

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service:	16	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
KEY 1	Number of Licenses Issued Per Year: Nursing Facility Administrators	962.00	1,285.00	991.00	1,186.00	991.00
2	Number of Credentials Issued Per Year: Nurse/Medication Aides	134,639.00	135,119.00	139,425.00	143,731.00	148,037.00
3	Number of Complaints Resolved/Year: Nursing Facility Administrators	137.00	125.00	127.00	130.00	131.00
4	Number of Complaints Resolved/Year: Nurse/Medication Aides/Direct Care	83.00	74.00	77.00	80.00	80.00
<b>Efficiency Measures:</b>						
1	Average Cost Per License Issued: Nursing Facility Administrators	65.81	61.34	63.57	62.43	64.23
2	Average Cost Per Credential Issued: Nurse/Medication Aides	78.69	78.53	79.14	79.53	80.01
3	Average Cost Per Complaint Resolved: Nursing Facility Administrators	4,776.21	4,833.25	4,815.15	4,805.89	4,778.53
4	Average Cost Per Complaint Resolved: Nurse/Medication Aides	4,335.53	4,390.45	4,375.10	4,355.21	4,355.21

**Objects of Expense:**

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service:	16	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001	SALARIES AND WAGES	\$993,262	\$1,065,814	\$1,063,415	\$1,063,415	\$1,063,415
1002	OTHER PERSONNEL COSTS	\$46,886	\$44,259	\$44,480	\$44,370	\$44,370
2001	PROFESSIONAL FEES AND SERVICES	\$12,939	\$12,655	\$12,671	\$12,663	\$12,663
2003	CONSUMABLE SUPPLIES	\$4,229	\$4,356	\$4,422	\$4,389	\$4,389
2004	UTILITIES	\$8,047	\$8,062	\$8,026	\$7,944	\$7,944
2005	TRAVEL	\$46,508	\$47,475	\$47,828	\$44,291	\$44,291
2009	OTHER OPERATING EXPENSE	\$92,794	\$92,800	\$93,690	\$92,743	\$92,744
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,204,665</b>	<b>\$1,275,421</b>	<b>\$1,274,532</b>	<b>\$1,269,815</b>	<b>\$1,269,816</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$532,634	\$730,492	\$730,492	\$740,314	\$740,314
758	GR Match For Medicaid	\$130,520	\$130,685	\$130,685	\$120,863	\$120,863
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$663,154</b>	<b>\$861,177</b>	<b>\$861,177</b>	<b>\$861,177</b>	<b>\$861,177</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$254,672	\$254,672	\$254,672	\$254,672	\$254,672
	93.777.002 SURVEY & CERT @ 75%	\$74,187	\$54,207	\$54,865	\$49,653	\$49,654
	93.778.003 XIX 50%	\$96,982	\$105,365	\$103,818	\$104,313	\$104,313

**3.A. STRATEGY REQUEST**  
 83rd Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/14/2012 9:51:27AM

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
CFDA Subtotal, Fund	555	\$425,841	\$414,244	\$413,355	\$408,638	\$408,639
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$425,841</b>	<b>\$414,244</b>	<b>\$413,355</b>	<b>\$408,638</b>	<b>\$408,639</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$115,670	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$115,670</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,269,815</b>	<b>\$1,269,816</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,204,665</b>	<b>\$1,275,421</b>	<b>\$1,274,532</b>	<b>\$1,269,815</b>	<b>\$1,269,816</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>25.6</b>	<b>27.0</b>	<b>27.0</b>	<b>27.0</b>	<b>27.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**3.A. STRATEGY REQUEST**

83rd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**539 Aging and Disability Services, Department of**

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	3
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	2	Credentialing/Certification	Service: 16	Income: A.2	Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
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The Credentialing/Certification strategy covers DADS licensing, certification, permitting, and monitoring of individuals for the purpose of employability in facilities and agencies regulated by DADS through four credentialing programs. Nursing Facility Administrator (NFA) Licensing and Enforcement responsibilities include licensing and continuing education activities; investigating complaints or referrals; coordinating sanction recommendations and other licensure activities; imposing and monitoring sanctions and due process considerations; and developing educational, training, and testing curricula.

Nurse Aide Registry (NAR) and Nurse Aide Training and Competency Evaluation Program (NATCEP) responsibilities include nurse aide certification and sanction activities; approving, renewing or withdrawing approval of NATCEPs; and due process considerations and determination of nurse aide employability in DADS regulated facilities via the NAR.

Employee Misconduct Registry (EMR) responsibilities include due process considerations and determination of unlicensed staff employability in DADS regulated facilities/agencies via the EMR.

Medication Aide Program responsibilities include medication aide permit issuance and renewal; imposing and monitoring sanctions; due process considerations; approving and monitoring medication aide training programs in educational institutions; and coordinating/administering examinations.

Statutory Authority. Health and Safety Code, Chapters 142, 242, and 253; Human Resources Code, Chapter 161; and Social Security Act, §1919.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The number of license applications, referrals and complaints for misconduct against licensees, and cases with final sanction depend on contacts from the public and the findings of Regulatory Services staff in facility surveys and complaint investigations. It is anticipated that the Employee Misconduct Registry (EMR) workload will increase as SSLC, Local Authority centers, and state hospital employees are being added to the pool of employees subject to the EMR.

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 1 Nursing Facilities Administrators

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>					
1 Number of Licenses Issued or Renewed Per Year: Nursing Facility Administrators	962.00	1,285.00	991.00	1,186.00	991.00
3 Number of Complaints and Referrals Resolved Per Year: Nursing Facility Administrators	137.00	125.00	127.00	130.00	131.00
<b>Efficiency Measures:</b>					
1 Average Cost Per License Issued: Nursing Facility Administrators	\$65.81	\$61.34	\$63.57	\$62.43	\$64.23
3 Average Cost Per Complaint or Referral Resolved: Nursing Facility Administrators	\$4,776.21	\$4,833.25	\$4,815.15	\$4,805.89	\$4,778.53
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$380,412	\$383,071	\$384,679	\$383,875	\$383,875
1002 - Other Personnel Costs	\$13,440	\$14,368	\$14,760	\$15,564	\$15,564
2001 - Professional Fees & Services	\$0	\$7,390	\$7,390	\$7,390	\$7,390
2003 - Consumable Supplies	\$1,452	\$1,568	\$1,820	\$1,694	\$1,694
2004 - Utilities	\$75	\$6,677	\$6,653	\$6,665	\$6,665
2005 - Travel	\$21,768	\$33,302	\$32,988	\$33,145	\$33,145
2009 - Other Operating Expense	\$4,190	\$14,541	\$22,491	\$21,084	\$21,084
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$421,337</b>	<b>\$460,918</b>	<b>\$470,780</b>	<b>\$469,418</b>	<b>\$469,418</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$305,667	\$460,918	\$470,780	\$469,418	\$469,418
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$305,667</b>	<b>\$460,918</b>	<b>\$470,780</b>	<b>\$469,418</b>	<b>\$469,418</b>
<b>Method of Financing:</b>					
0666 Appropriated Receipts	\$115,670	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (Other Funds )</b>	<b>\$115,670</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$421,337</b>	<b>\$460,918</b>	<b>\$470,780</b>	<b>\$469,418</b>	<b>\$469,418</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 2 Nurse Aids, Medication Aids, & Uncredentialed Staff

Code	Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Output Measures:</b>						
2	Number of Credentials Issued or Renewed Per Year: Nurse Aides and Medication Aides	134,639.00	135,119.00	139,425.00	143,731.00	148,037.00
4	Number of Complaints and Referrals Resolved Per Year: Nurse Aides, Medication Aides and Uncredentialed Direct Care Personnel	83.00	74.00	77.00	80.00	80.00
<b>Efficiency Measures:</b>						
2	Average Cost Per Credential Issued: Nurse Aides and Medication Aides	\$78.69	\$78.53	\$79.14	\$79.53	\$80.01
4	Average Cost Per Complaint or Referral Resolved: Nurse Aides, Medication Aides and Uncredentialed Direct Care Personnel	\$4,335.53	\$4,390.45	\$4,375.10	\$4,355.21	\$4,355.21
<b>Objects of Expense:</b>						
1001	Salaries & Wages	\$492,192	\$571,087	\$574,475	\$572,781	\$572,781
1002	Other Personnel Costs	\$24,846	\$20,444	\$20,400	\$24,186	\$24,186
2001	Professional Fees & Services	\$8,939	\$5,058	\$5,058	\$5,058	\$5,058
2003	Consumable Supplies	\$1,219	\$1,756	\$1,256	\$1,506	\$1,506
2004	Utilities	\$72	\$732	\$1,268	\$1,000	\$1,000
2005	Travel	\$0	\$2,727	\$3,815	\$3,271	\$3,271
2009	Other Operating Expense	\$47,326	\$71,050	\$70,125	\$70,588	\$70,588
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$574,594</b>	<b>\$672,855</b>	<b>\$676,397</b>	<b>\$678,389</b>	<b>\$678,389</b>
<b>Method of Financing:</b>						
0001	General Revenue Fund	\$183,328	\$235,288	\$242,438	\$242,627	\$242,627
0758	GR-Match for Medicaid	\$92,161	\$103,475	\$102,541	\$103,008	\$103,008
<b>SUBTOTAL, MOF (General Revenue )</b>		<b>\$275,489</b>	<b>\$338,763</b>	<b>\$344,980</b>	<b>\$345,635</b>	<b>\$345,635</b>
<b>Method of Financing:</b>						
0555	Federal Funds					
93.777.000	State Survey & Certification	\$195,591	\$220,151	\$218,253	\$219,202	\$219,202
93.777.002	SUR&C-75%	\$17,025	\$15,697	\$15,935	\$15,816	\$15,816
93.778.003	XIX ADM 50%	\$86,489	\$98,243	\$97,230	\$97,737	\$97,737
<b>CFDA Subtotal, Fund 0555</b>		<b>\$299,105</b>	<b>\$334,091</b>	<b>\$331,418</b>	<b>\$332,754</b>	<b>\$332,754</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 2 Nurse Aids, Medication Aids, & Uncredentialed Staff

<b>Code Description</b>	<b>Exp 2011</b>	<b>Est 2012</b>	<b>Bud 2013</b>	<b>BL 2014</b>	<b>BL 2015</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$299,105</b>	<b>\$334,091</b>	<b>\$331,418</b>	<b>\$332,754</b>	<b>\$332,754</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$574,594</b>	<b>\$672,855</b>	<b>\$676,397</b>	<b>\$678,389</b>	<b>\$678,389</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>13.9</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>

### 3.B. Sub-Strategy Level Detail

Date: Aug 13, 2012

Time: 1:53:31 PM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

GOAL: 2 Regulation, Certification and Outreach  
 OBJECTIVE: 1 Regulation, Certification and Outreach  
 STRATEGY: 2 Credentialing/Certification  
 SUB-STRATEGY: 3 Program Administration

Code Description	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
<b>Objects of Expense:</b>					
1001 - Salaries & Wages	\$120,658	\$111,656	\$104,261	\$106,759	\$106,759
1002 - Other Personnel Costs	\$8,600	\$9,447	\$9,320	\$4,620	\$4,620
2001 - Professional Fees & Services	\$4,000	\$207	\$223	\$215	\$215
2003 - Consumable Supplies	\$1,558	\$1,030	\$1,348	\$1,189	\$1,189
2004 - Utilities	\$7,900	\$652	\$105	\$278	\$278
2005 - Travel	\$24,740	\$11,446	\$11,025	\$7,874	\$7,874
2009 - Other Operating Expense	\$41,278	\$7,209	\$1,072	\$1,072	\$1,072
<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$208,734</b>	<b>\$141,648</b>	<b>\$127,354</b>	<b>\$122,008</b>	<b>\$122,008</b>
<b>Method of Financing:</b>					
0001 General Revenue Fund	\$43,639	\$34,286	\$17,274	\$28,677	\$18,518
0758 GR-Match for Medicaid	\$38,359	\$27,210	\$28,143	\$17,583	\$17,855
<b>SUBTOTAL, MOF (General Revenue )</b>	<b>\$81,998</b>	<b>\$61,496</b>	<b>\$45,417</b>	<b>\$46,260</b>	<b>\$36,373</b>
<b>Method of Financing:</b>					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$59,081	\$34,520	\$36,419	\$34,090	\$45,221
93.777.002 SUR&C-75%	\$57,162	\$38,510	\$38,930	\$33,322	\$33,838
93.778.003 XIX ADM 50%	\$10,493	\$7,121	\$6,587	\$6,476	\$6,576
<b>CFDA Subtotal, Fund 0555</b>	<b>\$126,736</b>	<b>\$80,152</b>	<b>\$81,936</b>	<b>\$73,888</b>	<b>\$85,635</b>
<b>SUBTOTAL, MOF (Federal Funds )</b>	<b>\$126,736</b>	<b>\$80,152</b>	<b>\$81,936</b>	<b>\$73,888</b>	<b>\$85,635</b>
<b>TOTAL, METHOD OF FINANCE</b>	<b>\$208,734</b>	<b>\$141,648</b>	<b>\$127,354</b>	<b>\$120,148</b>	<b>\$122,008</b>
<b>TOTAL, VARIANCE:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,860</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>2.7</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>