

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/5/2014**
 TIME: **1:43:25PM**

Agency code: **539**

Agency name: **Aging and Disability Services, Department of**

CODE	DESCRIPTION		Excp 2016	Excp 2017
	Item Name:	Protecting Vulnerable Texans		
	Item Priority:	7		
	Includes Funding for the Following Strategy or Strategies:	01-01-01 Intake, Access, and Eligibility to Services and Supports		
		01-01-02 Guardianship		
		01-03-02 Home and Community-based Services (HCS)		
		02-01-01 Facility and Community-Based Regulation		
		03-01-01 Central Administration		
OBJECTS OF EXPENSE:				
1001	SALARIES AND WAGES		1,425,185	1,988,479
2005	TRAVEL		117,422	163,548
2009	OTHER OPERATING EXPENSE		2,446,801	2,525,232
3001	CLIENT SERVICES		23,453,851	9,660,200
TOTAL, OBJECT OF EXPENSE			\$27,443,259	\$14,337,459

METHOD OF FINANCING:

1	General Revenue Fund		3,226,497	3,456,656
555	Federal Funds			
93.777.000	State Survey and Certific		413,289	814,162
93.777.002	SURVEY & CERT @ 75%		215,695	213,185
93.778.000	XIX FMAP		13,439,057	5,528,532
758	GR Match For Medicaid		10,148,721	4,324,924
TOTAL, METHOD OF FINANCING			\$27,443,259	\$14,337,459

FULL-TIME EQUIVALENT POSITIONS (FTE):

23.00 33.00

DESCRIPTION / JUSTIFICATION:

In this request, DADS would utilize approximately \$21.2 million in GR and \$41.8 million in AF to make a number of changes within the agency to safe keep those receiving DADS care. Hiring new contract supervisors in the guardianship program, adding assisted living facility contract ombudsmen, and expanding the agency's Lifespan Respite Care program are all necessary to keep up with growing long-term health care providers. Also under this item, the HCS cap on dental expenses would be doubled from \$1,000 to \$2,000 per individual per year; assistance would be provided to small HCS facilities for required fire sprinkler systems; and increased regulatory tools would be provided to DADS to further match DADS' resources to the increase in the service programs requiring regulatory oversight.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/5/2014**
TIME: **1:43:25PM**

Agency code: **539**

Agency name:

Aging and Disability Services, Department of

CODE DESCRIPTION

Excp 2016

Excp 2017

The hiring of contract guardians and assisted living facility ombudsmen, as well as DADS assistance with fire safety equipment, will place some responsibility on local authorities/providers to ensure success.

Requested exceptional item funding would be used to support and enhance both new and ongoing initiatives.

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/5/2014**
 TIME: **1:43:25PM**

Agency code: **539** Agency name: **Aging and Disability Services, Department of**

Code	Description	Excp 2016	Excp 2017
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 1-1-1 Intake, Access, and Eligibility to Services and Supports			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	1,000,000	1,000,000
TOTAL, OBJECT OF EXPENSE		\$1,000,000	\$1,000,000
METHOD OF FINANCING:			
1	General Revenue Fund	1,000,000	1,000,000
TOTAL, METHOD OF FINANCING		\$1,000,000	\$1,000,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.0	0.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/5/2014
 TIME: 1:43:25PM

Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2016	Excp 2017
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 1-1-2 Guardianship			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	435,061	579,616
2005	TRAVEL	10,500	15,000
2009	OTHER OPERATING EXPENSE	303,203	331,646
TOTAL, OBJECT OF EXPENSE		\$748,764	\$926,262
METHOD OF FINANCING:			
1	General Revenue Fund	748,764	926,262
TOTAL, METHOD OF FINANCING		\$748,764	\$926,262
FULL-TIME EQUIVALENT POSITIONS (FTE):		7.0	10.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/5/2014
 TIME: 1:43:25PM

Agency code: **539** Agency name: **Aging and Disability Services, Department of**

Code	Description	Excp 2016	Excp 2017
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 1-3-2 Home and Community-based Services (HCS)			
OBJECTS OF EXPENSE:			
3001	CLIENT SERVICES	23,453,851	9,660,200
TOTAL, OBJECT OF EXPENSE		\$23,453,851	\$9,660,200
METHOD OF FINANCING:			
555	Federal Funds		
93.778.000	XIX FMAP	13,439,057	5,528,532
758	GR Match For Medicaid	10,014,794	4,131,668
TOTAL, METHOD OF FINANCING		\$23,453,851	\$9,660,200

Agency code: 539 Agency name: Aging and Disability Services, Department of

Code	Description	Excp 2016	Excp 2017
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 2-1-1 Facility and Community-Based Regulation			
OUTPUT MEASURES:			
<u>4</u>	Number of Inspections Completed Per Year	209.00	213.00
<u>11</u>	Number of Home and Community Support Services Agency Licenses Issued	156.00	161.00
<u>12</u>	Number Home & Community Support Services Agency Inspections Conducted	58.00	59.00
<u>13</u>	Number of Complaint Investigations Conducted: HCSSA	115.00	117.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	990,124	1,408,863
2005	TRAVEL	106,922	148,548
2009	OTHER OPERATING EXPENSE	169,338	219,326
TOTAL, OBJECT OF EXPENSE		\$1,266,384	\$1,776,737
METHOD OF FINANCING:			
1	General Revenue Fund	503,473	556,134
555	Federal Funds		
	93.777.000 State Survey and Certific	413,289	814,162
555	Federal Funds		
	93.777.002 SURVEY & CERT @ 75%	215,695	213,185
758	GR Match For Medicaid	133,927	193,256
TOTAL, METHOD OF FINANCING		\$1,266,384	\$1,776,737
FULL-TIME EQUIVALENT POSITIONS (FTE):		16.0	23.0

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/5/2014**
 TIME: **1:43:25PM**

Agency code: **539** Agency name: **Aging and Disability Services, Department of**

Code	Description	Excp 2016	Excp 2017
Item Name: Protecting Vulnerable Texans			
Allocation to Strategy: 3-1-1 Central Administration			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	974,260	974,260
TOTAL, OBJECT OF EXPENSE		\$974,260	\$974,260
METHOD OF FINANCING:			
1	General Revenue Fund	974,260	974,260
TOTAL, METHOD OF FINANCING		\$974,260	\$974,260

Federal Funds Supporting Schedule - Exceptional Items

Date: Aug 1, 2014
Time: 8:26:14 AM

Agency Code: **539**

Agency Name: **Aging and Disability Services, Department of**

CFDA No. & Strategy No.	CFDA Description & Strategy Description	Requested	
		2016	2017
Item #7	Protecting Vulnerable Texans		
<hr/>			
93.777.000 State Survey & Certification			
2.1.1	Facility and Community-Based Regulation	\$413,289	\$814,162
	Total All Strategies	\$413,289	\$814,162
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$413,289	\$814,162
93.777.002 SUR&C-75%			
2.1.1	Facility and Community-Based Regulation	\$215,695	\$213,185
	Total All Strategies	\$215,695	\$213,185
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$215,695	\$213,185
93.778.000 XIX FMAP			
1.3.2	Home and Community Based Services (HCS)	\$13,439,057	\$5,528,532
	Total All Strategies	\$13,439,057	\$5,528,532
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$13,439,057	\$5,528,532
	Total All Strategies	\$14,068,040	\$6,555,879
	Additional Federal Funds for Employee Benefits	\$0	\$0
	TOTAL, Federal Funds	\$14,068,040	\$6,555,879
	Additional General Revenue for Employee Benefits	\$0	\$0