatives (CBA) strategy provides services and supports to aged and disabled individuals as an alternative to residing in a nursing facility. Services include case management, adaptive aids, medical supplies, dental, adult foster care, assisted living/residential care, emergency response, nursing, minor home modifications, occupational therapy, personal assistance, home delivered meals, physical therapy, respite care, speech pathology, and transition assistance services.

To be eligible for CBA services, an individual must be 21 years of age or older; meet medical necessity for nursing facility admission; have a monthly income that is within 300% of the monthly income limit for SSI (currently \$2,094/month, adjusted annually); have countable resources of no more than \$2,000; have an Individual Service Plan (ISP) that does not exceed 200% of the reimbursement rate that would have been paid for that same individual to receive services in a nursing facility; and choose waiver services instead of nursing facility care based on an informed choice.

Statutory Authority. Social Security Act, §1915(b), and §1915(c); Human Resources Code, Chapters 32 and 161, and Government Code 531.

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	3	Community Services and Supports - Waivers	Service Categories:		
STRATEGY:	1	Community-based Alternatives (CBA)	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The funding request for this strategy has been significantly impacted by the expansion of STAR+PLUS managed care that has occurred in three phases: February 2011 (Dallas/Tarrant catchment area), September 2011 (Contiguous counties of the STARPLUS catchment areas that were in place prior to expansion), and March 2012 (Amarillo/Lubbock, El Paso, and South Texas catchment areas). The “STARPLUS” and “non-STARPLUS” sub-strategies lay out the impact of managed care expansion for FYs 2011 and FY 2012. If STARPLUS is expanded state-wide in FY 2015, this strategy will be totally eliminated.

This strategy has experienced a 1.88% annual increase in the average monthly cost per individual served. This equates to an increased cost per individual served of \$25.91 in FY 2014 and \$52.31 in FY 2015. Funding for this utilization/acuity related cost increase has been included in the department’s “Cost Trends” Exceptional Item. The ‘Promoting Independence’ Exceptional Item requests 100 CBA slots to prevent institutionalization of people with disabilities with imminent risk associated with their disability. The “Community Expansion” Exceptional Item includes a request to serve an additional 982 individuals in CBA.



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 3 Community Services and Supports - Waivers  
 STRATEGY: 1 Community Based Alternatives (CBA)  
 SUB-STRATEGY: 1 STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Per Month: Medicaid Community-based Alternatives (CBA) Waiver	13,224.00	4,807.00	0.00	0.00	0.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Medicaid Community-based Alternatives (CBA) Waiver	\$1,757.08	\$1,803.03	\$0.00	\$0.00	\$0.00
 <b>Objects of Expense:</b>					
3001 - Client Services	\$278,827,985	\$104,006,242	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$278,827,985</b>	<b>\$104,006,242</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
 <b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$91,982,594	\$40,328,593	\$0	\$0	\$0
8091 EFF-Match for Medicaid	\$0	\$2,917,202	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$91,982,594</b>	<b>\$43,245,795</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
 <b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$17,981,054	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$17,981,054</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$168,864,338	\$60,760,447	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$168,864,338</b>	<b>\$60,760,447</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
 <b>SUBTOTAL, MOF (Federal Funds )</b>	 <b>\$186,845,391</b>	 <b>\$60,760,447</b>	 <b>\$0</b>	 <b>\$0</b>	 <b>\$0</b>
 <b>TOTAL, METHOD OF FINANCE</b>	 <b>\$278,827,985</b>	 <b>\$104,006,242</b>	 <b>\$0</b>	 <b>\$0</b>	 <b>\$0</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
 <b>FULL TIME EQUIVALENT POSITIONS:</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 3 Community Services and Supports - Waivers  
 STRATEGY: 1 Community Based Alternatives (CBA)  
 SUB-STRATEGY: 2 Non STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Per Month: Medicaid Community-based Alternatives (CBA) Waiver	9,585.00	9,634.00	9,794.00	9,816.00	9,816.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Medicaid Community-based Alternatives (CBA) Waiver	\$1,381.25	\$1,317.41	\$1,378.36	\$1,378.36	\$1,378.36
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$0	\$0	\$0	\$0	\$0
3001 - Client Services	\$160,062,260	\$153,198,226	\$162,844,246	\$162,899,844	\$162,903,730
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$160,062,260</b>	<b>\$153,198,226</b>	<b>\$162,844,246</b>	<b>\$162,899,844</b>	<b>\$162,903,731</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$52,437,854	\$58,402,296	\$52,773,203	\$65,603,607	\$65,540,607
8091 EFF-Match for Medicaid	\$0	\$4,296,956	\$0	\$0	\$0
8137 GR Match for Medicaid-FY 12-13 demand	\$0	\$0	\$13,729,098	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$52,437,854</b>	<b>\$62,699,252</b>	<b>\$66,502,301</b>	<b>\$65,603,607</b>	<b>\$65,540,607</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$10,993,863	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$10,993,863</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$95,973,886	\$90,074,800	\$75,988,868	\$97,026,205	\$97,091,149
93.778.003 XIX ADM 50%	\$656,656	\$424,174	\$424,176	\$270,032	\$271,975
<b>CFDA Subtotal, Fund 0555</b>	<b>\$96,630,542</b>	<b>\$90,498,974</b>	<b>\$76,413,044</b>	<b>\$97,296,236</b>	<b>\$97,363,124</b>
8138 Federal Funds-FY 12-13 demand					
93.778.000 XIX FMAP	\$0	\$0	\$19,928,901	\$0	\$0
<b>CFDA Subtotal, Fund 8138</b>	<b>\$0</b>	<b>\$0</b>	<b>\$19,928,901</b>	<b>\$0</b>	<b>\$0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 3 Community Services and Supports - Waivers  
 STRATEGY: 1 Community Based Alternatives (CBA)  
 SUB-STRATEGY: 2 Non STAR+PLUS

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$107,624,406</b>	<b>\$90,498,974</b>	<b>\$96,341,945</b>	<b>\$97,296,236</b>	<b>\$97,363,124</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$160,062,260</b>	<b>\$153,198,226</b>	<b>\$162,844,246</b>	<b>\$162,899,844</b>	<b>\$162,903,731</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

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**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 2 Home and Community-based Services (HCS) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Avg # Individuals Served Per Mth: Home & Community Based Services (HCS)	19,485.00	19,893.00	20,471.00	20,511.00	20,511.00
<b>Efficiency Measures:</b>						
KEY 1	Avg Mthly Cost Per Individual Served: Home & Community Based Services	3,465.31	3,423.61	3,449.22	3,449.22	3,449.22
KEY 2	Avg Mthly Cost Indiv Served: Home and Community-Based Svcs Residential	5,098.83	5,030.82	5,154.33	5,154.33	5,154.33
KEY 3	Avg Mthly Cost Indiv: Home & Community-Based Svcs Non Residential	2,631.21	2,563.80	2,618.68	2,618.68	2,618.68
<b>Explanatory/Input Measures:</b>						
KEY 1	# Individuals Receiving Services at the End of the Fiscal Year: HCS	19,758.00	20,313.00	20,795.00	20,511.00	20,511.00
KEY 2	Avg # Individs on Interest List Per Month: Home & Community Based Svcs	49,713.00	56,876.00	65,372.00	75,047.00	86,153.00
KEY 3	Tot # Declined Svcs or Found to be Ineligible for Svcs FY HCS Waiver	607.00	60.00	65.00	0.00	0.00
KEY 4	Avg # on HCS Interest List Receiving Other DADS Svcs Per Mth	15,806.00	14,364.00	14,538.00	14,763.00	14,992.00

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 2 Home and Community-based Services (HCS) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
	5 % Declined Svcs or Found to be Ineligible Svcs at the EOY HCS Waiver	29.00 %	30.60 %	30.60 %	0.00 %	0.00 %
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$808,171,460	\$816,518,516	\$847,287,096	\$848,956,749	\$848,956,749
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$808,171,460</b>	<b>\$816,518,516</b>	<b>\$847,287,096</b>	<b>\$848,956,749</b>	<b>\$848,956,749</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$1,250,000	\$0	\$0	\$0	\$0
758	GR Match For Medicaid	\$263,494,993	\$330,329,869	\$345,608,406	\$341,620,196	\$341,280,613
8091	Eff- Match For Medicaid	\$0	\$6,628,524	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$264,744,993</b>	<b>\$336,958,393</b>	<b>\$345,608,406</b>	<b>\$341,620,196</b>	<b>\$341,280,613</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund 93.778.014 Medicaid - Stimulus	\$47,807,064	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund 369		\$47,807,064	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$489,286,413	\$467,390,122	\$501,678,690	\$507,336,553	\$507,676,136
	93.779.000 Health Care Financing Res	\$6,332,990	\$12,170,001	\$0	\$0	\$0

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 2 Home and Community-based Services (HCS) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	555	\$495,619,403	\$479,560,123	\$501,678,690	\$507,336,553	\$507,676,136
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$543,426,467</b>	<b>\$479,560,123</b>	<b>\$501,678,690</b>	<b>\$507,336,553</b>	<b>\$507,676,136</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$848,956,749</b>	<b>\$848,956,749</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$808,171,460</b>	<b>\$816,518,516</b>	<b>\$847,287,096</b>	<b>\$848,956,749</b>	<b>\$848,956,749</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Home and Community-Based Services (HCS) strategy provides services and supports for individuals with intellectual or developmental disabilities as an alternative to an ICF/IID. Individuals may live in their own or family home, in a foster/companion care setting, or in a residence with no more than four individuals who receive similar services. Services include case management, and, as appropriate, residential assistance, supported employment, day habilitation, respite, dental treatment, adaptive aids, minor home modifications, and/or specialized therapies such as social work, behavioral support, occupational therapy, physical therapy, audiology, speech/language pathology, dietary services, and licensed nursing services.

To be eligible for HCS, an individual may be of any age, and must have a determination of intellectual disability made in accordance with state law or have been diagnosed by a physician as having a related condition; meet the ICF/IID Level of Care I criteria; have a monthly income that is within 300% of the SSI monthly income limit (currently \$2,094/month, adjusted annually); and have an Individual Plan of Care (IPC) that does not exceed 200 percent of the reimbursement rate that would have been paid for that same person to receive services in an ICF/IID, or 200 percent of the estimated annualized per capita cost for ICF/IID services, whichever is greater.

Statutory Authority. Social Security Act, §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	3	Community Services and Supports - Waivers	Service Categories:		
STRATEGY:	2	Home and Community-based Services (HCS)	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

As a result of the elimination of CWP 1/1/2012, the avg # of individuals served in HCS in FY11 was increased by 101 to reflect the estimated # of CWP individuals who were eligible for HCS. For FY12, the avg. # served was increased by 33 (reflecting the impact of four months of the year.)

The baseline request for GR/ GR-D may not exceed the amounts expended/budgeted for FY12-13. Because of this limitation, the base will not fully fund the slots appropriated for the end of FY13. DADS has included a "caseload" item to maintain HCS slots at the end of FY '13 level. HCS has seen a .75% annual increase in the avg cost per individual served as the result of an increase in the % of individuals receiving higher-cost residential services. This equates to an increased cost per individual of \$25.87 in FY14/\$51.93 in FY '15. Funding for utilization/acuity related cost increases has been included in the "Cost Trends" item. The "Promoting Independence" Item requests 300 slots to prevent institutionalization of people with disabilities with imminent risk associated with their disability, 400 slots for moving individuals out of large/medium ICF/IDs, 192 slots for serving children aging out of foster care, and 120 slots in FY14 plus another 240 slots in FY15 for moving individuals with IDD from nursing facilities to HCS. The "Community Expansion" item includes a request to serve 5,566 individuals who are on the HCS interest list. This item also includes a new "Community First Choice (CFC) program" which would serve 8,478 individuals who are on the HCS interest list.



**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 3 Community Living Assistance and Support Services (CLASS) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Served Per Month: CLASS Waiver	4,630.00	4,676.00	4,655.00	4,655.00	4,655.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual: CLASS Waiver	3,478.19	3,503.09	3,503.09	3,503.09	3,503.09
<b>Explanatory/Input Measures:</b>						
KEY 1	Average Number on Interest List: Community Living Assistance & Support	35,106.00	41,220.00	47,244.00	54,114.00	61,983.00
KEY 2	# of Persons Receiving Svcs at the End of the Fiscal Year: CLASS	4,727.00	4,640.00	4,655.00	4,655.00	4,655.00
KEY 3	Total # Declined Svcs or Found to be Ineligible for Svcs CLASS Waiver	1,000.00	48.00	338.00	338.00	338.00
KEY 4	Avg # on CLASS Interest List Receiving Other DADS Svcs Per Mth	5,418.00	9,622.00	9,715.00	9,822.00	9,931.00
	5 % Declined Svcs or Found to be Ineligible Svcs at the EOY CLASS Waiver	58.00 %	62.70 %	62.70 %	62.70 %	62.70 %
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$192,726,160	\$196,337,036	\$195,682,608	\$195,682,607	\$195,682,607

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL:	1 Long-term Services and Supports	Statewide Goal/Benchmark:	3 3
OBJECTIVE:	3 Community Services and Supports - Waivers	Service Categories:	
STRATEGY:	3 Community Living Assistance and Support Services (CLASS)	Service: 26	Income: A.1      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$192,726,160</b>	<b>\$196,337,036</b>	<b>\$195,682,608</b>	<b>\$195,682,607</b>	<b>\$195,682,607</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$64,467,475	\$74,829,021	\$74,987,240	\$78,742,681	\$78,664,408
8091	Eff- Match For Medicaid	\$0	\$7,026,862	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$4,831,696	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$64,467,475</b>	<b>\$81,855,883</b>	<b>\$79,818,936</b>	<b>\$78,742,681</b>	<b>\$78,664,408</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$11,755,958	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$11,755,958	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$116,502,727	\$114,481,153	\$108,850,073	\$116,939,926	\$117,018,199
CFDA Subtotal, Fund	555	\$116,502,727	\$114,481,153	\$108,850,073	\$116,939,926	\$117,018,199
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$7,013,599	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$7,013,599	\$0	\$0

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 3 Community Living Assistance and Support Services (CLASS) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$128,258,685</b>	<b>\$114,481,153</b>	<b>\$115,863,672</b>	<b>\$116,939,926</b>	<b>\$117,018,199</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$195,682,607</b>	<b>\$195,682,607</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$192,726,160</b>	<b>\$196,337,036</b>	<b>\$195,682,608</b>	<b>\$195,682,607</b>	<b>\$195,682,607</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Community Living Assistance & Support Services (CLASS) strategy provides services and supports for individuals with related conditions as an alternative to residing in an ICF/IID. Individuals may live in their own or family home. Services include adaptive aids and medical supplies, case management, consumer directed services, habilitation, minor home modifications, nursing services, occupational and physical therapy, behavioral support services, respite, specialized therapies, speech pathology, pre-vocational services, supported employment, support family services, and transition assistance services.

To be eligible for CLASS, an individual may be of any age, and must have a monthly income that is within 300% of the monthly income limit for SSI (currently \$2,094/month, adjusted annually); have countable resources of no more than \$2,000; and have an Individual Service Plan (ISP) that does not exceed 200% of the estimated annualized per capita cost of providing services in an ICF/IID to an individual qualifying for an ICF/IID Level of Care VIII.

Statutory Authority. Social Security Act, §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	3	Community Services and Supports - Waivers	Service Categories:		
STRATEGY:	3	Community Living Assistance and Support Services (CLASS)	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

As a result of the elimination of the Consolidated Waiver program (CWP) effective January 1, 2012, the average number of individuals served in CLASS in FY 2011 was increased by 38 to reflect the estimated number of CWP individuals who were eligible for CLASS. For FY 2012, the average number served was increased by 12 (reflecting the impact of four months of the year.)

The “Community Expansion” Exceptional Item includes a request to serve an additional 3,056 individuals in CLASS. For FY 2015, the “Community First Choice (CFC)” Exceptional Item includes a request to serve an additional 3,403 individuals who are on the CLASS interest list.

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 4 Deaf-Blind Multiple Disabilities (DBMD) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Served Per Month: Deaf-Blind Waiver	153.00	158.00	158.00	158.00	158.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual: Deaf-Blind Waiver	4,192.90	4,191.69	4,191.29	4,191.29	4,191.29
<b>Explanatory/Input Measures:</b>						
KEY 1	Average Number on Interest List: Deaf-Blind Mult Disabilities Waiver	375.00	478.00	600.00	756.00	953.00
KEY 2	# of Persons Receiving Services at the End of the Fiscal Year: DBMD	149.00	158.00	158.00	158.00	158.00
KEY 3	Tot # Declined Svcs or Found to be Ineligible for Svcs FY DBMD Waiver	0.00	32.00	15.00	15.00	15.00
KEY 4	Avg # DBMD Interest List Receiving Other DADS Svcs Per Mth	23.00	185.00	188.00	189.00	190.00
	5 % Declined Svcs or Found to be Ineligible Svcs at the EOY DBMD Waiver	0.00 %	80.00 %	80.00 %	80.00 %	80.00 %
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$7,536,630	\$7,881,621	\$7,946,688	\$7,946,688	\$7,946,688

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 4 Deaf-Blind Multiple Disabilities (DBMD) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$7,536,630</b>	<b>\$7,881,621</b>	<b>\$7,946,688</b>	<b>\$7,946,688</b>	<b>\$7,946,688</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$120,000	\$120,000	\$120,000	\$120,000	\$120,000
758	GR Match For Medicaid	\$2,846,927	\$2,964,644	\$3,033,178	\$3,077,747	\$3,074,569
8091	Eff- Match For Medicaid	\$0	\$205,355	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$88,276	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$2,966,927</b>	<b>\$3,289,999</b>	<b>\$3,241,454</b>	<b>\$3,197,747</b>	<b>\$3,194,569</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$449,441	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund 369		\$449,441	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$4,120,262	\$4,591,622	\$4,577,094	\$4,748,941	\$4,752,119
CFDA Subtotal, Fund 555		\$4,120,262	\$4,591,622	\$4,577,094	\$4,748,941	\$4,752,119
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$128,140	\$0	\$0

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 4 Deaf-Blind Multiple Disabilities (DBMD) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	8138	\$0	\$0	\$128,140	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$4,569,703</b>	<b>\$4,591,622</b>	<b>\$4,705,234</b>	<b>\$4,748,941</b>	<b>\$4,752,119</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$7,946,688</b>	<b>\$7,946,688</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$7,536,630</b>	<b>\$7,881,621</b>	<b>\$7,946,688</b>	<b>\$7,946,688</b>	<b>\$7,946,688</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	3	Community Services and Supports - Waivers	Service Categories:		
STRATEGY:	4	Deaf-Blind Multiple Disabilities (DBMD)	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Deaf, Blind and Multiple Disabilities (DBMD) strategy provides services and supports for individuals with deaf blindness and one or more other disabilities as an alternative to residing in an ICF/IID. Individuals may reside in their own or family home or in small group homes. Services include adaptive aids and medical supplies, dental services, assisted living, behavioral support services, case management, chore services, minor home modifications, residential habilitation, day habilitation, intervener, nursing services, occupational therapy, physical therapy, orientation and mobility, respite, speech, hearing and language therapy, supported employment, employment assistance, dietary services, financial management services for the consumer directed services option, and transition assistance services.

To be eligible for DBMD, an individual must be 18 years of age or older; have deaf blindness with one or more other disabilities that impairs independent functioning; have a monthly income that is within 300% of the monthly income limit for SSI (currently \$2,094/month, adjusted annually); have countable resources of no more than \$2,000; and have an ISP that does not exceed 200% of the estimated annualized per capita cost of providing services in an ICF/IID to an individual qualifying for an ICF/IID Level of Care VIII.

Statutory Authority. Social Security Act, §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**



**3.A. STRATEGY REQUEST**

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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 4 Deaf-Blind Multiple Disabilities (DBMD) Service: 26 Income: A.1 Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The number of slots for the Deaf Blind waiver was increased by 12 above the appropriated level in order to meet the maintenance-of- effort (MOE) threshold (funded at 148 individuals served vs. an MOE threshold of 156). In addition, as a result of the elimination of the Consolidated Waiver program (CWP) effective January 1, 2012, the average number of individuals served in DBMD in FY 2011 was increased by two to reflect the estimated number of CWP individuals who were eligible for DBMD. For FY 2012, the average number served was increased by one (reflecting the impact of four months of the year.)

The “Community Expansion” Exceptional Item includes a request to serve an additional 16 individuals in DBMD. For FY 2015, the “Community First Choice (CFC)” Exceptional Item includes a request to serve an additional 21 individuals who are on the DBMD interest list.

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3.A. STRATEGY REQUEST

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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 5 Medically Dependent Children Program (MDCP) Service: 26 Income: A.1 Age: B.1

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Served Per Month: MDCP Waiver	2,437.00	2,355.00	2,404.00	2,404.00	2,404.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual: MDCP Waiver	1,486.36	1,477.06	1,437.76	1,437.76	1,437.76
<b>Explanatory/Input Measures:</b>						
KEY 1	Average Number on Interest List Per Month: MDCP Waiver	20,235.00	24,111.00	28,297.00	33,105.00	38,730.00
KEY 2	# Persons Receiving Svcs at the End of the Fiscal Year: MDCP	2,330.00	2,394.00	2,404.00	2,404.00	2,404.00
KEY 3	Tot # Declined Svcs or Found to be Ineligible for Svcs FY MDCP Waiver	697.00	628.00	1,032.00	1,032.00	1,032.00
KEY 4	Avg # on MDCP Interest List Receiving Other DADS Svcs Per Mth	353.00	1,723.00	1,770.00	1,803.00	1,836.00
	5 % Declined Svcs or Found to be Ineligible Svcs at the EOY MDCP Waiver	76.20 %	74.90 %	74.90 %	74.90 %	74.90 %
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$43,579,249	\$41,750,047	\$41,476,500	\$41,476,500	\$41,476,500

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 5 Medically Dependent Children Program (MDCP) Service: 26 Income: A.1 Age: B.1

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$43,579,249</b>	<b>\$41,750,047</b>	<b>\$41,476,500</b>	<b>\$41,476,500</b>	<b>\$41,476,500</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$14,328,854	\$17,359,670	\$16,918,264	\$16,690,144	\$16,673,553
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$14,328,854</b>	<b>\$17,359,670</b>	<b>\$16,918,264</b>	<b>\$16,690,144</b>	<b>\$16,673,553</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$3,259,951	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$3,259,951	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$25,990,444	\$24,390,377	\$24,558,236	\$24,786,356	\$24,802,947
CFDA Subtotal, Fund	555	\$25,990,444	\$24,390,377	\$24,558,236	\$24,786,356	\$24,802,947
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$29,250,395</b>	<b>\$24,390,377</b>	<b>\$24,558,236</b>	<b>\$24,786,356</b>	<b>\$24,802,947</b>

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	3	Community Services and Supports - Waivers	Service Categories:		
STRATEGY:	5	Medically Dependent Children Program (MDCP)	Service: 26	Income: A.1	Age: B.1

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					\$41,476,500	\$41,476,500
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		\$43,579,249	\$41,750,047	\$41,476,500	\$41,476,500	\$41,476,500
<b>FULL TIME EQUIVALENT POSITIONS:</b>		0.0	0.0	0.0	0.0	0.0

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Medically Dependent Children Program (MDCP) strategy provides a variety of services and supports for families caring for children who are medically dependent as an alternative to residing in a nursing facility. Specific services include adaptive aids, adjunct support services, minor home modifications, respite, financial management services, and transition assistance services.

To be eligible for MDCP, an individual must be under 21 years of age; meet the medical necessity requirements for nursing facility admission; have a monthly income that is within 300% of the monthly income limit for SSI (currently \$2,094/month, adjusted annually); have countable resources of no more than \$2,000; and have an Individual Plan of Care (IPC) that does not exceed 50% of the reimbursement rate that would have been paid for that same individual to receive services in a nursing facility.

Statutory Authority. Social Security Act, §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 5 Medically Dependent Children Program (MDCP) Service: 26 Income: A.1 Age: B.1

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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As a result of the elimination of the Consolidated Waiver program (CWP) effective January 1, 2012, the average number of individuals served in MDCP in FY 2011 was increased by 15 to reflect the estimated number of CWP individuals who were eligible for MDCP. For FY 2012, the average number served was increased by five (reflecting the impact of four months of the year.)

The "Community Expansion" Exceptional Item includes a request to serve an additional 238 individuals in MDCP.

**3.A. STRATEGY REQUEST**  
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 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 6 Texas Home Living Waiver Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Avg Number of Individuals Served Per Month: Texas Home Living Waiver	911.00	4,200.00	5,738.00	5,738.00	5,738.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual Served: Texas Home Living Waiver	678.94	778.18	800.00	800.00	800.00
<b>Explanatory/Input Measures:</b>						
KEY 1	# of Individuals Receiving Svcs at the End of the Fiscal Year: Tx HML	1,942.00	5,481.00	5,738.00	5,738.00	5,738.00
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$7,427,958	\$39,217,936	\$55,084,800	\$55,084,801	\$55,084,801
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$7,427,958</b>	<b>\$39,217,936</b>	<b>\$55,084,800</b>	<b>\$55,084,801</b>	<b>\$55,084,801</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$3,169,035	\$16,306,819	\$19,095,013	\$22,166,124	\$22,144,090
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$3,374,077	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$3,169,035</b>	<b>\$16,306,819</b>	<b>\$22,469,090</b>	<b>\$22,166,124</b>	<b>\$22,144,090</b>

**Method of Financing:**

**3.A. STRATEGY REQUEST**  
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8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 3 Community Services and Supports - Waivers Service Categories:  
 STRATEGY: 6 Texas Home Living Waiver Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$350,918	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$350,918	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$3,908,005	\$22,911,117	\$27,717,963	\$32,918,677	\$32,940,711
CFDA Subtotal, Fund	555	\$3,908,005	\$22,911,117	\$27,717,963	\$32,918,677	\$32,940,711
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$4,897,747	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$4,897,747	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$4,258,923</b>	<b>\$22,911,117</b>	<b>\$32,615,710</b>	<b>\$32,918,677</b>	<b>\$32,940,711</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$55,084,801</b>	<b>\$55,084,801</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>					<b>\$7,427,958</b>	<b>\$39,217,936</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						



**3.A. STRATEGY REQUEST**

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**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	3	Community Services and Supports - Waivers	Service Categories:		
STRATEGY:	6	Texas Home Living Waiver	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Texas Home Living (TxHmL) strategy provides essential services and supports for individuals with intellectual or developmental disabilities as an alternative to residing in an ICF/IID. Individuals must live in their own or family homes. Service components are comprised of the Community Living Service category and the Technical and Professional Supports Services category. The Community Living Service category includes community support, day habilitation, employment assistance, supported employment, and respite services. The Technical and Professional Supports Services category includes skilled nursing, behavioral support, adaptive aids, minor home modifications, dental treatment, and specialized therapies. Coordination of services is provided by the local mental retardation authority service coordinator.

To be eligible for TxHmL, an individual may be of any age, and must have a determination intellectual or developmental disability made in accordance with state law; live in his/her own home or in his/her family's home; be Medicaid eligible; meet the requirements for ICF/MR Level of Care I; have an Individual Service Plan (ISP) that does not exceed \$18,135, and not be assigned a Pervasive Plus Level of Need 9.

Statutory Authority. Social Security Act, §1915(c); Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

As directed by the 82nd Legislature, the FY 2012-13 Appropriations Act assumed the "refinancing" of services through the Texas Home Living waiver for 5,000 individuals who were receiving General Revenue-funded services through the Intellectual & Developmental Disability Community Services strategy, in addition to a base service level of 738. The average number of individuals served in FY 2011 and 2012 reflect a ramping-up, achieving the full service level of 5738 for FY 2013.

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3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 1 Non-Medicaid Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
1	Average # of Individuals Per Mth Receiving Home-delivered Meals (SSBG)	16,285.00	15,511.00	15,511.00	15,511.00	15,511.00
2	Average Number of Home-delivered Meals Provided Per Month (SSBG)	343,939.00	327,592.00	327,592.00	327,592.00	327,592.00
3	Number of Individuals Receiving Congregate Meals (AAA)	56,062.00	54,746.00	55,684.00	56,805.00	56,731.00
4	Number of Congregate Meals Served (AAA)	3,589,716.00	3,774,215.00	3,424,584.00	3,517,738.00	3,505,048.00
5	Number of Individuals Receiving Home-delivered Meals (AAA)	39,540.00	36,458.00	35,817.00	38,330.00	38,523.00
6	Number of Home-delivered Meals Served (AAA)	4,757,544.04	5,108,253.00	4,713,111.00	4,727,586.00	4,730,098.00
7	Number of Individuals Receiving Homemaker Services (AAA)	2,202.00	2,261.00	2,408.00	2,307.00	2,324.00
8	Number of Individuals Receiving Personal Assistance (AAA)	947.00	957.00	843.00	841.00	855.00
9	Number of Homes Repaired/Modified (AAA)	2,154.00	1,794.00	1,767.00	1,855.00	1,864.00
10	Number of One-way Trips (AAA)	778,015.00	755,277.00	760,599.00	760,320.00	760,320.00
11	Number of Retired and Senior Volunteer Program (RSVP) Volunteers	31,500.00	30,000.00	30,000.00	31,500.00	31,500.00
KEY 12	Avg # of Individuals Served Per Month: Non Medicaid Comm Care (XX/GR)	35,778.00	32,985.00	32,985.00	32,985.00	32,985.00

3.A. STRATEGY REQUEST

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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 1 Non-Medicaid Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
13	Average Number of Individuals Served Per Month: (XX/GR)	0.00	0.00	0.00	0.00	0.00
<b>Efficiency Measures:</b>						
1	Avg Mthly Cost Per Individual Served: Non-Medicaid Community Serv (XX)	215.36	222.43	222.43	222.43	222.43
2	Average Cost Per Home-delivered Meal (SSBG)	4.81	4.81	4.81	4.81	4.81
3	Statewide Average Cost Per Congregate Meal (AAA)	4.93	5.06	5.19	5.15	5.20
4	Statewide Average Cost Per Home-delivered Meal (AAA)	4.76	4.89	4.98	4.94	4.98
5	Statewide Average Cost Per Person Receiving Homemaker Services (AAA)	612.60	706.15	619.48	690.57	693.23
6	Statewide Avg Cost Per Person Rec Personal Assistance Services (AAA)	1,199.99	1,210.63	1,324.88	1,308.21	1,298.75
7	Statewide Average Cost Per Modified Home (AAA)	1,020.27	1,206.05	1,225.39	1,115.46	1,120.59
<b>Explanatory/Input Measures:</b>						
1	Avg # of Persons on Interest List Per Month: Non-Medicaid CSS (XX)	21,597.00	28,103.00	28,946.00	29,814.00	30,708.00
KEY 2	Avg # Individuals Receiving Non-Medicaid Communy Svcs & Supports XX	17,487.00	18,080.00	18,469.00	18,469.00	18,469.00

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 1 Non-Medicaid Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
KEY 3	Avg # of Individuals Receiving Svcs at the End of the Fiscal Yr: XX/GR	18,297.00	18,500.00	18,469.00	18,469.00	18,469.00
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$94,482,213	\$88,957,793	\$88,957,793	\$88,043,079	\$88,043,079
4000	GRANTS	\$64,706,450	\$64,136,606	\$64,353,364	\$63,286,521	\$63,286,521
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$159,188,663</b>	<b>\$153,094,399</b>	<b>\$153,311,157</b>	<b>\$151,329,600</b>	<b>\$151,329,600</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$17,605,483	\$12,527,919	\$12,527,919	\$12,527,919	\$12,527,919
8004	GR For Fed Funds (Older Am Act)	\$3,375,229	\$3,375,229	\$3,375,229	\$3,375,229	\$3,375,229
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$20,980,712</b>	<b>\$15,903,148</b>	<b>\$15,903,148</b>	<b>\$15,903,148</b>	<b>\$15,903,148</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.705.000 Sr. Nutrition Pgm-Hm Del - Stimulus	\$56,725	\$0	\$0	\$0	\$0
	93.707.000 Sr Nutrition-Congregate - Stimulus	\$57,092	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$113,817	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.041.000 Special Programs for the	\$22,115	\$11,274	\$66,517	\$21,817	\$21,817

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 1 Non-Medicaid Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
93.043.000	Special Programs for the	\$1,207,825	\$1,248,379	\$1,334,413	\$1,263,275	\$1,263,275
93.044.000	SPECIAL PROGRAMS FOR THE	\$11,254,691	\$11,328,253	\$11,723,354	\$10,360,585	\$10,360,585
93.045.000	Special Programs for the	\$33,229,680	\$31,916,562	\$31,225,166	\$31,225,166	\$31,225,166
93.048.000	Special Programs for the	\$0	\$100,000	\$100,000	\$100,000	\$100,000
93.052.000	NATL FAMILY CAREGIVER SUPPORT PGM	\$5,747,257	\$5,477,273	\$6,029,730	\$5,464,950	\$5,464,950
93.053.000	Nutrition Services Incentive Pgm	\$12,542,543	\$12,463,649	\$12,282,968	\$12,344,798	\$12,344,798
93.667.000	Social Svcs Block Grants	\$74,090,023	\$74,645,861	\$74,645,861	\$74,645,861	\$74,645,861
CFDA Subtotal, Fund 555		\$138,094,134	\$137,191,251	\$137,408,009	\$135,426,452	\$135,426,452
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$138,207,951</b>	<b>\$137,191,251</b>	<b>\$137,408,009</b>	<b>\$135,426,452</b>	<b>\$135,426,452</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$151,329,600</b>	<b>\$151,329,600</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$159,188,663</b>	<b>\$153,094,399</b>	<b>\$153,311,157</b>	<b>\$151,329,600</b>	<b>\$151,329,600</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	1	Non-Medicaid Services	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Community Services and Supports – Non-Medicaid strategy services and supports are provided in community settings to enable aging individuals and those with disabilities to remain in the community, maintain their independence, and avoid institutionalization.

Services included in this strategy are Adult Foster Care, Consumer Managed Personal Attendant Services, Day Activity and Health Services, Emergency Response Services, Family Care, Home-Delivered Meals, Residential Services, and Special Services for Persons with Disabilities.

To be eligible for Community Services and Supports an individual must be 18 years of age or older, have a monthly income of no more than 300 % of the SSI payment level (\$2,094), have resources of no more than \$5,000, and meet the functional assessment score requirements of the specific service.

Statutory Authority. Social Security Act, Title XX; Government Code, Chapter 2105; Human Resources Code, Chapter 101, §§101.025 and 101.030, and Chapter 161; and Title 42 U.S.C. Chapter 35 Older American Act of 1965, as amended.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

As noted in Administrator Statement, the potential losses for the strategy due to the federal Budget Control Act of 2011 are as follow: Aging Grants FY 2013-2015 \$4.8 million per year; Title XX FT 2013-2015 \$5.8 million per year.

The following exceptional item will impact this program: Item 4, Community Expansion – Interest List.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 1 Non-Medicaid Services  
 SUB-STRATEGY: 1 Non-Medicaid Services - Title XX

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Per Month Receiving Home-delivered Meals (SSBG)	16,285.00	15,511.00	15,511.00	15,511.00	15,511.00
2 Average Number of Home-delivered Meals Provided Per Month (SSBG)	343,939.00	327,592.00	327,592.00	327,592.00	327,592.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Non-Medicaid Community Services and Supports (XX)	\$215.36	\$222.43	\$222.43	\$222.43	\$222.43
2 Average Cost Per Home-delivered Meal (SSBG)	\$4.81	\$4.81	\$4.81	\$4.81	\$4.81
<b>Explanatory Measures:</b>					
1 Average Number of Persons on Interest List Per Month: Non-Medicaid Community Services and Supports (XX)	21,597.00	28,103.00	28,946.00	29,814.00	30,708.00
2 Average Number of Individuals Receiving Non-Medicaid Community Services and Supports (XX)	17,487.00	18,080.00	18,469.00	18,469.00	18,469.00
3 Number of Individuals Receiving Services at the End of the Fiscal Year: Non Medicaid Community Care (XX / GR)	18,297.00	18,500.00	18,469.00	18,469.00	18,469.00
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$0	\$0	\$0	\$0	\$0
3001 - Client Services	\$94,482,213	\$88,957,793	\$88,957,793	\$88,043,079	\$88,043,079
4000 - Grants	\$0	\$0	\$2,653,769	\$1,586,926	\$1,586,926
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$94,482,213</b>	<b>\$88,957,793</b>	<b>\$91,611,562</b>	<b>\$89,630,005</b>	<b>\$89,630,005</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$17,316,602	\$11,112,684	\$13,890,113	\$11,846,726	\$11,846,726
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$17,316,602</b>	<b>\$11,112,684</b>	<b>\$13,890,113</b>	<b>\$11,846,726</b>	<b>\$11,846,726</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.053.000 Nutrition Svcs Incentive	\$3,075,588	\$3,199,248	\$3,075,588	\$3,137,418	\$3,137,418
93.667.000 Title XX	\$74,090,023	\$74,645,861	\$74,645,861	\$74,645,861	\$74,645,861



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 1 Non-Medicaid Services  
 SUB-STRATEGY: 1 Non-Medicaid Services - Title XX

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$77,165,611</b>	<b>\$77,845,109</b>	<b>\$77,721,449</b>	<b>\$77,783,279</b>	<b>\$77,783,279</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$77,165,611</b>	<b>\$77,845,109</b>	<b>\$77,721,449</b>	<b>\$77,783,279</b>	<b>\$77,783,279</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$94,482,213</b>	<b>\$88,957,793</b>	<b>\$91,611,562</b>	<b>\$89,630,005</b>	<b>\$89,630,005</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 1 Non-Medicaid Services  
 SUB-STRATEGY: 2 Nutrition Services

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
3 Number of Persons Receiving Congregate Meals (AAA)	56,062.00	54,746.00	55,684.00	56,805.00	56,731.00
4 Number of Congregate Meals Served (AAA)	3,589,716.00	3,774,215.00	3,424,584.00	3,517,738.00	3,505,048.00
5 Number of Persons Receiving Home-delivered Meals (AAA)	39,540.00	36,458.00	35,817.00	38,330.00	38,523.00
6 Number of Home-delivered Meals Served (AAA)	4,757,544.04	5,108,253.00	4,713,111.00	4,727,586.00	4,730,098.00
<b>Efficiency Measures:</b>					
3 Statewide Average Cost Per Congregate Meal (AAA)	\$4.93	\$5.06	\$5.19	\$5.15	\$5.20
4 Statewide Average Cost Per Home-delivered Meal (AAA)	\$4.76	\$4.89	\$4.98	\$4.94	\$4.98
<b>Objects of Expense:</b>					
4000 - Grants	\$44,067,621	\$42,840,184	\$41,852,594	\$41,852,594	\$41,852,594
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$44,067,621</b>	<b>\$42,840,184</b>	<b>\$41,852,594</b>	<b>\$41,852,594</b>	<b>\$41,852,594</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$0	\$0	\$0	\$0	\$0
8004 GR for Fed Funds (OAA)	\$936,665	\$1,425,008	\$1,327,177	\$1,398,315	\$1,398,315
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$936,665</b>	<b>\$1,425,008</b>	<b>\$1,327,177</b>	<b>\$1,398,315</b>	<b>\$1,398,315</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.705.000 ARRA Aging Home Delivered Nutri	\$56,723	\$0	\$0	\$0	\$0
93.707.000 ARRA Aging Congregate Nutrition	\$57,094	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$113,817</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.043.000 Spc Svcs Aging-IIID	\$50,018	\$103,042	\$88,063	\$16,925	\$16,925
93.045.000 Spc Svcs Aging-IIIC	\$33,229,680	\$31,916,562	\$31,225,166	\$31,225,166	\$31,225,166
93.052.000 Natl Family Caregiver	\$270,486	\$131,170	\$4,808	\$4,808	\$4,808
93.053.000 Nutrition Svcs Incentive	\$9,466,955	\$9,264,402	\$9,207,380	\$9,207,380	\$9,207,380
<b>CFDA Subtotal, Fund 0555</b>	<b>\$43,017,139</b>	<b>\$41,415,176</b>	<b>\$40,525,417</b>	<b>\$40,454,279</b>	<b>\$40,454,279</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 1 Non-Medicaid Services  
 SUB-STRATEGY: 2 Nutrition Services

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$43,130,956</b>	<b>\$41,415,176</b>	<b>\$40,525,417</b>	<b>\$40,454,279</b>	<b>\$40,454,279</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$44,067,621</b>	<b>\$42,840,184</b>	<b>\$41,852,594</b>	<b>\$41,852,594</b>	<b>\$41,852,594</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 1 Non-Medicaid Services  
 SUB-STRATEGY: 3 Services to Assist Independent Living

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
7 Number of Persons Receiving Homemaker Services (AAA)	2,202.00	2,261.00	2,408.00	2,307.00	2,324.00
8 Number of Persons Receiving Personal Assistance (AAA)	947.00	957.00	843.00	841.00	855.00
9 Number of Homes Repaired/Modified (AAA)	2,154.00	1,794.00	1,767.00	1,855.00	1,864.00
10 Number of One-way Trips (AAA)	778,015.00	755,277.00	760,599.00	760,320.00	760,320.00
11 Number of Retired and Senior Volunteer Program (RSVP) Volunteers	31,500.00	30,000.00	30,000.00	31,500.00	31,500.00
12 Average Number of Individuals Served Per Month: Non Medicaid Community Care (XX/GR)	35,778.00	32,985.00	32,985.00	32,985.00	32,985.00
13 Average Number of Clients Served Per Month: Non-Medicaid Services XX/GR	0.00	0.00	0.00	0.00	0.00
<b>Efficiency Measures:</b>					
5 Statewide Average Cost Per Person Receiving Homemaker Services (AAA)	\$612.60	\$706.15	\$619.48	\$690.57	\$693.23
6 Statewide Average Cost Per Person Receiving Personal Assistance Services (AAA)	\$1,199.99	\$1,210.63	\$1,324.88	\$1,308.21	\$1,298.75
7 Statewide Average Cost Per Modified Home (AAA)	\$1,020.27	\$1,206.05	\$1,225.39	\$1,115.46	\$1,120.59
<b>Objects of Expense:</b>					
4000 - Grants	\$20,638,829	\$21,296,422	\$19,847,001	\$19,847,001	\$19,847,001
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$20,638,829</b>	<b>\$21,296,422</b>	<b>\$19,847,001</b>	<b>\$19,847,001</b>	<b>\$19,847,001</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$288,881	\$1,415,235	(\$1,362,194)	\$681,193	\$681,193
8004 GR for Fed Funds (OAA)	\$2,438,564	\$1,950,221	\$2,048,052	\$1,976,914	\$1,976,914
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$2,727,445</b>	<b>\$3,365,456</b>	<b>\$685,858</b>	<b>\$2,658,107</b>	<b>\$2,658,107</b>
<b>Method of Financing:</b>					
0777 Interagency Contracts	\$0	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (Other Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0555 Federal Funds					

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 1 Non-Medicaid Services  
 SUB-STRATEGY: 3 Services to Assist Independent Living

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
93.041.000 Spc Svcs Aging-VII3	\$22,115	\$11,274	\$66,517	\$21,817	\$21,817
93.043.000 Spc Svcs Aging-IIID	\$1,157,807	\$1,145,337	\$1,246,350	\$1,246,350	\$1,246,350
93.044.000 Spc Svcs Aging-IIIB	\$11,254,691	\$11,328,252	\$11,723,354	\$10,360,585	\$10,360,585
93.048.000 Spc Svcs Aging-Discretionary	\$0	\$100,000	\$100,000	\$100,000	\$100,000
93.052.000 Natl Family Caregiver	\$5,476,771	\$5,346,103	\$6,024,922	\$5,460,142	\$5,460,142
<b>CFDA Subtotal, Fund 0555</b>	<b>\$17,911,384</b>	<b>\$17,930,966</b>	<b>\$19,161,143</b>	<b>\$17,188,894</b>	<b>\$17,188,894</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$17,911,384</b>	<b>\$17,930,966</b>	<b>\$19,161,143</b>	<b>\$17,188,894</b>	<b>\$17,188,894</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$20,638,829</b>	<b>\$21,296,422</b>	<b>\$19,847,001</b>	<b>\$19,847,001</b>	<b>\$19,847,001</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

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**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	2	Intellectual Disability Community Services	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Monthly # of Individuals with ID Receiving Community Services	6,787.00	4,481.00	3,872.00	3,872.00	3,872.00
2	Avg Mthly # Indiv w Intellectual Disability (ID) Recv Employment Svcs	1,005.00	288.00	249.00	249.00	249.00
3	Avg Mthly # Indiv w Intellectual Disability (ID) Recv Day Train Svcs	2,246.00	1,243.00	1,074.00	1,074.00	1,074.00
4	Avg Mthly # Indiv w Intellectual Disability (ID) Rec Therapies	661.00	800.00	691.00	691.00	691.00
5	Avg Mthly # Indiv w Intellectual Disability (ID) Rec Respite	1,695.00	1,333.00	1,152.00	1,152.00	1,152.00
6	Avg Mthly # Indiv w Intellectual Disability (ID) Rec Independent Liv	2,855.00	1,863.00	1,610.00	1,610.00	1,610.00
7	Avg Mthly # of Ind Intellectual Disability (ID) Receiv Crisis Services	51.00	65.00	65.00	65.00	65.00
<b>Efficiency Measures:</b>						
KEY 1	Average Mthly Cost Per Individual Receiving Community Services	730.12	707.87	707.87	707.87	707.87
2	Average Monthly Cost Per Individual Receiving Employment Services	333.27	824.18	824.18	824.18	824.18

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 2 Intellectual Disability Community Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
3	Average Monthly Cost Per Individual Receiving Day Training Services	895.52	912.08	912.08	912.08	912.08
4	Average Monthly Cost Per Individual Receiving Therapies	661.25	461.08	461.08	461.08	461.08
5	Average Monthly Cost Per Individual Receiving Respite	158.97	100.44	100.44	100.44	100.44
6	Average Monthly Cost Per Individual Receiving Independent Living	345.30	333.51	333.51	333.51	333.51
<b>Explanatory/Input Measures:</b>						
KEY 1	Number Individuals With ID Receiving Community Svcs End of Fiscal Year	12,513.00	6,768.00	5,451.00	5,812.00	5,812.00
2	Avg # Individuals on Interest List Per Month: ID Community Services	10,800.00	10,522.00	10,522.00	10,522.00	10,522.00
<b>Objects of Expense:</b>						
2001	PROFESSIONAL FEES AND SERVICES	\$652,242	\$1,209,753	\$1,217,361	\$1,217,360	\$1,217,360
2009	OTHER OPERATING EXPENSE	\$2,367	\$3,000	\$3,000	\$3,000	\$3,000
4000	GRANTS	\$65,217,610	\$38,357,104	\$33,176,019	\$33,181,560	\$33,181,560
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$65,872,219</b>	<b>\$39,569,857</b>	<b>\$34,396,380</b>	<b>\$34,401,920</b>	<b>\$34,401,920</b>

**Method of Financing:**



**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 2 Intellectual Disability Community Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1	General Revenue Fund	\$65,867,219	\$39,566,857	\$34,393,380	\$34,398,920	\$34,398,920
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$65,867,219</b>	<b>\$39,566,857</b>	<b>\$34,393,380</b>	<b>\$34,398,920</b>	<b>\$34,398,920</b>
<b>Method of Financing:</b>						
5055	Special Olympic License Plates	\$5,000	\$3,000	\$3,000	\$3,000	\$3,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$5,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	<b>\$3,000</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$34,401,920</b>	<b>\$34,401,920</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$65,872,219</b>	<b>\$39,569,857</b>	<b>\$34,396,380</b>	<b>\$34,401,920</b>	<b>\$34,401,920</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	2	Intellectual Disability Community Services	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Intellectual Disability Community Services strategy implements the Health and Safety Code, §533.035, in which the local authority provides individuals access to publicly funded ID services. The strategy provides for the determination of eligibility and services and supports for individuals in the ID priority population who reside in the community, other than services provided through ICFs/IID, and Medicaid waiver programs. These services include service coordination, community support to assist individuals to participate in age-appropriate activities and services; employment services to assist individuals in securing and maintaining employment; day training services to help individuals develop and refine skills needed to live and work in the community; various therapies that are provided by licensed or certified professionals; and respite services for the individual's primary caregiver.

There were two major changes in this strategy: 1. the administrative functions and expenses were transferred out from this strategy to A.1.1; 2. For FY 2012-2013, up to 5,000 individuals from this strategy were refinanced to Texas Home Living Waiver (strategy A.3.6)

To be eligible for ID Community Services, an individual must be in the ID priority population.

Statutory Authority. Human Resources Code, Chapter 161; Health & Safety Code, Chapter 533, §533.035, and Chapters 534, 591 and 592

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	2	Intellectual Disability Community Services	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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Intellectual Disability Community Services provide a safety net of supports for individuals living in their own or family homes. Although the 81st Legislature restored some of the dollars reduced by the 78th Legislature, resources are still not available for all eligible individuals. The services provided are not extensive and provide a minimal amount of supports and services to individuals that are on the interest lists for more comprehensive Medicaid waiver services. Appropriated dollars also provide for assistive services while individuals in this program are being enrolled into waiver services or are seeking other Medicaid supports such as ICF/IID facilities or state supported living centers. If, because of reductions in GR funding, resources are not available to provide necessary local services for maintenance in the community, more individuals will seek emergency services, including institutional placement, because of crises.

For FY 2011, 5.7 million was transferred from In-Home Family Support

The following exceptional item will impact this program: Item 4, Community Expansion – Interest List.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 2 Employment Services

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Monthly Number of Individuals with intellectual disability (ID) Receiving employment services	1,005.00	288.00	249.00	249.00	249.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual receiving employment services	\$333.27	\$824.18	\$824.18	\$824.18	\$824.18
 <b>Objects of Expense:</b>					
4000 - Grants	\$4,019,194	\$2,846,166	\$2,459,372	\$2,459,372	\$2,459,372
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$4,019,194</b>	<b>\$2,846,166</b>	<b>\$2,459,372</b>	<b>\$2,459,372</b>	<b>\$2,459,372</b>
 <b>Method of Financing:</b>					
0001 General Revenue Fund	\$4,019,194	\$2,846,166	\$2,459,372	\$2,459,372	\$2,459,372
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$4,019,194</b>	<b>\$2,846,166</b>	<b>\$2,459,372</b>	<b>\$2,459,372</b>	<b>\$2,459,372</b>
 <b>TOTAL, METHOD OF FINANCE</b>	 <b>\$4,019,194</b>	 <b>\$2,846,166</b>	 <b>\$2,459,372</b>	 <b>\$2,459,372</b>	 <b>\$2,459,372</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
 <b>FULL TIME EQUIVALENT POSITIONS:</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 3 Day Training Services

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Monthly Number of Individuals with intellectual disability (ID) Receiving Day Training services	2,246.00	1,243.00	1,074.00	1,074.00	1,074.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual receiving day training services	\$895.52	\$912.08	\$912.08	\$912.08	\$912.08
<b>Objects of Expense:</b>					
4000 - Grants	\$24,136,041	\$13,608,241	\$11,758,653	\$11,758,653	\$11,758,653
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$24,136,041</b>	<b>\$13,608,241</b>	<b>\$11,758,653</b>	<b>\$11,758,653</b>	<b>\$11,758,653</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$24,136,041	\$13,608,241	\$11,758,653	\$11,758,653	\$11,758,653
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$24,136,041</b>	<b>\$13,608,241</b>	<b>\$11,758,653</b>	<b>\$11,758,653</b>	<b>\$11,758,653</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$24,136,041</b>	<b>\$13,608,241</b>	<b>\$11,758,653</b>	<b>\$11,758,653</b>	<b>\$11,758,653</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 4 Therapies

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Monthly Number of Individuals with intellectual disability (ID) Receiving therapies	661.00	800.00	691.00	691.00	691.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual receiving therapies	\$661.25	\$461.08	\$461.08	\$461.08	\$461.08
<b>Objects of Expense:</b>					
4000 - Grants	\$5,245,012	\$4,425,154	\$3,823,776	\$3,823,776	\$3,823,776
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$5,245,012</b>	<b>\$4,425,154</b>	<b>\$3,823,776</b>	<b>\$3,823,776</b>	<b>\$3,823,776</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$5,245,012	\$4,425,154	\$3,823,776	\$3,823,776	\$3,823,776
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$5,245,012</b>	<b>\$4,425,154</b>	<b>\$3,823,776</b>	<b>\$3,823,776</b>	<b>\$3,823,776</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$5,245,012</b>	<b>\$4,425,154</b>	<b>\$3,823,776</b>	<b>\$3,823,776</b>	<b>\$3,823,776</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 5 Respite

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Monthly Number of Individuals with intellectual disability (ID) Receiving respite	1,695.00	1,333.00	1,152.00	1,152.00	1,152.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual receiving respite	\$158.97	\$100.44	\$100.44	\$100.44	\$100.44
<b>Objects of Expense:</b>					
4000 - Grants	\$3,233,416	\$1,606,946	\$1,388,562	\$1,388,562	\$1,388,562
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$3,233,416</b>	<b>\$1,606,946</b>	<b>\$1,388,562</b>	<b>\$1,388,562</b>	<b>\$1,388,562</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$3,233,416	\$1,606,946	\$1,388,562	\$1,388,562	\$1,388,562
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$3,233,416</b>	<b>\$1,606,946</b>	<b>\$1,388,562</b>	<b>\$1,388,562</b>	<b>\$1,388,562</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$3,233,416</b>	<b>\$1,606,946</b>	<b>\$1,388,562</b>	<b>\$1,388,562</b>	<b>\$1,388,562</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 6 Independent Living

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Monthly Number of Individuals with intellectual disability (ID) Receiving independent living	2,855.00	1,863.00	1,610.00	1,610.00	1,610.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual receiving independent living	\$345.30	\$333.51	\$333.51	\$333.51	\$333.51
<b>Objects of Expense:</b>					
4000 - Grants	\$11,829,982	\$7,457,789	\$6,444,275	\$6,444,275	\$6,444,275
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$11,829,982</b>	<b>\$7,457,789</b>	<b>\$6,444,275</b>	<b>\$6,444,275</b>	<b>\$6,444,275</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$11,829,982	\$7,457,789	\$6,444,275	\$6,444,275	\$6,444,275
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$11,829,982</b>	<b>\$7,457,789</b>	<b>\$6,444,275</b>	<b>\$6,444,275</b>	<b>\$6,444,275</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$11,829,982</b>	<b>\$7,457,789</b>	<b>\$6,444,275</b>	<b>\$6,444,275</b>	<b>\$6,444,275</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 7 ID Community Services Residential

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$652,242	\$1,209,753	\$1,217,361	\$1,217,360	\$1,217,360
2009 - Other Operating Expense	\$2,367	\$3,000	\$3,000	\$3,000	\$3,000
4000 - Grants	\$5,753,965	\$290,519	\$282,910	\$288,451	\$288,451
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$6,408,574</b>	<b>\$1,503,271</b>	<b>\$1,503,271</b>	<b>\$1,508,811</b>	<b>\$1,508,811</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$6,403,574	\$1,500,271	\$1,500,271	\$1,505,811	\$1,505,811
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$6,403,574</b>	<b>\$1,500,271</b>	<b>\$1,500,271</b>	<b>\$1,505,811</b>	<b>\$1,505,811</b>
<b>Method of Financing:</b>					
5055 Special Olympic Lic Plate	\$5,000	\$3,000	\$3,000	\$3,000	\$3,000
<b>SUBTOTAL, MOF (General Revenue-Dedicated )</b>	<b>\$5,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	<b>\$3,000</b>	<b>\$3,000</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$6,408,574</b>	<b>\$1,503,271</b>	<b>\$1,503,271</b>	<b>\$1,508,811</b>	<b>\$1,508,811</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 4 Community Services and Supports - Non-Medicaid  
 STRATEGY: 2 Intellectual & Developmental Disability Community Services  
 SUB-STRATEGY: 8 Crisis Services

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>Output Measures:</b>					
1 Average Number of Individuals with intellectual disabilities (ID) receiving Crisis Services	51.00	65.00	65.00	65.00	65.00
<b>Objects of Expense:</b>					
4000 - Grants	\$11,000,000	\$8,122,290	\$7,018,471	\$7,018,471	\$7,018,471
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$11,000,000</b>	<b>\$8,122,290</b>	<b>\$7,018,471</b>	<b>\$7,018,471</b>	<b>\$7,018,471</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$11,000,000	\$8,122,290	\$7,018,471	\$7,018,471	\$7,018,471
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$11,000,000</b>	<b>\$8,122,290</b>	<b>\$7,018,471</b>	<b>\$7,018,471</b>	<b>\$7,018,471</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$11,000,000</b>	<b>\$8,122,290</b>	<b>\$7,018,471</b>	<b>\$7,018,471</b>	<b>\$7,018,471</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL:	1 Long-term Services and Supports	Statewide Goal/Benchmark:	3 3
OBJECTIVE:	4 Community Services and Supports - State	Service Categories:	
STRATEGY:	3 Promoting Independence through Outreach, Awareness, and Relocation	Service: 26	Income: A.1      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$4,048,098	\$4,161,537	\$4,161,537	\$4,161,537	\$4,161,537
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,048,098</b>	<b>\$4,161,537</b>	<b>\$4,161,537</b>	<b>\$4,161,537</b>	<b>\$4,161,537</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$1,764,824	\$2,412,692	\$2,418,339	\$2,415,516	\$2,415,516
758	GR Match For Medicaid	\$318,213	\$301,006	\$295,359	\$298,182	\$298,182
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$2,083,037</b>	<b>\$2,713,698</b>	<b>\$2,713,698</b>	<b>\$2,713,698</b>	<b>\$2,713,698</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.779.000 Health Care Financing Res	\$1,308,317	\$1,296,400	\$1,447,839	\$1,447,839	\$1,447,839
CFDA Subtotal, Fund	555	\$1,308,317	\$1,296,400	\$1,447,839	\$1,447,839	\$1,447,839
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$1,308,317</b>	<b>\$1,296,400</b>	<b>\$1,447,839</b>	<b>\$1,447,839</b>	<b>\$1,447,839</b>
<b>Method of Financing:</b>						
777	Interagency Contracts	\$656,744	\$151,439	\$0	\$0	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$656,744</b>	<b>\$151,439</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 3 Promoting Independence through Outreach, Awareness, and Relocation Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$4,161,537</b>	<b>\$4,161,537</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$4,048,098</b>	<b>\$4,161,537</b>	<b>\$4,161,537</b>	<b>\$4,161,537</b>	<b>\$4,161,537</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy implements the Texas Promoting Independence Plan, developed in response to the U.S. Supreme Court ruling in *Olmstead v. L.C.* and two Executive Orders, *GWB99-2* and *RP13*. The Promoting Independence Plan includes community outreach and awareness, and relocation services. Community outreach and awareness is a program of public information developed to target groups that are most likely to be involved in decisions regarding long-term services and supports. Relocation services involve assessment and case management to assist individuals in nursing facilities who choose to relocate to community-based services and supports. It includes funding for Transition to Living in the Community (TLC) services to cover establishing and moving to a community residence.

Residents of nursing facilities who relocate to a community setting require a thorough assessment, intensive case management, housing assistance, and funds to set up a community residence. Intensive case management may be needed to help build and implement the service and support systems so they can return to the community. With limited income and resources, Medicaid recipients in facilities may require help, such as security deposits, to set up community households, and assistance to purchase household goods and groceries.

Statutory Authority. Human Resources Code, Chapter 22, §§22.037 and 22.038, and Chapter 161; *Olmstead v. Zimring*, 527 USC 581 (1999); Executive Orders *GWB99-2* and *RP13*; and Government Code §§531.0244, 531.02441, and 531.02442.

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	3	Promoting Independence through Outreach, Awareness, and Relocation	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Individuals have been requesting assistance with transition from institutions to community settings. To facilitate the transition of individuals from nursing facilities to the community, relocation services provide one-time relocation funds in addition to funding the staffing costs of relocation specialists. The types of items furnished by these funds are start-up cost related to rent deposits, clothing items, groceries, linens, and other home related items.

The interagency contract from HHSC has been moved to strategy A.1.1.

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3.A. STRATEGY REQUEST

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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Services and Supports - State Service Categories:  
 STRATEGY: 4 In-Home and Family Support Service: 28 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Per Month Receiving IHFS	5,701.00	5,999.00	5,999.00	5,999.00	5,999.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost of In-home Family Support Per Individual	75.44	69.32	69.32	69.32	69.32
<b>Explanatory/Input Measures:</b>						
1	Average Number on Interest List Per Month: IHFS Individuals	10,820.00	9,342.00	8,875.00	8,609.00	8,351.00
KEY 2	# of Individuals Receiving Svcs at the End of the Fiscal Year: IHFS	6,136.00	5,999.00	5,999.00	5,999.00	5,999.00
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$5,160,901	\$4,989,907	\$4,989,908	\$4,989,908	\$4,989,908
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,160,901</b>	<b>\$4,989,907</b>	<b>\$4,989,908</b>	<b>\$4,989,908</b>	<b>\$4,989,908</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$5,160,901	\$4,989,907	\$4,989,908	\$4,989,908	\$4,989,908
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$5,160,901</b>	<b>\$4,989,907</b>	<b>\$4,989,908</b>	<b>\$4,989,908</b>	<b>\$4,989,908</b>

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	4	In-Home and Family Support	Service: 28	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$4,989,908</b>	<b>\$4,989,908</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$5,160,901</b>	<b>\$4,989,907</b>	<b>\$4,989,908</b>	<b>\$4,989,908</b>	<b>\$4,989,908</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The In-Home and Family Support (IHFS) strategy provides individuals with physical disabilities (without diagnosis of mental disability) with a means to purchase the support they need in order to remain in the community. Direct grant benefits are provided to eligible individuals to purchase special equipment, medical supplies, adaptive aids, and modify the home or an automobile so that they are accessible and functionally usable.

IHFS provides up to \$1,200 per certification year in capital expenditure funds, subsidy funds, or a combination of both, including co-payment for the purchase of ongoing services, or the purchase of equipment or architectural modifications. There is a lifetime limit of \$3,600 in capital expenditure funds. After this limit is reached, additional funds cannot be issued for any one-time purchases costing over \$250.

To be eligible for IHFS, an applicant must be age 4 or older; have a permanent physical disability that results in a substantial functional limitation in one or more major life areas that limits the individual's ability to function independently; and meet income eligibility criteria based on the State Median Income (SMI). Co-payments begin when an individual's income is at/above 105% of the SMI. There are no resource eligibility requirements.

Statutory Authority. Human Resources Code, Chapters 35 and 161.



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**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	4	Community Services and Supports - State	Service Categories:		
STRATEGY:	4	In-Home and Family Support	Service: 28	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The following exceptional item will impact this program: Item 4, Community Expansion – Interest List.

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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 5 Program of All-inclusive Care for the Elderly (PACE) Service Categories:  
 STRATEGY: 1 Program of All-inclusive Care for the Elderly (PACE) Service: 26 Income: A.1 Age: B.2

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Avg # of Recipients Per Month: Program for All Inclusive Care (PACE)	989.00	1,036.00	1,105.00	1,105.00	1,105.00
<b>Efficiency Measures:</b>						
KEY 1	Avg Monthly Cost Per Recipient: Program for All Inclusive Care (PACE)	2,929.23	2,873.90	2,859.20	2,859.20	2,859.20
<b>Explanatory/Input Measures:</b>						
KEY 1	Number of Persons Receiving Svcs End of Fiscal Year: PACE	1,017.00	1,093.00	1,105.00	1,105.00	1,105.00
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$34,758,290	\$35,728,327	\$37,912,992	\$37,912,992	\$37,912,992
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$34,758,290</b>	<b>\$35,728,327</b>	<b>\$37,912,992</b>	<b>\$37,912,992</b>	<b>\$37,912,992</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$11,753,804	\$14,855,838	\$4,907,226	\$15,256,188	\$15,241,023
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$10,557,483	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$11,753,804</b>	<b>\$14,855,838</b>	<b>\$15,464,709</b>	<b>\$15,256,188</b>	<b>\$15,241,023</b>

**Method of Financing:**

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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 5 Program of All-inclusive Care for the Elderly (PACE) Service Categories:  
 STRATEGY: 1 Program of All-inclusive Care for the Elderly (PACE) Service: 26 Income: A.1 Age: B.2

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$2,402,473	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$2,402,473	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$20,602,013	\$20,872,489	\$7,123,238	\$22,656,804	\$22,671,969
CFDA Subtotal, Fund	555	\$20,602,013	\$20,872,489	\$7,123,238	\$22,656,804	\$22,671,969
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$15,325,045	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$15,325,045	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$23,004,486</b>	<b>\$20,872,489</b>	<b>\$22,448,283</b>	<b>\$22,656,804</b>	<b>\$22,671,969</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$37,912,992</b>	<b>\$37,912,992</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>					<b>\$34,758,290</b>	<b>\$35,728,327</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

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**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	5	Program of All-inclusive Care for the Elderly (PACE)	Service Categories:		
STRATEGY:	1	Program of All-inclusive Care for the Elderly (PACE)	Service: 26	Income: A.1	Age: B.2

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Program for All-inclusive Care for the Elderly (PACE) strategy is an integrated managed care system for aged and disabled individuals. PACE provides community-based services in El Paso and Amarillo for individuals age 55 or older who qualify for nursing facility admission. PACE uses a comprehensive care approach, providing an array of services for a capitated monthly fee. PACE provides all health-related services for an individual, including in-patient and out-patient medical care, and specialty services, including dentistry, podiatry, social services, in-home care, meals, transportation, day activities, and housing assistance.

To be eligible to receive PACE, an individual must be 55 years of age or older, meet the medical necessity for nursing facility admission, live in a PACE service area (Amarillo or El Paso), be determined by the PACE Interdisciplinary Team as able to be safely served in the community have a monthly income that is within 300% of the Supplemental Security Income (SSI) monthly income limit (currently \$2,094, adjusted annually), have a monthly income of no more than \$3,618 if a couple, and have countable resources of no more than \$2,000.

Statutory Authority. Social Security Act, §1934; Human Resources Code, Chapter 32, §32.053, and Chapter 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The “PACE expansion” Exceptional item includes a request to add an additional 96 slots over the course of the biennium for the existing three sites, as well as two new sites at 150 slots each to begin in FY 2015.

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**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 1 Nursing Facility Payments Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number Receiving Medicaid-funded Nursing Facility Services/Mo	56,307.00	56,921.00	56,843.00	56,916.00	57,030.00
2	Average Number Receiving Personal Needs Allowance Per Month	12,695.00	12,695.00	12,695.00	12,695.00	12,695.00
<b>Efficiency Measures:</b>						
1	Average Daily Nursing Facility Rate	125.97	129.21	131.25	131.83	131.83
2	Avg Amount of Individual Income Applied to the Cost of Care Per Day	23.01	23.49	24.16	24.72	25.43
KEY 3	Net Nursing Facility Cost Per Medicaid Resident Per Month	3,131.89	3,224.60	3,257.58	3,258.06	3,236.32
4	Average Monthly Cost Per Individual: Personal Needs Allowance	30.00	30.00	30.00	30.00	30.00
<b>Objects of Expense:</b>						
2001	PROFESSIONAL FEES AND SERVICES	\$8,195,270	\$6,078,130	\$4,552,772	\$2,024,587	\$2,024,587
2009	OTHER OPERATING EXPENSE	\$318,651	\$5,055,021	\$5,804,094	\$6,058,313	\$6,058,313
3001	CLIENT SERVICES	\$2,126,735,651	\$2,226,446,837	\$2,245,458,387	\$2,259,071,546	\$2,248,650,418
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,135,249,572</b>	<b>\$2,237,579,988</b>	<b>\$2,255,815,253</b>	<b>\$2,267,154,446</b>	<b>\$2,256,733,318</b>

**Method of Financing:**

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 1 Nursing Facility Payments Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1	General Revenue Fund	\$4,570,200	\$4,570,200	\$4,570,200	\$4,570,200	\$4,570,200
758	GR Match For Medicaid	\$714,476,396	\$908,993,674	\$316,789,643	\$910,803,949	\$905,713,205
8091	Eff- Match For Medicaid	\$0	\$15,109,643	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$602,934,098	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$719,046,596</b>	<b>\$928,673,517</b>	<b>\$924,293,941</b>	<b>\$915,374,149</b>	<b>\$910,283,405</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$133,709,949	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$133,709,949	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$1,275,476,082	\$1,301,217,573	\$449,615,720	\$1,346,939,135	\$1,341,608,163
	93.778.003 XIX 50%	\$401,054	\$3,321,320	\$4,072,418	\$3,322,722	\$3,323,310
	93.778.004 XIX ADM @ 75%	\$4,236,652	\$998,884	\$610,825	\$1,518,440	\$1,518,440
	93.778.005 XIX FMAP @ 90%	\$2,379,239	\$3,368,694	\$2,014,505	\$0	\$0
CFDA Subtotal, Fund	555	\$1,282,493,027	\$1,308,906,471	\$456,313,468	\$1,351,780,297	\$1,346,449,913
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$875,207,844	\$0	\$0



**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 1 Nursing Facility Payments Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	8138	\$0	\$0	\$875,207,844	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$1,416,202,976</b>	<b>\$1,308,906,471</b>	<b>\$1,331,521,312</b>	<b>\$1,351,780,297</b>	<b>\$1,346,449,913</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$2,267,154,446</b>	<b>\$2,256,733,318</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$2,135,249,572</b>	<b>\$2,237,579,988</b>	<b>\$2,255,815,253</b>	<b>\$2,267,154,446</b>	<b>\$2,256,733,318</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	6	Nursing Facility and Hospice Payments	Service Categories:		
STRATEGY:	1	Nursing Facility Payments	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Nursing Facility strategy provides payments to promote quality of care for individuals with medical problems that require nursing facility or hospice care. The types of payments include Nursing Facility Care, Medicaid Swing Bed Program, Augmented Communication Device Systems, Customized Power Wheelchairs, Emergency Dental Services, and Specialized and Rehabilitative Services.

The Nursing Facility Payments provides institutional nursing care for individuals whose medical condition requires the skills of a licensed nurse on a regular basis. The nursing facility must provide for the medical, nursing, and psychosocial needs of each individual, to include room and board, social services, over-the-counter drugs (prescription drugs are covered through the Medicaid Vendor Drug program or Medicare Part D), medical supplies and equipment, personal needs items, and rehabilitative therapies.

To be eligible for Medicaid coverage in a nursing facility, the individual must reside in a Medicaid-certified facility for 30 consecutive days; be eligible for Supplemental Security Income (SSI) from the Social Security Administration or be determined by the Texas Health and Human Services Commission to be financially eligible for Medicaid; and meet medical necessity requirements.

Statutory Authority. Social Security Act, Title XIX; Human Resources Code, Chapters 32 and 161; Government Code, Chapter 531, and Texas Administrative Code §193130, 19.1401, and 19.2613-2614.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	6	Nursing Facility and Hospice Payments	Service Categories:		
STRATEGY:	1	Nursing Facility Payments	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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HHSC's Office of Inspector General (OIG) billings are accounted for in this strategy for Nursing Home Utilization Review. In addition, a request for funding for Preadmission Screening and Resident Review (PASRR) is also included for FYs 2014-15. PASRR was enacted by Congress as part of the Omnibus Budget Reconciliation Act (OBRA) of 1987, aka the "Nursing Facility Reform Act". The law became effective October 1, 1990. PASRR is a process to identify individuals with a mental illness (MI)/intellectual or developmental disability (IDD) who chose admission into a Medicaid-certified nursing facility (NF) or who are currently residing in an NF. The purpose of PASRR is to ensure the appropriateness of NF admission and that individuals with MI/IDD are receiving all the necessary services to meet their overall physical, mental and psychosocial needs.

This strategy has experienced a 2.43% annual increase in the average daily rate as the result of an increase in patient acuity. This equates to an increase in the daily rate of \$2.61 in FY 2014 and \$5.88 in FY 2015, which equates to an increase cost per individual served of \$79.39 per month in FY 2014 and \$178.85 per month in FY 2015. Funding for this utilization/acuity related cost increase has been included in the department's "Cost Trends" Exceptional Item.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 1 Nursing Facility Payments  
 SUB-STRATEGY: 1 Nursing Facilities

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Receiving Medicaid - Funded Nursing Facility Services per Month	56,307.00	56,921.00	56,843.00	56,916.00	57,030.00
<b>Efficiency Measures:</b>					
1 Average Daily Nursing Facility Rate	\$125.97	\$129.21	\$131.25	\$131.83	\$131.83
2 Average Amount of Individual Income Applied to the Cost of Care Per Day	\$23.01	\$23.49	\$24.16	\$24.72	\$25.43
3 Net Nursing Facility Cost Per Medicaid Resident Per Month	\$3,131.89	\$3,224.60	\$3,257.58	\$3,258.06	\$3,236.32
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$0	\$236,231	\$0	\$0	\$0
3001 - Client Services	\$2,102,298,435	\$2,202,005,409	\$2,220,760,220	\$2,225,231,470	\$2,214,808,991
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$2,102,298,435</b>	<b>\$2,202,241,640</b>	<b>\$2,220,760,220</b>	<b>\$2,225,231,470</b>	<b>\$2,214,808,991</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$706,186,860	\$896,969,223	\$304,422,524	\$895,433,144	\$890,353,214
8091 EFF-Match for Medicaid	\$0	\$15,109,643	\$0	\$0	\$0
8137 GR Match for Medicaid-FY 12-13 demand	\$0	\$0	\$602,934,098	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$706,186,860</b>	<b>\$912,078,866</b>	<b>\$907,356,622</b>	<b>\$895,433,144</b>	<b>\$890,353,214</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$132,366,191	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$132,366,191</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$1,263,745,384	\$1,289,950,166	\$438,195,754	\$1,329,798,326	\$1,324,455,777
93.778.005 XIXADM 90%	\$0	\$212,608	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$1,263,745,384</b>	<b>\$1,290,162,774</b>	<b>\$438,195,754</b>	<b>\$1,329,798,326</b>	<b>\$1,324,455,777</b>
8138 Federal Funds-FY 12-13 demand					
93.778.000 XIX FMAP	\$0	\$0	\$875,207,844	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 1 Nursing Facility Payments  
 SUB-STRATEGY: 1 Nursing Facilities

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 8138</b>	<b>\$0</b>	<b>\$0</b>	<b>\$875,207,844</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$1,396,111,576</b>	<b>\$1,290,162,774</b>	<b>\$1,313,403,598</b>	<b>\$1,329,798,326</b>	<b>\$1,324,455,777</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$2,102,298,435</b>	<b>\$2,202,241,640</b>	<b>\$2,220,760,220</b>	<b>\$2,225,231,470</b>	<b>\$2,214,808,991</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 1 Nursing Facility Payments  
 SUB-STRATEGY: 2 Nursing Facility Other Services

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
2 Average Number of Individuals Receiving State Supplementation of Personal Needs Allowance Per Month	12,695.00	12,695.00	12,695.00	12,695.00	12,695.00
<b>Efficiency Measures:</b>					
4 Average Monthly Cost Per Individual: Personal Needs Allowance	\$30.00	\$30.00	\$30.00	\$30.00	\$30.00
 <b>Objects of Expense:</b>					
3001 - Client Services	\$18,837,216	\$18,841,428	\$18,843,948	\$24,444,652	\$24,446,003
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$18,837,216</b>	<b>\$18,841,428</b>	<b>\$18,843,948</b>	<b>\$24,444,652</b>	<b>\$24,446,003</b>
 <b>Method of Financing:</b>					
0001 General Revenue Fund	\$4,570,200	\$4,570,200	\$4,570,200	\$4,570,200	\$4,570,200
0758 GR-Match for Medicaid	\$4,761,662	\$5,983,177	\$5,876,281	\$8,054,783	\$8,047,727
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$9,331,862</b>	<b>\$10,553,377</b>	<b>\$10,446,481</b>	<b>\$12,624,983</b>	<b>\$12,617,927</b>
 <b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$947,096	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$947,096</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$8,267,930	\$7,995,887	\$8,104,205	\$11,526,104	\$11,533,923
93.778.003 XIX ADM 50%	\$290,328	\$292,164	\$293,262	\$293,565	\$294,153
<b>CFDA Subtotal, Fund 0555</b>	<b>\$8,558,258</b>	<b>\$8,288,051</b>	<b>\$8,397,467</b>	<b>\$11,819,669</b>	<b>\$11,828,076</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$9,505,354</b>	<b>\$8,288,051</b>	<b>\$8,397,467</b>	<b>\$11,819,669</b>	<b>\$11,828,076</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$18,837,216</b>	<b>\$18,841,428</b>	<b>\$18,843,948</b>	<b>\$24,444,652</b>	<b>\$24,446,003</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
 <b>FULL TIME EQUIVALENT POSITIONS:</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0.0</b>	 <b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 1 Nursing Facility Payments  
 SUB-STRATEGY: 3 Nursing Facility Services Other - Admin

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$5,870,206	\$1,272,092	\$0	\$2,024,587	\$2,024,587
2005 - Travel	\$0	\$0	\$0	\$0	\$0
2009 - Other Operating Expense	\$92	\$4,560,579	\$6,058,313	\$6,058,313	\$6,058,313
3001 - Client Services	\$5,600,000	\$5,600,000	\$5,600,000	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$11,470,298</b>	<b>\$11,432,671</b>	<b>\$11,658,313</b>	<b>\$8,082,900</b>	<b>\$8,082,900</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$3,263,508	\$4,926,226	\$5,313,396	\$3,535,303	\$3,535,303
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$3,263,508</b>	<b>\$4,926,226</b>	<b>\$5,313,396</b>	<b>\$3,535,303</b>	<b>\$3,535,303</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$396,662	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$396,662</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$3,462,767	\$3,271,520	\$3,315,760	\$0	\$0
93.778.003 XIX ADM 50%	\$110,726	\$2,279,156	\$3,029,156	\$3,029,156	\$3,029,156
93.778.004 XIXADM 75%	\$4,236,635	\$955,768	\$0	\$1,518,440	\$1,518,440
<b>CFDA Subtotal, Fund 0555</b>	<b>\$7,810,128</b>	<b>\$6,506,445</b>	<b>\$6,344,916</b>	<b>\$4,547,597</b>	<b>\$4,547,597</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$8,206,790</b>	<b>\$6,506,445</b>	<b>\$6,344,916</b>	<b>\$4,547,597</b>	<b>\$4,547,597</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$11,470,298</b>	<b>\$11,432,671</b>	<b>\$11,658,313</b>	<b>\$8,082,900</b>	<b>\$8,082,900</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 1 Nursing Facility Payments  
 SUB-STRATEGY: 4 Capital Projects

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2001 - Professional Fees & Services	\$2,325,064	\$4,569,807	\$4,552,772	\$0	\$0
2009 - Other Operating Expense	\$318,558	\$494,443	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$2,643,622</b>	<b>\$5,064,250</b>	<b>\$4,552,772</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$264,366	\$1,115,048	\$1,177,442	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$264,366</b>	<b>\$1,115,048</b>	<b>\$1,177,442</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.778.003 XIX ADM 50%	\$0	\$750,000	\$750,000	\$0	\$0
93.778.004 XIXADM 75%	\$17	\$43,116	\$610,825	\$0	\$0
93.778.005 XIXADM 90%	\$2,379,239	\$3,156,086	\$2,014,505	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$2,379,256</b>	<b>\$3,949,202</b>	<b>\$3,375,330</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$2,379,256</b>	<b>\$3,949,202</b>	<b>\$3,375,330</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$2,643,622</b>	<b>\$5,064,250</b>	<b>\$4,552,772</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 1 Nursing Facility Payments  
 SUB-STRATEGY: 5 PASRR

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
3001 - Client Services	\$0	\$0	\$0	\$9,395,424	\$9,395,424
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$9,395,424</b>	<b>\$9,395,424</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$0	\$0	\$0	\$3,780,719	\$3,776,960
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,780,719</b>	<b>\$3,776,960</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.778.000 XIX FMAP	\$0	\$0	\$0	\$5,614,705	\$5,618,464
<b>CFDA Subtotal, Fund 0555</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,614,705</b>	<b>\$5,618,464</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,614,705</b>	<b>\$5,618,464</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$9,395,424</b>	<b>\$9,395,424</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

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**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
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8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 2 Medicare Skilled Nursing Facility Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number Receiving Nursing Facility Copayments/Mo	6,360.00	6,179.00	6,068.00	6,012.00	5,949.00
<b>Efficiency Measures:</b>						
KEY 1	Net Medicaid/Medicare Copay Per Individual-Nursing Facility Svcs/Mo	1,987.50	2,032.09	2,070.49	2,133.53	2,183.65
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$151,493,071	\$154,021,523	\$159,045,087	\$153,921,425	\$155,886,282
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$151,493,071</b>	<b>\$154,021,523</b>	<b>\$159,045,087</b>	<b>\$153,921,425</b>	<b>\$155,886,282</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$53,369,902	\$64,042,149	\$22,677,881	\$61,937,981	\$62,666,285
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$42,196,610	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$53,369,902</b>	<b>\$64,042,149</b>	<b>\$64,874,491</b>	<b>\$61,937,981</b>	<b>\$62,666,285</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$8,299,370	\$0	\$0	\$0	\$0

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	6	Nursing Facility and Hospice Payments	Service Categories:		
STRATEGY:	2	Medicare Skilled Nursing Facility	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	369	\$8,299,370	\$0	\$0	\$0	\$0
555	Federal Funds					
93.778.000	XIX FMAP	\$89,823,799	\$89,979,374	\$32,918,787	\$91,983,444	\$93,219,997
CFDA Subtotal, Fund	555	\$89,823,799	\$89,979,374	\$32,918,787	\$91,983,444	\$93,219,997
8138	FF for FY 12-13 Entitlement/Waiver					
93.778.000	XIX FMAP	\$0	\$0	\$61,251,809	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$61,251,809	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$98,123,169</b>	<b>\$89,979,374</b>	<b>\$94,170,596</b>	<b>\$91,983,444</b>	<b>\$93,219,997</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$153,921,425</b>	<b>\$155,886,282</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$151,493,071</b>	<b>\$154,021,523</b>	<b>\$159,045,087</b>	<b>\$153,921,425</b>	<b>\$155,886,282</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	6	Nursing Facility and Hospice Payments	Service Categories:		
STRATEGY:	2	Medicare Skilled Nursing Facility	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Medicare Skilled Nursing Facility strategy covers the payment of Medicare Skilled Nursing Facility (SNF) co-insurance for Medicaid recipients in Medicare (XVIII) facilities. Medicaid also pays the co-payment for Medicaid Qualified Medicare Beneficiary (QMB) recipients, and for "Pure" (i.e., Medicare-only) QMB recipients. For recipients in dually certified facilities (certified for both Medicaid and Medicare), Medicaid pays the coinsurance less the applied income amount for both Medicaid only and Medicaid QMB recipients. For "Pure" QMB recipients, the entire coinsurance amount is paid. The amount of Medicare co-insurance per day is set by the federal government at one-eighth of the hospital deductible.

To be eligible for Medicaid coverage in a nursing facility, an individual must reside in a Medicaid-certified facility for 30 consecutive days; be eligible for Supplemental Security Income (SSI) from the Social Security Administration or be determined by the Texas Health and Human Services Commission to be financially eligible for Medicaid; and meet medical necessity requirements.

Statutory Authority. Social Security Act, Title XIX; Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Although the budget instructions state that "baseline requests for entitlement programs should include amounts sufficient for projected caseload growth and maintaining projected fiscal year 2013 average costs in fiscal years 2014 and 2015", the daily co-insurance rate for this program is set by Medicare, and is adjusted each calendar year based upon the annual increase in the Medicare hospital deductible. Therefore, in the base request, the department assumed that the daily co-insurance rate would increase from \$144.50 in CY 2012 to \$148.50 for CY 2013, \$152.50 for FY 14, and \$156.50 for CY 2015.

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**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 3 Hospice Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Individuals Receiving Hospice Services Per Month	6,673.00	6,812.00	7,017.00	7,218.00	7,418.00
<b>Efficiency Measures:</b>						
KEY 1	Average Net Payment Per Individual Per Month for Hospice	2,808.47	2,787.28	2,818.44	2,830.60	2,830.70
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$224,350,455	\$223,962,450	\$226,443,099	\$245,175,112	\$251,977,963
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$224,350,455</b>	<b>\$223,962,450</b>	<b>\$226,443,099</b>	<b>\$245,175,112</b>	<b>\$251,977,963</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$77,277,179	\$93,123,587	\$31,162,213	\$98,658,465	\$101,295,141
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$61,203,927	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$77,277,179</b>	<b>\$93,123,587</b>	<b>\$92,366,140</b>	<b>\$98,658,465</b>	<b>\$101,295,141</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$12,225,197	\$0	\$0	\$0	\$0

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 3 Hospice Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	369	\$12,225,197	\$0	\$0	\$0	\$0
555 Federal Funds						
93.778.000 XIX FMAP		\$134,848,079	\$130,838,863	\$45,234,485	\$146,516,647	\$150,682,822
CFDA Subtotal, Fund	555	\$134,848,079	\$130,838,863	\$45,234,485	\$146,516,647	\$150,682,822
8138 FF for FY 12-13 Entitlement/Waiver						
93.778.000 XIX FMAP		\$0	\$0	\$88,842,474	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$88,842,474	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$147,073,276</b>	<b>\$130,838,863</b>	<b>\$134,076,959</b>	<b>\$146,516,647</b>	<b>\$150,682,822</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$245,175,112</b>	<b>\$251,977,963</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$224,350,455</b>	<b>\$223,962,450</b>	<b>\$226,443,099</b>	<b>\$245,175,112</b>	<b>\$251,977,963</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**



**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	6	Nursing Facility and Hospice Payments	Service Categories:		
STRATEGY:	3	Hospice	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Medicaid Hospice strategy provides services to Medicaid recipients who no longer desire curative treatment and who have a physician's prognosis of six months or less to live. Available services include physician and nursing care; medical social services; counseling; home health aide; personal care, homemaker, and household services; physical, occupational, or speech language pathology services; bereavement counseling; medical appliances and supplies; drugs and biologicals; volunteer services; general inpatient care (short-term); and respite care. Service settings can be in the home, in community settings, or in long-term-care facilities. Medicaid rates for community-based Hospice are based on Medicare rates set by the Center for Medicare and Medicaid Services (CMS). For individuals residing in a nursing facility or an ICF/IID and receiving hospice services, the facility also receives a payment of 95% of the established nursing facility rate for that individual.

Hospice eligibility is available for all age groups, including children, during their final stages of life.

Statutory Authority. Social Security Act, Title XIX; Human Resources Code, Chapters 32 and 161; and Government Code, Chapter 531.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

N/A

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**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 4 Promote Independence by Providing Community-based Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Avg # of Individuals Served Through Promoting Independence Per Month	6,589.00	5,804.00	5,500.00	5,769.00	6,076.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Individual Served: Promoting Independence	1,567.20	1,494.33	1,432.42	1,431.61	1,430.89
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$123,938,650	\$104,077,784	\$96,515,445	\$99,107,497	\$104,329,052
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$123,938,650</b>	<b>\$104,077,784</b>	<b>\$96,515,445</b>	<b>\$99,107,497</b>	<b>\$104,329,052</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$39,966,363	\$42,375,242	\$15,128,637	\$39,880,857	\$41,940,279
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$24,240,013	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$39,966,363</b>	<b>\$42,375,242</b>	<b>\$39,368,650</b>	<b>\$39,880,857</b>	<b>\$41,940,279</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$6,249,278	\$0	\$0	\$0	\$0

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 4 Promote Independence by Providing Community-based Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	369	\$6,249,278	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$69,246,137	\$58,272,391	\$21,960,446	\$59,226,640	\$62,388,773
	93.779.000 Health Care Financing Res	\$8,476,872	\$3,430,151	\$0	\$0	\$0
CFDA Subtotal, Fund	555	\$77,723,009	\$61,702,542	\$21,960,446	\$59,226,640	\$62,388,773
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$35,186,349	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$35,186,349	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$83,972,287</b>	<b>\$61,702,542</b>	<b>\$57,146,795</b>	<b>\$59,226,640</b>	<b>\$62,388,773</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$99,107,497</b>	<b>\$104,329,052</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$123,938,650</b>	<b>\$104,077,784</b>	<b>\$96,515,445</b>	<b>\$99,107,497</b>	<b>\$104,329,052</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	3
OBJECTIVE:	6	Nursing Facility and Hospice Payments	Service Categories:		
STRATEGY:	4	Promote Independence by Providing Community-based Services	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The Promote Independence by Providing Community-based Services strategy supports "the Money Follows the Person (MFP)" provisions which allow a Medicaid-eligible nursing facility resident to relocate back into the community and to receive long-term services and supports. Dollars from this strategy specifically fund the community-based services which support the individual while he/she resides in the community setting. Services may include 1915 (c) waiver or other community services and do not impact funding supported by the other community-based services.

Assistance is available from the Area Agencies on Aging (AAA). The AAA provide information about community options such as housing, health care, transportation, daily living, and social activities that can help individuals and their families make a decision from the planning phase to actual relocation in the community. To participate in MFP, an individual must reside in an institutional setting until a written eligibility determination by a community care worker approves specific community services and indicates when those services will begin.

Statutory Authority. Social Security Act, Title XIX, §1915(c); Olmstead v. Zimring, 527 USC 581 (1999); Executive Orders GWB99-2 and RP13; Government Code §§531.0244, 531.02441, 531.02442, 531.02443, 531.152 and 531.153; and Human Resources Code, Chapter 22, §§22.037 and 22.038, and Chapters 32 and 161.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments Service Categories:  
 STRATEGY: 4 Promote Independence by Providing Community-based Services Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The funding request for this strategy has been significantly impacted by the expansion of STAR+PLUS managed care that has occurred in three phases: February 2011 (Dallas/Tarrant catchment area), September 2011 (Contiguous counties of the STARPLUS catchment areas that were in place prior to expansion), and March 2012 (Amarillo/Lubbock, El Paso, and South Texas catchment areas). The “STARPLUS” and “non-STARPLUS” sub-strategies lay out the impact of managed care expansion for FYs 2011 and FY 2012. If STARPLUS is expanded state-wide in FY 2015, this strategy will be reduced by 37%. (Individuals receiving CLASS or MDCP through this strategy will continue to do so after statewide STARPLUS expansion.)

This strategy has experienced a 1.88% annual increase in the average monthly cost per individual served. This equates to an increased cost per individual served of \$26.93 in FY 2014 and \$54.37 in FY 2015. Funding for this utilization/acuity related cost increase has been included in the department’s “Cost Trends” Exceptional Item.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 4 Promoting Independence Services  
 SUB-STRATEGY: 1 STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Through Promoting Independence Per Month	1,736.00	480.00	0.00	0.00	0.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Promoting Independence	\$1,855.54	\$1,855.54	\$0.00	\$0.00	\$0.00
<b>Objects of Expense:</b>					
3001 - Client Services	\$42,320,946	\$11,164,280	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$42,320,946</b>	<b>\$11,164,280</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$13,826,253	\$4,642,108	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$13,826,253</b>	<b>\$4,642,108</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$2,928,609	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$2,928,609</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$25,566,083	\$6,522,172	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$25,566,083</b>	<b>\$6,522,172</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$28,494,693</b>	<b>\$6,522,172</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$42,320,946</b>	<b>\$11,164,280</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 6 Nursing Facility and Hospice Payments  
 STRATEGY: 4 Promoting Independence Services  
 SUB-STRATEGY: 2 Non STAR+PLUS

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Individuals Served Through Promoting Independence Per Month	4,853.00	5,324.00	5,500.00	5,769.00	6,076.00
<b>Efficiency Measures:</b>					
1 Average Monthly Cost Per Individual Served: Promoting Independence	\$1,380.96	\$1,317.98	\$1,432.42	\$1,431.61	\$1,430.89
<b>Objects of Expense:</b>					
3001 - Client Services	\$81,617,703	\$92,913,504	\$96,515,446	\$99,107,496	\$104,329,052
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$81,617,703</b>	<b>\$92,913,504</b>	<b>\$96,515,446</b>	<b>\$99,107,496</b>	<b>\$104,329,052</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$26,925,680	\$38,633,435	\$39,368,650	\$39,880,857	\$41,940,279
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$26,925,680</b>	<b>\$38,633,435</b>	<b>\$39,368,650</b>	<b>\$39,880,857</b>	<b>\$41,940,279</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$5,345,960	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$5,345,960</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$49,346,063	\$54,280,069	\$57,146,796	\$59,226,640	\$62,388,773
<b>CFDA Subtotal, Fund 0555</b>	<b>\$49,346,063</b>	<b>\$54,280,069</b>	<b>\$57,146,796</b>	<b>\$59,226,640</b>	<b>\$62,388,773</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$54,692,023</b>	<b>\$54,280,069</b>	<b>\$57,146,796</b>	<b>\$59,226,640</b>	<b>\$62,388,773</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$81,617,703</b>	<b>\$92,913,504</b>	<b>\$96,515,446</b>	<b>\$99,107,496</b>	<b>\$104,329,052</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>



3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 7 Intermediate Care Facilities - Individuals w/ Intellectual Disability Service Categories:  
 STRATEGY: 1 Intermed Care Facilities - for Individuals w/ ID (ICF/IID) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Number of Persons in ICF/IID Medicaid Beds Per Month	5,610.00	5,599.00	5,625.00	5,624.00	5,624.00
2	Average Number of ICF/IID Medicaid Beds Per Month	6,837.00	6,257.00	5,875.00	5,875.00	5,875.00
<b>Efficiency Measures:</b>						
KEY 1	Monthly Cost Per ICF/IID Medicaid Eligible Individual	4,513.79	4,385.58	4,384.60	4,384.60	4,384.60
<b>Explanatory/Input Measures:</b>						
1	Number of Individuals in ICF/IID Medicaid Beds Per Year	5,609.00	5,628.00	5,624.00	5,624.00	5,624.00
2	Number ICF/IID Individuals with Residential Length of Stay 0-12 Months	465.00	467.00	466.00	466.00	466.00
3	Number ICF/IID Individuals with Residential Length of Stay 13-23 Mths	417.00	419.00	418.00	418.00	418.00
4	Number ICF/IID Individuals with Residential Length of Stay 24+ Months	4,727.00	4,743.00	4,739.00	4,739.00	4,739.00
5	Average Monthly Number of Individuals in ICF/IID, 1-8 Beds	4,412.00	4,472.00	4,508.00	4,479.00	4,479.00
6	Monthly Cost Per ICF/IID Medicaid Eligible Individual, 1 to 8 Beds	4,734.27	4,597.50	4,597.32	4,597.32	4,597.32

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 7 Intermediate Care Facilities - Individuals w/ Intellectual Disability Service Categories:  
 STRATEGY: 1 Intermed Care Facilities - for Individuals w/ ID (ICF/IID) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
7	Average Monthly Number of Individuals in ICF/IID, 9-13 Beds	544.00	546.00	548.00	560.00	560.00
8	Monthly Cost Per ICF/IID Medicaid Eligible Individual, 9-13 Beds	3,592.59	3,479.72	3,459.20	3,459.20	3,459.20
9	Average Monthly Number of Individuals in ICF/IID, 14+ Beds	654.00	581.00	569.00	585.00	585.00
10	Monthly Cost Per ICF/IID Medicaid Eligible Individual, 14+ Beds	3,604.44	3,362.21	3,362.21	3,362.21	3,362.21
11	Average Monthly Number of ICF/IID Medicaid Beds, 8 or Less	4,629.00	4,298.00	4,035.00	4,035.00	4,035.00
12	Average Monthly Number of ICF/IID Beds, 9-13	642.00	574.00	539.00	539.00	539.00
13	Average Monthly Number of ICF/IID Medicaid Beds, 14+	1,566.00	1,386.00	1,301.00	1,301.00	1,301.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$799,797	\$788,443	\$774,266	\$781,354	\$781,354
1002	OTHER PERSONNEL COSTS	\$1,287,726	\$1,235,759	\$1,225,146	\$1,226,394	\$1,226,394
2001	PROFESSIONAL FEES AND SERVICES	\$2,181,408	\$2,070,744	\$2,023,915	\$2,044,846	\$2,044,846
2003	CONSUMABLE SUPPLIES	\$548,914	\$526,052	\$517,038	\$519,801	\$519,801
2004	UTILITIES	\$725,289	\$692,173	\$685,194	\$686,380	\$686,380
2005	TRAVEL	\$446,417	\$424,223	\$420,348	\$420,868	\$420,868

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 7 Intermediate Care Facilities - Individuals w/ Intellectual Disability Service Categories:  
 STRATEGY: 1 Intermed Care Facilities - for Individuals w/ ID (ICF/IID) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2007	RENT - MACHINE AND OTHER	\$561,147	\$541,916	\$535,103	\$536,728	\$536,728
2009	OTHER OPERATING EXPENSE	\$5,187,588	\$5,022,832	\$4,947,728	\$4,968,726	\$4,968,726
3001	CLIENT SERVICES	\$290,699,582	\$280,806,084	\$281,731,827	\$284,129,806	\$284,129,806
3002	FOOD FOR PERSONS - WARDS OF STATE	\$772,099	\$742,268	\$739,314	\$738,338	\$738,338
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$303,209,967</b>	<b>\$292,850,494</b>	<b>\$293,599,879</b>	<b>\$296,053,241</b>	<b>\$296,053,241</b>

**Method of Financing:**

1	General Revenue Fund	\$483,311	\$0	\$0	\$0	\$0
758	GR Match For Medicaid	\$91,561,929	\$93,848,070	\$9,889,674	\$113,451,755	\$113,344,828
8091	Eff- Match For Medicaid	\$0	\$1,050,935	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$83,232,005	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$92,045,240</b>	<b>\$94,899,005</b>	<b>\$93,121,679</b>	<b>\$113,451,755</b>	<b>\$113,344,828</b>

**Method of Financing:**

5080	Quality Assurance	\$26,321,479	\$26,821,479	\$26,321,479	\$21,571,478	\$21,571,478
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$26,321,479</b>	<b>\$26,821,479</b>	<b>\$26,321,479</b>	<b>\$21,571,478</b>	<b>\$21,571,478</b>

**Method of Financing:**

369 Fed Recovery & Reinvestment Fund

3.A. STRATEGY REQUEST

8/14/2012 9:51:27AM

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 7 Intermediate Care Facilities - Individuals w/ Intellectual Disability Service Categories:  
 STRATEGY: 1 Intermed Care Facilities - for Individuals w/ ID (ICF/IID) Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
	93.778.014 Medicaid - Stimulus	\$18,043,271	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$18,043,271	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.778.000 XIX FMAP	\$166,758,434	\$171,060,172	\$51,763,799	\$160,941,400	\$161,048,327
	93.778.004 XIX ADM @ 75%	\$0	\$0	\$1,505,250	\$0	\$0
CFDA Subtotal, Fund	555	\$166,758,434	\$171,060,172	\$53,269,049	\$160,941,400	\$161,048,327
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$120,818,019	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$120,818,019	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$184,801,705</b>	<b>\$171,060,172</b>	<b>\$174,087,068</b>	<b>\$160,941,400</b>	<b>\$161,048,327</b>
<b>Method of Financing:</b>						
777	Interagency Contracts	\$2,182	\$0	\$0	\$0	\$0
8095	MR Collect-Pat Supp & Maint	\$39,361	\$69,838	\$69,653	\$88,608	\$88,608
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$41,543</b>	<b>\$69,838</b>	<b>\$69,653</b>	<b>\$88,608</b>	<b>\$88,608</b>

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	7	Intermediate Care Facilities - Individuals w/ Intellectual Disability	Service Categories:		
STRATEGY:	1	Intermed Care Facilities - for Individuals w/ ID (ICF/IID)	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$296,053,241</b>	<b>\$296,053,241</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$303,209,967</b>	<b>\$292,850,494</b>	<b>\$293,599,879</b>	<b>\$296,053,241</b>	<b>\$296,053,241</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>30.1</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Intermediate Care Facilities for individuals with an Intellectual Disability (ICFs/IID) strategy funds residential facilities serving four or more individuals with intellectual and developmental disabilities. ICF/IDD is considered an optional Medicaid program. Each private or public facility must comply with federal and state standards, laws, and regulations. These facilities provide active treatment, including diagnosis, treatment, rehabilitation, ongoing evaluation, planning, 24-hour supervision, coordination, and integration of health or rehabilitative services to help each individual function at their greatest ability.

To be eligible, an individual must have a full scale intelligence quotient (IQ) score of 69 or below, and have an adaptive behavior level with mild to extreme deficits in adaptive behavior; or have a full scale IQ score of 75 or below and a primary diagnosis by a licensed physician of a related condition, and have an adaptive behavior level with mild to extreme deficits in adaptive behavior; or have a primary diagnosis of a related condition diagnosed by a licensed physician regardless of IQ and have an adaptive behavior level with moderate to extreme deficits in adaptive behavior; and in need of and able to benefit from the active treatment provided in the 24-hour supervised residential setting of an ICF/IID; and be eligible for Supplemental Security Income (SSI) or be eligible for Medicaid.

Statutory Authority. Social Security Act, Title XIX; Health & Safety Code, §252.201-208; and Human Resources Code, Chapter 161.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 7 Intermediate Care Facilities - Individuals w/ Intellectual Disability Service Categories:  
 STRATEGY: 1 Intermed Care Facilities - for Individuals w/ ID (ICF/IID) Service: 26 Income: A.1 Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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This strategy is impacted by the “Money Follows the Person” demonstration. Under this demonstration, providers of large and medium size facilities may agree to close their facilities and begin serving individuals in new Home and Community Based (HCS) residential slots. However, under this agreement, funds would need to be transferred from this strategy to the HCS strategy. In addition, the number of HCS slots would need to be increased. The department has proposed Rider revisions which would allow more flexibility in implementing this demonstration project.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 7 Intermediate Care Facilities - Mental Retardation  
 STRATEGY: 1 Intermediate Care Facilities - Intellectual & Developmental Disability (ICF/IID)  
 SUB-STRATEGY: 1 ID Private

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Persons in ICF/IID Medicaid Beds Per Month	4,859.00	4,849.00	4,871.00	4,870.00	4,870.00
2 Average Number of ICF/IID Medicaid Beds Per Month	5,922.00	5,419.00	5,088.00	5,088.00	5,088.00
<b>Efficiency Measures:</b>					
1 Monthly Cost Per ICF/IID Medicaid Eligible Individual	\$4,504.97	\$4,358.52	\$4,349.92	\$4,402.04	\$4,402.04
<b>Explanatory Measures:</b>					
1 Number of Individuals in ICF/IID Medicaid Beds at the End of the Fiscal Year	4,859.00	4,849.00	4,871.00	4,870.00	4,870.00
<b>Objects of Expense:</b>					
3001 - Client Services	\$258,917,498	\$252,035,019	\$252,192,337	\$256,190,402	\$256,190,402
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$258,917,498</b>	<b>\$252,035,019</b>	<b>\$252,192,337</b>	<b>\$256,190,402</b>	<b>\$256,190,402</b>
<b>Method of Financing:</b>					
0758 GR-Match for Medicaid	\$77,190,113	\$76,910,485	\$7,315,771	\$92,398,655	\$97,306,807
8091 EFF-Match for Medicaid	\$0	\$1,050,935	\$0	\$0	\$0
8137 GR Match for Medicaid-FY 12-13 demand	\$0	\$0	\$83,232,005	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$77,190,113</b>	<b>\$77,961,420</b>	<b>\$90,547,776</b>	<b>\$92,398,655</b>	<b>\$97,306,807</b>
<b>Method of Financing:</b>					
5080 GR Ded - QAF	\$26,321,479	\$26,821,479	\$26,321,479	\$26,571,478	\$21,571,478
<b>SUBTOTAL, MOF (General Revenue-Dedicated )</b>	<b>\$26,321,479</b>	<b>\$26,821,479</b>	<b>\$26,321,479</b>	<b>\$26,571,478</b>	<b>\$21,571,478</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$15,089,319	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$15,089,319</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$140,316,586	\$147,252,120	\$14,505,064	\$137,220,269	\$137,312,117

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 7 Intermediate Care Facilities - Mental Retardation  
 STRATEGY: 1 Intermediate Care Facilities - Intellectual & Developmental Disability (ICF/IID)  
 SUB-STRATEGY: 1 ID Private

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$140,316,586</b>	<b>\$147,252,120</b>	<b>\$14,505,064</b>	<b>\$137,220,269</b>	<b>\$137,312,117</b>
8138 Federal Funds-FY 12-13 demand					
93.778.000 XIX FMAP	\$0	\$0	\$120,818,018	\$0	\$0
<b>CFDA Subtotal, Fund 8138</b>	<b>\$0</b>	<b>\$0</b>	<b>\$120,818,018</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$155,405,905</b>	<b>\$147,252,120</b>	<b>\$135,323,082</b>	<b>\$137,220,269</b>	<b>\$137,312,117</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$258,917,497</b>	<b>\$252,035,019</b>	<b>\$252,192,337</b>	<b>\$256,190,402</b>	<b>\$256,190,402</b>
<b>TOTAL, VARIANCE:</b>	<b>\$1</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 7 Intermediate Care Facilities - Mental Retardation  
 STRATEGY: 1 Intermediate Care Facilities - Intellectual & Developmental Disability (ICF/IID)  
 SUB-STRATEGY: 2 ID Public

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Average Number of Persons in ICF/IID Medicaid Beds Per Month	751.00	750.00	754.00	754.00	754.00
2 Average Number of ICF/IID Medicaid Beds Per Month	915.00	838.00	787.00	787.00	787.00
<b>Efficiency Measures:</b>					
1 Monthly Cost Per ICF/IID Medicaid Eligible Individual	\$4,505.40	\$4,359.69	\$4,347.75	\$4,398.94	\$4,398.94
<b>Explanatory Measures:</b>					
1 Number of Individuals in ICF/IID Medicaid Beds at the End of the Fiscal Year	750.00	779.00	753.00	754.00	754.00
 <b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$799,797	\$788,443	\$774,266	\$781,354	\$781,354
1002 - Other Personnel Costs	\$1,287,726	\$1,235,759	\$1,225,146	\$1,226,394	\$1,226,394
2001 - Professional Fees & Services	\$2,181,408	\$2,070,744	\$2,023,915	\$2,044,846	\$2,044,846
2003 - Consumable Supplies	\$548,914	\$526,052	\$517,038	\$519,801	\$519,801
2004 - Utilities	\$725,289	\$692,173	\$685,194	\$686,380	\$686,380
2005 - Travel	\$446,417	\$424,223	\$420,348	\$420,868	\$420,868
2007 - Rent - Machine and Other	\$561,147	\$541,916	\$535,103	\$536,728	\$536,728
2009 - Other Operating Expense	\$5,187,588	\$5,022,830	\$4,947,726	\$4,968,726	\$4,968,726
3001 - Client Services	\$31,782,084	\$28,771,065	\$29,539,490	\$27,939,403	\$27,939,403
3002 - Food for Persons - Wards of State	\$772,099	\$742,268	\$739,314	\$738,338	\$738,338
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$44,292,469</b>	<b>\$40,815,474</b>	<b>\$41,407,541</b>	<b>\$39,862,839</b>	<b>\$39,862,839</b>
 <b>Method of Financing:</b>					
0001 General Revenue Fund	\$483,311	\$0	\$0	\$0	\$0
0758 GR-Match for Medicaid	\$14,371,815	\$16,952,854	\$2,573,904	\$16,053,100	\$16,038,020
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$14,855,126</b>	<b>\$16,952,854</b>	<b>\$2,573,904</b>	<b>\$16,053,100</b>	<b>\$16,038,020</b>
 <b>Method of Financing:</b>					
0777 Interagency Contracts	\$2,182	\$0	\$0	\$0	\$0
8095 MR Collections	\$39,361	\$54,567	\$69,653	\$88,608	\$88,608
<b>SUBTOTAL, MOF (Other Funds )</b>	<b>\$41,543</b>	<b>\$54,567</b>	<b>\$69,653</b>	<b>\$88,608</b>	<b>\$88,608</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 1 Long Term Services and Supports  
 OBJECTIVE: 7 Intermediate Care Facilities - Mental Retardation  
 STRATEGY: 1 Intermediate Care Facilities - Intellectual & Developmental Disability (ICF/IID)  
 SUB-STRATEGY: 2 ID Public

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$2,953,952	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$2,953,952</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.778.000 XIX FMAP	\$26,441,847	\$23,808,052	\$37,258,735	\$23,721,131	\$23,736,211
93.778.004 XIXADM 75%	\$0	\$0	\$1,505,250	\$0	\$0
<b>CFDA Subtotal, Fund 0555</b>	<b>\$26,441,847</b>	<b>\$23,808,052</b>	<b>\$38,763,985</b>	<b>\$23,721,131</b>	<b>\$23,736,211</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$29,395,800</b>	<b>\$23,808,052</b>	<b>\$38,763,985</b>	<b>\$23,721,131</b>	<b>\$23,736,211</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$44,292,469</b>	<b>\$40,815,474</b>	<b>\$41,407,541</b>	<b>\$39,862,839</b>	<b>\$39,862,839</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>30.1</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Average Monthly Number of SSLC Campus Residents	4,072.00	3,875.00	3,628.00	3,381.00	3,134.00
2	Avg Mthly # Individuals w/IDD Waiting Admission Any SSLC - Civil	10.00	31.00	21.00	21.00	21.00
3	Avg Mthly # Indiv IDD Pend Admission any SSLC Criminal Commitment	5.00	5.00	4.00	4.00	4.00
4	Avg Mthly # Individls w/IDD Waiting Admission Specific LC Campus	6.00	17.00	12.00	12.00	12.00
5	Avg Mthly # Indiv IDD Wait Admission Specific SSLC Criminal Commitment	5.00	5.00	4.00	4.00	4.00
6	Number of Referrals to the Ombudsman	1,006.00	879.90	879.90	879.90	879.90
7	Number of Reviews/Investigations Performed by the Ombudsman	758.00	489.30	489.30	489.30	489.30
KEY 8	# Unfounded Abuse/Neglect/Exploitation Allegations Against SSLC Staff	1,272.00	1,195.00	1,135.00	1,022.00	919.00
KEY 9	# Confirmed Abuse/Neglect/Exploitation Incidents at SSLC	809.00	744.00	707.00	636.00	573.00
10	Number of Unfounded A/N/E Allegations Against SSLC Staff - Abilene	64.00	77.00	73.00	66.00	59.00
11	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Abilene	134.00	118.00	112.00	101.00	91.00

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
12	Number of Unfounded A/N/E Allegations Against SSLC Staff - Austin	91.00	69.00	66.00	59.00	53.00
13	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Austin	79.00	70.00	66.00	60.00	54.00
14	Number of Unfounded A/N/E Allegations Against SSLC Staff - Brenham	64.00	50.00	48.00	43.00	39.00
15	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Brenham	32.00	21.00	20.00	18.00	16.00
16	Number of Unfounded A/N/E Allegations Agnst SSLC Staff Corpus Christi	75.00	123.00	117.00	105.00	95.00
17	Number Conf Abuse/Neglect/Exploitation Allegations SSLC Corpus Christi	70.00	53.00	50.00	45.00	41.00
18	Number of Unfounded A/N/E Allegations Against SSLC Staff - Denton	19.00	18.00	17.00	16.00	14.00
19	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Denton	50.00	39.00	37.00	33.00	30.00
20	Number of Unfounded A/N/E Allegations Against SSLC Staff - El Paso	0.00	0.00	0.00	0.00	0.00
21	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - El Paso	21.00	33.00	31.00	28.00	26.00

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
22	Number of Unfounded A/N/E Allegations Against SSLC Staff - Lubbock	14.00	11.00	11.00	10.00	9.00
23	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Lubbock	101.00	90.00	86.00	77.00	69.00
24	Number of Unfounded A/N/E Allegations Against SSLC Staff - Lufkin	25.00	14.00	14.00	12.00	11.00
25	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Lufkin	35.00	26.00	25.00	22.00	20.00
26	Number of Unfounded A/N/E Allegations Against SSLC Staff - Mexia	756.00	698.00	663.00	597.00	537.00
27	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC - Mexia	83.00	78.00	74.00	66.00	60.00
28	Number of Unfounded A/N/E Allegations Against SSLC Staff - Richmond	6.00	3.00	3.00	3.00	3.00
29	Number Confirmed Abuse/Neglect/Exploitation Allegations SSLC-Richmond	41.00	44.00	42.00	38.00	34.00
30	Number of Unfounded A/N/E Allegations Against SSLC Staff - San Angelo	132.00	110.00	104.00	94.00	84.00
31	Number Confirmed Abuse/Neglect/Exploitation Allegation SSLC San Angelo	70.00	83.00	79.00	71.00	64.00

3.A. STRATEGY REQUEST

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539 Aging and Disability Services, Department of

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
32	Number of Unfounded A/N/E Allegations Against SSLC Staff - San Antonio	15.00	14.00	14.00	12.00	11.00
33	Number Confirmed Abuse/Neglect/Exploitation Allegati SSLC San Antonio	73.00	66.00	63.00	57.00	51.00
34	# Unfounded A/N/E Allegations Against SSLC Staff Rio Grande State Ctr	11.00	6.00	6.00	5.00	5.00
35	# Confirmed Abuse/Neglect/Exploitation Allegati SSLC Rio Grande S Ctr	20.00	22.00	21.00	19.00	17.00
<b>Efficiency Measures:</b>						
KEY 1	Average Monthly Cost Per Campus Resident	13,546.00	14,407.00	15,181.00	16,382.00	17,673.00
2	Avg #Days Ind w/IDD Wait for Admission Any Living Ctr Campus-Civil Com	28.00	13.00	21.00	21.00	21.00
3	Avg # Days Indiv IDD Wait Admission any SSLC - Civil Commitment	28.00	71.00	50.00	50.00	50.00
4	Avg # Days Individuals w/ID Wait Admission Specific Living Ctr Campus	64.00	51.00	58.00	58.00	58.00
<b>Explanatory/Input Measures:</b>						
1	Number of LC Campus Residents Who Are under 18 Years of Age Per Year	120.00	112.00	116.00	116.00	116.00

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2	Avg # Day Individls Interested LV Center Placement Wait Admission	28.00	84.00	56.00	56.00	56.00
3	Number of Individuals Interested In Living Center Placement - Civil	10.00	31.00	21.00	21.00	21.00
4	# Individuals Interested Living Center Placement - Civil Commitment	54.00	49.00	52.00	52.00	52.00
5	Number of LC Campus Residents Per Year	4,338.00	4,131.00	3,898.00	3,651.00	3,404.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$412,401,279	\$417,853,084	\$431,594,979	\$431,177,641	\$431,177,641
1002	OTHER PERSONNEL COSTS	\$16,703,500	\$12,018,882	\$13,142,419	\$13,207,632	\$13,207,632
2001	PROFESSIONAL FEES AND SERVICES	\$34,008,416	\$34,835,515	\$16,370,196	\$19,519,496	\$19,540,875
2002	FUELS AND LUBRICANTS	\$1,744,471	\$1,830,380	\$1,451,190	\$1,588,700	\$1,588,700
2003	CONSUMABLE SUPPLIES	\$6,017,491	\$6,015,966	\$6,055,203	\$6,379,335	\$6,379,335
2004	UTILITIES	\$11,292,978	\$11,239,318	\$11,892,317	\$11,722,068	\$11,722,068
2005	TRAVEL	\$1,427,178	\$1,675,786	\$1,182,791	\$1,507,164	\$1,507,164
2006	RENT - BUILDING	\$234,490	\$239,880	\$230,052	\$234,966	\$234,966
2007	RENT - MACHINE AND OTHER	\$2,730,648	\$5,982,730	\$2,890,731	\$2,970,315	\$2,970,315
2009	OTHER OPERATING EXPENSE	\$141,566,047	\$143,413,731	\$143,413,542	\$143,080,556	\$143,080,557

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	8	State Supported Living Centers	Service Categories:		
STRATEGY:	1	State Supported Living Centers	Service:	26	Income: A.1
					Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
3001	CLIENT SERVICES	\$17,844,074	\$17,741,782	\$17,125,134	\$17,974,297	\$17,974,297
3002	FOOD FOR PERSONS - WARDS OF STATE	\$11,968,699	\$12,019,277	\$11,649,888	\$11,834,582	\$11,834,582
4000	GRANTS	\$190,984	\$190,984	\$190,984	\$190,984	\$190,984
5000	CAPITAL EXPENDITURES	\$3,782,962	\$4,879,103	\$3,742,218	\$3,276,226	\$3,254,847
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$661,913,217</b>	<b>\$669,936,418</b>	<b>\$660,931,644</b>	<b>\$664,663,962</b>	<b>\$664,663,963</b>

**Method of Financing:**

1	General Revenue Fund	\$13,017,800	\$19,007,309	\$17,038,610	\$16,639,051	\$16,618,012
8032	GR Certified As Match For Medicaid	\$216,221,764	\$209,766,116	\$218,415,021	\$222,896,545	\$222,904,851
8091	Eff- Match For Medicaid	\$0	\$8,356,813	\$0	\$0	\$0
8137	GR Match: Medicaid E/W FY 12-13	\$0	\$0	\$1,329,528	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$229,239,564</b>	<b>\$237,130,238</b>	<b>\$236,783,159</b>	<b>\$239,535,596</b>	<b>\$239,522,863</b>

**Method of Financing:**

5080	Quality Assurance	\$26,000,000	\$43,761,500	\$33,000,000	\$33,000,000	\$33,000,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$26,000,000</b>	<b>\$43,761,500</b>	<b>\$33,000,000</b>	<b>\$33,000,000</b>	<b>\$33,000,000</b>

**Method of Financing:**

369 Fed Recovery & Reinvestment Fund



**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
	93.778.014 Medicaid - Stimulus	\$42,670,497	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$42,670,497	\$0	\$0	\$0	\$0
555	Federal Funds					
	10.555.000 National School Lunch Pr	\$224,424	\$113,575	\$113,575	\$113,575	\$113,575
	93.778.000 XIX FMAP	\$336,489,612	\$367,089,736	\$367,263,438	\$370,441,692	\$370,453,748
	93.791.000 Money Follows Person Reblncng Demo	\$0	\$1,137,838	\$1,137,838	\$1,137,838	\$1,137,838
	94.011.000 Foster Grandparent Progra	\$1,955,299	\$2,088,893	\$2,088,893	\$2,002,389	\$2,002,389
CFDA Subtotal, Fund	555	\$338,669,335	\$370,430,042	\$370,603,744	\$373,695,494	\$373,707,550
8138	FF for FY 12-13 Entitlement/Waiver					
	93.778.000 XIX FMAP	\$0	\$0	\$1,929,919	\$0	\$0
CFDA Subtotal, Fund	8138	\$0	\$0	\$1,929,919	\$0	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$381,339,832</b>	<b>\$370,430,042</b>	<b>\$372,533,663</b>	<b>\$373,695,494</b>	<b>\$373,707,550</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$1,623,275	\$191,000	\$191,000	\$173,665	\$173,671
777	Interagency Contracts	\$2,166,910	\$2,166,910	\$2,166,910	\$2,141,882	\$2,141,961
8095	MR Collect-Pat Supp & Maint	\$20,770,080	\$15,484,989	\$15,485,173	\$15,340,501	\$15,341,068
8096	MR Appropriated Receipts	\$691,396	\$689,579	\$689,579	\$694,664	\$694,690

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 1  
 OBJECTIVE: 8 State Supported Living Centers Service Categories:  
 STRATEGY: 1 State Supported Living Centers Service: 26 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
8098	MR Revolving Fund Receipts	\$82,160	\$82,160	\$82,160	\$82,160	\$82,160
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$25,333,821</b>	<b>\$18,614,638</b>	<b>\$18,614,822</b>	<b>\$18,432,872</b>	<b>\$18,433,550</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$664,663,962</b>	<b>\$664,663,963</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$661,913,217</b>	<b>\$669,936,418</b>	<b>\$660,931,644</b>	<b>\$664,663,962</b>	<b>\$664,663,963</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>13,613.4</b>	<b>13,591.5</b>	<b>14,200.4</b>	<b>14,200.4</b>	<b>14,200.4</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	8	State Supported Living Centers	Service Categories:		
STRATEGY:	1	State Supported Living Centers	Service: 26	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The State Supported Living Centers (SSLC) Services' strategy provides direct services and support for individuals admitted to the twelve state supported living centers and one state center providing intellectual and developmental disability residential services. SSLCs are located in Abilene, Austin, Brenham, Corpus Christi, Denton, El Paso, Lubbock, Lufkin, Mexia, Richmond, San Angelo, and San Antonio. The Rio Grande State Center is in Harlingen and is operated by the Department of State Health Services through a contract with DADS.

Each center is certified as a Medicaid-funded Intermediate Care Facility for Persons with an intellectual disability (ICF/IID). Approximately 60% of the operating funds are received from the federal government and 40% from State General Revenue or third-party sources.

The SSLCs and the Rio Grande State Center provide 24-hour residential services, comprehensive behavioral treatment and health care services including physician, nursing and dental services. Other services include skills training; occupational, physical and speech therapies; vocational programs, employment; and services to maintain connections between residents and their families/natural support systems.

Individuals with severe or profound intellectual and developmental disabilities, including those who are medically fragile or have behavioral problems, are eligible to receive residential services in a SSLC.

Statutory Authority. Health & Safety Code, Chapter 252, §§252.201-208, Chapter 533, §533.038, and Chapters 551, 553-554; and Human Resources Code, Chapter 161.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	1
OBJECTIVE:	8	State Supported Living Centers	Service Categories:		
STRATEGY:	1	State Supported Living Centers	Service: 26	Income: A.1	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The most significant factor is DADS' compliance with the Department of Justice (DOJ) Settlement Agreement (June 26, 2009) involving the 12 state supported living centers (SSLCs) and one state center. The State of Texas is actively working to comply with each of the 20 key areas of operations of the centers. Due to the nature of the 24/7 operation of SSLCs, medical/direct care FTEs not filled are supplemented by overtime and contract workers. The SSLC division is implementing several system wide initiatives based on the initial findings to improve the overall service delivery system and quality of the lives of the centers' residents.

As systems are implemented and processes refined, DADS will shift resources accordingly to meet the Settlement Agreement requirements. As a result of the baseline reviews, additional staff resources were reallocated to increase the number of Qualified Intellectual Disability Professionals and Speech/Language Pathologists-two areas not previously addressed in staffing models.

Exceptional Items include: Vehicles for SSLC residents' transportation, maintenance and operations, inflationary costs for utilities & drugs, furniture/equipment to replace aged/worn items used for SSLC resident care, repair/renovation of critical infrastructure at SSLCs, electronic health records for safer, more efficient health care and various IT projects to improve infrastructure, physical security, and efficiency of operations including video conferencing.

### III.E. Sub-strategy Summary

Agency Code: 539		Agency Name: Aging and Disability Services, Department of			Strategy Code: 1-8-1		
<b>AGENCY GOAL:</b>		1 Long-term Services and Supports					
<b>OBJECTIVE:</b>		8 State Supported Living Centers (SSLC)					
<b>STRATEGY:</b>		1 State Supported Living Centers (SSLC)					
<b>SUB-STRATEGY SUMMARY</b>							
Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015	
1	Residential Care	\$602,746,484	\$604,041,556	\$616,303,087	\$620,372,067	\$620,554,200	
2	New Generation Medications	\$11,058,297	\$12,140,028	\$11,831,326	\$11,985,677	\$11,985,677	
3	All other Medications	\$19,699,504	\$20,419,557	\$20,791,075	\$20,766,059	\$20,605,305	
4	Off-campus Medical Care	\$28,408,932	\$25,486,059	\$8,263,938	\$8,263,935	\$8,263,935	
5	Capital Projects	\$0	\$7,849,218	\$3,742,218	\$3,276,226	\$3,254,847	
		\$661,913,217	\$669,936,418	\$660,931,644	\$664,663,963	\$664,663,963	

### III.D. Sub-strategy Request

Agency Code:  
539

Agency Name:  
Aging and Disability Services, Department of

Prepared By:

Statewide Goal Code:

**AGENCY GOAL:** 1 Long-term Services and Supports  
**OBJECTIVE:** 8 State Supported Living Centers (SSLC)  
**STRATEGY:** 1 State Supported Living Centers (SSLC)  
**SUB-STRATEGY:** 1 Residential Care

Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$416,451,279	\$420,953,084	\$431,594,979	\$431,177,641	\$431,177,641
1002	Other Personnel Costs	\$16,703,500	\$12,018,882	\$13,142,419	\$13,207,632	\$13,207,632
2001	Professional Fees & Services	\$5,599,484	\$9,055,942	\$7,356,258	\$11,255,562	\$11,276,941
2002	Fuels & Lubricants	\$1,744,471	\$1,830,380	\$1,451,190	\$1,588,700	\$1,588,700
2003	Consumable Supplies	\$5,967,491	\$7,015,966	\$6,055,203	\$6,379,335	\$6,379,335
2004	Utilities	\$11,292,978	\$11,239,318	\$11,892,317	\$11,722,068	\$11,722,068
2005	Travel	\$1,427,178	\$1,675,786	\$1,182,791	\$1,507,164	\$1,507,164
2006	Rent - Building	\$234,490	\$239,880	\$230,052	\$234,966	\$234,966
2007	Rent - Machine and Other	\$2,730,648	\$5,982,730	\$2,890,731	\$2,970,315	\$2,970,315
2009	Other Operating Expense	\$101,308,246	\$103,935,847	\$111,541,140	\$110,328,822	\$110,489,577
3001	Client Services	\$17,844,074	\$17,741,782	\$17,125,134	\$17,974,297	\$17,974,297
3002	Food for Persons - Wards of State	\$11,968,699	\$12,019,277	\$11,649,888	\$11,834,582	\$11,834,582
4000	Grants	\$190,984	\$190,984	\$190,984	\$190,984	\$190,984
5000	Capital Expenditures	\$9,282,962	\$141,698	\$0	\$0	\$0
<b>Total, Objects of Expense</b>		<b>\$602,746,484</b>	<b>\$604,041,556</b>	<b>\$616,303,087</b>	<b>\$620,372,067</b>	<b>\$620,554,200</b>
<b>Method of Financing:</b>						
<b>General Revenue</b>						
0001	General Revenue Fund	\$11,802,272	\$10,104,789	\$12,420,635	\$12,158,323	\$12,162,650
8032	GR Certified As Match For Medicaid	\$196,032,214	\$189,964,611	\$204,029,283	\$208,268,130	\$208,333,725
8091	EFF-Match for Medicaid	\$0	\$7,567,946	\$0	\$0	\$0
8137	GR Match for Medicaid-FY 12-13 Demand	\$0	\$0	\$1,241,960	\$0	\$0
<b>Subtotal, General Revenue</b>		<b>\$207,834,486</b>	<b>\$207,637,347</b>	<b>\$217,691,878</b>	<b>\$220,426,453</b>	<b>\$220,496,375</b>
<b>General Revenue-Dedicated</b>						
5080	Quality Assurance	\$26,000,000	\$43,761,500	\$33,000,000	\$33,000,000	\$33,000,000
<b>Subtotal, General Revenue-Dedicated</b>		<b>\$26,000,000</b>	<b>\$43,761,500</b>	<b>\$33,000,000</b>	<b>\$33,000,000</b>	<b>\$33,000,000</b>
0369	<b>Federal American Recovery and Reinvestment Fund</b>					
	93.778.014 Medicaid - Stimulus	\$38,686,170	\$0	\$0	\$0	\$0
<b>Subtotal, Fund 0369</b>		<b>\$38,686,170</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555	<b>Federal Funds</b>					
	10.553.000 School Breakfast Program	\$224,424	\$0	\$0	\$0	\$0
	10.555.000 National School Lunch Program	\$0	\$113,575	\$113,575	\$113,575	\$113,575
	93.778.000 XIX FMAP	\$305,144,803	\$332,437,194	\$343,073,915	\$346,206,846	\$346,314,249
	93.791.000 MFP Demo	\$0	\$1,137,838	\$1,137,838	\$1,137,838	\$1,137,838
	94.011.000 Foster Grandparent Pgm	\$1,955,299	\$2,088,893	\$2,088,893	\$2,088,893	\$2,088,893
<b>Subtotal, Federal Funds</b>		<b>\$307,324,526</b>	<b>\$335,777,500</b>	<b>\$346,414,221</b>	<b>\$349,547,152</b>	<b>\$349,654,555</b>

### III.D. Sub-strategy Request

Agency Code:  
539

Agency Name:  
Aging and Disability Services, Department of

Prepared By:

Statewide Goal Code:

**AGENCY GOAL:** 1 Long-term Services and Supports  
**OBJECTIVE:** 8 State Supported Living Centers (SSLC)  
**STRATEGY:** 1 State Supported Living Centers (SSLC)  
**SUB-STRATEGY:** 1 Residential Care

Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015
8138	<b>Federal Funds</b>					
	93.778.000 XIX FMAP	\$0	\$0	\$1,802,805	\$0	\$0
	<i>Subtotal, Fund 8138</i>	<b>\$0</b>	<b>\$0</b>	<b>\$1,802,805</b>	<b>\$0</b>	<b>\$0</b>
	<b>Other Funds</b>					
666	Appropriated Receipts	\$1,397,039	\$172,970	\$178,420	\$178,465	\$178,514
777	Interagency Contracts	\$1,964,576	\$1,962,358	\$2,024,188	\$2,024,698	\$2,025,261
8095	MR Collections	\$18,830,689	\$14,023,237	\$14,465,254	\$14,468,815	\$14,472,833
8096	MR Approp Recpts	\$626,837	\$624,484	\$644,160	\$644,323	\$644,502
8098	MR Revolving Fund	\$82,160	\$82,160	\$82,160	\$82,160	\$82,160
	<i>Subtotal, Other Funds</i>	<b>\$22,901,301</b>	<b>\$16,865,209</b>	<b>\$17,394,182</b>	<b>\$17,398,461</b>	<b>\$17,403,270</b>
	<b>Total, Method of Financing</b>	<b>602,746,484</b>	<b>604,041,556</b>	<b>616,303,087</b>	<b>620,372,067</b>	<b>620,554,200</b>
	<b>Number of Positions (FTE)</b>	13,613.7	13,569.1	14,200.4	14,200.4	14,200.4

### III.D. Sub-strategy Request

Agency Code:  
539

Agency Name:  
Aging and Disability Services, Department of

Prepared By:

Statewide Goal Code:

**AGENCY GOAL:** 1 Long-term Services and Supports  
**OBJECTIVE:** 8 State Supported Living Centers (SSLC)  
**STRATEGY:** 1 State Supported Living Centers (SSLC)  
**SUB-STRATEGY:** 2 New Generation Medications

Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015
<b>Objects of Expense:</b>						
2009	Other Operating Expense	\$11,058,297	\$12,140,028	\$11,831,326	\$11,985,677	\$11,985,677
<b>Total, Objects of Expense</b>		<b>\$11,058,297</b>	<b>\$12,140,028</b>	<b>\$11,831,326</b>	<b>\$11,985,677</b>	<b>\$11,985,677</b>
<b>Method of Financing:</b>						
<b>General Revenue</b>						
0001	General Revenue Fund	\$227,183	\$220,294	\$253,419	\$249,552	\$249,563
8032	GR Certified As Match For Medicaid	\$3,773,439	\$4,141,410	\$4,162,817	\$4,274,743	\$4,274,756
8091	EFF-Match for Medicaid	\$0	\$164,988	\$0	\$0	\$0
8137	GR Match for Medicaid-FY 12-13 Demand	\$0	\$0	\$25,340	\$0	\$0
<i>Subtotal, General Revenue</i>		<b>\$4,000,622</b>	<b>\$4,526,693</b>	<b>\$4,441,576</b>	<b>\$4,524,295</b>	<b>\$4,524,319</b>
0369	<b>Federal American Recovery and Reinvestment Fund</b>					
	93.778.014 Medicaid - Stimulus	\$744,673	\$0	\$0	\$0	\$0
<i>Subtotal, Fund 0369</i>		<b>\$744,673</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555	<b>Federal Funds</b>					
	93.778.000 XIX FMAP	\$5,873,755	\$7,247,449	\$6,999,750	\$7,105,962	\$7,105,950
<i>Subtotal, Federal Funds</i>		<b>\$5,873,755</b>	<b>\$7,247,449</b>	<b>\$6,999,750</b>	<b>\$7,105,962</b>	<b>\$7,105,950</b>
8138	<b>Federal Funds</b>					
	93.778.000 XIX FMAP	\$0	\$0	\$36,783	\$0	\$0
<i>Subtotal, Fund 8138</i>		<b>\$0</b>	<b>\$0</b>	<b>\$36,783</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Funds</b>						
666	Appropriated Receipts	\$26,892	\$3,771	\$3,640	\$3,663	\$3,663
777	Interagency Contracts	\$37,816	\$42,781	\$41,300	\$41,557	\$41,556
8095	MR Collections	\$362,473	\$305,720	\$295,135	\$296,975	\$296,965
8096	MR Approp Recpts	\$12,066	\$13,614	\$13,143	\$13,225	\$13,224
<i>Subtotal, Other Funds</i>		<b>\$439,247</b>	<b>\$365,887</b>	<b>\$353,218</b>	<b>\$355,420</b>	<b>\$355,408</b>
<b>Total, Method of Financing</b>		<b>11,058,297</b>	<b>12,140,028</b>	<b>11,831,326</b>	<b>11,985,677</b>	<b>11,985,677</b>
<b>Number of Positions (FTE)</b>						



### III.D. Sub-strategy Request

Agency Code:  
539

Agency Name:  
Aging and Disability Services, Department of

Prepared By:

Statewide Goal Code:

**AGENCY GOAL:** 1 Long-term Services and Supports  
**OBJECTIVE:** 8 State Supported Living Centers (SSLC)  
**STRATEGY:** 1 State Supported Living Centers (SSLC)  
**SUB-STRATEGY:** 3 All Other Medications

Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015
<b>Objects of Expense:</b>						
2009	Other Operating Expense	\$19,699,504	\$20,419,557	\$20,791,075	\$20,766,059	\$20,605,305
<b>Total, Objects of Expense</b>		<b>\$19,699,504</b>	<b>\$20,419,557</b>	<b>\$20,791,075</b>	<b>\$20,766,059</b>	<b>\$20,605,305</b>
<b>Method of Financing:</b>						
<b>General Revenue</b>						
0001	General Revenue Fund	\$404,709	\$370,535	\$445,330	\$432,367	\$429,039
8032	GR Certified As Match For Medicaid	\$6,722,090	\$6,965,862	\$7,315,278	\$7,406,304	\$7,348,993
8091	EFF-Match for Medicaid	\$0	\$277,511	\$0	\$0	\$0
8137	GR Match for Medicaid-FY 12-13 Demand	\$0	\$0	\$44,529	\$0	\$0
<i>Subtotal, General Revenue</i>		<b>\$7,126,799</b>	<b>\$7,613,908</b>	<b>\$7,805,138</b>	<b>\$7,838,671</b>	<b>\$7,778,031</b>
0369	<b>Federal American Recovery and Reinvestment Fund</b>					
	93.705.000 ARRA Aging Home Delivered Nutrition Services					
	93.707.000 ARRA Aging Congregate Nutrition Services					
	93.778.014 Medicaid - Stimulus	\$1,326,578	\$0	\$0	\$0	\$0
	93.725.000 AoA ARRA Communities Putting Prevention to Work					
<i>Subtotal, Fund 0369</i>		<b>\$1,326,578</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555	<b>Federal Funds</b>					
	93.778.000 XIX FMAP	\$10,463,642	\$12,190,227	\$12,300,593	\$12,311,596	\$12,216,269
<i>Subtotal, Federal Funds</i>		<b>\$10,463,642</b>	<b>\$12,190,227</b>	<b>\$12,300,593</b>	<b>\$12,311,596</b>	<b>\$12,216,269</b>
	93.778.000 XIX FMAP	\$0	\$0	\$64,638	\$0	\$0
<i>Subtotal, Fund 8138</i>		<b>\$0</b>	<b>\$0</b>	<b>\$64,638</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Funds</b>						
666	Appropriated Receipts	\$47,905	\$6,343	\$6,397	\$6,346	\$6,297
777	Interagency Contracts	\$67,367	\$71,958	\$72,575	\$72,001	\$71,441
8095	MR Collections	\$645,718	\$514,222	\$518,638	\$514,531	\$510,531
8096	MR Approp Recpts	\$21,495	\$22,899	\$23,096	\$22,913	\$22,735
<i>Subtotal, Other Funds</i>		<b>\$782,485</b>	<b>\$615,422</b>	<b>\$620,706</b>	<b>\$615,792</b>	<b>\$611,004</b>
<b>Total, Method of Financing</b>		<b>19,699,504</b>	<b>20,419,557</b>	<b>20,791,075</b>	<b>20,766,059</b>	<b>20,605,305</b>

Number of Positions (FTE)

### III.D. Sub-strategy Request

Agency Code:  
539

Agency Name:  
Aging and Disability Services, Department of

Prepared By:

Statewide Goal Code:

**AGENCY GOAL:** 1 Long-term Services and Supports  
**OBJECTIVE:** 8 State Supported Living Centers (SSLC)  
**STRATEGY:** 1 State Supported Living Centers (SSLC)  
**SUB-STRATEGY:** 4 Off-campus Medical Care

Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015
<b>Objects of Expense:</b>						
2001	Professional Fees & Services	\$28,408,932	\$25,486,059	\$8,263,938	\$8,263,935	\$8,263,935
<b>Total, Objects of Expense</b>		\$28,408,932	\$25,486,059	\$8,263,938	<b>\$8,263,935</b>	<b>\$8,263,935</b>
<b>Method of Financing:</b>						
<b>General Revenue</b>						
0001	General Revenue Fund	\$583,636	\$462,472	\$177,008	\$172,062	\$172,070
8032	GR Certified As Match For Medicaid	\$9,694,021	\$8,694,232	\$2,907,642	\$2,947,368	\$2,947,377
8091	EFF-Match for Medicaid	\$0	\$346,367	\$0	\$0	\$0
8137	GR Match for Medicaid-FY 12-13 Demand	\$0	\$0	\$17,699	\$0	\$0
<i>Subtotal, General Revenue</i>		<b>\$10,277,657</b>	<b>\$9,503,072</b>	<b>\$3,102,349</b>	<b>\$3,119,430</b>	<b>\$3,119,446</b>
0369	<b>Federal American Recovery and Reinvestment Fund</b>					
	93.778.014 Medicaid - Stimulus	\$1,913,076	\$0	\$0	\$0	\$0
<i>Subtotal, Fund 0369</i>		<b>\$1,913,076</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555	<b>Federal Funds</b>					
	93.778.000 XIX FMAP	\$15,089,766	\$15,214,867	\$4,889,181	\$4,899,448	\$4,899,440
<i>Subtotal, Federal Funds</i>		<b>\$15,089,766</b>	<b>\$15,214,867</b>	<b>\$4,889,181</b>	<b>\$4,899,448</b>	<b>\$4,899,440</b>
8138	<b>Federal Funds</b>					
	93.778.000 XIX FMAP	\$0	\$0	\$25,692	\$0	\$0
<i>Subtotal, Fund 8138</i>		<b>\$0</b>	<b>\$0</b>	<b>\$25,692</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Funds</b>						
666	Appropriated Receipts	\$69,085	\$7,916	\$2,543	\$2,526	\$2,526
777	Interagency Contracts	\$97,151	\$89,812	\$28,847	\$28,653	\$28,652
8095	MR Collections	\$931,199	\$641,810	\$206,146	\$204,760	\$204,753
8096	MR Approp Recpts	\$30,998	\$28,581	\$9,180	\$9,118	\$9,118
<i>Subtotal, Other Funds</i>		<b>\$1,128,433</b>	<b>\$768,121</b>	<b>\$246,715</b>	<b>\$245,057</b>	<b>\$245,048</b>
<b>Total, Method of Financing</b>		<b>28,408,932</b>	<b>25,486,059</b>	<b>8,263,938</b>	<b>8,263,935</b>	<b>8,263,935</b>

Number of Positions (FTE)

### III.D. Sub-strategy Request

Agency Code:  
539

Agency Name:  
Aging and Disability Services, Department of

Prepared By:

Statewide Goal Code:

**AGENCY GOAL:** 1 Long-term Services and Supports  
**OBJECTIVE:** 8 State Supported Living Centers (SSLC)  
**STRATEGY:** 1 State Supported Living Centers (SSLC)  
**SUB-STRATEGY:** 5 Capital Projects

Code	Sub-strategy Detail	Expended 2011	Expended 2012	Budgeted 2013	Budgeted 2014	Budgeted 2015
<b>Objects of Expense:</b>						
2001	Professional Fees & Services		\$196,757			
2009	Other Operating Expense		\$2,773,358			
5000	Capital Expenditures		\$4,879,103	\$3,742,218	\$3,276,226	\$3,254,847
<b>Total, Objects of Expense</b>		\$0	\$7,849,218	\$3,742,218	<b>\$3,276,226</b>	<b>\$3,254,847</b>
<b>Method of Financing:</b>						
<b>General Revenue</b>						
0001	General Revenue Fund	\$0	\$7,849,218	\$3,742,218	\$3,276,226	\$3,254,847
	<i>Subtotal, General Revenue</i>	<b>\$0</b>	<b>\$7,849,218</b>	<b>\$3,742,218</b>	<b>\$3,276,226</b>	<b>\$3,254,847</b>
<b>Total, Method of Financing</b>		-	<b>7,849,218</b>	<b>3,742,218</b>	<b>3,276,226</b>	<b>3,254,847</b>
<b>Number of Positions (FTE)</b>						

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 1 Long-term Services and Supports Statewide Goal/Benchmark: 3 0  
 OBJECTIVE: 9 Capital Repairs and Renovations Service Categories:  
 STRATEGY: 1 Capital Repairs and Renovations Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>						
2001	PROFESSIONAL FEES AND SERVICES	\$1,815,034	\$0	\$1,292,000	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$1,928	\$0	\$0	\$0	\$0
2004	UTILITIES	\$65	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$23,707,418	\$352,186	\$13,893,518	\$352,186	\$352,186
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$25,524,445</b>	<b>\$352,186</b>	<b>\$15,185,518</b>	<b>\$352,186</b>	<b>\$352,186</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$142,028	\$62,383	\$62,383	\$62,384	\$62,384
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$142,028</b>	<b>\$62,383</b>	<b>\$62,383</b>	<b>\$62,384</b>	<b>\$62,384</b>
<b>Method of Financing:</b>						
543	Texas Capital Trust Acct	\$289,802	\$289,803	\$289,802	\$289,802	\$289,802
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$289,802</b>	<b>\$289,803</b>	<b>\$289,802</b>	<b>\$289,802</b>	<b>\$289,802</b>
<b>Method of Financing:</b>						
780	Bond Proceed-Gen Obligat	\$25,092,615	\$0	\$14,833,333	\$0	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$25,092,615</b>	<b>\$0</b>	<b>\$14,833,333</b>	<b>\$0</b>	<b>\$0</b>

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	9	Capital Repairs and Renovations	Service Categories:		
STRATEGY:	1	Capital Repairs and Renovations	Service: 10	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					\$352,186	\$352,186
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		\$25,524,445	\$352,186	\$15,185,518	\$352,186	\$352,186
<b>FULL TIME EQUIVALENT POSITIONS:</b>		0.0	0.0	0.0	0.0	0.0

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

For DADS, funding in this strategy is for the construction and renovation of facilities at the State Supported Living Centers and State-owned bond homes for individuals with intellectual and developmental disabilities. The vast majority of projects currently funded and underway are to bring existing facilities into compliance with the requirements in the Life Safety Code and/or other critical repairs and renovations, including fire sprinkler systems, fire alarm systems, emergency generators, fire/smoke walls, roofing, air conditioning, heating, electrical, plumbing, etc.

The large number of buildings on state supported living center campuses and the age of many of these buildings necessitates ongoing capital investments to ensure that the buildings are functional, safe, and in compliance with all pertinent standards. Compliance with such standards is mandatory to avoid the loss of federal funding for the state facilities.

Statutory Authority. Health and Safety Code, §551.007 (a)-(g); Human Resources Code, Chapter 161.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	1	Long-term Services and Supports	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	9	Capital Repairs and Renovations	Service Categories:		
STRATEGY:	1	Capital Repairs and Renovations	Service: 10	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The large number of buildings on state supported living center campuses and the age of many of these buildings necessitates ongoing capital investments to ensure that the buildings are functional, safe, and in compliance with all pertinent standards. Compliance with the Life Safety Code and related codes is mandatory to avoid the loss of federal funding for the state facilities. A comprehensive and standardized facility assessment was completed, validating current repair and rehabilitation needs. The database of current and projected needs developed through this assessment provided input into the amounts of bond funds earmarked for state facilities over the next several years.

The most significant factor is DADS' compliance with the Department of Justice (DOJ) Settlement Agreement (dated June 26, 2009) involving all twelve of the state supported living centers (SSLCs). The State of Texas is actively working to comply with each of the 20 key areas of operations of the centers.

The following exceptional item(s) will impact this strategy: Item 9, Repair & Renovations: critical infrastructure repairs/renovations of the SSLCs, including fire sprinklers/alarm systems, emergency generators, roofing, HVAC systems, water/wastewater lines, electrical, and plumbing. The large number of buildings on SSLC campuses and age of existing physical plant require ongoing capital investments to ensure buildings are functional, safe, and in compliance with Life Safety Code and related codes.

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
	1 Number of Long-term Care Facility Certifications Issued	2,072.00	2,068.00	2,068.00	2,068.00	2,068.00
	2 Number of Long-term Care Facility Licenses Issued	1,877.00	1,942.00	1,950.00	1,950.00	1,950.00
	3 # of On-site Nursing Facility/ICF/IID Monitoring Visits Completed	47.00	40.00	40.00	40.00	40.00
	4 Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
	5 Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
	6 Number of Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
	7 Total Dollar Amount Imposed from Fines	5,098,525.00	5,098,525.00	5,258,000.00	5,258,000.00	5,258,000.00
	8 Total Dollar Amount Assessed from Fines	2,206,807.00	2,206,808.00	2,591,056.00	2,600,000.00	2,600,000.00
KEY	9 Total Dollar Amount Collected from Fines	2,540,261.00	3,967,979.00	3,254,120.00	3,254,120.00	3,254,120.00
	10 Number of Medicaid Facility and Hospice Service Contracts Issued	159.00	202.00	160.00	160.00	160.00
	11 Number of Home and Community Support Services Agency Licenses Issued	3,268.00	2,976.00	3,000.00	3,000.00	3,000.00
	12 Number Home & Community Support Services Agency Inspections Conducted	1,281.00	1,315.00	1,350.00	1,350.00	1,350.00
	13 Number of Complaint Investigations Conducted: HCSSA	1,916.00	2,500.00	2,500.00	2,500.00	2,500.00
	14 # Substantiated Complaint Allegation Abuse/Neglect: Nursing Facilities	1,967.00	1,800.00	1,800.00	1,800.00	1,800.00

3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
15	# Substantiated Complaint Allegations of Abuse/Neglect: ALF	429.00	340.00	300.00	300.00	300.00
16	# Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	41.00	60.00	40.00	40.00	40.00
17	Number of Substantiated Complaint Allegations of Abuse/Neglect:ICF/IID	118.00	0.00	0.00	0.00	0.00
18	# Substantiated Complaint Allegations Physical Plant: NF	212.00	200.00	200.00	200.00	200.00
19	# Substantiated Complaint Allegations Unsafe Physical Plant: ALF	124.00	45.00	45.00	45.00	45.00
20	# Substantiated Complaint Allegations Unsafe Physical Plant: ADC	8.00	9.00	9.00	9.00	9.00
21	# Substantiated Complaint Allegations of Unsafe Physical: ICF/IID	8.00	10.00	10.00	10.00	10.00
22	# of Initial HCS and TxHmL Reviews Completed	120.00	304.00	304.00	304.00	304.00
23	# of Annual Hcs & TxHmL Recertification Reviews Completed	663.00	670.00	670.00	670.00	670.00
24	Number of Abuse/Neglect Reports Received: HCS,ICF/IID &TxHmL Providers	6,803.00	6,440.00	6,440.00	6,440.00	6,440.00
25	Number of Abuse/Neglect Reports Reviewed: HCS, ICF/ID &TxHmL Providers	6,877.00	6,294.00	6,294.00	6,294.00	6,294.00

Efficiency Measures:



3.A. STRATEGY REQUEST

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 1 Facility and Community-Based Regulation Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1	Average Cost Per Facility Visit	1,972.47	1,980.86	1,989.45	1,996.33	1,996.33
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	330.76	332.14	333.52	333.52	333.52
<b>Explanatory/Input Measures:</b>						
1	Number of Facilities Terminated from Licensure and/or Certification	12.00	12.00	12.00	12.00	12.00
2	Number of Medicaid Facility Contracts Terminated	87.00	98.00	100.00	100.00	100.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$49,899,171	\$47,907,267	\$48,243,469	\$48,075,366	\$48,075,366
1002	OTHER PERSONNEL COSTS	\$1,289,152	\$1,736,905	\$1,276,080	\$1,506,494	\$1,506,494
2001	PROFESSIONAL FEES AND SERVICES	\$625,287	\$773,926	\$751,498	\$762,712	\$762,712
2002	FUELS AND LUBRICANTS	\$26,929	\$16,884	\$6,762	\$11,823	\$11,823
2003	CONSUMABLE SUPPLIES	\$83,190	\$70,724	\$85,717	\$78,220	\$78,220
2004	UTILITIES	\$235,305	\$235,174	\$203,248	\$219,211	\$219,211
2005	TRAVEL	\$4,337,555	\$4,814,356	\$4,886,801	\$4,850,579	\$4,850,579
2006	RENT - BUILDING	\$25,587	\$28,272	\$21,018	\$24,645	\$24,645
2007	RENT - MACHINE AND OTHER	\$24,385	\$23,167	\$26,885	\$25,026	\$25,026
2009	OTHER OPERATING EXPENSE	\$8,077,424	\$9,804,770	\$9,908,143	\$9,856,457	\$9,856,457

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$64,623,985</b>	<b>\$65,411,445</b>	<b>\$65,409,621</b>	<b>\$65,410,533</b>	<b>\$65,410,533</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$10,657,508	\$8,441,665	\$8,471,447	\$8,456,556	\$8,456,556
758	GR Match For Medicaid	\$9,434,068	\$10,466,190	\$10,435,040	\$10,450,615	\$10,450,615
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$20,091,576</b>	<b>\$18,907,855</b>	<b>\$18,906,487</b>	<b>\$18,907,171</b>	<b>\$18,907,171</b>
<b>Method of Financing:</b>						
5018	Home Health Services Acct	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$21,575,501	\$22,320,504	\$22,320,504	\$22,320,504	\$22,320,504
	93.777.002 SURVEY & CERT @ 75%	\$17,658,013	\$18,640,222	\$18,640,222	\$18,640,222	\$18,640,222
	93.778.003 XIX 50%	\$3,350,552	\$3,594,521	\$3,594,065	\$3,594,293	\$3,594,293
CFDA Subtotal, Fund	555	\$42,584,066	\$44,555,247	\$44,554,791	\$44,555,019	\$44,555,019
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$42,584,066</b>	<b>\$44,555,247</b>	<b>\$44,554,791</b>	<b>\$44,555,019</b>	<b>\$44,555,019</b>

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$65,410,533</b>	<b>\$65,410,533</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$64,623,985</b>	<b>\$65,411,445</b>	<b>\$65,409,621</b>	<b>\$65,410,533</b>	<b>\$65,410,533</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>1,064.5</b>	<b>1,050.8</b>	<b>1,081.5</b>	<b>1,081.5</b>	<b>1,081.5</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Facility and Community-based Regulation strategy covers the licensing and regulation of all long-term care facilities/agencies that meet the definition of nursing homes, assisted living facilities, adult day-care facilities, privately owned Intermediate Care Facilities for individuals with an Intellectual Disability (ICFs/IID), and Home and Community Support Services Agencies (HCSSAs). Licensed facilities/agencies wishing to participate in Medicare and/or Medicaid programs must be certified and maintain compliance with certification regulations according to Titles XVIII and/or XIX of the Social Security Act. Government-operated ICFs/IID and skilled nursing units within an acute care hospital are also required to be certified in order to participate in Medicare and/or Medicaid.

In addition to licensing these long-term care facilities and agencies, DADS responsibilities for these regulated programs include investigating complaints and self-reported incidents; monitoring facilities for compliance with state and/or federal regulations; certification review of the Home and Community-based Services (HCS) waiver contracts and the Texas Home Living (TxHmL) waiver contracts; investigating complaints related to HCS and TxHmL services; and receiving and following up on Department of Family and Protective Services (DFPS) findings related to abuse, neglect, or exploitation investigations of persons who receive HCS or TxHmL services.

Statutory Authority. Human Resources Code, Chapters 48, 103, and 161; Health and Safety Code, Chapters 142, 242, 247, 252, and 533.

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service:	17	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Factors impacting this strategy include federal enforcement regulations and revised survey protocols to further protect facility residents. Increased workload from large “chain” operators undergoing changes of ownership necessitates on-site inspections to determine compliance with regulations by new operators. The growth occurring in licensed HCSSAs has increased application processing, license issuance, surveys to determine compliance with regulations, and taking enforcement action when needed. State licensing regulations and remedy options have increased the licensing workload. In FY 09, the number LTC facility licenses and HCSSA licenses issued are changed from a one year to a two year license period.

Beginning June 1, 2010, DFPS will assume Abuse, Neglect, and Exploitation investigations in licensed ICFs/IID. DFPS will now be responsible for providing the due process for those EMR cases.

Per the 82nd Legislature, 60 FTEs were reduced in FY 12-13.

The following exceptional items(s) will impact this strategy: Item Protecting Vulnerable Texans requests funds for 18.5 FTEs for oversight of day habilitation services, funds for additional 20 waiver survey and certification reviewers for conducting initial and annual reviews of all provider contracts funded through HCS and TXHmL waiver programs due to increase in growth and also includes funding for Regulatory Mobility Investigators Initial Phase which is a project to use technology to increase the investigators’ presence, response time, and other efficiencies.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 1 Nursing Facilities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
1	Number of Long-term Care Facility Certifications Issued	2,072.00	2,068.00	2,068.00	2,068.00	2,068.00
2	Number of Long-term Care Facility Licenses Issued	1,877.00	1,942.00	1,950.00	1,950.00	1,950.00
3	Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Intellectual Disabilities (ID) Monitoring Visits Completed	47.00	40.00	40.00	40.00	40.00
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
7	Total Dollar Amount Imposed from Fines	\$5,098,525.00	\$5,098,525.00	\$5,258,000.00	\$5,258,000.00	\$5,258,000.00
8	Total Dollar Amount Assessed from Fines	\$2,206,807.00	\$2,206,808.00	\$2,591,056.00	\$2,600,000.00	\$2,600,000.00
9	Total Dollar Amount Collected from Fines	\$2,540,261.00	\$3,967,979.00	\$3,254,120.00	\$3,254,120.00	\$3,254,120.00
10	Number of Medicaid Facility and Hospice Service Contracts Issued	159.00	202.00	160.00	160.00	160.00
14	Number of Substantiated Complaint Allegations of Abuse/Neglect: Nursing Facilities	1,967.00	1,800.00	1,800.00	1,800.00	1,800.00
18	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Nursing Facilities	212.00	200.00	200.00	200.00	200.00
<b>Efficiency Measures:</b>						
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	\$330.76	\$332.14	\$333.52	\$333.52	\$333.52
<b>Explanatory Measures:</b>						
1	Number of Facilities Terminated from Licensure and/or Certification Programs	\$12.00	\$12.00	\$12.00	\$12.00	\$12.00
2	Number of Medicaid Facility Contracts Terminated	87.00	98.00	100.00	100.00	100.00
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$22,678,166	\$22,875,819	\$22,790,458	\$22,833,137	\$22,833,137
1002	Other Personnel Costs	\$870,946	\$704,514	\$571,663	\$638,089	\$638,089
2001	Professional Fees & Services	\$393,449	\$217,728	\$430,228	\$323,978	\$323,978
2002	Fuels & Lubricants	\$26,929	\$16,884	\$6,762	\$11,823	\$11,823
2003	Consumable Supplies	\$53,219	\$44,374	\$61,305	\$52,840	\$52,840

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 1 Nursing Facilities

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2004 - Utilities	\$11	\$276	\$678	\$477	\$477
2005 - Travel	\$1,727,023	\$1,812,750	\$1,842,767	\$1,827,758	\$1,827,758
2006 - Rent - Building	\$531	\$9,018	\$8,168	\$8,593	\$8,593
2007 - Rent - Machine and Other	\$16,340	\$13,552	\$15,808	\$15,180	\$15,180
2009 - Other Operating Expense	\$5,442,917	\$6,746,270	\$6,695,932	\$6,721,101	\$6,721,101
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$31,209,531</b>	<b>\$32,441,184</b>	<b>\$32,423,769</b>	<b>\$32,432,976</b>	<b>\$32,432,976</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$4,372,903	\$4,100,265	\$4,355,885	\$3,354,307	\$3,354,307
0758 GR-Match for Medicaid	\$3,938,206	\$4,531,667	\$4,373,535	\$4,465,601	\$4,465,601
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$8,311,110</b>	<b>\$8,631,933</b>	<b>\$8,729,420</b>	<b>\$7,819,908</b>	<b>\$7,819,908</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$12,209,528	\$13,346,898	\$13,236,875	\$13,292,386	\$13,292,386
93.777.002 SUR&C-75%	\$10,422,354	\$10,315,184	\$10,235,215	\$11,247,102	\$11,247,102
93.778.003 XIX ADM 50%	\$266,540	\$173,170	\$222,258	\$73,579	\$73,579
<b>CFDA Subtotal, Fund 0555</b>	<b>\$22,898,421</b>	<b>\$23,835,252</b>	<b>\$23,694,349</b>	<b>\$24,613,068</b>	<b>\$24,613,068</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$22,898,421</b>	<b>\$23,835,252</b>	<b>\$23,694,349</b>	<b>\$24,613,068</b>	<b>\$24,613,068</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$31,209,531</b>	<b>\$32,467,184</b>	<b>\$32,423,769</b>	<b>\$32,432,976</b>	<b>\$32,432,976</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>-\$26,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>489.4</b>	<b>503.3</b>	<b>521.4</b>	<b>521.4</b>	<b>521.4</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 2 Assisted Living Facilities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
15	Number of Substantiated Complaint Allegations of Abuse/Neglect: Assisted Living Facilities	429.00	340.00	300.00	300.00	300.00
19	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Assisted Living Facilities	124.00	45.00	45.00	45.00	45.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Facility Visit	\$1,972.47	\$1,980.86	\$1,989.45	\$1,996.33	\$1,996.33
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$2,212,629	\$1,190,210	\$1,182,365	\$1,186,287	\$1,186,287
1002	Other Personnel Costs	\$47,386	\$35,661	\$28,812	\$32,237	\$32,237
2001	Professional Fees & Services	\$2,985	\$10,073	\$12,897	\$11,485	\$11,485
2003	Consumable Supplies	\$2,754	\$908	\$364	\$636	\$636
2004	Utilities	\$5,293	\$2,339	\$3,262	\$2,801	\$2,801
2005	Travel	\$176,627	\$97,065	\$98,619	\$97,842	\$97,842
2006	Rent - Building	\$54	\$485	\$439	\$462	\$462
2007	Rent - Machine and Other	\$1,671	\$2,126	\$2,624	\$2,375	\$2,375
2009	Other Operating Expense	\$386,557	\$223,826	\$218,731	\$221,278	\$221,278
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,835,956</b>	<b>\$1,562,693</b>	<b>\$1,548,113</b>	<b>\$1,555,403</b>	<b>\$1,555,403</b>
<b>Method of Financing:</b>						
0001	General Revenue Fund	\$2,835,956	\$1,562,693	\$1,548,113	\$1,555,403	\$1,555,403
0758	GR-Match for Medicaid	\$0	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>		<b>\$2,835,956</b>	<b>\$1,562,693</b>	<b>\$1,548,113</b>	<b>\$1,555,403</b>	<b>\$1,555,403</b>
<b>Method of Financing:</b>						
0555	Federal Funds					
93.777.000	State Survey & Certification	\$0	\$0	\$0	\$0	\$0
93.777.002	SUR&C-75%	\$0	\$0	\$0	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 2 Assisted Living Facilities

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$2,835,956</b>	<b>\$1,562,693</b>	<b>\$1,548,113</b>	<b>\$1,555,403</b>	<b>\$1,555,403</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>48.6</b>	<b>26.2</b>	<b>27.2</b>	<b>27.2</b>	<b>27.2</b>



### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 3 Adult Day Care

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
16	Number of Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	41.00	60.00	40.00	40.00	40.00
20	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Adult Day Care	8.00	9.00	9.00	9.00	9.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Facility Visit	\$1,972.47	\$1,980.86	\$1,989.45	\$1,996.33	\$1,996.33
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$737,543	\$476,084	\$472,946	\$474,515	\$474,515
1002	Other Personnel Costs	\$15,796	\$14,264	\$11,525	\$12,894	\$12,894
2001	Professional Fees & Services	\$995	\$4,029	\$5,158	\$4,594	\$4,594
2003	Consumable Supplies	\$918	\$364	\$146	\$254	\$254
2004	Utilities	\$1,764	\$935	\$1,305	\$1,121	\$1,121
2005	Travel	\$58,876	\$38,825	\$39,448	\$39,137	\$39,137
2006	Rent - Building	\$18	\$194	\$176	\$185	\$185
2007	Rent - Machine and Other	\$557	\$851	\$1,050	\$950	\$950
2009	Other Operating Expense	\$128,852	\$89,530	\$87,492	\$88,511	\$88,511
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$945,319</b>	<b>\$625,076</b>	<b>\$619,245</b>	<b>\$622,161</b>	<b>\$622,161</b>
<b>Method of Financing:</b>						
0001	General Revenue Fund	\$945,319	\$625,076	\$619,245	\$622,161	\$622,161
0758	GR-Match for Medicaid	\$0	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (General Revenue )</b>		<b>\$945,319</b>	<b>\$625,076</b>	<b>\$619,245</b>	<b>\$622,161</b>	<b>\$622,161</b>
<b>Method of Financing:</b>						
0555	Federal Funds					
93.777.000	State Survey & Certification	\$0	\$0	\$0	\$0	\$0
93.777.002	SUR&C-75%	\$0	\$0	\$0	\$0	\$0

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 3 Adult Day Care

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$945,319</b>	<b>\$625,076</b>	<b>\$619,245</b>	<b>\$622,161</b>	<b>\$622,161</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>16.2</b>	<b>10.5</b>	<b>10.9</b>	<b>10.9</b>	<b>10.9</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 4 ICF-ID Facilities

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
3	Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Intellectual Disabilities (ID) Monitoring Visits Completed	47.00	40.00	40.00	40.00	40.00
4	Number of Inspections Completed Per Year	5,186.00	3,918.00	4,000.00	4,000.00	4,000.00
5	Number of First Follow-up Visits Completed Per Year	5,708.00	5,530.00	5,560.00	5,560.00	5,560.00
6	Number of Complaint and Incident Investigations Completed	15,958.00	16,000.00	16,000.00	16,000.00	16,000.00
17	Number of Substantiated Complaint Allegations of Abuse/Neglect: Intermediate Care Facilities (ID)	118.00	0.00	0.00	0.00	0.00
21	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Intermediate Care Facilities (ID)	8.00	10.00	10.00	10.00	10.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Facility Visit	\$1,972.47	\$1,980.86	\$1,989.45	\$1,996.33	\$1,996.33
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$4,153,766	\$4,201,616	\$4,578,952	\$4,390,284	\$4,390,284
1002	Other Personnel Costs	\$114,080	\$153,374	\$123,120	\$138,247	\$138,247
2001	Professional Fees & Services	\$0	\$3,910	\$5,874	\$4,892	\$4,892
2003	Consumable Supplies	\$1,324	\$2,730	\$1,872	\$2,301	\$2,301
2004	Utilities	\$17,903	\$15,156	\$8,058	\$11,607	\$11,607
2005	Travel	\$502,566	\$547,275	\$560,588	\$553,931	\$553,931
2006	Rent - Building	\$0	\$300	\$0	\$150	\$150
2007	Rent - Machine and Other	\$20	\$160	\$0	\$80	\$80
2009	Other Operating Expense	\$696,673	\$735,416	\$742,047	\$738,732	\$738,732
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,486,332</b>	<b>\$5,659,935</b>	<b>\$6,020,512</b>	<b>\$5,840,224</b>	<b>\$5,840,224</b>
<b>Method of Financing:</b>						
0758	GR-Match for Medicaid	\$1,371,583	\$1,414,984	\$1,505,128	\$1,460,056	\$1,460,056
<b>SUBTOTAL, MOF (General Revenue )</b>		<b>\$1,371,583</b>	<b>\$1,414,984</b>	<b>\$1,505,128</b>	<b>\$1,460,056</b>	<b>\$1,460,056</b>
<b>Method of Financing:</b>						
0555	Federal Funds					
93.777.002	SUR&C-75%	\$4,114,749	\$4,244,951	\$4,515,384	\$4,380,168	\$4,380,168

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 4 ICF-ID Facilities

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,114,749</b>	<b>\$4,244,951</b>	<b>\$4,515,384</b>	<b>\$4,380,168</b>	<b>\$4,380,168</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$4,114,749</b>	<b>\$4,244,951</b>	<b>\$4,515,384</b>	<b>\$4,380,168</b>	<b>\$4,380,168</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$5,486,332</b>	<b>\$5,659,935</b>	<b>\$6,020,512</b>	<b>\$5,840,224</b>	<b>\$5,840,224</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>91.0</b>	<b>90.1</b>	<b>92.0</b>	<b>92.0</b>	<b>92.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 5 Home & Community Support Services Licensing

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
11 Number of Home and Community Support Services Agency Licenses Issued	3,268.00	2,976.00	3,000.00	3,000.00	3,000.00
12 Number Home and Community Support Services Agency Inspections Conducted	1,281.00	1,315.00	1,350.00	1,350.00	1,350.00
13 Number of Complaint Investigations Conducted On-site: Home and Community Support Services Agenc	1,916.00	2,500.00	2,500.00	2,500.00	2,500.00
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$6,358,658	\$6,319,928	\$6,739,507	\$6,529,718	\$6,529,718
1002 - Other Personnel Costs	\$133,440	\$189,332	\$142,440	\$165,886	\$165,886
2001 - Professional Fees & Services	\$1,513	\$30,774	\$88,382	\$59,578	\$59,578
2003 - Consumable Supplies	\$6,181	\$9,374	\$9,128	\$9,251	\$9,251
2004 - Utilities	\$19,282	\$16,912	\$13,642	\$15,278	\$15,278
2005 - Travel	\$525,887	\$594,970	\$682,167	\$638,568	\$638,568
2006 - Rent - Building	\$2,175	\$489	\$700	\$594	\$594
2007 - Rent - Machine and Other	\$581	\$164	\$1,580	\$872	\$872
2009 - Other Operating Expense	\$680,479	\$743,512	\$810,414	\$776,964	\$776,964
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$7,728,196</b>	<b>\$7,905,457</b>	<b>\$8,487,961</b>	<b>\$8,196,709</b>	<b>\$8,196,709</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$1,144,210	\$998,456	\$1,177,725	\$1,275,787	\$1,275,787
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$1,144,210</b>	<b>\$998,456</b>	<b>\$1,177,725</b>	<b>\$1,275,787</b>	<b>\$1,275,787</b>
<b>Method of Financing:</b>					
5018 GR Ded-HCSSA	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343	\$1,948,343
<b>SUBTOTAL, MOF (General Revenue-Dedicated )</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>	<b>\$1,948,343</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$4,635,643	\$4,958,658	\$5,361,893	\$4,972,579	\$4,972,579
<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,635,643</b>	<b>\$4,958,658</b>	<b>\$5,361,893</b>	<b>\$4,972,579</b>	<b>\$4,972,579</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 5 Home & Community Support Services Licensing

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$4,635,643</b>	<b>\$4,958,658</b>	<b>\$5,361,893</b>	<b>\$4,972,579</b>	<b>\$4,972,579</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$7,728,196</b>	<b>\$7,905,457</b>	<b>\$8,487,961</b>	<b>\$8,196,709</b>	<b>\$8,196,709</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>135.9</b>	<b>132.5</b>	<b>137.8</b>	<b>137.8</b>	<b>137.8</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 1 Facility and Community-Based Regulation  
 SUB-STRATEGY: 6 Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$13,758,409	\$12,843,610	\$12,479,241	\$12,661,428	\$12,661,428
1002 - Other Personnel Costs	\$107,504	\$639,760	\$398,520	\$519,140	\$519,140
2001 - Professional Fees & Services	\$226,345	\$507,412	\$208,958	\$358,184	\$358,184
2003 - Consumable Supplies	\$18,794	\$12,975	\$12,902	\$12,938	\$12,938
2004 - Utilities	\$191,052	\$199,556	\$176,302	\$187,928	\$187,928
2005 - Travel	\$1,346,576	\$1,723,472	\$1,663,213	\$1,693,342	\$1,693,342
2006 - Rent - Building	\$22,809	\$17,786	\$11,535	\$14,661	\$14,661
2007 - Rent - Machine and Other	\$5,216	\$6,314	\$5,823	\$5,568	\$5,568
2009 - Other Operating Expense	\$741,946	\$1,266,216	\$1,353,527	\$1,309,872	\$1,309,872
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$16,418,651</b>	<b>\$17,217,101</b>	<b>\$16,310,020</b>	<b>\$16,763,061</b>	<b>\$16,763,061</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$1,359,120	\$1,155,175	\$770,479	\$1,648,899	\$1,648,899
0758 GR-Match for Medicaid	\$4,124,278	\$4,545,539	\$4,556,377	\$4,524,958	\$4,524,958
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$5,483,398</b>	<b>\$5,700,714</b>	<b>\$5,326,856</b>	<b>\$6,173,858</b>	<b>\$6,173,858</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$4,730,330	\$4,014,948	\$3,721,735	\$4,055,539	\$4,055,539
93.777.002 SUR&C-75%	\$3,120,910	\$4,080,087	\$3,889,622	\$3,012,952	\$3,012,952
93.778.003 XIX ADM 50%	\$3,084,013	\$3,421,351	\$3,371,807	\$3,520,713	\$3,520,713
<b>CFDA Subtotal, Fund 0555</b>	<b>\$10,935,253</b>	<b>\$11,516,387</b>	<b>\$10,983,164</b>	<b>\$10,589,204</b>	<b>\$10,589,204</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$10,935,253</b>	<b>\$11,516,387</b>	<b>\$10,983,164</b>	<b>\$10,589,204</b>	<b>\$10,589,204</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$16,418,651</b>	<b>\$17,217,101</b>	<b>\$16,310,020</b>	<b>\$16,763,061</b>	<b>\$16,763,061</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>283.4</b>	<b>288.2</b>	<b>292.2</b>	<b>292.2</b>	<b>292.2</b>

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**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service:	16	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Number of Licenses Issued Per Year: Nursing Facility Administrators	962.00	1,285.00	991.00	1,186.00	991.00
2	Number of Credentials Issued Per Year: Nurse/Medication Aides	134,639.00	135,119.00	139,425.00	143,731.00	148,037.00
3	Number of Complaints Resolved/Year: Nursing Facility Administrators	137.00	125.00	127.00	130.00	131.00
4	Number of Complaints Resolved/Year: Nurse/Medication Aides/Direct Care	83.00	74.00	77.00	80.00	80.00
<b>Efficiency Measures:</b>						
1	Average Cost Per License Issued: Nursing Facility Administrators	65.81	61.34	63.57	62.43	64.23
2	Average Cost Per Credential Issued: Nurse/Medication Aides	78.69	78.53	79.14	79.53	80.01
3	Average Cost Per Complaint Resolved: Nursing Facility Administrators	4,776.21	4,833.25	4,815.15	4,805.89	4,778.53
4	Average Cost Per Complaint Resolved: Nurse/Medication Aides	4,335.53	4,390.45	4,375.10	4,355.21	4,355.21

**Objects of Expense:**

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service:	16	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001	SALARIES AND WAGES	\$993,262	\$1,065,814	\$1,063,415	\$1,063,415	\$1,063,415
1002	OTHER PERSONNEL COSTS	\$46,886	\$44,259	\$44,480	\$44,370	\$44,370
2001	PROFESSIONAL FEES AND SERVICES	\$12,939	\$12,655	\$12,671	\$12,663	\$12,663
2003	CONSUMABLE SUPPLIES	\$4,229	\$4,356	\$4,422	\$4,389	\$4,389
2004	UTILITIES	\$8,047	\$8,062	\$8,026	\$7,944	\$7,944
2005	TRAVEL	\$46,508	\$47,475	\$47,828	\$44,291	\$44,291
2009	OTHER OPERATING EXPENSE	\$92,794	\$92,800	\$93,690	\$92,743	\$92,744
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,204,665</b>	<b>\$1,275,421</b>	<b>\$1,274,532</b>	<b>\$1,269,815</b>	<b>\$1,269,816</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$532,634	\$730,492	\$730,492	\$740,314	\$740,314
758	GR Match For Medicaid	\$130,520	\$130,685	\$130,685	\$120,863	\$120,863
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$663,154</b>	<b>\$861,177</b>	<b>\$861,177</b>	<b>\$861,177</b>	<b>\$861,177</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$254,672	\$254,672	\$254,672	\$254,672	\$254,672
	93.777.002 SURVEY & CERT @ 75%	\$74,187	\$54,207	\$54,865	\$49,653	\$49,654
	93.778.003 XIX 50%	\$96,982	\$105,365	\$103,818	\$104,313	\$104,313

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	555	\$425,841	\$414,244	\$413,355	\$408,638	\$408,639
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$425,841</b>	<b>\$414,244</b>	<b>\$413,355</b>	<b>\$408,638</b>	<b>\$408,639</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$115,670	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$115,670</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,269,815</b>	<b>\$1,269,816</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,204,665</b>	<b>\$1,275,421</b>	<b>\$1,274,532</b>	<b>\$1,269,815</b>	<b>\$1,269,816</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>25.6</b>	<b>27.0</b>	<b>27.0</b>	<b>27.0</b>	<b>27.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Credentialing/Certification strategy covers DADS licensing, certification, permitting, and monitoring of individuals for the purpose of employability in facilities and agencies regulated by DADS through four credentialing programs. Nursing Facility Administrator (NFA) Licensing and Enforcement responsibilities include licensing and continuing education activities; investigating complaints or referrals; coordinating sanction recommendations and other licensure activities; imposing and monitoring sanctions and due process considerations; and developing educational, training, and testing curricula.

Nurse Aide Registry (NAR) and Nurse Aide Training and Competency Evaluation Program (NATCEP) responsibilities include nurse aide certification and sanction activities; approving, renewing or withdrawing approval of NATCEPs; and due process considerations and determination of nurse aide employability in DADS regulated facilities via the NAR.

Employee Misconduct Registry (EMR) responsibilities include due process considerations and determination of unlicensed staff employability in DADS regulated facilities/agencies via the EMR.

Medication Aide Program responsibilities include medication aide permit issuance and renewal; imposing and monitoring sanctions; due process considerations; approving and monitoring medication aide training programs in educational institutions; and coordinating/administering examinations.

Statutory Authority. Health and Safety Code, Chapters 142, 242, and 253; Human Resources Code, Chapter 161; and Social Security Act, §1919.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The number of license applications, referrals and complaints for misconduct against licensees, and cases with final sanction depend on contacts from the public and the findings of Regulatory Services staff in facility surveys and complaint investigations. It is anticipated that the Employee Misconduct Registry (EMR) workload will increase as SSLC, Local Authority centers, and state hospital employees are being added to the pool of employees subject to the EMR.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 1 Nursing Facilities Administrators

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Number of Licenses Issued or Renewed Per Year: Nursing Facility Administrators	962.00	1,285.00	991.00	1,186.00	991.00
3 Number of Complaints and Referrals Resolved Per Year: Nursing Facility Administrators	137.00	125.00	127.00	130.00	131.00
<b>Efficiency Measures:</b>					
1 Average Cost Per License Issued: Nursing Facility Administrators	\$65.81	\$61.34	\$63.57	\$62.43	\$64.23
3 Average Cost Per Complaint or Referral Resolved: Nursing Facility Administrators	\$4,776.21	\$4,833.25	\$4,815.15	\$4,805.89	\$4,778.53
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$380,412	\$383,071	\$384,679	\$383,875	\$383,875
1002 - Other Personnel Costs	\$13,440	\$14,368	\$14,760	\$15,564	\$15,564
2001 - Professional Fees & Services	\$0	\$7,390	\$7,390	\$7,390	\$7,390
2003 - Consumable Supplies	\$1,452	\$1,568	\$1,820	\$1,694	\$1,694
2004 - Utilities	\$75	\$6,677	\$6,653	\$6,665	\$6,665
2005 - Travel	\$21,768	\$33,302	\$32,988	\$33,145	\$33,145
2009 - Other Operating Expense	\$4,190	\$14,541	\$22,491	\$21,084	\$21,084
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$421,337</b>	<b>\$460,918</b>	<b>\$470,780</b>	<b>\$469,418</b>	<b>\$469,418</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$305,667	\$460,918	\$470,780	\$469,418	\$469,418
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$305,667</b>	<b>\$460,918</b>	<b>\$470,780</b>	<b>\$469,418</b>	<b>\$469,418</b>
<b>Method of Financing:</b>					
0666 Appropriated Receipts	\$115,670	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (Other Funds )</b>	<b>\$115,670</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$421,337</b>	<b>\$460,918</b>	<b>\$470,780</b>	<b>\$469,418</b>	<b>\$469,418</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 2 Nurse Aids, Medication Aids, & Uncredentialed Staff

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
2 Number of Credentials Issued or Renewed Per Year: Nurse Aides and Medication Aides	134,639.00	135,119.00	139,425.00	143,731.00	148,037.00
4 Number of Complaints and Referrals Resolved Per Year: Nurse Aides, Medication Aides and Uncredentialed Direct Care Personnel	83.00	74.00	77.00	80.00	80.00
<b>Efficiency Measures:</b>					
2 Average Cost Per Credential Issued: Nurse Aides and Medication Aides	\$78.69	\$78.53	\$79.14	\$79.53	\$80.01
4 Average Cost Per Complaint or Referral Resolved: Nurse Aides, Medication Aides and Uncredentialed Direct Care Personnel	\$4,335.53	\$4,390.45	\$4,375.10	\$4,355.21	\$4,355.21
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$492,192	\$571,087	\$574,475	\$572,781	\$572,781
1002 - Other Personnel Costs	\$24,846	\$20,444	\$20,400	\$24,186	\$24,186
2001 - Professional Fees & Services	\$8,939	\$5,058	\$5,058	\$5,058	\$5,058
2003 - Consumable Supplies	\$1,219	\$1,756	\$1,256	\$1,506	\$1,506
2004 - Utilities	\$72	\$732	\$1,268	\$1,000	\$1,000
2005 - Travel	\$0	\$2,727	\$3,815	\$3,271	\$3,271
2009 - Other Operating Expense	\$47,326	\$71,050	\$70,125	\$70,588	\$70,588
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$574,594</b>	<b>\$672,855</b>	<b>\$676,397</b>	<b>\$678,389</b>	<b>\$678,389</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$183,328	\$235,288	\$242,438	\$242,627	\$242,627
0758 GR-Match for Medicaid	\$92,161	\$103,475	\$102,541	\$103,008	\$103,008
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$275,489</b>	<b>\$338,763</b>	<b>\$344,980</b>	<b>\$345,635</b>	<b>\$345,635</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$195,591	\$220,151	\$218,253	\$219,202	\$219,202
93.777.002 SUR&C-75%	\$17,025	\$15,697	\$15,935	\$15,816	\$15,816
93.778.003 XIX ADM 50%	\$86,489	\$98,243	\$97,230	\$97,737	\$97,737
<b>CFDA Subtotal, Fund 0555</b>	<b>\$299,105</b>	<b>\$334,091</b>	<b>\$331,418</b>	<b>\$332,754</b>	<b>\$332,754</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 2 Nurse Aids, Medication Aids, & Uncredentialed Staff

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$299,105</b>	<b>\$334,091</b>	<b>\$331,418</b>	<b>\$332,754</b>	<b>\$332,754</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$574,594</b>	<b>\$672,855</b>	<b>\$676,397</b>	<b>\$678,389</b>	<b>\$678,389</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>13.9</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 3 Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$120,658	\$111,656	\$104,261	\$106,759	\$106,759
1002 - Other Personnel Costs	\$8,600	\$9,447	\$9,320	\$4,620	\$4,620
2001 - Professional Fees & Services	\$4,000	\$207	\$223	\$215	\$215
2003 - Consumable Supplies	\$1,558	\$1,030	\$1,348	\$1,189	\$1,189
2004 - Utilities	\$7,900	\$652	\$105	\$278	\$278
2005 - Travel	\$24,740	\$11,446	\$11,025	\$7,874	\$7,874
2009 - Other Operating Expense	\$41,278	\$7,209	\$1,072	\$1,072	\$1,072
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$208,734</b>	<b>\$141,648</b>	<b>\$127,354</b>	<b>\$122,008</b>	<b>\$122,008</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$43,639	\$34,286	\$17,274	\$28,677	\$18,518
0758 GR-Match for Medicaid	\$38,359	\$27,210	\$28,143	\$17,583	\$17,855
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$81,998</b>	<b>\$61,496</b>	<b>\$45,417</b>	<b>\$46,260</b>	<b>\$36,373</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$59,081	\$34,520	\$36,419	\$34,090	\$45,221
93.777.002 SUR&C-75%	\$57,162	\$38,510	\$38,930	\$33,322	\$33,838
93.778.003 XIX ADM 50%	\$10,493	\$7,121	\$6,587	\$6,476	\$6,576
<b>CFDA Subtotal, Fund 0555</b>	<b>\$126,736</b>	<b>\$80,152</b>	<b>\$81,936</b>	<b>\$73,888</b>	<b>\$85,635</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$126,736</b>	<b>\$80,152</b>	<b>\$81,936</b>	<b>\$73,888</b>	<b>\$85,635</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$208,734</b>	<b>\$141,648</b>	<b>\$127,354</b>	<b>\$120,148</b>	<b>\$122,008</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,860</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>2.7</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>



3.A. STRATEGY REQUEST

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83rd Regular Session, Agency Submission, Version 1  
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539 Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 0  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 3 Long-Term Care Quality Outreach Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
1	Number of Quality Monitoring Visits to Nursing Facilities	3,556.00	3,400.00	3,870.00	3,870.00	3,870.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Quality Monitoring Program Visit	876.65	860.45	833.36	833.36	833.36
<b>Explanatory/Input Measures:</b>						
1	% Nurs Homes Have Increased/Fully Implemented Evidence-Based Practices	49.00 %	75.00 %	75.00 %	75.00 %	75.00 %
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$3,989,939	\$3,684,878	\$3,675,480	\$3,680,179	\$3,680,179
1002	OTHER PERSONNEL COSTS	\$82,406	\$81,437	\$67,320	\$74,378	\$74,378
2001	PROFESSIONAL FEES AND SERVICES	\$17,116	\$16,837	\$15,667	\$16,252	\$16,252
2003	CONSUMABLE SUPPLIES	\$3,540	\$2,049	\$4,900	\$3,475	\$3,475
2004	UTILITIES	\$12,059	\$9,690	\$1,656	\$5,673	\$5,673
2005	TRAVEL	\$541,514	\$512,590	\$542,742	\$527,666	\$527,666
2006	RENT - BUILDING	\$13,657	\$16,073	\$12,299	\$14,186	\$14,186
2007	RENT - MACHINE AND OTHER	\$12,163	\$13,254	\$13,037	\$13,146	\$13,146
2009	OTHER OPERATING EXPENSE	\$838,468	\$743,395	\$747,101	\$745,247	\$745,247

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 0  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 3 Long-Term Care Quality Outreach Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,510,862</b>	<b>\$5,080,203</b>	<b>\$5,080,202</b>	<b>\$5,080,202</b>	<b>\$5,080,202</b>
<b>Method of Financing:</b>						
758	GR Match For Medicaid	\$570,924	\$530,209	\$530,209	\$530,209	\$530,209
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$570,924</b>	<b>\$530,209</b>	<b>\$530,209</b>	<b>\$530,209</b>	<b>\$530,209</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$75,600	\$0	\$0	\$0	\$0
	93.778.003 XIX 50%	\$1,082,181	\$1,045,289	\$1,045,289	\$784,643	\$784,643
	93.778.004 XIX ADM @ 75%	\$2,417,133	\$2,174,705	\$2,174,704	\$2,435,350	\$2,435,350
CFDA Subtotal, Fund	555	\$3,574,914	\$3,219,994	\$3,219,993	\$3,219,993	\$3,219,993
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$3,574,914</b>	<b>\$3,219,994</b>	<b>\$3,219,993</b>	<b>\$3,219,993</b>	<b>\$3,219,993</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$1,365,024	\$1,330,000	\$1,330,000	\$1,330,000	\$1,330,000
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$1,365,024</b>	<b>\$1,330,000</b>	<b>\$1,330,000</b>	<b>\$1,330,000</b>	<b>\$1,330,000</b>

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 0  
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:  
 STRATEGY: 3 Long-Term Care Quality Outreach Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$5,080,202</b>	<b>\$5,080,202</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$5,510,862</b>	<b>\$5,080,203</b>	<b>\$5,080,202</b>	<b>\$5,080,202</b>	<b>\$5,080,202</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>65.1</b>	<b>68.5</b>	<b>74.0</b>	<b>74.0</b>	<b>74.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Long-Term Services and Supports Quality Outreach strategy performs a variety of functions designed to enhance the quality of services and supports. Quality monitors, who are nurses, pharmacists, and dietitians, provide technical assistance to long-term facility staff. The quality monitors perform structured assessments to promote best practice in service delivery. In addition, quality monitors provide in-service education programs. Quality Monitoring Team visits are also provided to facilities and may include more than one discipline during the same visit. The technical assistance visits focus on specific, statewide quality improvement priorities for which evidence-based best practice can be identified from published clinical research.

The program works to improve clinical outcomes for individuals, such as pain assessment, pain management, infection control, appropriate use of psychoactive medications, risk management for falls, improving nutritional practices, use of artificial nutrition and hydration, and advance care planning. The purpose of the program is to increase positive outcomes and to improve the quality of services for individuals served in these settings. A related website, <http://www.TexasQualityMatters.org>, supports the program by providing online access to best-practice information and links to related research.

Statutory Authority. Health and Safety Code, Chapter 255, and Human Resources Code, Chapter 161.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The primary external factor that impacts this strategy is difficulty in recruiting and retaining monitor staff, particularly pharmacists and nurses, because of the demand for these medical professionals in the current Texas job market.

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3.A. STRATEGY REQUEST

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539 Aging and Disability Services, Department of

GOAL: 3 Indirect Administration  
OBJECTIVE: 1 General Program Support  
STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 3 0  
Service Categories:  
Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$23,228,783	\$22,271,288	\$22,277,147	\$21,832,095	\$21,832,095
1002	OTHER PERSONNEL COSTS	\$660,974	\$663,409	\$669,645	\$618,924	\$618,924
2001	PROFESSIONAL FEES AND SERVICES	\$1,530,141	\$1,519,584	\$1,519,476	\$1,585,405	\$1,585,405
2002	FUELS AND LUBRICANTS	\$824	\$880	\$885	\$893	\$893
2003	CONSUMABLE SUPPLIES	\$26,885	\$25,611	\$25,724	\$23,167	\$23,167
2004	UTILITIES	\$61,476	\$61,728	\$61,722	\$61,025	\$61,025
2005	TRAVEL	\$205,095	\$204,690	\$213,115	\$208,752	\$208,752
2006	RENT - BUILDING	\$6,465	\$6,426	\$6,420	\$6,163	\$6,163
2007	RENT - MACHINE AND OTHER	\$19,191	\$19,326	\$19,586	\$16,081	\$16,081
2009	OTHER OPERATING EXPENSE	\$9,588,190	\$6,572,490	\$6,803,857	\$6,136,455	\$6,136,455
5000	CAPITAL EXPENDITURES	\$0	\$19,000	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$35,328,024</b>	<b>\$31,364,432</b>	<b>\$31,597,577</b>	<b>\$30,488,960</b>	<b>\$30,488,960</b>

Method of Financing:

1	General Revenue Fund	\$1,494,083	\$1,461,823	\$1,578,951	\$1,515,386	\$1,515,386
758	GR Match For Medicaid	\$3,491,511	\$2,256,029	\$2,197,246	\$2,226,638	\$2,226,639
8004	GR For Fed Funds (Older Am Act)	\$26,360	\$26,360	\$26,360	\$26,360	\$26,360

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL: 3 Indirect Administration Statewide Goal/Benchmark: 3 0  
 OBJECTIVE: 1 General Program Support Service Categories:  
 STRATEGY: 1 Central Administration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
8032	GR Certified As Match For Medicaid	\$8,730,935	\$8,889,741	\$8,889,741	\$8,889,741	\$8,889,741
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$13,742,889</b>	<b>\$12,633,953</b>	<b>\$12,692,298</b>	<b>\$12,658,125</b>	<b>\$12,658,126</b>
<b>Method of Financing:</b>						
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$998,483	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$998,483	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.044.000 SPECIAL PROGRAMS FOR THE	\$59,970	\$66,566	\$63,830	\$65,198	\$65,198
	93.045.000 Special Programs for the	\$91,449	\$102,870	\$97,127	\$99,998	\$99,998
	93.052.000 NATL FAMILY CAREGIVER SUPPORT PGM	\$24,940	\$27,207	\$25,817	\$26,512	\$26,512
	93.667.000 Social Svcs Block Grants	\$372,849	\$372,849	\$372,849	\$372,849	\$372,849
	93.777.000 State Survey and Certific	\$569,126	\$569,126	\$569,126	\$569,126	\$569,126
	93.777.002 SURVEY & CERT @ 75%	\$511,366	\$479,660	\$482,271	\$504,929	\$480,965
	93.778.000 XIX FMAP	\$13,034,944	\$12,884,868	\$12,060,878	\$12,399,289	\$12,423,253
	93.778.003 XIX 50%	\$4,098,694	\$2,403,139	\$3,169,939	\$2,187,567	\$2,187,567
	93.778.004 XIX ADM @ 75%	\$485,049	\$486,312	\$728,077	\$268,744	\$268,744
	93.779.000 Health Care Financing Res	\$2,902	\$2,517	\$0	\$1,258	\$1,258
CFDA Subtotal, Fund	555	\$19,251,289	\$17,395,114	\$17,569,914	\$16,495,470	\$16,495,470

**3.A. STRATEGY REQUEST**  
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**539 Aging and Disability Services, Department of**

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	1	General Program Support	Service Categories:		
STRATEGY:	1	Central Administration	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$20,249,772</b>	<b>\$17,395,114</b>	<b>\$17,569,914</b>	<b>\$16,495,470</b>	<b>\$16,495,470</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$24,797	\$24,798	\$24,798	\$24,798	\$24,798
777	Interagency Contracts	\$60,470	\$60,470	\$60,470	\$60,470	\$60,470
8095	MR Collect-Pat Supp & Maint	\$1,203,852	\$1,203,852	\$1,203,852	\$1,203,852	\$1,203,851
8096	MR Appropriated Receipts	\$46,244	\$46,245	\$46,245	\$46,245	\$46,245
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$1,335,363</b>	<b>\$1,335,365</b>	<b>\$1,335,365</b>	<b>\$1,335,365</b>	<b>\$1,335,364</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$30,488,960</b>	<b>\$30,488,960</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$35,328,024</b>	<b>\$31,364,432</b>	<b>\$31,597,577</b>	<b>\$30,488,960</b>	<b>\$30,488,960</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>383.6</b>	<b>370.5</b>	<b>370.0</b>	<b>370.0</b>	<b>370.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**539 Aging and Disability Services, Department of**

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	1	General Program Support	Service Categories:		
STRATEGY:	1	Central Administration	Service: 09	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Central Administration strategy supports administrative functions for all DADS programs including executive direction and leadership, legal, civil rights, hearings of provider appeals, planning, budget management, fiscal accounting and reporting, asset management, program statistics, public information, state and federal government relations, internal audit, and program support. Under the Older Americans Act, central administration supports functions such as building system capacity to meet service needs; serving as a comprehensive resource on aging issues via research, policy analysis, public information, and marketing; and advocating for the needs of older Texans through the Long-term Care Ombudsman program and in partnership with public and private organizations.

Statutory Authority. Human Resources Code, Chapter 161.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Achieving efficiencies in a large health care system without compromising the quality of services is a high priority of DADS executive management. Essential functions must be appropriately staffed and resourced so that there is not a decline in the quality of services provided to DADS consumers.

The method of finance (State/Federal) for this strategy is based on an annually submitted cost allocation plan developed for the Department of Aging and Disability Services (DADS) in conjunction with the Health and Human Services Commission (HHSC). The federal participation shares could be subject to change pending approval by the federal partners.

Per the 82nd Legislature, 32 FTEs were reduced in FY12-13.

The following exceptional items will impact this strategy: Protecting Vulnerable Texans -Assisted Living Facility Long-Term Care Ombudsman - to ensure residents have regular, timely access to long-term care advocacy services.



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**539 Aging and Disability Services, Department of**

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	1	General Program Support	Service Categories:		
STRATEGY:	2	Information Technology Program Support	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$6,869,139	\$6,789,336	\$6,344,354	\$6,377,301	\$6,377,301
1002	OTHER PERSONNEL COSTS	\$166,236	\$164,240	\$166,800	\$166,520	\$166,520
2001	PROFESSIONAL FEES AND SERVICES	\$4,399,682	\$4,801,898	\$4,524,848	\$4,663,373	\$4,663,373
2003	CONSUMABLE SUPPLIES	\$5,649	\$5,377	\$5,500	\$5,438	\$5,438
2004	UTILITIES	\$15,049	\$18,019	\$19,292	\$18,655	\$18,655
2005	TRAVEL	\$2,444	\$1,226	\$1,309	\$1,267	\$1,267
2007	RENT - MACHINE AND OTHER	\$3,536,694	\$3,965,874	\$3,995,874	\$3,965,874	\$3,995,874
2009	OTHER OPERATING EXPENSE	\$18,329,402	\$18,476,886	\$18,684,879	\$18,499,216	\$18,469,216
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$33,324,295</b>	<b>\$34,222,856</b>	<b>\$33,742,856</b>	<b>\$33,697,644</b>	<b>\$33,697,644</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$5,179,444	\$3,763,476	\$3,757,340	\$3,760,406	\$3,760,406
758	GR Match For Medicaid	\$5,841,273	\$5,826,159	\$4,723,335	\$5,274,747	\$5,274,747
8032	GR Certified As Match For Medicaid	\$6,905,397	\$6,742,808	\$6,694,082	\$6,718,445	\$6,718,445
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$17,926,114</b>	<b>\$16,332,443</b>	<b>\$15,174,757</b>	<b>\$15,753,598</b>	<b>\$15,753,598</b>

**Method of Financing:**

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	1	General Program Support	Service Categories:		
STRATEGY:	2	Information Technology Program Support	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
369	Fed Recovery & Reinvestment Fund					
	93.778.014 Medicaid - Stimulus	\$795,396	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	369	\$795,396	\$0	\$0	\$0	\$0
555	Federal Funds					
	93.044.000 SPECIAL PROGRAMS FOR THE	\$3,950	\$4,761	\$6,074	\$5,103	\$5,103
	93.045.000 Special Programs for the	\$6,784	\$8,025	\$8,591	\$7,690	\$7,690
	93.052.000 NATL FAMILY CAREGIVER SUPPORT PGM	\$1,563	\$1,932	\$2,234	\$1,917	\$1,917
	93.667.000 Social Svcs Block Grants	\$323,782	\$323,783	\$323,783	\$323,783	\$323,783
	93.777.000 State Survey and Certific	\$1,292,693	\$1,292,694	\$1,292,694	\$1,292,694	\$1,292,694
	93.777.002 SURVEY & CERT @ 75%	\$609,153	\$476,286	\$650,181	\$492,625	\$492,178
	93.778.000 XIX FMAP	\$6,853,722	\$8,238,720	\$8,401,982	\$8,585,745	\$8,575,959
	93.778.003 XIX 50%	\$2,424,561	\$4,089,131	\$2,408,957	\$2,277,014	\$2,287,247
	93.778.004 XIX ADM @ 75%	\$2,485,677	\$2,867,756	\$4,893,492	\$4,374,274	\$4,374,274
	93.779.000 Health Care Financing Res	\$1,611	\$2,822	\$0	\$893	\$893
CFDA Subtotal, Fund	555	\$14,003,496	\$17,305,910	\$17,987,988	\$17,361,738	\$17,361,738
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$14,798,892</b>	<b>\$17,305,910</b>	<b>\$17,987,988</b>	<b>\$17,361,738</b>	<b>\$17,361,738</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$19,461	\$18,300	\$17,779	\$18,040	\$18,040
777	Interagency Contracts	\$48,402	\$47,937	\$47,937	\$47,937	\$47,937

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	1	General Program Support	Service Categories:		
STRATEGY:	2	Information Technology Program Support	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
8095	MR Collect-Pat Supp & Maint	\$512,663	\$499,968	\$496,242	\$498,105	\$498,105
8096	MR Appropriated Receipts	\$18,763	\$18,298	\$18,153	\$18,226	\$18,226
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$599,289</b>	<b>\$584,503</b>	<b>\$580,111</b>	<b>\$582,308</b>	<b>\$582,308</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$33,697,644</b>	<b>\$33,697,644</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$33,324,295</b>	<b>\$34,222,856</b>	<b>\$33,742,856</b>	<b>\$33,697,644</b>	<b>\$33,697,644</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>127.4</b>	<b>112.2</b>	<b>97.9</b>	<b>97.9</b>	<b>97.9</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Information Technology (IT) strategy provides technology products, services, and support to all DADS divisions to further their efforts in achieving the DADS Mission. This responsibility extends to establishing, managing, and monitoring agreements for IT products, services, and/or support supplied by external organizations. Services include application development and support, desktop and LAN support and troubleshooting, coordination of cabling and hardware repair, and liaison with external automation services providers (such as mainframe and mid-tier data center processing and telecommunications services). These services are distributed in a network-computing environment that spans DADS offices statewide. Included are staff costs; professional services and contracted staff costs; network data circuits, mainframe, mid-tier, and network equipment costs; software licenses; and computer equipment maintenance related to the support of DADS programs. The application systems developed, deployed, and supported under this strategy cover financial systems, including revenue systems; consumer information systems; facility management systems; and decision support systems. Functions performed include project management, software applications development, and documentation.

Statutory Authority. Human Resources Code, Chapter 161.

**539 Aging and Disability Services, Department of**

GOAL:	3	Indirect Administration	Statewide Goal/Benchmark:	3	0
OBJECTIVE:	1	General Program Support	Service Categories:		
STRATEGY:	2	Information Technology Program Support	Service: 09	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Demands on info resources are numerous & varied; internal & external entities desire enhanced info systems to provide actionable info about effectiveness of client care programs, workforce, finances, & physical facilities. Rapid pace tech changes are a challenge requiring resources to ensure IT syst remain viable. New requirements for increase public access to electronic state data increase IT tasks & responsibilities. DADS will pursue efficient info tech such as video-conferencing, telemedicine, & e-govt as alternatives to traditional ways of doing business. MOF for strategy based on annually submitted cost alloc plan developed for DADS in conjunction with HHSC. Federal participation shares could change per federal partners.

Per the 82nd Legislature, 12 FTEs were reduced in FY12-13.

Exceptional items impacting strategy: Protecting Vulnerable Texans– Regulatory Serv Syst Automation Modernization- single, consolidated app with roles-based front-end & single repository for data/source for rpts.

Improving Support for SSLC Residents– Electronic Hlth Record– adv design, development, & operation of a nationwide hlth info infrastructure promotes electronic use & exchange of info; provide Physical Security of IT Assets at SSLCs– address shortcomings & improve physical/ IT infrastructure & operations at 12 SSLCs; Statewide Videoconferencing (long distances travel to facilities/other trav costs for Agency); add'l Computers for SSLCs- support expansion of elec recordkeeping syst.

### 3.B. Sub-Strategy Level Detail

Date: Aug 14, 2012

Time: 3:24:56 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 3 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Technology Program Support  
 SUB-STRATEGY: 1 Capital Projects

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
2007 - Rent - Machine and Other	\$2,533,461	\$3,965,874	\$3,995,874	\$3,965,874	\$3,995,874
2009 - Other Operating Expense	\$5,820,553	\$7,838,452	\$7,696,634	\$6,624,213	\$6,624,213
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$8,354,014</b>	<b>\$11,804,326</b>	<b>\$11,692,508</b>	<b>\$10,590,087</b>	<b>\$10,620,087</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$540,479	\$677,753	\$637,914	\$576,241	\$574,398
0758 GR-Match for Medicaid	\$629,496	\$942,947	\$1,201,339	\$734,611	\$737,370
8004 GR for Fed Funds (OAA)	\$2,805	\$268	\$0	\$231	\$231
8032 GR Cert Match - Medicaid	\$1,764,304	\$3,052,642	\$2,841,286	\$2,864,562	\$2,869,862
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$2,937,085</b>	<b>\$4,673,609</b>	<b>\$4,680,540</b>	<b>\$4,175,646</b>	<b>\$4,181,861</b>
<b>Method of Financing:</b>					
0666 Appropriated Receipts	\$0	\$2,538	\$2,353	\$2,307	\$2,313
0777 Interagency Contracts	\$23,221	\$30,173	\$28,067	\$27,526	\$27,594
8095 MR Collections	\$200,384	\$214,278	\$199,406	\$195,560	\$196,042
8096 MR Approp Recpts	\$4,567	\$9,232	\$8,555	\$8,391	\$8,411
<b>SUBTOTAL, MOF (Other Funds )</b>	<b>\$228,172</b>	<b>\$256,221</b>	<b>\$238,381</b>	<b>\$233,785</b>	<b>\$234,361</b>
<b>Method of Financing:</b>					
0369 Federal Funds					
93.778.014 XIX Stimulus	\$780,371	\$0	\$0	\$0	\$0
<b>CFDA Subtotal, Fund 0369</b>	<b>\$780,371</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
0555 Federal Funds					
93.044.000 Spc Svcs Aging-IIIB	\$1,279	\$1,910	\$1,745	\$1,706	\$1,710
93.045.000 Spc Svcs Aging-IIIC	\$2,184	\$3,184	\$2,956	\$2,891	\$2,896
93.052.000 Natl Family Caregiver	\$494	\$753	\$685	\$670	\$671
93.667.000 Title XX	\$129,053	\$193,456	\$193,330	\$191,053	\$191,814
93.777.000 State Survey & Certification	\$330,621	\$484,169	\$479,658	\$473,827	\$475,681
93.777.002 SUR&C-75%	\$260,808	\$359,518	\$352,550	\$348,288	\$349,655
93.778.000 XIX FMAP	\$3,084,529	\$4,930,847	\$4,589,213	\$4,475,315	\$4,492,038
93.778.003 XIX ADM 50%	\$514,003	\$784,218	\$1,048,909	\$584,218	\$586,425

### 3.B. Sub-Strategy Level Detail

Date: Aug 14, 2012

Time: 3:24:56 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 3 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Technology Program Support  
 SUB-STRATEGY: 1 Capital Projects

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
93.778.004 XIXADM 75%	\$85,055	\$115,623	\$103,670	\$101,844	\$102,130
93.779.000 CMS Res, Demo, & Eval	\$360	\$817	\$870	\$845	\$845
<b>CFDA Subtotal, Fund 0555</b>	<b>\$4,408,387</b>	<b>\$6,874,496</b>	<b>\$6,773,587</b>	<b>\$6,180,657</b>	<b>\$6,203,865</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$5,188,757</b>	<b>\$6,874,496</b>	<b>\$6,773,587</b>	<b>\$6,180,657</b>	<b>\$6,203,865</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$8,354,014</b>	<b>\$11,804,326</b>	<b>\$11,692,508</b>	<b>\$10,590,087</b>	<b>\$10,620,087</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>