

539 Aging and Disability Services, Department of

GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:
 STRATEGY: 1 Facility and Community-Based Regulation Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
	1 Number of Long-term Care Facility Certifications Issued	2,130.00	2,140.00	2,135.00	2,138.00	2,136.00
	2 Number of Long-term Care Facility Licenses Issued	1,885.00	2,023.00	1,954.00	2,040.00	1,997.00
	3 # of On-site Nursing Facility/ICF/IID Monitoring Visits Completed	21.00	22.00	22.00	22.00	22.00
	4 Number of Inspections Completed Per Year	4,042.00	4,214.00	4,128.00	4,380.00	4,380.00
	5 Number of First Follow-up Visits Completed Per Year	5,491.00	5,412.00	5,452.00	5,432.00	5,442.00
	6 Number of Investigations Completed	18,032.00	18,222.00	18,127.00	18,175.00	18,151.00
	7 Total Dollar Amount Imposed from Fines	7,940,700.00	7,500,000.00	7,720,350.00	7,610,175.00	7,665,263.00
	8 Total Dollar Amount Assessed from Fines	1,792,716.00	1,200,000.00	1,496,358.00	1,348,179.00	1,422,268.00
KEY	9 Total Dollar Amount Collected from Fines	3,374,158.00	3,254,120.00	3,314,139.00	3,314,139.00	3,314,139.00
	10 Number of Medicaid Facility and Hospice Service Contracts Issued	129.00	135.00	132.00	134.00	133.00
	11 Number of Home and Community Support Services Agency Licenses Issued	3,312.00	3,046.00	3,179.00	3,268.00	3,385.00
	12 Number Home & Community Support Services Agency Inspections Conducted	1,119.00	1,170.00	1,145.00	1,215.00	1,239.00
	13 Number of Complaint Investigations Conducted: HCSSA	2,257.00	2,312.00	2,285.00	2,413.00	2,466.00
	14 # Substantiated Complaint Allegation Abuse/Neglect: Nursing Facilities	1,858.00	2,016.00	1,937.00	1,977.00	1,957.00

539 Aging and Disability Services, Department of

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service:	16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
15	# Substantiated Complaint Allegations of Abuse/Neglect: ALF	309.00	375.00	342.00	359.00	350.00
16	# Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	52.00	55.00	54.00	54.00	54.00
17	Number of Substantiated Complaint Allegations of Abuse/Neglect:ICF/IID	124.00	120.00	122.00	121.00	122.00
18	# Substantiated Complaint Allegations Physical Plant: NF	212.00	220.00	216.00	218.00	217.00
19	# Substantiated Complaint Allegations Unsafe Physical Plant: ALF	88.00	90.00	89.00	90.00	89.00
20	# Substantiated Complaint Allegations Unsafe Physical Plant: ADC	5.00	10.00	8.00	9.00	8.00
21	# Substantiated Complaint Allegations of Unsafe Physical: ICF/IID	16.00	24.00	20.00	22.00	21.00
22	# of Initial HCS and TxHmL Reviews Completed	152.00	165.00	159.00	162.00	160.00
23	# of Annual Hcs & TxHmL Recertification Reviews Completed	870.00	1,057.00	964.00	1,010.00	987.00
24	Number of Abuse/Neglect Reports Received: HCS,ICF/IID &TxHmL Providers	6,817.00	6,831.00	6,824.00	6,828.00	6,826.00
25	Number of Abuse/Neglect Reports Reviewed: HCS, ICF/ID &TxHmL Providers	6,789.00	6,849.00	6,819.00	6,834.30	6,827.00
26	Number of On-site PPECC Monitoring Visits Completed	0.00	0.00	0.00	0.00	0.00

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GOAL: 2 Regulation, Certification, and Outreach Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 1 Regulation, Certification, and Outreach Service Categories:
 STRATEGY: 1 Facility and Community-Based Regulation Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
27	Number of Substantiated Complaint Allegations of Abuse/Neglect: PPECC	0.00	0.00	0.00	0.00	0.00
28	Number of Substantiated Complaint Allegations Physical Plant: PPECC	0.00	0.00	0.00	0.00	0.00
Efficiency Measures:						
1	Average Cost Per Facility Visit	1,989.88	1,992.89	1,996.33	1,996.33	1,996.33
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	333.06	333.52	333.83	333.83	333.83
Explanatory/Input Measures:						
1	Number of Facilities Terminated from Licensure and/or Certification	5.00	7.00	6.00	7.00	6.00
2	Number of Medicaid Facility Contracts Terminated	133.00	140.00	137.00	138.00	137.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$47,076,156	\$49,707,629	\$55,382,543	\$55,382,543	\$55,382,543
1002	OTHER PERSONNEL COSTS	\$1,153,768	\$1,179,349	\$1,270,558	\$1,270,558	\$1,270,558
2001	PROFESSIONAL FEES AND SERVICES	\$646,013	\$599,533	\$639,409	\$639,409	\$639,409
2003	CONSUMABLE SUPPLIES	\$67,999	\$70,648	\$79,861	\$79,861	\$79,861
2004	UTILITIES	\$325,136	\$287,776	\$295,240	\$295,240	\$295,240
2005	TRAVEL	\$5,043,005	\$4,970,492	\$5,031,407	\$5,031,407	\$5,031,407

3.A. Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

539 Aging and Disability Services, Department of

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
2006	RENT - BUILDING	\$7,624	\$18,365	\$34,771	\$34,771	\$34,771
2007	RENT - MACHINE AND OTHER	\$23,431	\$34,309	\$53,969	\$53,969	\$53,969
2009	OTHER OPERATING EXPENSE	\$9,358,354	\$12,410,673	\$7,333,052	\$9,840,872	\$9,840,872
TOTAL, OBJECT OF EXPENSE		\$63,701,486	\$69,278,774	\$70,120,810	\$72,628,630	\$72,628,630
Method of Financing:						
1	General Revenue Fund	\$10,481,858	\$0	\$0	\$0	\$0
758	GR Match For Medicaid	\$7,381,527	\$12,235,532	\$12,575,241	\$12,405,387	\$12,405,387
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$17,863,385	\$12,235,532	\$12,575,241	\$12,405,387	\$12,405,387
Method of Financing:						
5018	Home Health Services Acct	\$1,948,343	\$10,404,899	\$10,404,899	\$10,404,899	\$10,404,899
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,948,343	\$10,404,899	\$10,404,899	\$10,404,899	\$10,404,899
Method of Financing:						
555	Federal Funds					
	93.777.000 State Survey and Certific	\$21,399,247	\$22,521,804	\$22,955,139	\$24,249,092	\$24,249,092
	93.777.002 SURVEY & CERT @ 75%	\$19,138,459	\$18,578,382	\$19,045,312	\$20,334,294	\$20,334,294
	93.778.003 XIX 50%	\$3,352,052	\$5,538,157	\$5,140,219	\$5,234,958	\$5,234,958

539 Aging and Disability Services, Department of

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
CFDA Subtotal, Fund	555	\$43,889,758	\$46,638,343	\$47,140,670	\$49,818,344	\$49,818,344
SUBTOTAL, MOF (FEDERAL FUNDS)		\$43,889,758	\$46,638,343	\$47,140,670	\$49,818,344	\$49,818,344
Rider Appropriations:						
758 GR Match For Medicaid						
	539 17 Art II SP Sec 62, Medicaid Unexpended Balances (14-15 GAA)				\$0	\$0
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$72,628,630	\$72,628,630
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$63,701,486	\$69,278,774	\$70,120,810	\$72,628,630	\$72,628,630
FULL TIME EQUIVALENT POSITIONS:		1,024.7	1,051.2	1,124.0	1,124.0	1,124.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

539 Aging and Disability Services, Department of

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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The Facility and Community-based Regulation strategy covers the licensing and regulation of all long-term care facilities/agencies that meet the definition of nursing homes, assisted living facilities, adult day-care facilities, privately owned Intermediate Care Facilities for individuals with an Intellectual Disability (ICFs/IID), and Home and Community Support Services Agencies (HCSSAs). Licensed facilities/agencies wishing to participate in Medicare and/or Medicaid programs must be certified and maintain compliance with certification regulations according to Titles XVIII and/or XIX of the Social Security Act. Government-operated ICFs/IID and skilled nursing units within an acute care hospital are also required to be certified in order to participate in Medicare and/or Medicaid.

In addition to licensing these long-term care facilities and agencies, DADS responsibilities for these regulated programs include investigating complaints and self-reported incidents; monitoring facilities for compliance with state and/or federal regulations; certification review of the Home and Community-based Services (HCS) waiver contracts and the Texas Home Living (TxHmL) waiver contracts; investigating complaints related to HCS and TxHmL services; and receiving and following up on Department of Family and Protective Services (DFPS) findings related to abuse, neglect, or exploitation investigations of persons who receive HCS or TxHmL services.

Statutory Authority. Human Resources Code, Chapters 48, 103, and 161; Health and Safety Code, Chapters 142, 242, 247, 252, and 533.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

539 Aging and Disability Services, Department of

GOAL:	2	Regulation, Certification, and Outreach	Statewide Goal/Benchmark:	7	5
OBJECTIVE:	1	Regulation, Certification, and Outreach	Service Categories:		
STRATEGY:	1	Facility and Community-Based Regulation	Service:	16	Income: A.2 Age: B.3

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Factors impacting this strategy include federal enforcement regulations and revised survey protocols to further protect facility residents as well as the implementation of SB492 Prescribed Pediatric Extended Care Centers (PPECC). Increased workload from large "chain" operators undergoing changes of ownership necessitates on-site inspections to determine compliance with regulations by new operators. The growth occurring in licensed HCSSAs has increased application processing, license issuance, surveys to determine compliance with regulations, and taking enforcement action when needed. State licensing regulations and remedy options have increased the licensing workload. In FY 09, the number LTC facility licenses and HCSSA licenses issued are changed from a one year to a two year license period. Nursing Facility license periods were changed to a three year license period beginning FY15.

The following exceptional item(s) will impact this strategy: Item Protecting Vulnerable Texans requests funds for 23 FTEs for oversight of assisted living facility and HCSSA providers. This includes resources to address the growth in the HCSSA program from processing applications to conducting surveys and complaint investigations. In addition, a portion these FTEs will address the backlog in complaint investigations and Life Safety Code inspections for assisted living facilities.

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 1 Nursing Facilities

Code	Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
1	Number of Long-term Care Facility Certifications Issued	2,130.00	2,140.00	2,135.00	2,138.00	2,136.00
2	Number of Long-term Care Facility Licenses Issued	1,885.00	2,023.00	1,954.00	2,040.00	1,997.00
3	Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Intellectual Disabilities (ID) Monitoring Visits Completed	21.00	22.00	22.00	22.00	22.00
4	Number of Inspections Completed Per Year	4,042.00	4,214.00	4,128.00	4,380.00	4,466.00
5	Number of First Follow-up Visits Completed Per Year	5,491.00	5,412.00	5,452.00	5,432.00	5,442.00
6	Number of Complaint and Incident Investigations Completed	18,032.00	18,222.00	18,127.00	18,175.00	18,151.00
7	Total Dollar Amount Imposed from Fines	\$7,940,700.00	\$7,500,000.00	\$7,720,350.00	\$7,610,175.00	\$7,665,263.00
8	Total Dollar Amount Assessed from Fines	\$1,792,716.00	\$1,200,000.00	\$1,496,358.00	\$1,348,179.00	\$1,422,268.00
9	Total Dollar Amount Collected from Fines	\$3,374,158.00	\$3,254,120.00	\$3,314,139.00	\$3,314,139.00	\$3,314,139.00
10	Number of Medicaid Facility and Hospice Service Contracts Issued	129.00	135.00	132.00	134.00	133.00
14	Number of Substantiated Complaint Allegations of Abuse/Neglect: Nursing Facilities	1,858.00	2,016.00	1,937.00	1,977.00	1,657.00
18	Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Nursing Facilities	212.00	220.00	216.00	218.00	217.00
Efficiency Measures:						
2	Average Cost Per Medicaid Facility and Hospice Service Contract Issued	\$333.06	\$333.52	\$333.83	\$333.83	\$333.83
Explanatory Measures:						
1	Number of Facilities Terminated from Licensure and/or Certification Programs	5.00	7.00	6.00	7.00	6.00
2	Number of Medicaid Facility Contracts Terminated	133.00	140.00	137.00	138.00	137.00

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 1 Nursing Facilities

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:					
1001 - Salaries & Wages	\$22,268,102	\$23,391,849	\$25,810,075	\$25,810,075	\$25,810,075
1002 - Other Personnel Costs	\$498,983	\$511,347	\$546,445	\$546,445	\$546,445
2001 - Professional Fees & Services	\$513	\$33,029	\$265,323	\$265,323	\$265,323
2003 - Consumable Supplies	\$32,560	\$30,112	\$32,016	\$32,016	\$32,016
2004 - Utilities	\$40,639	\$37,762	\$42,889	\$42,889	\$42,889
2005 - Travel	\$2,290,795	\$2,026,196	\$1,994,219	\$1,994,219	\$1,994,219
2006 - Rent - Building	\$937	\$4,063	\$7,341	\$7,341	\$7,341
2007 - Rent - Machine and Other	\$17,305	\$5,424	\$17,544	\$17,544	\$17,544
2009 - Other Operating Expense	\$3,682,735	\$3,653,304	\$3,942,971	\$3,942,971	\$3,942,971
TOTAL, OBJECT OF EXPENSE	\$28,832,569	\$29,693,086	\$32,658,823	\$32,658,823	\$32,658,823
Method of Financing:					
0001 General Revenue Fund	\$3,573,272	\$0	\$0	\$0	\$0
0758 GR-Match for Medicaid	\$3,072,061	\$3,984,677	\$4,449,544	\$4,449,544	\$4,449,544
SUBTOTAL, MOF (General Revenue)	\$6,645,333	\$3,984,677	\$4,449,544	\$4,449,544	\$4,449,544
Method of Financing: Home Health Services Account					
5018	\$81,544	\$3,746,528	\$4,047,897	\$4,047,897	\$4,047,897
SUBTOTAL, MOF (General Revenue-Dedicated)	\$81,544	\$3,746,528	\$4,047,897	\$4,047,897	\$4,047,897
Method of Financing: Federal Funds					
0555 93.777.000 State Survey & Certification	\$11,509,124	\$11,860,556	\$13,004,990	\$13,004,990	\$13,004,990
93.777.002 SUR&C-75%	\$10,532,823	\$10,035,633	\$11,003,978	\$11,003,978	\$11,003,978
93.778.003 XIX ADM 50%	\$63,746	\$65,693	\$152,414	\$152,414	\$152,414
CFDA Subtotal, Fund 0555	\$22,105,693	\$21,961,881	\$24,161,382	\$24,161,382	\$24,161,382
SUBTOTAL, MOF (Federal Funds)	\$22,105,693	\$21,961,881	\$24,161,382	\$24,161,382	\$24,161,382
TOTAL, METHOD OF FINANCE	\$28,832,569	\$29,693,086	\$32,658,823	\$32,658,822	\$32,658,822
TOTAL, VARIANCE:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	494.4	500.4	527.7	527.7	527.7

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 2 Assisted Living Facilities

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:					
4 Number of Inspections Completed Per Year	4,042.00	4,214.00	4,128.00	4,380.00	4,466.00
5 Number of First Follow-up Visits Completed Per Year	5,491.00	5,412.00	5,452.00	5,432.00	5,442.00
6 Number of Complaint and Incident Investigations Completed	18,032.00	18,222.00	18,127.00	18,175.00	18,151.00
15 Number of Substantiated Complaint Allegations of Abuse/Neglect: Assisted Living Facilities	309.00	375.00	342.00	359.00	350.00
19 Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Assisted Living Facilities	88.00	90.00	89.00	90.00	89.00
Efficiency Measures:					
1 Average Cost Per Facility Visit	\$1,989.88	\$1,992.89	\$1,996.33	\$1,996.33	\$1,996.33
Objects of Expense:					
1001 - Salaries & Wages	\$1,035,478	\$1,090,083	\$1,205,933	\$1,205,933	\$1,205,933
1002 - Other Personnel Costs	\$22,376	\$23,188	\$25,224	\$25,224	\$25,224
2001 - Professional Fees & Services	\$25	\$1,585	\$5,046	\$5,046	\$5,046
2003 - Consumable Supplies	\$1,416	\$1,354	\$1,952	\$1,952	\$1,952
2004 - Utilities	\$2,071	\$42,056	\$2,572	\$2,572	\$56,690
2005 - Travel	\$109,969	\$97,034	\$95,368		\$95,368
2006 - Rent - Building	\$45	\$195	\$353	\$353	\$353
2007 - Rent - Machine and Other	\$832	\$322	\$1,028	\$1,028	\$1,028
2009 - Other Operating Expense	\$14,440	\$23,605	\$24,467	\$24,467	\$24,467
TOTAL, OBJECT OF EXPENSE	\$1,186,652	\$1,279,422	\$1,361,943	\$1,266,575	\$1,416,061
Method of Financing:					
0001 General Revenue Fund	\$1,186,652	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (General Revenue)	\$1,186,652	\$0	\$0	\$0	\$0

3.D. Sub-Strategy Level Detail

Agency Code: **539**

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Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 2 Assisted Living Facilities

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:					
5018 Home Health Services Account	\$0	\$1,239,454	\$1,361,943	\$1,361,943	\$1,361,943
SUBTOTAL, MOF (General Revenue-Dedicated)	\$0	\$1,239,454	\$1,361,943	\$1,361,943	\$1,361,943
TOTAL, METHOD OF FINANCE	\$1,186,652	\$1,279,422	\$1,361,943	\$1,266,575	\$1,416,061
TOTAL, VARIANCE:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	20.4	20.7	21.9	21.9	21.9

3.D. Sub-Strategy Level Detail

Agency Code: **539**

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Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 3 Adult Day Care

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:					
4 Number of Inspections Completed Per Year	4,042.00	4,214.00	4,128.00	4,380.00	4,466.00
5 Number of First Follow-up Visits Completed Per Year	5,491.00	5,412.00	5,452.00	5,432.00	5,442.00
6 Number of Complaint and Incident Investigations Completed	18,032.00	18,222.00	18,127.00	18,175.00	18,151.00
16 Number of Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care	52.00	55.00	54.00	54.00	54.00
20 Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Adult Day Care	5.00	10.00	8.00	9.00	8.00
Efficiency Measures:					
1 Average Cost Per Facility Visit	\$1,989.88	\$1,992.89	\$1,996.33	\$1,996.33	\$1,996.33
Objects of Expense:					
1001 - Salaries & Wages	\$414,191	\$436,034	\$482,373	\$482,373	\$482,373
1002 - Other Personnel Costs	\$8,950	\$9,275	\$10,089	\$10,089	\$10,089
2001 - Professional Fees & Services	\$10	\$637	\$2,018	\$2,018	\$2,018
2003 - Consumable Supplies	\$567	\$542	\$779	\$779	\$779
2004 - Utilities	\$830	\$835	\$1,028	\$1,028	\$1,028
2005 - Travel	\$43,986	\$38,816	\$38,149	\$38,149	\$38,149
2006 - Rent - Building	\$18	\$77	\$142	\$142	\$142
2007 - Rent - Machine and Other	\$333	\$129	\$411	\$411	\$411
2009 - Other Operating Expense	\$5,774	\$9,442	\$9,788	\$9,788	\$9,788
TOTAL, OBJECT OF EXPENSE	\$474,659	\$495,787	\$544,777	\$544,777	\$544,777
Method of Financing:					
0001 General Revenue Fund	\$474,659	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (General Revenue)	\$474,659	\$0	\$0	\$0	\$0
Method of Financing:					
5018 Home Health Services Account	\$0	\$495,787	\$544,777	\$544,777	\$544,777
SUBTOTAL, MOF (General Revenue-Dedicated)	\$0	\$495,787	\$544,777	\$544,777	\$544,777
TOTAL, METHOD OF FINANCE	\$474,659	\$495,787	\$544,777	\$544,777	\$544,777

3.D. Sub-Strategy Level Detail

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Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 3 Adult Day Care

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, VARIANCE:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	10.2	10.4	10.9	10.9	10.9

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014
Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 4 ICF-ID Facilities

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:					
3 Number of On-site Nursing Facility/Intermediate Care Facilities for Persons with Intellectual Disabilities (ID) Monitoring Visits Completed	21.00	22.00	22.00	22.00	22.00
4 Number of Inspections Completed Per Year	4,042.00	4,214.00	4,128.00	4,380.00	4,466.00
5 Number of First Follow-up Visits Completed Per Year	5,491.00	5,412.00	5,452.00	5,432.00	5,442.00
6 Number of Complaint and Incident Investigations Completed	18,032.00	18,222.00	18,127.00	18,175.00	18,151.00
17 Number of Substantiated Complaint Allegations of Abuse/Neglect: Intermediate Care Facilities (ID)	124.00	120.00	122.00	121.00	122.00
21 Number of Substantiated Complaint Allegations of Unsafe Physical Plant and/or Environmental Conditions: Intermediate Care Facilities (ID)	152.00	165.00	159.00	162.00	160.00
Efficiency Measures:					
1 Average Cost Per Facility Visit	\$1,989.88	\$1,992.89	\$1,996.33	\$1,996.33	\$1,996.33
Objects of Expense:					
1001 - Salaries & Wages	\$4,047,377	\$4,108,879	\$4,327,431	\$4,327,431	\$4,327,431
1002 - Other Personnel Costs	\$110,360	\$113,880	\$123,960	\$123,960	\$123,960
2001 - Professional Fees & Services	\$0	\$1,448	\$3,125	\$3,125	\$3,125
2003 - Consumable Supplies	\$1,028	\$1,788	\$1,973	\$1,973	\$1,973
2004 - Utilities	\$20,584	\$15,865	\$12,959	\$12,959	\$12,959
2005 - Travel	\$599,313	\$563,008	\$557,133	\$557,133	\$557,133
2006 - Rent - Building	\$0	\$150	\$300	\$300	\$300
2007 - Rent - Machine and Other	\$0	\$467	\$200	\$200	\$200
2009 - Other Operating Expense	\$605,856	\$517,105	\$633,892	\$633,892	\$633,892
TOTAL, OBJECT OF EXPENSE	\$5,384,518	\$5,322,590	\$5,660,973	\$5,660,973	\$5,660,973
Method of Financing:					
0758 GR-Match for Medicaid	\$1,346,130	\$1,330,647	\$1,415,243	\$1,415,243	\$1,415,243
SUBTOTAL, MOF (General Revenue)	\$1,346,130	\$1,330,647	\$1,415,243	\$1,415,243	\$1,415,243

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 4 ICF-ID Facilities

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:					
0555 Federal Funds					
93.777.002 SUR&C-75%	\$4,038,389	\$3,991,942	\$4,245,729	\$4,245,729	\$4,245,729
CFDA Subtotal, Fund 0555	\$4,038,389	\$3,991,942	\$4,245,729	\$4,245,729	\$4,245,729
SUBTOTAL, MOF (Federal Funds)	\$4,038,389	\$3,991,942	\$4,245,729	\$4,245,729	\$4,245,729
Total. Method of Finance	\$5,384,519	\$5,322,589	\$5,660,972	\$5,660,972	\$5,660,972
Total. Variance:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	90.2	83.4	91.0	91.0	91.0

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 5 Home & Community Support Services Licensing

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:					
11 Number of Home and Community Support Services Agency Licenses Issued	3,312.00	3,046.00	3,179.00	3,268.00	3,385.00
12 Number Home and Community Support Services Agency Inspections Conducted	1,119.00	1,170.00	1,145.00	1,215.00	1,239.00
13 Number of Complaint Investigations Conducted On-site: Home and Community Support Services Agenc	2,257.00	2,312.00	2,285.00	2,413.00	2,466.00
Objects of Expense:					
1001 - Salaries & Wages	\$6,129,848	\$6,272,585	\$6,852,180	\$6,852,180	\$6,852,180
1002 - Other Personnel Costs	\$132,840	\$132,480	\$153,240	\$153,240	\$153,240
2001 - Professional Fees & Services	\$23,434	\$76,483	\$60,733	\$60,733	\$60,733
2003 - Consumable Supplies	\$7,065	\$4,857	\$11,353	\$11,353	\$11,353
2004 - Utilities	\$22,058	\$18,807	\$19,718	\$19,718	\$19,718
2005 - Travel	\$566,029	\$684,116	\$726,869	\$726,869	\$726,869
2006 - Rent - Building	\$0	\$1,989	\$750	\$750	\$750
2007 - Rent - Machine and Other	\$80	\$878	\$1,180	\$1,180	\$1,180
2009 - Other Operating Expense	\$674,905	\$620,982	\$978,502	\$978,502	\$978,502
TOTAL, OBJECT OF EXPENSE	\$7,556,259	\$7,813,177	\$8,804,523	\$8,804,523	\$8,804,525
Method of Financing:					
0001 General Revenue Fund	\$987,679	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (General Revenue)	\$987,679	\$0	\$0	\$0	\$0
Method of Financing:					
5018 Home Health Services Account	\$1,866,799	\$2,991,903	\$3,395,820	\$3,395,820	\$3,395,820
SUBTOTAL, MOF (General Revenue Dedicated)	\$1,866,799	\$2,991,903	\$3,395,820	\$3,395,820	\$3,395,820
Method of Financing:					
0555 Federal Funds					
93.777.000 State Survey and Certification	\$4,701,781	\$4,821,274	\$5,408,704	\$5,408,704	\$5,408,704
CFDA Subtotal, Fund 0555	\$4,701,781	\$4,821,274	\$5,408,704	\$5,408,704	\$5,408,704
SUBTOTAL, MOF (Federal Funds)	\$4,701,781	\$4,821,274	\$5,408,704	\$5,408,704	\$5,408,704

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014
Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 5 Home & Community Support Services Licensing

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Total, Method of Finance	\$7,556,259	\$7,813,177	\$8,804,524	\$8,804,524	\$8,804,524
Total, Variance:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	130.8	127.8	140.0	140.0	140.0

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 6 Program Administration

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:					
1001 - Salaries & Wages	\$13,181,160	\$14,408,199	\$16,704,551	\$16,704,551	\$16,704,551
1002 - Other Personnel Costs	\$380,259	\$389,179	\$411,600	\$411,600	\$411,600
2001 - Professional Fees & Services	\$622,031	\$486,351	\$303,165	\$303,165	\$303,165
2003 - Consumable Supplies	\$25,363	\$31,995	\$31,789	\$31,789	\$31,789
2004 - Utilities	\$238,954	\$212,418	\$216,075	\$216,075	\$216,075
2005 - Travel	\$1,432,913	\$1,561,322	\$1,619,670	\$1,619,670	\$1,619,670
2006 - Rent - Building	\$6,624	\$11,891	\$25,885	\$25,885	\$25,885
2007 - Rent - Machine and Other	\$4,881	\$27,089	\$33,606	\$33,606	\$33,606
2009 - Other Operating Expense	\$4,374,644	\$7,249,785	\$1,743,433	\$4,251,253	\$4,251,253
TOTAL, OBJECT OF EXPENSE	\$20,266,829	\$24,378,229	\$21,089,774	\$23,597,594	\$23,597,594
Method of Financing:					
0001 General Revenue Fund	\$4,259,596	\$0	\$0	\$0	
0758 GR-Match for Medicaid	\$2,963,337	\$6,751,982	\$6,710,454	\$6,540,600	\$6,540,600
SUBTOTAL, MOF (General Revenue)	\$7,222,934	\$6,751,982	\$6,710,454	\$6,540,600	\$6,540,600
Method of Financing:					
5018 Home Health Services Account	\$0	\$1,931,227	\$1,054,463	\$1,054,463	\$1,054,463
SUBTOTAL, MOF (General Revenue-Dedicated)	\$0	\$1,931,227	\$1,054,463	\$1,054,463	\$1,054,463
Method of Financing:					
0555 Federal Funds					
93.777.000 State Survey & Certification	\$5,188,342	\$5,839,975	\$4,541,446	\$5,835,399	\$5,835,399
93.777.002 SUR&C-75%	\$4,567,248	\$4,550,808	\$3,795,606	\$5,084,588	\$5,084,588
93.778.003 XIX ADM 50%	\$3,288,305	\$5,304,238	\$4,987,805	\$5,082,544	\$5,082,544
CFDA Subtotal, Fund 0555	\$13,043,895	\$15,695,021	\$13,324,857	\$16,002,531	\$16,002,531
SUBTOTAL, MOF (Federal Funds)	\$13,043,895	\$15,695,021	\$13,324,857	\$16,002,531	\$16,002,531
TOTAL, METHOD OF FINANCE	\$20,266,829	\$24,378,230	\$21,089,774	\$23,597,594	\$23,597,594
TOTAL, VARIANCE:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	278.8	308.5	332.5	332.5	332.5

3.D. Sub-Strategy Level Detail

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

Date: Jul 21, 2014

Time: 4:13:23 PM

GOAL: 2 Regulation, Certification and Outreach
 OBJECTIVE: 1 Regulation, Certification and Outreach
 STRATEGY: 1 Facility and Community-Based Regulation
 SUB-STRATEGY: 7 Capital Projects

Code Description	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:					
2009 - Other Operating Expense	\$0	\$336,452	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE	\$0	\$336,452	\$0	\$0	\$0
Method of Financing:					
0758 GR-Match for Medicaid	\$0	\$168,226	\$0	\$0	\$0
SUBTOTAL, MOF (General Revenue)	\$0	\$168,226	\$0	\$0	\$0
Method of Financing:					
0555 Federal Funds					
93.778.003 XIX ADM 50%	\$0	\$168,226	0	\$0	\$0
CFDA Subtotal, Fund 0555	\$0	\$168,226	0	\$0	\$0
SUBTOTAL, MOF (Federal Funds)	\$0	\$168,226	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE	\$0	\$336,452	\$0	\$0	\$0
TOTAL, VARIANCE:	\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0	0.0	0.0	0.0