

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
--------------	------------	---------	-----------------------------------------------------

Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	EF		
Measure No.	1	Statewide Average Cost Per Care Coordination Client	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 EF 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This is a measure of the statewide average cost per individual provided care coordination, exclusive of the cost of services brokered or procured for the individual.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of individuals is based on data reported to the Department by area agencies on aging. Data is reported only for those individual for whom an intake form is completed. Expenditures are reported by area agencies on aging and include accrued expenses.

BL 2012 Methodology

The statewide average cost per care coordination individual is calculated by dividing area agencies on aging expenditures used to provide care coordination to individuals age 60 or older by the unduplicated number of individuals year-to-date receiving care coordination services funded by the State Unit on Aging (DADS) during the fiscal year.

BL 2012 Purpose

This measure identifies the statewide average State Unit on Aging (DADS) cost per care coordination individual.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	EF		
Measure No.	2	Statewide Average Cost Per Person Receiving Legal Assistance	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 EF 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure identifies the statewide average cost per individual receiving legal assistance services.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

Data for those individuals for whom an intake form is completed are reported to the Department by area agencies on aging. The reported number of individuals is the sum of individuals reported from the area agencies on aging. Expenditures are reported by area agencies on aging and include accrued expenses.

BL 2012 Methodology

The average cost per legal assistance individual is calculated by dividing area agencies on aging expenditures used to provide legal assistance to persons age 60 or older by the unduplicated number of individuals receiving legal assistance services as reported to the Department by the area agencies on aging as funded by the State Unit on Aging (DADS).

BL 2012 Purpose

At the state level, this measure provides a means for decision-makers to project service levels based on a given level of funding. For the state agency, this is a comparative efficiency measure between different programs, and is useful for monitoring and evaluating providers.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	1	Intake, Access, and Eligibility
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports
Measure Type	EF	
Measure No.	3	Avg Mthly Cost Per Individual MR Receivg Assessment & Svc Coordination

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 EF 03

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures information regarding what it costs the state each month, on average, to provide community assessment and service coordination MR services to each individual regardless of age. It measures the Department of Aging and Disability Services' (DADS') appropriation authority cost per individual as defined by the companion output measure.

BL 2012 Data Limitations

The accuracy of the department's individual database is dependent upon accurate and timely information being entered into the data warehouse system by the local mental retardation authorities. If the local authority does not provide accurate data for the quarter, this measure will not be accurate. (At the end of the fiscal year, community centers report preliminary expenditure information that is used for reporting in the Automated Budget and Evaluation System of Texas (ABEST). Final expenditure information may be entered into the data warehouse up to 4 months following the end of the fiscal year. Therefore, end of year values for efficiency measures can be updated in ABEST when the information is available.)

BL 2012 Data Source

At the end of each quarter, staff of the local authorities input expenditure information into the data warehouse system. The local authority indicates the fund sources used to finance the expenditures. The method of finance includes funds that are part of the DADS appropriation authority as well as other local funds, grant funds, and earned revenues.

BL 2012 Methodology

DADS appropriation authority funds include all general revenue and federal funds allocated through the performance contract. Also included are administrative claiming funds that the local authority receives following the submission of quarterly cost reports and Medicaid Service Coordination that the local authorities receive based on the submission of claims. The number of months in the reporting period is 3 for each quarter and either 3, 6, 9, or 12 for year to date. The numerator is the total DADS appropriation authority funds utilized to fund MR assessment and service coordination services as reported in the data warehouse/ the number of months in the reporting period. The denominator is the average monthly number of mental retardation individuals receiving assessment and service coordination services in the community that are served with DADS appropriation authority funds. The formula is numerator/denominator.

BL 2012 Purpose

This measure captures DADS appropriation authority cost of assessment and service coordination mental retardation services in the community, regardless of age.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	EF		
Measure No.	4	Average Monthly Cost Per Case: Community Services and Supports	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 EF 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly cost per community services and supports case. Costs include those associated with the functional eligibility and case coordination process.

BL 2012 Data Limitations

NA

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals to receive Community Services and Supports is obtained from the Department of Aging and Disability Services' (DADS') Service Authorization System (SAS) by means of ad hoc query. These raw individual counts by type of service are then multiplied by service specific weights to get a product or caseload equivalent. Data for direct costs are obtained from the department's Health and Human Services Administrative System (HHSAS) Financials. Primary Home Care and Medically Dependent Children Program nurses as well as Community Services and Supports Medically Dependent Children Program workers are included in the costs. Other sources used in the computation of this measure are identified under output measure 6.

BL 2012 Methodology

The sum of the Community Services and Supports and Community-based Alternatives functional eligibility and case coordination budget expended and cost pool data from Program Activity Code (PAC) 372 (CCAD Eligibility Determination), 377 (Nursing Facility Waiver Eligibility Determination), and 379 (Managed Care Program Support) for each of the months of the reporting period are divided by the sum of the number of individuals determined eligible for Community Services and Supports in the months of the reporting period, and this is divided by the number of months in the reporting period to obtain the monthly cost per case.

BL 2012 Purpose

This measure is important because it is an indicator of the unit cost associated with implementing the provisions of this strategy as it pertains to providing DADS funded Community Services and Supports. This unit cost indicates the efficiency of DADS' operations and is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	1		Intake, Access, and Eligibility
Strategy No.	1		Intake, Access, and Eligibility to Services and Supports
Measure Type	EX		
Measure No.	1		Total Expenditures for the Ombudsman Program

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 EX 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure identifies the reported total of all funds expended for the Ombudsman Program, which includes Federal Older Americans Act Title III and Title VII, other federal, State General Revenue and local cash.

BL 2012 Data Limitations

Only expenditures reported by the area agencies on aging to the Department of Aging and Disability Services (DADS - the State Unit on Aging) on the quarterly report are included for this measure.

BL 2012 Data Source

Ombudsman expenditures are reported to the State Unit on Aging (DADS) quarterly by area agencies on aging.

BL 2012 Methodology

Total expenditures are calculated by compiling the reported expenditures of each area agency on aging.

BL 2012 Purpose

At the state level, this measure provides a means to assess the level of activity and support for the Ombudsman program and is used as a monitoring tool for program oversight.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	EX		
Measure No.	2	Number of Assisted Living Facilities Visited By A Certified Ombudsman	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 EX 03

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure identifies the cumulative, unduplicated number of licensed assisted living facilities visited by certified ombudsmen in the Long-Term Care (LTC) Ombudsman Program.

BL 2012 Data Limitations

All unduplicated visits to licensed assisted living facilities by certified ombudsmen during the fiscal year will be included in this count, as reported by local LTC Ombudsman Programs. This measure will only count one visit per assisted living facility.

BL 2012 Data Source

The number of visits to assisted living facilities is reported on a monthly basis by the local LTC Ombudsman Programs in the format specified by the Department of Aging and Disability Services (DADS).

BL 2012 Methodology

The calculation is the cumulative number of unduplicated visits to licensed assisted living facilities by certified ombudsmen.

BL 2012 Purpose

This measure is an explanation of the LTC Ombudsman Program coverage and advocacy efforts in licensed assisted living facilities. The measure provides information to decision-makers and state agency staff to recognize the scope of services provided by the program. State agency staff may also identify opportunities for training and technical assistance to the local LTC Ombudsman Programs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	1		Intake, Access, and Eligibility
Strategy No.	1		Intake, Access, and Eligibility to Services and Supports
Measure Type	OP		
Measure No.	1		Number of Certified Ombudsmen

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The total number of active Ombudsmen is defined as volunteers and staff who have completed appropriate instruction/prescribed training, and received recognition by the State Ombudsman as being a qualified Ombudsman and identified as having an active status in the program.

BL 2012 Data Limitations

All certified Ombudsmen who were active during the fiscal year will be included in the unduplicated count of active certified Ombudsmen for this measure.

BL 2012 Data Source

The unduplicated number of active certified Ombudsmen is reported quarterly by area agencies on aging in the format specified by the Department. The area agencies on aging report both the unduplicated number of active Ombudsmen for the quarter and for the fiscal year. To be active in a state quarter, an Ombudsman visits long-term care facilities within the state quarter, or investigates/resolves complaints when identified, or provides other Ombudsman services such as in-services for long-term care facilities/community groups.

BL 2012 Methodology

The calculation is the total certified Ombudsmen listed on the quarterly active ombudsman list. The area agencies on aging report both the unduplicated number of active Ombudsmen for the quarter and for the fiscal year.

BL 2012 Purpose

This measure is an explanation and identification of the total number of active certified Ombudsmen. The output allows decision-makers and state agency staff to identify trends of the program.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	OP		
Measure No.	2	Number of Persons Receiving Care Coordination	

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the unduplicated number of individuals age 60 and older receiving care coordination services during the fiscal year. Care coordination may include assessment, service plan development, arranging of comprehensive and unified services, follow-up, monitoring of an individual's or family's status and services delivered, and periodic review, with any necessary revision of the service plan. The State Unit on Aging's (DADS) care coordination services is intended to give preference to short-term intervention. Short-term intervention is considered three months or less; however, this does not preclude individuals from receiving longer-term services when deemed appropriate by their care coordinator.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging, they are not included in the measure calculation.

BL 2012 Data Source

The number of individuals is based on data reported to the Department by area agencies on aging. Data is reported only for those individuals for whom an intake form is completed.

BL 2012 Methodology

This calculation is based on the total unduplicated individuals age 60 and older that receive care coordination services based on data reported to the Department by area agencies on aging.

BL 2012 Purpose

This measure indicates the number of unduplicated individuals age 60 or older receiving care coordination services during the fiscal year.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	OP		
Measure No.	3	Number of Persons Receiving Legal Assistance	

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the total number of individuals age 60 and older receiving legal assistance services during the fiscal year. Legal assistance service is advice and representation by an attorney (including assistance by a paralegal or law student under the supervision of an attorney), or counseling or representation by a non-lawyer where permitted by law.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

Data for those individuals for whom an intake form is completed are reported to the Department by area agencies on aging. The reported number of individuals is the sum of individuals reported from the area agencies on aging.

BL 2012 Methodology

The reported number of individuals is the sum of persons reported from the area agencies on aging.

BL 2012 Purpose

This measure indicates the amount of legal assistance services provided statewide by area agencies on aging.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	OP		
Measure No.	4	Avg Mthly # Individuals w/MR Receiving Assessment & Serv Coordination	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 04
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the unduplicated count of priority population eligible individuals whose services are funded with the Department of Aging and Disability Services' (DADS') appropriation authority funds and who receive MR community assessment and/or service coordination services. Assessment services are monthly services. Service coordination services may occur quarterly but are most frequently monthly services. Quarterly and year-to-date performance is stated as the average of the months in the reporting period, regardless of how the services for the individuals were funded.

BL 2012 Data Limitations

The accuracy of the department's Client Assignment and Registration (CARE) system is dependent upon accurate and timely information being entered into the data warehouse system by the local mental health authorities. For purposes of measurement, an open assignment to a service is calculated as receiving the service.

BL 2012 Data Source

As individuals enter the comm. programs, registration info. is entered into the CARE system by staff of the local mental retardation authority. When an individual is assigned to a specific prog., this information is also entered into the data warehouse system. To be counted as served in assessment or service coordination, the individual must have an open assignment to assessment or service coordination for the month(s) being reported. Production reports of individuals served are issued quarterly based on the information in the data warehouse system. The total unduplicated number of persons with open assignments to mental retardation community assessment and/or service coordination service each month is calculated. For each quarter of the fiscal year, the unduplicated number of individuals served in each month of the quarter is averaged. The production report lists total number of persons assigned to a particular service each month regardless of how the services for the indivs were funded

BL 2012 Methodology

To obtain the number of individuals served with DADS appropriation authority funds, the numerator is the sum of the number of individuals receiving MR assessment and/or service coordination services each month of the reporting period; the denominator is the number of months in the period. The formula is numerator/denominator.

BL 2012 Purpose

Monthly number of individuals served reflects the system-wide level of activity occurring over time and allows the agency to associate this activity with related costs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	1		Intake, Access, and Eligibility
Strategy No.	1		Intake, Access, and Eligibility to Services and Supports
Measure Type	OP		
Measure No.	5		Average Number of Persons Eligible Per Month: Community Serv and Supp

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 05
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated monthly average number of individuals authorized by Community Services and Supports workers to receive one or more Community Services and Supports. These individuals (Income Eligible, Supplemental Security Income (SSI)) are eligible to receive the following services: Family Care, Primary Home Care, Meals Only, Day Activity and Health Services (DAHS) Only, Foster Care, Special Services to individuals with Disabilities, Residential Care, Emergency Response Services (ERS) Only, Medically Dependent Children Program, In-Home and Family Support, and Community-based Alternatives.

BL 2012 Data Limitations

Since a high percentage of individuals who receive meals, DAHS and/or ERS also receive other services, for Meals, DAHS and ERS, the monthly unduplicated average count of community services and supports individuals includes only those Meals, DAHS or ERS individuals who are not authorized to receive any other service. For services other than Meals, DAHS, or ERS, individuals are counted without regard to duplication.

BL 2012 Data Source

The number of individuals receiving the above services is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. These files are used to isolate the Community Services and Supports caseload by type of service, by region and then summed to a statewide total on a monthly basis. The individuals, (Income Eligibles, SSI) receiving community services and supports only are reported.

BL 2012 Methodology

The data reported for this measure are calculated by dividing the sum of the monthly number of Community Services and Supports individuals for all months of the reporting period by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload as it pertains to determining the eligibility of persons receiving the Department of Aging and Disability Services (DADS)-funded community services and supports. This information is useful as a tool for assessing future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	1		Intake, Access, and Eligibility
Strategy No.	1		Intake, Access, and Eligibility to Services and Supports
Measure Type	OP		
Measure No.	6		Average Case Equivalents Per Community Services and Supports Worker

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 06
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the avg case equivalents/Community Services and Supports (CS&S) worker. It is developed by using the unduplicated monthly avg # of individuals authorized by CS&S workers to receive 1 or more CS&S. Individuals (Income Eligibles, Supplemental Security Income (SSI) eligibles) are eligible to receive: Family Care, Primary Home Care, Meals Only, Day Activity and Health Services (DAHS) Only, Foster Care, Special Services to Indvs with Disabilities, Residential Care, & Emergency Response Services Only, Medically Dependent Children Program, In-home & Family Support, & Community-based Alternatives. An elig worker is defined as a filled position with a budgeted job # that includes an alpha character identifier unique to elig workers. CS&S workers determine financial elig only for those individuals with income above the SSI level. They also determine functional elig for all CS&S individuals, they plan and authorize services for all individuals, as well as monitor services delivered by providers.

BL 2012 Data Limitations

Since a high percentage of individuals who receive Meals, Day Activity and Health Services (DAHS) and/or Emergency Response Services (ERS) also receive other services, for Meals, DAHS and ERS, the monthly unduplicated average count of Community Services and Supports individuals includes only those Meals, DAHS or ERS individuals who are not authorized to receive any other service. For services other than Meals, DAHS, or ERS, individuals are counted without regard to duplication.

BL 2012 Data Source

The number of individuals authorized to receive the above services is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. These files are used to isolate the Community Services and Supports caseload by type of service, by region and then summed to a statewide total on a monthly basis. The individuals, (Income Eligibles, SSI eligibles) receiving Community Services and Supports only are reported.

BL 2012 Methodology

The amount of time needed to perform the functions associated with this measure varies significantly depending upon the type of case. Therefore, the department periodically conducts workload studies in order to develop "relative case weights" by type of case so that "standardized" case equivalents can be used to more effectively manage workloads. A "standardized" case equivalent is defined as a Primary Home Care case, since these cases make up the largest proportion of total cases. Case data are multiplied by relative case weights from the most recent Community Services and Supports workload study to obtain the number of Primary Home Care case equivalents. The number of caseload equivalents is divided by the number of filled eligibility workers in Program Activity Code (PAC) 372 (CCAD Eligibility Determination) and 377 (Nursing Facility Waiver Eligibility Determination) to obtain the reported data.

Strategy-Related Measures Definitions

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the level of effort (workload) expended by staff and indicates the efficiency of the agency's operations. It is also a useful tool for assessing future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	1	Intake, Access, and Eligibility to Services and Supports	
Measure Type	OP		
Measure No.	7	Avg Number of Standardized Community Serv Case Equivalents Per Month	

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-01 OP 07

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average number of standardized Community Services and Supports case equivalents per month. A "standardized" Community Services and Supports case equivalent is defined as the amount of monthly work effort associated with a Primary Home Care Supplemental Security Income (SSI) case. Community Services and Supports workers determine initial and on-going financial eligibility for those individuals with income above the SSI level. They also determine initial and on-going functional eligibility for all Community Services and Supports individuals. In addition, they plan and authorize services for all Community Services and Supports individuals, update service plans as needed, provide case management, and monitor the services delivered by providers.

BL 2012 Data Limitations

The amount of time needed to perform the above functions varies significantly depending upon the type of case. Therefore, the department periodically conducts workload studies in order to develop "relative case weights" based upon the amount of worker time needed per cases, by type of case, so that "standardized" case equivalents can be used to more effectively manage workloads. The information used to develop the case weights for Community Services and Supports was collected September 2000 – June 2001.

BL 2012 Data Source

The individual counts (see method of calculation for list of individual populations) are obtained from the department's Service Authorization System (SAS) by means of ad hoc query.

BL 2012 Methodology

The measure is calculated by using the monthly average number of individuals authorized by Community Services and Supports workers to receive one or more of the following services: Family Care, Primary Home Care, Meals Only, Day Activity and Health Services (DAHS) Only, Foster Care, Special Services to Individuals with Disabilities, Residential Care, Emergency Response Services (ERS) Only, Meals as a second service, ERS as a second service, DAHS as a second service, and Community-based Alternatives. The above individual counts by type of service are then multiplied by the appropriate relative case weights derived from the most recent Community Services and Supports workload study to obtain the number of Primary Home Care case equivalents.

BL 2012 Purpose

This measure is important because it is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the workload that must be handled by Community Services and Supports workers.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	1	Intake, Access, and Eligibility
Strategy No.	2	Guardianship
Measure Type	EF	
Measure No.	1	Average Mthly Cost Per Adult Guardianship Ward Served by DADS Staff

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-02 EF 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly cost of providing direct delivery guardianship services by the Department of Aging and Disability Services (DADS) staff.

BL 2012 Data Limitations

As a comparative measure with the cost of guardianships provided by DADS contractors, this is limited by the fact that all of the assessments for capacity and identification of less restrictive alternatives for both direct delivery and contracted guardianships are performed by DADS staff, not contractors.

BL 2012 Data Source

Actual expenditures are from the Health and Human Services Administrative System – Financials System (HHSAS-FS) for Program Activity Code (PAC) 580 (Guardianship Staff Services). The number of wards receiving DADS guardianship services is currently from the Information Management Protecting Adults and Children in Texas (IMPACT) system; located in the guardianship detail table where the guardianship letter was issued on or before the end of the reporting month and the event activity type is coded as 'GUA'. This measure includes both new and on-going guardianship services provided directly by DADS staff. IMPACT will be replaced as a data source for the number of guardianships once the new guardianship data system developed by DADS is on-line.

BL 2012 Methodology

Annual expenditure projections for PAC 580 are made using an internal budget document that includes actual expenditures reported on HHSAS-FS for the reporting period, annualizing those expenditures and adding estimates for accruals and encumbrances. These amounts are totaled and the total is divided by the number of months in the reporting period to arrive at the average monthly cost. The average monthly cost per DADS direct delivery guardianship ward served is calculated by dividing the average monthly cost by the average monthly number of DADS direct delivery wards served.

BL 2012 Purpose

This measure is useful as a benchmark and to monitor changes in DADS staff costs for serving direct delivery Guardianship wards.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
--------------	------------	---------	-----------------------------------------------------

Goal No.	1	Long-term Services and Supports
Objective No.	1	Intake, Access, and Eligibility
Strategy No.	2	Guardianship
Measure Type	EF	
Measure No.	2	Average Mthly Cost/Adult Guardianship Ward Served - DADS Contractors

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-02 EF 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly cost of providing contracted guardianship services by private guardianship programs.

BL 2012 Data Limitations

As a comparative measure with the cost of guardianships provided by the Department of Aging and Disability Services (DADS) staff, this is limited by the fact that all of the assessments for capacity and identification of less restrictive alternatives for both direct delivery and contracted guardianships are performed by DADS staff, not contractors.

BL 2012 Data Source

Actual expenditures are from the Health and Human Services Administrative System – Financials System (HHSAS-FS) for Program Activity Code (PAC) 580 (Guardianship Staff Services). The actual cost of the contracts plus a representative share of the state office contract monitoring staff is used. The number of wards receiving DADS guardianship services through contractors is currently from the Information Management Protecting Adults and Children in Texas (IMPACT) system; located in the guardianship detail table where the guardianship letter was issued on or before the end of the reporting month and the event activity type is coded as 'GUA'. This measure includes both new and on-going guardianship services provided by DADS contractors. IMPACT will be replaced as a data source for the number of guardianships once the new guardianship data system developed by DADS is on-line.

BL 2012 Methodology

Annual expenditure projections for PAC 580 are made using an internal budget document that includes actual expenditures reported on HHSAS-FS for the reporting period, annualizing those expenditures and adding estimates for accruals and encumbrances. These amounts are totaled and the total is divided by the number of months in the reporting period to arrive at the average monthly cost. The average monthly cost per DADS contracted guardianship ward served is calculated by dividing the average monthly cost by the average monthly number of DADS contracted wards served.

BL 2012 Purpose

This measure is useful as a benchmark and to monitor changes in DADS costs for serving contracted Guardianship wards.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	1		Intake, Access, and Eligibility
Strategy No.	2		Guardianship
Measure Type	EX		
Measure No.	1		Average Monthly Cost Per Adult Guardianship Ward

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-02 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly cost of providing guardianship services.

BL 2012 Data Limitations

None

BL 2012 Data Source

Actual expenditures are from the Health and Human Services Administrative System – Financials System (HHSAS-FS) for Program Activity Code (PAC) 580 (Guardianship Staff Services). The number of wards receiving the Department of Aging and Disability Services (DADS) guardianship services is from the Information Management Protecting Adults and Children in Texas (IMPACT) system; located in the guardianship detail table where the guardianship letter was issued on or before the end of the reporting month and the event activity type is coded as 'GUA'. This measure includes both new and on-going guardianship services. Due to possible modifications in the DADS fiscal system, PACs, service codes, and/or worker classification codes are subject to change. Should this occur, the current equivalent codes will be substituted and documented.

BL 2012 Methodology

Annual expenditure projections for PAC 580 are made using an internal budget document that includes actual expenditures reported on HHSAS-FS for the reporting period, annualizing those expenditures and adding estimates for accruals and encumbrances. These amounts are totaled and the total is divided by the number of months in the reporting period to arrive at the average monthly cost. The average monthly cost per DADS guardianship ward served is calculated by dividing the average monthly cost by the average monthly number of ward served.

BL 2012 Purpose

This measure is useful as a benchmark and to monitor changes in costs attributed to serving DADS Guardianship wards.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	2	Guardianship	
Measure Type	EX		
Measure No.	2	Avg Mthly # Referrals DFPS to DADS for Assessment/Need Guardianship	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-02 EX 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure shows the count of individuals for whom the Department of Family and Protective (DFPS) has validated abuse, neglect or exploitation and made a referral to the Department of Aging and Disability Services (DADS), and for whom DADS guardianship staff must perform an assessment to determine whether or not to apply for guardianship.

BL 2012 Data Limitations

The measure does not reflect the outcome of the assessment process; however, in combination with the measure showing the average number of guardianships, it provides a more complete picture of staff workloads.

BL 2012 Data Source

Data are currently captured manually by regional supervisors and reported on a spreadsheet to the state office where it is aggregated. Once the new guardianship data system being developed by DADS is finished, it will produce a standard monthly report of the number of referrals received. The numerator is the total number of referrals received for the year to date. The denominator is the number of months in the year to date.

BL 2012 Methodology

Divide the numerator by the denominator. When calculating the second quarter, third quarter, and fourth quarter, the year-to-date total is recalculated.

BL 2012 Purpose

The purpose of this measure is to show the average number of new cases that DADS guardianship staff must review each month and conduct a capacity assessment for.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	2	Guardianship	
Measure Type	OP		
Measure No.	1	Avg Number of Wards Receiving Guardianship Services from DADS Staff	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-02 OP 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure shows the count of wards for which guardianship has been established through court order. The count includes both new and on-going guardianships that will be served by the Department of Aging and Disability Services (DADS) staff. The latter on-going guardianships refers to guardianships initiated in previous months and without closure dates.

BL 2012 Data Limitations

The usefulness of the data as a workload indicator is limited by the fact that the measure does not include the number of cases being assessed for the appropriateness of guardianship or cases for which less restrictive alternatives are found. This makes comparison with the number of guardianships served by contractors difficult because DADS staff performs the assessments on wards referred to contractors for guardianship. Documentation can be delayed by the volume of work, which is impacted by vacancies, sick leave, vacation leave, turnover, Information Management Protecting Adults and Children in Texas (IMPACT) system downtime, etc.

BL 2012 Data Source

Using IMPACT, the data are gathered by counting DADS cases open during the reporting period and cases closed during the reporting period, the number of cases as documented on the guardianship detail table in which wards' guardianship letters were issued on or before the end of the report month and the event activity type was coded as 'GUA' (numerator). The count includes only direct-delivery guardianships. The denominator is the sum of months in the reporting period. The IMPACT detail table will be replaced with a report from a new DADS guardianship data system once it is in production, and this will provide a more accurate count.

BL 2012 Methodology

Divide the numerator by the denominator. When calculating the second quarter, third quarter, and fourth quarter, the year-to-date total is recalculated.

BL 2012 Purpose

purpose of this measure is to show the average number of adults for whom DADS was directly serving as guardian during the reporting period. It indicates part of the workload volume in DADS guardianship program.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	1	Intake, Access, and Eligibility	
Strategy No.	2	Guardianship	
Measure Type	OP		
Measure No.	2	Avg # Wards Rec Guardianship Svcs: Private Guardianship Programs	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-01-02 OP 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure shows the count of wards for whom guardianship has been established through court order. The count includes both new and on-going guardianships for which DADS pays a contracted private guardianship program to provide services. The latter on-going guardianships refers to guardianships initiated in previous months and without closure dates.

BL 2012 Data Limitations

The usefulness of the data as a comparative workload indicator of wards served by DADS staff is limited by the fact that the measure does not include the number of cases being assessed for the appropriateness of guardianship or cases for which less restrictive alternatives are found, functions performed by DADS staff. Documentation can be delayed by the volume of work, which is impacted by vacancies, sick leave, vacation leave, turnover, Information Management Protecting Adults and Children in Texas (IMPACT) system downtime, etc.

BL 2012 Data Source

Using IMPACT, the data are gathered by counting DADS contracted cases open during the reporting period and cases closed during the reporting period, the number of cases as documented on the guardianship detail table in which wards' guardianship letters were issued on or before the end of the report month and the event activity type was coded as 'GUA' (numerator). The count includes only contracted guardianships. The denominator is the sum of months in the reporting period. IMPACT will eventually be replaced as a data source by a new guardianship data system being developed by DADS.

BL 2012 Methodology

Divide the numerator by the denominator. When calculating the second quarter, third quarter, and fourth quarter, the year-to-date total is recalculated.

BL 2012 Purpose

The purpose of this measure is to show the average number of adults for whom DADS purchased guardianship services during the reporting period. It indicates part of the workload volume in DADS guardianship program. If DADS did not contract for these services, they would have to be performed by DADS staff.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	2	Community Services and Supports - Entitlement
Strategy No.	1	Primary Home Care
Measure Type	EF	
Measure No.	1	Average Monthly Cost Per Individual Served: Primary Home Care

Calculation Method: N	Target Attainment: L	Priority: M	Cross Reference: Agy 539 081-R-SAR-1 01-02-01 EF 01
Key Measure: Y	New Measure: N	Percentage Measure: N	

BL 2012 Definition

This measure reports the average cost of Medicaid non-waiver Community Services and Supports primary home care services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as amounts incurred for services delivered but not yet paid. The average monthly number of Medicaid non-waiver primary home care individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals "approved- to- pay" to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to-date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for Medicaid non-waiver primary home care services, by month-of-service, for all months in the reporting period is divided by the monthly average number of Medicaid non-waiver primary home care individuals for all months of the reporting period; this result is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services available under this strategy. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	2	Community Services and Supports - Entitlement	
Strategy No.	1	Primary Home Care	
Measure Type	OP		
Measure No.	1	Average Number of Individuals Served Per Month: Primary Home Care	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-02-01 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of individuals who, based upon approved-to-pay claims, received Medicaid-funded non-waiver Community Services and Supports, Primary Home Care.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to calculate this measure. The number of individuals authorized to receive the above services, as well as the number of units of service authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual count (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It provides a count of individuals served with the funding that has been appropriated.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	2	Community Services and Supports - Entitlement
Strategy No.	2	Community Attendant Services
Measure Type	EF	
Measure No.	1	Average Mthly Cost Per Individual Served: Community Attendant Services

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-02-02 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Medicaid non-waiver Community Services and Supports Community Attendant Services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as amounts incurred for services delivered but not yet paid. The average monthly number of Medicaid non-waiver community attendant services individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals "approved- to- pay" to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to-date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for Medicaid non-waiver community attendant services, by month-of-service, for all months in the reporting period is divided by the monthly average number of Medicaid non-waiver community attendant services individuals for all months of the reporting period; the result is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible persons with services for which funding has been appropriated. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	2	Community Services and Supports - Entitlement
Strategy No.	2	Community Attendant Services
Measure Type	OP	
Measure No.	1	Average # of Individuals Served Per Mnth: Community Attendant Services

Calculation Method: N	Target Attainment: H	Priority: H	Cross Reference: Agy 539 081-R-SAR-1 01-02-02 OP 01
Key Measure: Y	New Measure: N	Percentage Measure: N	

BL 2012 Definition

This measure reports the monthly average number of individuals who, based upon approved-to-pay claims, received the Medicaid-funded non-waiver Community Services and Supports, Community Attendant Services (CAS) (formerly referred to as Frail Elderly).

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to calculate this measure. The number of individuals authorized to receive the above services, as well as the number of units of service authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	2	Community Services and Supports - Entitlement	
Strategy No.	3	Day Activity and Health Services (DAHS)	
Measure Type	EF		
Measure No.	1	Avg Mthly Cost Per Individual Served: Day Activity and Health Services	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-02-03 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Medicaid non-waiver Community Services and Supports Day Activity and Health Services (XIX) per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as amounts incurred for services delivered but not yet paid. The average monthly number of Medicaid non-waiver day activity and health services individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals "approved- to- pay" to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to-date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for Medicaid non-waiver day activity and health services, by month-of-service, for all months in the reporting period is divided by the monthly average number of Medicaid non-waiver day activity and health services individuals for all months of the reporting period; the result is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services available under this strategy. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	2	Community Services and Supports - Entitlement	
Strategy No.	3	Day Activity and Health Services (DAHS)	
Measure Type	OP		
Measure No.	1	Average Number of Individuals Per Month: Day Activity/Health Services	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-02-03 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly number of individuals who received Day Activity and Health Services funded by Medicaid (Title XIX). Day Activity and Health Services include personal care, nursing services, physical rehabilitation, nutrition, transportation, and support services to individuals in adult day care facilities licensed by DADS' Regulatory.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals "approved- to- pay" to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to-date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Specific data sources for this measure are provided under outcome measure 1 of objective 1.2.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It provides a count of eligible individuals who, because of the receipt of day activity and health services in adult day care centers, are able to remain in their communities, as opposed to being placed in another more restrictive setting.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	1	Community-based Alternatives (CBA)	
Measure Type	EF		
Measure No.	1	Average Monthly Cost Per Individual: Medicaid CBA Waiver	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-01 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Medicaid Community-Based Alternative waiver services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as incurred amounts for services delivered but not yet paid. The average monthly number of Medicaid CBA waiver individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to date and/or the number of individuals authorized to receive services and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for Medicaid CBA waiver services, by month-of-service, for all months in the reporting period is divided by the sum of the monthly average number of Medicaid CBA waiver individuals for all months of the reporting period; this result is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of CBA waiver-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	1	Community-based Alternatives (CBA)	
Measure Type	EX		
Measure No.	1	Average Number on Interest List Per Month: CBA Waiver	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-01 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly unduplicated number of individuals who: 1) have requested Community-Based Alternative (CBA) waiver services through completion of the Community Services and Supports intake Form 2110, and 2) meet the institutional risk criteria used as part of the CBA waiver eligibility process, but are placed on an interest list for CBA due to funding constraints. In addition, the count only includes those individuals on the list who are in "open" status (i.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.) The count includes individuals who are receiving other Community Services and Supports while waiting for CBA waiver services.

BL 2012 Data Limitations

Individuals on the list are contacted at least annually to determine whether they are still interested in remaining on the list and to verify contact information.

BL 2012 Data Source

Regional staff enters data into a reporting database maintained by State Office program staff.

BL 2012 Methodology

Counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly counts of individuals on the interest list for CBA waiver services for all months of the reporting.

BL 2012 Purpose

This measure is an indicator of the unmet need for services provided under the CBA waiver as currently funded by this strategy and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	1	Community-based Alternatives (CBA)	
Measure Type	EX		
Measure No.	2	# of Persons Receiving Svcs at the End of the Fiscal Year: CBA Waiver	

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-03-01 EX 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individuals who, based upon approved-to-pay claims, received one or more services under the Community-Based Alternatives (CBA) waiver during the last month of the fiscal year being reported.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to calculate this measure. The number of individuals authorized to receive CBA waiver services is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

This is a simple unduplicated count of individuals who received CBA waiver services during the last month of the fiscal year being reported.

BL 2012 Purpose

Due to the high demand for these services, as indicated by the number of individuals waiting for waiver services, it is critical for the department to monitor how many individuals are receiving the service annually/at the end of the year in order to determine the service level that will be carried into the next fiscal year and/or biennium.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	1	Community-based Alternatives (CBA)	
Measure Type	OP		
Measure No.	1	Average Number of Individuals Served Per Month: Medicaid CBA Waiver	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-03-01 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who, based upon approved-to-pay claims, received one or more services under the Community-Based Alternatives (CBA) waiver. This waiver provides an array of home- and community-based services to aged and disabled adults as cost-effective alternatives to institutional care in nursing facilities. Services include adult foster care, assisted living/residential care, nursing, rehabilitative therapies, respite care, emergency response, etc.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to calculate this measure. The number of individuals authorized to receive CBA services is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate CBA waiver-funded services with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	3		Community Services and Supports - Waivers
Strategy No.	2		Home and Community-based Services (HCS)
Measure Type	EF		
Measure No.	1		Avg Mthly Cost Per Individual Served: Home & Community Based Services

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-02 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the average cost per month for serving Medicaid Home and Community-Based Services waiver (HCS) individuals.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of waiver services up to 95 days after the end of the service month. Therefore, for the current quarter, the numerator is an estimated expenditure amount based on prior period billing data and the denominator is actual enrollments for the current quarter.

BL 2012 Data Source

This measure is derived from enrollment and billing data, which are provided on a monthly basis. The calculation uses the average billing rate per individual from the HCS billing system for each waiver type. Since there is a 95-day billing window for the waiver programs, the average billing rate is an average of the prior months that are complete. The calculation also uses the monthly number of individuals enrolled from the Client Assignment and Registration (CARE) system for each waiver type. The enrollment report provides the number of individuals entering and leaving by waiver. The ending enrollment balance at the end of the month represents the beginning balance for the next month. This combination of enrollments and average billing rates is used rather than utilizing the billing system alone because of the 95 day billing window for submitting claims.

BL 2012 Methodology

For each waiver type, the average billing rate for each month is multiplied by the number enrolled for those same months to determine a monthly expenditure amount. The monthly expenditure amount and number of individuals enrolled for each waiver type are aggregated into a total monthly expenditure amount and total number of individuals enrolled for all waivers. The aggregated monthly expenditure amount for each of the three months in the reporting quarter is summed. The aggregated number of individuals for each of the three months in the reporting quarter is also summed. The quarterly aggregated expenditure amount is divided by the quarterly aggregated number of individuals enrolled for an average monthly cost per individual for all waivers for the reporting quarter. Once the billing data for previously reported quarters is complete, and regularly thereafter, the values reported in ABEST will be updated using only the aggregated average monthly billing rate for all waivers.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of HCS waiver-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	2	Home and Community-based Services (HCS)	
Measure Type	EX		
Measure No.	1	# Individuals Receiving Services at the End of the Fiscal Year: HCS	

Calculation Method: N **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-02 EX 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides an unduplicated workload count of priority population eligible individuals receiving mental retardation Medicaid Home and Community-Based Services waiver (HCS) funded services at the end of the fiscal year.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of waiver services up to 95 days after the end of the service month. If the original claim is rejected for payment for any reason, the provider has up to 180 days from the end of the original service month to correct the claim and re-bill it. Since the documentation of a service being provided to an individual is based on these claims, accurate counts of numbers served during a fiscal year may not be available for several months past the fiscal year. Values reported in the Automated Budget and Evaluation System of Texas (ABEST) can be updated when the appropriation year closes and the LBB reopens the system.

BL 2012 Data Source

The providers of HCS waiver services submit Medicaid claims for the services provided during each month. The numbers of individuals served is taken from a standard production report.

BL 2012 Methodology

This is a simple unduplicated count of individuals that received HCS waiver services at the end of the fiscal year.

BL 2012 Purpose

Due to the high demand for these services, as indicated by the number of individuals waiting for waiver services, it is critical for the department to monitor how many individuals are receiving the service annually in order to determine the service level that will be carried into the next Fiscal Year and/or Biennium.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	2	Home and Community-based Services (HCS)	
Measure Type	EX		
Measure No.	2	Avg # Individis on Interest List Per Month: Home & Commity Based Svcs	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-02 EX 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides a simple count of individuals who express an interest in Home and Community-Based Waiver services (HCS). For purposes of this measure, interest is defined as placing one's name on the interest list with the local MRA for HCS waiver services. The count only includes those individuals on the list who are in "open" status (I.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.)

BL 2012 Data Limitations

The accuracy of the HCS interest list is dependent upon the submission of accurate data by the Mental Retardation Authorities (MRAs). There may be duplication of names between interest lists for MR services.

BL 2012 Data Source

An individual seeking MR services or an individual seeking MR services on behalf of another individual with intellectual or developmental disabilities begins the review of service options with the local MRA staff. If the individual, legal representative or family member decides they are interested in HCS waiver services, the name of the individual is entered onto the interest list for HCS waiver services in the CARE system.

BL 2012 Methodology

This is a simple count on the last day of the month of individuals whose names have been entered into the Client Assignment and Registration (CARE) system as interested in HCS waiver services. When calculating the average monthly number of individuals on the interest for a given fiscal year, the average of the months in the fiscal year is calculated. When necessary, future and past periods are estimated based on the counts of the available months.

BL 2012 Purpose

This measure is an indicator of the unmet need for services provided under the HCS waiver as currently funded by this strategy and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	3		Community Services and Supports - Waivers
Strategy No.	2		Home and Community-based Services (HCS)
Measure Type	OP		
Measure No.	1		Avg # Individuals Served Per Mth: Home & Community Based Services (HCS)

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-03-02 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the unduplicated count of priority population eligible individuals who receive Home and Community-Based Services waiver (HCS) funded services on a monthly basis.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of HCS waiver services up to 95 days after the end of the service month. If the original claim is rejected for payment for any reason, the provider has up to 180 days from the end of the original service month to correct the claim and re-bill it. Since the documentation of a service being provided to an individual is based on these claims, accurate counts of numbers served during a quarter may not be available for several months past the quarter. Values reported in the Automated Budget and Evaluation System of Texas (ABEST). Data will be available to update the values in ABEST when the appropriation year closes.

BL 2012 Data Source

: Information used to report the average monthly number of individuals served is contained in the department's Client Assignment and Registration (CARE) system. A standard production report (the Department of Aging and Disability Services (DADS) Waiver Programs – Summary of Slot Types) is generated monthly. DADS Medicaid Administration handles tracking and monitoring of enrollments into a Medicaid waiver funded program.

BL 2012 Methodology

The total unduplicated number of individuals that receive HCS mental retardation waiver services each month is summed. For each quarter of the fiscal year, the number of persons served in each month of the quarter is averaged. For the second, third, and fourth quarters, year-to-date calculations are also obtained. The numerator is the total unduplicated number of HCS mental retardation waiver individuals receiving services each month in the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate HCS waiver-funded services with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	3	Community Living Assistance and Support Services (CLASS)	
Measure Type	EF		
Measure No.	1	Average Monthly Cost Per Individual: CLASS Waiver	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-03 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Medicaid Related Conditions Waiver (CLASS) services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals, as well as incurred amounts for services delivered but not yet paid. The average monthly number of CLASS individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports by type-of-service the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The measure is calculated as follows: The sum of the monthly expenditures for CLASS waiver services by month-of-service for all months in the reporting period is divided by the average monthly number of CLASS individuals for all months of the reporting period; this result is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of CLASS waiver-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	3	Community Services and Supports - Waivers
Strategy No.	3	Community Living Assistance and Support Services (CLASS)
Measure Type	EX	
Measure No.	1	Average Number on Interest List: Community Living Assistance & Support

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-03 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly unduplicated number of individuals who have requested CLASS waiver services, but are placed on an interest list for CLASS due to funding constraints. Individuals are placed on an interest list by means of a telephone call to the State Office Interest List Hotline or by completion of Form 3620, Intake Summary of Individual’s Need for Services. The count only includes those individuals on the list who are in “open” status (i.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.) The count may include individuals who are waiting for CLASS while receiving other Community Services and Supports.

BL 2012 Data Limitations

Individuals on the list are contacted at least annually to determine whether they are still interested in remaining on the list and to verify contact information.

BL 2012 Data Source

Data are captured by means of a reporting database maintained by State Office program staff.

BL 2012 Methodology

Counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly counts of individuals on the interest list for CLASS (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is an indicator of the unmet need for services provided under the Medicaid CLASS waiver as currently funded by this strategy and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	3	Community Services and Supports - Waivers
Strategy No.	3	Community Living Assistance and Support Services (CLASS)
Measure Type	EX	
Measure No.	2	# of Persons Receiving Svcs at the End of the Fiscal Year: CLASS

Calculation Method: N	Target Attainment: H	Priority:	Cross Reference: Agy 539 081-R-SAR-1 01-03-03 EX 02
Key Measure: Y	New Measure: N	Percentage Measure: N	

BL 2012 Definition

This measure reports the number of individuals who, based upon approved-to-pay claims, received one or more services under the Community Living Assistance & Support Services (CLASS) waiver during the last month of the fiscal year being reported.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive CLASS waiver services is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

This is a simple unduplicated count of individuals who received CLASS waiver services during the last month of the fiscal year being reported.

BL 2012 Purpose

By reporting the number of persons served at the end of the fiscal year, this measure allows the State to determine the service level that will be carried into the next fiscal year and/or biennium.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	3	Community Services and Supports - Waivers
Strategy No.	3	Community Living Assistance and Support Services (CLASS)
Measure Type	OP	
Measure No.	1	Average Number of Individuals Served Per Month: CLASS Waiver

Calculation Method: N	Target Attainment: H	Priority: H	Cross Reference: Agy 539 081-R-SAR-1 01-03-03 OP 01
Key Measure: Y	New Measure: N	Percentage Measure: N	

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who, based upon approved-to-pay claims by month of service, received services under the Medicaid Related Conditions waiver (CLASS). CLASS offers people of all ages, who have severe disabilities, the opportunity to live in their own home and to work and socialize in their communities. CLASS is a cost effective alternative to institutional care with a service array that includes case management, habilitation, respite care, physical therapy, occupational therapy, speech therapy, nursing services, psychological services, adaptive aids/supplies, minor home modifications, and unlimited prescriptions.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive CLASS waiver services is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate CLASS waiver -funded services with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	3		Community Services and Supports - Waivers
Strategy No.	4		Deaf-Blind Multiple Disabilities (DBMD)
Measure Type	EF		
Measure No.	1		Average Monthly Cost Per Individual: Deaf-Blind Waiver

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-04 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Medicaid Related Conditions Waiver (CLASS) services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals, as well as incurred amounts for services delivered but not yet paid. The average monthly number of CLASS individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports by type-of-service the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The measure is calculated as follows: The sum of the monthly expenditures for CLASS waiver services by month-of-service for all months in the reporting period is divided by the average monthly number of CLASS individuals for all months of the reporting period; this result is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of CLASS waiver-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	4	Deaf-Blind Multiple Disabilities (DBMD)	
Measure Type	EX		
Measure No.	1	Average Number on Interest List: Deaf-Blind Mult Disabilities Waiver	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-04 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly unduplicated number of individuals who have requested Deaf-blind with Multiple Disabilities Waiver services, but are placed on an interest list for Deaf-blind with Multiple Disabilities Waiver services due to funding constraints. Individuals are placed on an interest list by means of a telephone call to the State Office Interest List Hotline or by completion and submittal of Form 6501 Deaf-Blind Medicaid Waiver Interest List Form. The count only includes those individuals on the list who are in "open" status (I.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.) The count may include individuals who are waiting for Deaf-blind with Multiple Disabilities Waiver services while receiving other Community Services and Supports.

BL 2012 Data Limitations

Individuals on the list are contacted at least annually to determine whether they are still interested in remaining on the list and to verify contact information.

BL 2012 Data Source

Data are reported by means of a reporting database maintained by State Office program staff.

BL 2012 Methodology

Counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly counts of individuals on the interest list for Deaf-blind with Multiple Disabilities Waiver (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is an indicator of the unmet need for services provided under the Deaf-blind with Multiple Disabilities Waiver as currently funded by this strategy and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	3		Community Services and Supports - Waivers
Strategy No.	4		Deaf-Blind Multiple Disabilities (DBMD)
Measure Type	EX		
Measure No.	2		# of Persons Receiving Services at the End of the Fiscal Year: DBMD

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-03-04 EX 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individuals who, based upon approved-to-pay claims, received one or more services under the Medicaid Deaf-blind with Multiple Disabilities waiver during the last month of the fiscal year being reported.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive services are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay and the amounts approved to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

This is a simple unduplicated count of individuals who received Medicaid Deaf-blind with Multiple Disabilities waiver services during the last month of the fiscal year being reported

BL 2012 Purpose

By reporting the number of individuals served at the end of the fiscal year, this measure allows the State to determine the service level that will be carried into the next fiscal year and/or biennium.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
--------------	------------	---------	-----------------------------------------------------

Goal No.	1	Long-term Services and Supports
Objective No.	3	Community Services and Supports - Waivers
Strategy No.	4	Deaf-Blind Multiple Disabilities (DBMD)
Measure Type	OP	
Measure No.	1	Average Number of Individuals Served Per Month: Deaf-Blind Waiver

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-03-04 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who, based upon approved-to-pay claims, received one or more services under the Deaf-blind with Multiple Disabilities Waiver. This waiver provides an array of services to people who are deaf-blind with multiple disabilities as an alternative to institutional care. The major focus of the program is to increase the individual's opportunity to communicate and to lead active lives. Services include: case management, assisted living, habilitation, respite, nursing, specialized medical equipment, environmental modification, behavior communication specialist, intervener, and therapies.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive services are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay and the amounts approved to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

: Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate Medicaid Deaf-blind with Multiple Disabilities waiver-funded services with related costs and outcomes.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 539	Agency: Aging and Disability Services, Department of
Goal No.	1 Long-term Services and Supports
Objective No.	3 Community Services and Supports - Waivers
Strategy No.	5 Medically Dependent Children Program (MDCP)
Measure Type	EF
Measure No.	1 Average Monthly Cost Per Individual: MDCP Waiver

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-05 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Medically Dependent Children Program (MDCP) Waiver services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as incurred amounts for services delivered but not yet paid. The average monthly number of children served is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served as well as cost per individual per month must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type of service, the number of individuals for whom claims have been approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for MDCP services by month-of-service for all months in the reporting period is divided by the average monthly number of MDCP individuals for all months of the reporting period; this is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of MDCP-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	5	Medically Dependent Children Program (MDCP)	
Measure Type	EX		
Measure No.	1	Average Number on Interest List Per Month: MDCP Waiver	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-05 EX 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly unduplicated number of individuals who have requested Medically Dependent Children Program (MDCP) services, but are placed on an interest list for these services due to funding constraints. Individuals are placed on an interest list by means of a telephone call to the State Office Interest List Hotline or through completion of a Form 3620, Intake/Summary of Individuals Need for Services. The count only includes those individuals on the list who are in "open" status (i.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.)

BL 2012 Data Limitations

Individuals on the list are contacted at least annually to determine whether they are still interested in remaining on the list and to verify contact information.

BL 2012 Data Source

Counts are collected on a monthly basis. Data are reported by means of a reporting database maintained by State Office program staff.

BL 2012 Methodology

The monthly average for the reporting period is calculated by dividing the sum of the monthly counts of individuals on the interest list for MDCP (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is an indicator of the unmet need for services provided under the MDCP as currently funded by this strategy and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	5	Medically Dependent Children Program (MDCP)	
Measure Type	EX		
Measure No.	2	# Persons Receiving Svcs at the End of the Fiscal Year: MDCP	

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-03-05 EX 02

Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individuals who, based upon approved-to-pay claims, received one or more services under the Medically Dependent Children Program (MDCP) during the last month of the fiscal year being reported.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive MDCP services are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

This is a simple unduplicated count of individuals who received MDCP services during the last month of the fiscal year being reported.

BL 2012 Purpose

By reporting the number of individuals served at the end of the fiscal year, this measure allows the State to determine the service level that will be carried into the next fiscal year and/or biennium.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	5	Medically Dependent Children Program (MDCP)	
Measure Type	OP		
Measure No.	1	Average Number of Individuals Served Per Month: MDCP Waiver	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-03-05 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who received one or more services under the Medically Dependent Children Program (MDCP) Waiver.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive MDCP services are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate MDCP- funded services with related costs and outcomes.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	3	Community Services and Supports - Waivers
Strategy No.	6	Consolidated Waiver Program
Measure Type	EF	
Measure No.	1	Average Monthly Cost Per Individual: Consolidated Waiver (CWP)

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-06 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of Consolidated Home- and Community-based Services Waiver services per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as incurred amounts for services delivered but not yet paid. The average monthly number of Consolidated Home and Community-based Services Waiver individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type of service, the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query

BL 2012 Methodology

The sum of monthly expenditures for the Consolidated Home- and Community-based Services Waiver by month-of-service for all months in the reporting period is divided by the average monthly number of Consolidated Home- and Community-based Services Waiver individuals for all months of the reporting period; this is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of Medicaid Consolidated waiver-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	3		Community Services and Supports - Waivers
Strategy No.	6		Consolidated Waiver Program
Measure Type	EX		
Measure No.	1		# Persons Receiving Svcs at the End of the Fiscal Year: CWP

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-03-06 EX 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individuals who, based upon approved-to-pay claims, received one or more services under the Medicaid Consolidated Waiver Program during the last month of the fiscal year being reported.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive Consolidated Home- and Community-based Services Waiver services, is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

This is a simple unduplicated count of individuals who received Medicaid Consolidated Waiver Program services during the last month of the fiscal year being reported.

BL 2012 Purpose

By reporting the number of individuals served at the end of the fiscal year, this measure allows the State to determine the service level that will be carried into the next fiscal year and/or biennium.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	6	Consolidated Waiver Program	
Measure Type	OP		
Measure No.	1	Avg Number of Individuals Served/Mo: Consolidated Waiver Program (CWP)	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-03-06 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who, based upon approved-to-pay claims, received one or more services provided under the Consolidated Home-and Community-based Services Waiver.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive Consolidated Home- and Community-based Services Waiver services, is obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of clients for whom claims have been approved-to-pay and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate Medicaid Consolidated waiver-funded services with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	7	Texas Home Living Waiver	
Measure Type	EF		
Measure No.	1	Average Monthly Cost Per Individual Served: Texas Home Living Waiver	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-07 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the average cost per month for serving Texas Home Living (TxHmL) Waiver individuals.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of waiver services up to 95 days after the end of the service month. Therefore, for the current quarter, the numerator is an estimated expenditure amount based on prior period billing data and the denominator is actual enrollments for the current quarter.

BL 2012 Data Source

This measure is derived from enrollment and billing data, which are provided on a monthly basis. The calculation uses the average billing rate per individual from the Medicaid waiver billing system for each waiver type. Since there is a 95 day billing window for the waiver programs, the average billing rate is an average of the prior months that are complete. The calculation also uses the monthly number of individuals enrolled from the data warehouse for each waiver type. The enrollment report provides the number of individuals entering and leaving by waiver. The ending enrollment balance at the end of the month represents the beginning balance for the next month. This combination of enrollments and average billing rates is used rather than utilizing the billing system alone because of the 95 day billing window for submitting claims.

BL 2012 Methodology

For each waiver type, the average billing rate for each month is multiplied by the number enrolled for those same months to determine a monthly expenditure amount. The monthly expenditure amount and number of individuals enrolled for each waiver type are aggregated into a total monthly expenditure amount and total number of individuals enrolled for all waivers. The aggregated monthly expenditure amount for each of the three months in the reporting quarter is summed. The aggregated number of individuals for each of the three months in the reporting quarter is also summed. The quarterly aggregated expenditure amount is divided by the quarterly aggregated number of individuals enrolled for an average monthly cost per individual for all waivers for the reporting quarter. Once the billing data for previously reported quarters is complete, and regularly thereafter, the values reported in ABEST will be updated using only the aggregated average monthly billing rate for all waivers.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services for which funding has been appropriated. It allows the agency to track the cost of TxHmL waiver-funded services over time, helps to maintain the fiscal integrity of the program by ensuring the availability of funds within appropriated resources and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	3	Community Services and Supports - Waivers	
Strategy No.	7	Texas Home Living Waiver	
Measure Type	EX		
Measure No.	1	# of Individuals Receiving Svcs at the End of the Fiscal Year: Tx HML	

Calculation Method: N **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-03-07 EX 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides an unduplicated workload count of priority population eligible individuals receiving MR Texas Home Living (TxHmL) waiver funded services at the end of the fiscal year.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of waiver services up to 95 days after the end of the service month. If the original claim is rejected for payment for any reason, the provider has up to 180 days from the end of the original service month to correct the claim and re-bill it. Since the documentation of a service being provided to an individual is based on these claims, accurate counts of numbers served may not be available for several months past the fiscal year. Updates to the values reported in the Automated Budget and Evaluation System of Texas (ABEST) will be available when the appropriation year closes.

BL 2012 Data Source

The providers of waiver services submit Medicaid claims for the services provided during each month. The numbers of individuals served is taken from a standard production report.

BL 2012 Methodology

This is a simple unduplicated count of individuals that received TxHmL waiver services at the end of the fiscal year.

BL 2012 Purpose

Due to the very high demand for these services, as indicated by the number of individuals waiting for TxHmL waiver services, it is critical that the department monitors how many individuals are receiving the service annually.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	3		Community Services and Supports - Waivers
Strategy No.	7		Texas Home Living Waiver
Measure Type	OP		
Measure No.	1		Avg Number of Individuals Served Per Month: Texas Home Living Waiver

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-03-07 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the unduplicated count of priority population eligible individuals who receive Texas Home Living (TxHmL) Waiver funded services on a monthly basis.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of waiver services up to 95 days after the end of the service month. If the original claim is rejected for payment for any reason, the provider has up to 180 days from the end of the original service month to correct the claim and re-bill it. Since the documentation of a service being provided to an individual is based on these claims, accurate counts of numbers served during a quarter may not be available for several months past the quarter. Updates to the values reported in the Automated Budget and Evaluation System of Texas (ABEST) will be available when the appropriation year closes.

BL 2012 Data Source

Information used to report the average monthly number of individuals served is contained in the department's data warehouse system. A standard production report (the Department of Aging and Disability Services (DADS) Waiver Programs – Summary of Slot Types) is generated monthly. DADS Medicaid Administration handles tracking and monitoring of enrollments into a Medicaid waiver funded program.

BL 2012 Methodology

The total unduplicated number of individuals that receive TxHmL waiver services each month is summed. For each quarter of the fiscal year, the number of individuals served in each month of the quarter is averaged. For the second, third, and fourth quarters, year-to-date calculations are also obtained. The numerator is the total unduplicated number of mental retardation TxHmL waiver individuals receiving services each month in the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It reflects the system-wide level of activity occurring over time and allows the agency to associate TxHmL waiver-funded services with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	EF		
Measure No.	1	Avg Mthly Cost Per Individual Served: Non-Medicaid Community Serv (XX)	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of non-Medicaid Title XX-funded Community Services and Supports per individual per month. Expenditures are defined as payments made to providers for services delivered to individuals as well as incurred amounts for services delivered but not yet paid. The average monthly number of non-Medicaid Title XX-funded Community Services and Supports individuals is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals as well as cost per individual per month ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to- date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for non-Medicaid Title XX-funded Community Services and Supports by month-of-service for all months in the reporting period is divided by the average monthly number of non-Medicaid Title XX-funded Community Services and Supports individuals for the months of the reporting period; this is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for providing eligible individuals with services funded under this strategy. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	EF		
Measure No.	2	Average Cost Per Home-delivered Meal (SSBG)	

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of a home-delivered meal funded by the Social Services Block Grant (SSBG). Expenditures are defined as payments made to providers for services delivered to individuals, as well as incurred amounts for services delivered but not yet paid. The average monthly number of meals served is defined under output measure 2 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of clients approved-to-pay to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to-date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly expenditures for meals services by month-of-service for all months in the reporting period is divided by the average monthly number of meals served during the months of the reporting period; this is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure quantifies the average unit cost for one of the services (home-delivered meals) provided under this strategy. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	4		Community Services and Supports - State
Strategy No.	1		Non-Medicaid Services
Measure Type	EF		
Measure No.	3		Statewide Average Cost Per Congregate Meal (AAA)

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The statewide average State Unit on Aging (DADS) cost per congregate meal is a measure of the statewide average per meal cost to provide congregate meals to individual's age 60 and older and other eligible individuals. Congregate meals are hot or other appropriate meals served in a setting, which promotes social interaction as well as improved nutrition. Congregate meals provide one-third (1/3) of the recommended dietary allowances (RDA) as established by the Food and Nutrition Board of the National Academy of Sciences - National Research Council and are served in a congregate setting. These meals include standard meals, which are regular meals that are served to the majority of participants. Additionally, therapeutic meals or liquid supplements, which are special meals or liquid supplements that have been prescribed by a physician (i.e., diabetic diets, renal diets, pureed diets, tube feeding) may be served in the congregate setting.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded units are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in this measure's calculation.

BL 2012 Data Source

The number of meals is based on data reported to the Department by area agencies on aging (AAAs). Expenditures are reported by the AAAs and include accrued expenses.

BL 2012 Methodology

The statewide average State Unit on Aging (DADS) cost per meal is calculated by dividing State Unit on Aging (DADS) appropriated expenditures reported by the AAAs used to provide congregate meals to individuals age 60 or older and other eligible individuals by the number of congregate meals funded by the State Unit on Aging (DADS) during the fiscal year.

BL 2012 Purpose

This measure identifies the statewide average cost per congregate meal.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	4	Community Services and Supports - State
Strategy No.	1	Non-Medicaid Services
Measure Type	EF	
Measure No.	4	Statewide Average Cost Per Home-delivered Meal (AAA)

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 04

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The statewide average State Unit on Aging (DADS) cost per home delivered meal is a measure of the statewide average per meal cost to provide home delivered meals to individuals age 60 and older and other eligible individuals. Home delivered meals are hot, cold, frozen, dried, canned or supplemental food (with a satisfactory storage life), which provide one-third (1/3) of the recommended dietary allowances (RDA) as established by the Food and Nutrition Board of the National Academy of Sciences - National Research Council and are delivered to an eligible individual in his/her place of residence.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded units are considered for this measure. While some units funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in this measure's calculation.

BL 2012 Data Source

The number of home delivered meals is based on data reported to the Department by area agencies on aging (AAAs). Data is reported only for those individuals for whom an intake form is completed. Expenditures are reported by the AAAs and include accrued expenses.

BL 2012 Methodology

The statewide average State Unit on Aging (DADS) cost per meal is calculated by dividing State Unit on Aging (DADS) appropriated expenditures reported by the AAAs used to provide home delivered meals to individuals age 60 or older and other eligible individuals by the number of home delivered meals funded by State Unit on Aging (DADS) during the fiscal year.

BL 2012 Purpose

This measure identifies the statewide average cost per home delivered meal.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	EF		
Measure No.	5	Statewide Average Cost Per Person Receiving Homemaker Services (AAA)	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 05

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This is a measure of the statewide average program cost per individual to provide homemaker services to individual age 60 and older funded by the State Unit on Aging (DADS). Homemakers provide services that involve the performance of housekeeping/home management, meal preparation and/or escort tasks and shopping assistance for individuals who need assistance with these activities in their place of residence.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of individuals receiving homemaker services is based on data reported to the Department by area agencies on aging (AAAs). Data is reported only for those individuals for whom an intake form is completed. Expenditures are reported by the AAAs and include accrued expenses.

BL 2012 Methodology

The statewide average cost per person receiving homemaker services is calculated by dividing expenditures reported by the AAAs used to provide homemaker services to individuals age 60 or older by the unduplicated number of individuals receiving homemaker services funded by the State Unit on Aging (DADS).

BL 2012 Purpose

This measure identifies the State Unit on Aging (DADS) average cost per individual receiving homemaker services.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	4	Community Services and Supports - State
Strategy No.	1	Non-Medicaid Services
Measure Type	EF	
Measure No.	6	Statewide Avg Cost Per Person Rec Personal Assistance Services (AAA)

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 06

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The statewide average cost per individual receiving personal assistance services is a measure of the statewide average program cost per individual used to provide personal assistance services to people age 60 and older. Personal assistance is the act of assisting another person with tasks that the individual would typically do if he were able. This covers hands-on assistance in all activities of daily living. Personal assistance staff are trained and supervised.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of individuals receiving personal assistance services is based on data reported to the Department by the area agencies on aging (AAAs). Data is reported only for those individuals for whom an intake form is completed. Expenditures are reported by the AAAs and include accrued expenses.

BL 2012 Methodology

The statewide average cost per individual receiving personal assistance services is calculated by dividing State Unit on Aging (DADS) expenditures reported by the AAAs used to provide personal assistance services to individuals age 60 or older by the unduplicated number of individuals receiving personal assistance services funded by the State Unit on Aging (DADS).

BL 2012 Purpose

This measure identifies the statewide average cost per individual receiving personal assistance services.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	EF		
Measure No.	7	Statewide Average Cost Per Modified Home (AAA)	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EF 07

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This is a measure of the statewide average program cost per home to provide home repair or modification for the dwellings of individual age 60 and older. Residential repair services consist of repairs or modifications of client-occupied dwellings essential for the health and safety of the occupants. This service can also include limited housing, counseling, and moving expenses where repairs or modifications will not attain reasonable standards of health and safety.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded units are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of homes is based on data reported to the Department by area agencies on aging (AAAs). Expenditures are reported by the AAAs and include accrued expenses.

BL 2012 Methodology

The statewide average cost per modified home is calculated by dividing State Unit on Aging (DADS) expenditures reported by the AAAs used to provide these services to individuals age 60 or older by the unduplicated number of homes receiving home repair/modification funded by the State Unit on Aging (DADS).

BL 2012 Purpose

This measure identifies the statewide average State Unit on Aging (DADS) cost per modified home.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	EX		
Measure No.	1	Avg # of Persons on Interest List Per Month: Non-Medicaid CSS (XX)	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly duplicated number of individuals who have requested one or more Title XX-funded non-Medicaid Community Services and Supports through completion of a Community Services and Supports Intake Form 2110, but are placed on an interest list for requested service(s) due to funding constraints. The count only includes those individuals on the list who are in “open” status (i.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.) The count includes individuals who are waiting for one or more Title XX-funded non-Medicaid Community Services and Supports while receiving other Community Services and Supports.

BL 2012 Data Limitations

Individuals on the interest list are contacted at least annually to determine whether they are still interested in remaining on the list and to verify contact information.

BL 2012 Data Source

Regional staff enters the data into a reporting database maintained by State Office program staff.

BL 2012 Methodology

Counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly counts of individuals on the interest list for (one or more) non-Medicaid Community Services and Supports (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is important because it is an indicator of the unmet need for services provided under non-Medicaid Community Services and Supports as currently funded by this strategy.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	4		Community Services and Supports - State
Strategy No.	1		Non-Medicaid Services
Measure Type	EX		
Measure No.	2		Avg # Individuals Receiving Non-Medicaid Communy Svcs & Supports XX

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EX 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who, based upon approved-to-pay claims, received one or more non-Medicaid Title XX-funded Community Services and Supports and did not receive either entitlement or waiver services. Services included under this category are: Family Care, Home-delivered Meals, Emergency Response Services, Adult Foster Care, Day Activities and Health Services (funded through Social Services Block Grant), Consumer Managed Personal Attendant Services, Residential Care, and Special Services for Individuals with Disabilities.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive the above services, as well as the number of units of service authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Since a high percentage of individuals who receive Meals and/or Emergency Response Services also receive other services, an unduplicated monthly count of individuals receiving one or more non-Medicaid Title XX-funded community care services must be estimated. This is accomplished by multiplying counts for these two services by the percentage of individuals who are authorized to receive these services only, as opposed to these services in addition to other services, according to information obtained from SAS authorization data. Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

Strategy-Related Measures Definitions

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

This measure provides a count of individuals who received Non-Medicaid Title XX funded community services and supports, who did not receive other community services and supports (Medicaid entitlement or Medicaid Waiver services). This measure is important because when it is combined with the measure reporting the total number of individuals receiving Medicaid entitlement services and the measure reporting the total number of individuals receiving Medicaid waiver services, it yields the total number of individuals receiving community supports and services through programs administered by the Department of Aging and Disability Services (DADS).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	EX		
Measure No.	3	Avg # of Individuals Receiving Svcs at the End of the Fiscal Yr: XX/GR	

Calculation Method: C **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 EX 03
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average unduplicated number of individuals who, based upon approved-to-pay claims, received non-Medicaid Title XX-funded Community Services and Supports and did not receive either entitlement or waiver services at the end of the fiscal year. Services included are: Day Activities and Health Services (funded through Social Services Block Grant)

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of persons on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of persons ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive the above services, as well as the number of units of service authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The number of unduplicated individuals who received one or more Non-Medicaid Community Care (XX/GR) services in August of each fiscal year, as estimated using the "completion factor" method described above.

BL 2012 Purpose

A count of persons served at the end of the fiscal year is a useful tool for determining baseline service levels from biennium-to-biennium.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
--------------	------------	---------	-----------------------------------------------------

Goal No.	1	Long-term Services and Supports
Objective No.	4	Community Services and Supports - State
Strategy No.	1	Non-Medicaid Services
Measure Type	OP	
Measure No.	1	Average # of Individuals Per Mth Receiving Home-delivered Meals (SSBG)

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who, based upon approved-to-pay claims, received a home-delivered meal funded through the Social Services Block Grant (SSBG). Individuals are provided with hot, nutritious meals delivered directly to their home.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive home delivered meals, as well as the number of meals authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, the number of meals approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Individual counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure provides a count of eligible individuals who are receiving home-delivered meals, a service that contributes to enabling them to remain in their own home as opposed to being placed in another more restrictive setting.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	2	Average Number of Home-delivered Meals Provided Per Month (SSBG)	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of home-delivered meals on approved-to-pay claims submitted by Meals providers and funded through the Social Services Block Grant (SSBG).

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of meals ultimately provided must be estimated for months that have not yet closed out, by using "completion factors" applied to the number of meals approved-to-pay to-date and/or the number of meals authorized. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of meals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of meals ultimately provided.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive home delivered meals, as well as the number of meals authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, the number of meals approved-to-pay, and the amounts approved-to-pay, are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

Data are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the number of home-delivered meals provided (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the volume of services delivered (meals).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	3	Number of Individuals Receiving Congregate Meals (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the unduplicated number of individuals age 60 and older and other eligible individuals reported to the Department by area agencies on aging (AAAs) as receiving congregate meals funded by the State Unit on Aging (DADS). Congregate meals are hot or other appropriate meals served to eligible individuals which meets one-third (1/3) of the recommended dietary allowances (RDA) as established by the Food and Nutrition Board of the National Academy of Sciences B National Research Council and which is served in a congregate setting. There are two types of congregate meals. These are standard meals which are regular meals from the standard menu that are served to the majority of all of the participants and therapeutic meals or liquid supplements that have been prescribed by a physician and are planned specifically for an individual participant by a dietician (i.e., diabetic diets, renal diets, pureed diets, tube feeding) may be served in the congregate setting.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of individuals is based on data reported to the Department by the AAAs.

BL 2012 Methodology

measure is the total unduplicated count by AAA, of individuals receiving a congregate meal funded by the State Unit on Aging (DADS).

BL 2012 Purpose

This is an output measure that identifies an unduplicated count of individuals receiving a congregate meal funded by the State Unit on Aging (DADS).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	4	Number of Congregate Meals Served (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the # of congregate meals provided to individuals age 60 and older and other elig. individuals reported to the Department by area agencies on aging (AAAs) as rec'g congregate meals funded by the State Unit on Aging (DADS). Congregate meals are hot or other appro. meals served to elig. indivs that meet 1/3 of the recommended dietary allowances (RDA) as established by the Food and Nutrition Board of the National Academy of Sciences B National Research Council and which is served in a congregate setting. There are two types of congregate meals. These are standard meals which are regular meals from the standard menu that are served to the majority or all of the participants and therapeutic meals or liquid supplements which are special meals or liquid supplements that have been prescribed by a physician and are planned specifically for an individual participant by a dietician (i.e.,diabetic diets,renal diets,pureed diets,tube feeding) may be served in the congregate setting.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded units are considered for this measure. While some units funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in this measure's calculation.

BL 2012 Data Source

The number of congregate meals is based solely on data reported to the Department by the AAAs.

BL 2012 Methodology

The measure is the total congregate meals served to individuals age 60 and older and other eligible individuals.

BL 2012 Purpose

This is an output measure that identifies the total congregate meals served to individuals age 60 and older and other eligible individuals.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	5	Number of Individuals Receiving Home-delivered Meals (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 05

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the unduplicated number of individuals age 60 and older and other eligible individuals reported to the Department by area agencies on aging (AAAs) as receiving home delivered meals funded by the State Unit on Aging (DADS). Home delivered meals are hot, cold, frozen, dried, canned or supplemental food (with a satisfactory storage life) which provide a minimum of one-third (1/3) of the recommended dietary allowances (RDA) as established by the Food and Nutrition Board of the National Academy of Sciences B National Research Council, and are delivered to an eligible individual in his/her place of residence.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of individuals receiving home delivered meals is based on data reported to the Department by the AAAs.

BL 2012 Methodology

The measure is the total unduplicated number, by AAA, of individuals age 60 and older and other eligible individuals receiving a home delivered meal.

BL 2012 Purpose

This measure identifies the unduplicated number of individuals receiving home delivered meals.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	6	Number of Home-delivered Meals Served (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 06

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the number of home delivered meals served to individuals age 60 and older and other eligible individuals reported to the Department by area agencies on aging (AAAs) as receiving home delivered meals funded by the State Unit on Aging (DADS). Home delivered meals are hot, cold, frozen, dried, canned or supplemental food (with a satisfactory storage life) which provide a minimum of one-third (1/3) of the recommended dietary allowances (RDA) as established by the Food and Nutrition Board of the National Academy of Sciences B National Research Council, and are delivered to an eligible individual in his/her place of residence.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded units are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of home delivered meals served to individuals age 60 and older is based on data reported to the Department by the AAAs. Data is reported only for those individuals for whom an intake form is completed.

BL 2012 Methodology

The measure is the total number of meals served to individuals age 60 and older and other eligible individuals.

BL 2012 Purpose

This measure identifies the number of home delivered meals served.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	7	Number of Individuals Receiving Homemaker Services (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 07
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the unduplicated number of individuals age 60 and older, who are receiving homemaker services funded by the State Unit on Aging (DADS), as reported to the Department by area agencies on aging (AAAs). Trained and supervised homemakers provide services that involve the performance of housekeeping/home management, meal preparation and/or escort tasks and shopping assistance for individuals who need assistance with these activities in their place of residence.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of unduplicated individuals receiving homemaker services is based on data reported to the Department by AAAs. Data is reported only for those individuals for whom an intake form is completed.

BL 2012 Methodology

The number of individuals 60 and older receiving homemaker services is the unduplicated total reported to the Department by the AAAs.

BL 2012 Purpose

This measure identifies the total unduplicated number of individuals 60 and over who have received homemaker services funded by the State Unit on Aging DADS.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	8	Number of Individuals Receiving Personal Assistance (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 08
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the unduplicated number of individuals age 60 and older who have received personal assistance services funded by the State Unit on Aging, as reported to the Department by area agencies on aging (AAAs). Personal assistance is the act of assisting another person with tasks that that individual would typically do if he were able. This covers hands-on assistance in all activities of daily living. Trained and supervised home health staffs provide the services for individuals who need assistance with these activities in their place of residence.

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded individuals are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation.

BL 2012 Data Source

The number of unduplicated individuals receiving personal assistance services is based on data reported to the Department by the AAAs. Data is reported only for those individuals for whom an intake form is completed.

BL 2012 Methodology

The number of persons 60 and older receiving personal assistance services is the unduplicated total reported to the Department by the AAAs.

BL 2012 Purpose

This measure identifies the total unduplicated number of individuals 60 and over who have received personal assistance services funded by the State Unit on Aging (DADS).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	9	Number of Homes Repaired/Modified (AAA)	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 09
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the unduplicated number of homes reported to the Department by area agencies on aging (AAAs) as receiving repair or modification services funded by the State Unit on Aging (DADS). Residential repair services consist of repairs or modifications of a individual-occupied dwelling that are essential for the health and safety of the occupants.

BL 2012 Data Limitations

None.

BL 2012 Data Source

The unduplicated number of homes receiving repair/modification is based on data reported to the Department by the AAAs. Data is reported only for those individuals for whom an intake form is completed.

BL 2012 Methodology

The number of homes receiving repair/modification is the unduplicated total reported to the Department by the AAAs.

BL 2012 Purpose

This measure identifies the number of homes receiving repair/modification services funded by the State Unit on Aging (DADS).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	4		Community Services and Supports - State
Strategy No.	1		Non-Medicaid Services
Measure Type	OP		
Measure No.	10		Number of One-way Trips (AAA)

Calculation Method: C **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 10

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

The measure is the number of one-way trips provided to individuals age 60 and older and other eligible individuals reported to the Department by area agencies on aging (AAAs) as receiving demand-response transportation services. Transportation services consist of taking an elderly individual from one location to another. Demand-response transportation carries elderly individuals from a specific origin to a specific destination upon advance request (usually 24 hours).

BL 2012 Data Limitations

Only State Unit on Aging (DADS) funded units are considered for this measure. While some individuals funded by other sources may be reported to the State Unit on Aging (DADS), they are not included in the measure calculation. In addition, AAAs determine the level and the types of transportation services that they will provide.

BL 2012 Data Source

The number of one-way demand-response trips is based on data reported to the Department by the AAAs. Data is reported only for those individuals for whom an intake form is completed.

BL 2012 Methodology

The number of one-way demand-response trips is the total reported to the State Unit on Aging (DADS) by the AAAs.

BL 2012 Purpose

This measure identifies the total number of one-way trips that are funded by the State Unit on Aging (DADS).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	11	Number of Retired and Senior Volunteer Program (RSVP) Volunteers	

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 11

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure is the total number of senior volunteers (age 55 or older) who have provided at least one hour of community volunteer service through the federally funded Retired and Senior Volunteer Programs (RSVP) during the year.

BL 2012 Data Limitations

None.

BL 2012 Data Source

The data source is a report titled, State Unit on Aging (DADS) RSVP Performance Report, completed by the Corporation for National Service. The data is verified by monitoring activities conducted by the Corporation for National Service which contracts with the Department to administer the state RSVP program as part of the federal RSVP program.

BL 2012 Methodology

The total number of senior volunteers (age 55 or older) who have provided at least one hour of community volunteer services through the RSVP program is reported quarterly on a report entitled the State Unit on Aging (DADS) RSVP Performance Report, completed by the Corporation for National Service.

BL 2012 Purpose

This measure accounts for the number of senior volunteers (age 55 or older) who have provided at least one hour of community service through the federally funded RSVP program during the year.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	12	Avg # of Individuals Served Per Month: Non Medicaid Comm Care (XX/GR)	

Calculation Method: C **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 12
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of individuals who received one or more of the following Non Medicaid Community Care(XX / GR) services: adult foster care, client managed personal assistance services (CMPAS), day activity and health services (DAHS), emergency response services, home-delivered meals, personal assistance services (Family Care), residential care, and special services for persons with disabilities.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals as well as cost per individual per month ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to- date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred

BL 2012 Data Source

Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of unduplicated individuals who received one or more Non-Medicaid Community Care (XX/GR) services reported by month-of-service for all months in the reporting period is divided by the number of months in the reporting period.

BL 2012 Purpose

This measure provides a count of eligible persons who are receiving Non Medicaid Community Care (XX / GR) services that contribute to enabling them to remain in their own home as opposed to being placed in another more restrictive setting.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	1	Non-Medicaid Services	
Measure Type	OP		
Measure No.	13	Average Number of Individuals Served Per Month: (XX/GR)	

Calculation Method: C **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-04-01 OP 13

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average unduplicated number of individuals who, based upon approved-to-pay claims, received non-Medicaid Title XX-funded Community Services and Supports and did not receive either entitlement or waiver services at the end of the fiscal year. Services included are: Day Activities and Health Services (funded through Social Services Block Grant)

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of persons on approved-to-pay claims to date divided by the appropriate completion factor equals the estimated number of persons ultimately served.

BL 2012 Data Source

Two types of data are used to report this measure. The number of individuals authorized to receive the above services, as well as the number of units of service authorized, are obtained from the department's Service Authorization System (SAS) by means of ad hoc query. Month-of-service to-date data that reports the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The number of unduplicated individuals who received one or more Non-Medicaid Community Care (XX/GR) services in August of each fiscal year, as estimated using the "completion factor" method described above.

BL 2012 Purpose

A count of persons served at the end of the fiscal year is a useful tool for determining baseline service levels from biennium-to-biennium.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	4		Community Services and Supports - State
Strategy No.	2		Mental Retardation Community Services
Measure Type	EF		
Measure No.	1		Average Mthly Cost Per Individual Receiving Community Services

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-02 EF 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures information regarding what it costs the state each month, on average, to provide community MR services to each individual who is assigned to these services regardless of age. It measures the Department of Aging and Disability Services (DADS) appropriation authority cost per individual as defined by the companion output measure.

BL 2012 Data Limitations

The accuracy of the department's database is dependent upon accurate and timely information being entered into the data warehouse system by the local mental retardation authorities. If the local authority does not provide accurate data for the quarter, this measure will not be accurate. (At the end of the fiscal year, community centers report preliminary expenditure information, which is used for reporting in the Automated Budget and Evaluation System of Texas (ABEST). Final expenditure information may be entered into the Client Assignment and Registration (CARE) system up to 4 months following the end of the fiscal year. Therefore, end of year values for efficiency measures can be updated in ABEST when the information is available. The Legislative Budget Board (LBB) determines whether to reopen ABEST to allow for these updates.)

BL 2012 Data Source

At the end of each quarter, staff of the local authorities input expenditure information into the CARE portion of the data warehouse system. The local authority indicates the fund sources used to finance the expenditures. The method of finance includes funds that are part of the DADS appropriation authority as well as other local funds, grant funds, and earned revenues.

BL 2012 Methodology

DADS appropriation authority funds include all general revenue and federal funds allocated through the performance contract. Also included are administrative claiming funds that the local authority receives following the submission of quarterly cost reports. The number of months in the reporting period is 3 for each quarter and either 3, 6, 9, or 12 for year to date. The numerator is the total DADS appropriation authority funds utilized to fund MR community services as reported in CARE / the number of months in the reporting period. The denominator is the average monthly number of individuals with intellectual or developmental disabilities receiving community services that are served with DADS appropriation authority funds. The formula is numerator/denominator.

BL 2012 Purpose

This measure captures DADS appropriation authority cost per person for adult and child community mental retardation services.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	2	Mental Retardation Community Services	
Measure Type	EX		
Measure No.	1	Number Individuals Receiving Community Services End of Fiscal Year	

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-02 EX 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides an unduplicated workload count of priority population eligible adults and children who receive MR community services at the end of the fiscal year. MR community services include non-residential services including: vocational services, training services, respite services, and specialized therapies.

BL 2012 Data Limitations

This measure provides the actual number of individuals who receive community services and provides information about the total system activity during one fiscal year. It is a frequently requested number used to compare system activity over a period of two or more fiscal years. The accuracy of the department's CARE system is dependent upon accurate and timely information being entered into the data warehouse system by the local mental health authorities.

BL 2012 Data Source

As individuals enter the community programs, registration information is entered into the department's Client Assignment and Registration (CARE) system portion of the data warehouse system by staff of the local MRA. When an individual is assigned to a specific program, this information is also entered into the data warehouse system. Production reports of individuals served are issued quarterly based on the information in the data warehouse system. Individuals who receive more than one community service during the year are counted only once for the year.

BL 2012 Methodology

The total unduplicated number of individuals that receive a MR community service during the fiscal year regardless of how the services for the individuals were funded is tallied for each local authority and system-wide.

BL 2012 Purpose

The Data Verification Criteria Manual provides general guidance regarding timelines for closure of assignments to specific services.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	2	Mental Retardation Community Services	
Measure Type	EX		
Measure No.	2	Avg # Individuals on Interest List Per Month: MR Community Services	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-02 EX 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides a simple count of individuals who express an interest in general revenue (GR) funded MR community services. For purposes of this measure, interest is defined as placing one's name on the interest list with the local MRA for GR funded MR community services. The count only includes those individuals on the list who are in "open" status (i.e., it excludes those persons who are being processed for eligibility to begin receiving the service.)

BL 2012 Data Limitations

The accuracy of the GR funded MR community services interest list is dependent upon the submission of accurate data by the Mental Retardation Authorities (MRAs). There may be duplication of names between interest lists for MR services.

BL 2012 Data Source

A person seeking MR services or an individual seeking MR services on behalf of another person with intellectual or developmental disabilities begins the review of service options with the local MRA staff. If the individual, legal representative or family member decides they are interested in GR funded MR community services, the name of the individual is entered onto the interest list for GR funded MR community services in the Department of Aging and Disability Services' (DADS) database system.

BL 2012 Methodology

This is a simple count on the last day of each month of individuals whose names have been entered into the DADS database system as interested in GR funded MR community services.

BL 2012 Purpose

Pursuing GR funded MR community services is initiated by individuals, family members, and legally authorized representatives following discussions of service options with staff of the local MRAs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	4		Community Services and Supports - State
Strategy No.	2		Mental Retardation Community Services
Measure Type	OP		
Measure No.	1		Average Monthly # of Individuals with MR Receiving Community Services

Calculation Method: N **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-02 OP 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the unduplicated count of priority population eligible adults and children whose services are funded with the Department of Aging and Disability Services (DADS) appropriation authority funds and who receive MR community services. MR community services include vocational services, training services, respite services, specialized therapies and excludes residential services. Quarterly and year-to-date performance is stated as the average of the months in the reporting period.

BL 2012 Data Limitations

The accuracy of the department's Client Assignment and Registration (CARE) system is dependent upon accurate and timely information being entered into the data warehouse system by the local mental health authorities. The Data Verification Criteria Manual provides general guidance regarding timelines for closure of assignments to specific services.

BL 2012 Data Source

As individuals enter the comm. progs, registration info is entered into the CARE portion of the data warehouse system by staff of the local MRA. When an individual is assigned to a specific program, this information is also entered into the data warehouse system. Production reports of individuals served are issued quarterly based on the information in the data warehouse system. The total unduplicated number of individuals assigned to receive any MR community service each month is calculated. To obtain an unduplicated number of individuals, each individual is counted only once each period regardless of the number of different community services to which assigned. For each quarter of the fiscal year, the unduplicated number of individuals served in each month of the quarter is averaged. The production report lists total number of adults and children assigned to a particular service each month regardless of how the services for the individuals were funded.

BL 2012 Methodology

To obtain the number of individuals served with DADS appropriation authority funds, DADS uses a production report which reflects the numbers of priority population individuals served each month with general revenue (GR) funds and required local match. The numerator is the sum of the number of individuals receiving MR community service each month of the reporting period. The denominator is the number of months in the period. The formula is numerator/denominator.

BL 2012 Purpose

Monthly number of adults and children served reflects the system-wide level of activity occurring over time and allows the agency to associate this activity with related costs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	4		Community Services and Supports - State
Strategy No.	4		In-Home and Family Support
Measure Type	EF		
Measure No.	1		Average Monthly Cost of In-home Family Support Per Individual

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-04-04 EF 01

Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average in-home/family support cash assistance per individual per month. Individuals are provided assistance for the purchase of supportive services that will enable them to remain independent. Individuals are eligible for assistance up to \$3,600 a year.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the department's Health and Human Services Administrative System (HHSAS) Financials.

BL 2012 Methodology

Data are computed by taking the projected in-home funding expended monthly, and dividing by the total number of individuals per month. The computation is based on a rolling 12-month average individual count and rolling 12-months of expenditure data, with a one-month lag.

BL 2012 Purpose

This measure is important because it quantifies the average cost per unit of service. This unit cost is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	4	In-Home and Family Support	
Measure Type	EX		
Measure No.	1	Average Number on Interest List Per Month: IHFS Individuals	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-04-04 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the count of individuals who have requested In-Home Family Support (IHFS) services through completion of the Community Care intake Form 2110 but, due to funding limitations, have not been able to obtain services. The count only includes those individuals on the list who are in “open” status (i.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.)

BL 2012 Data Limitations

Individuals on the interest list are contacted at least annually to determine whether they are still interested in remaining on the list and to verify contact information.

BL 2012 Data Source

Counts are collected on a monthly basis. Data are reported by means of a reporting database maintained by State Office program.

BL 2012 Methodology

Counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly counts of individuals on the interest list for In Home and Family Support (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is an indicator of the unmet need for services currently funded under this strategy.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	4	In-Home and Family Support	
Measure Type	EX		
Measure No.	2	# of Individuals Receiving Svcs at the End of the Fiscal Year: IHFS	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-04 EX 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individual who received in-home/family support assistance in the month of August of each fiscal year. Individuals are provided assistance for the purchase of supportive services that will enable them to remain independent. Individuals are eligible for assistance up to \$1200 per year in cash subsidy for the purpose of ongoing services and/or the purchase of equipment or architectural modifications.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the department's Health and Human Services Administrative System (HHSAS) Financials.

BL 2012 Methodology

Data for this measure are the sum of one month of data from 1 August to 31 August in each reporting fiscal year to report the number of in-home individuals who receive assistance. Reported data reflects data capture due to one-month lag during normal reporting.

BL 2012 Purpose

This measure provides a means to establish baseline funding levels from biennium to biennium

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	4	In-Home and Family Support	
Measure Type	OP		
Measure No.	1	Average Number of Individuals Per Month Receiving IHFS	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-04-04 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of individuals who received in-home/family support assistance at the end of the fiscal year. Individuals are provided assistance for the purchase of supportive services that will enable them to remain independent. Individuals are eligible for assistance up to \$3,600 a year.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the department's Health and Human Services Administrative System (HHSAS) Financials.

BL 2012 Methodology

Data for this measure are the sum of one month of data from 1 August to 31 August in each reporting fiscal year to report the number of in-home Individuals who receive assistance. Reported data reflects data capture due to one-month lag during normal reporting.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It provides a count of individuals receiving services for which funding has been appropriated.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	5	Mental Retardation In-Home Services	
Measure Type	EF		
Measure No.	1	Avg Annual Grant Per Individual Receiving In-home FS Per Year	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-04-05 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the actual average amount per individual of the grants provided through the In-Home and Family Support program.

BL 2012 Data Limitations

The accuracy of the department's data is dependent upon accurate and timely information being entered into the data warehouse system by the local MRAs. If information is not entered into the data warehouse system accurately and within the quarter, this measure will be understated each quarter.

BL 2012 Data Source

As individual s are approved for and receive In-Home and Family Support grants, the amount of funds distributed is entered into the department's data warehouse system for the individual receiving the funds. Staff of the local mental health authority makes the data entries. The source of funds for these grants is all general revenue. No other funding sources are included in this measure.

BL 2012 Methodology

The amounts of all MR In-Home and Family Support grants awarded are added together and divided by the number of persons awarded the grants.

BL 2012 Purpose

The maximum amount that can be awarded to one individual and/or family is \$3,600 per year. Actual needs as expressed by the individuals vary downward from the maximum amount available. Lower averages of grants awarded result in more individuals being served. This measure allows the agency to determine and evaluate how much of the upper limit for the total grants will be needed. Actual needs as expressed by the individuals vary downward from the maximum amount available. Lower averages of grants awarded result in more individuals being served.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	4	Community Services and Supports - State
Strategy No.	5	Mental Retardation In-Home Services
Measure Type	EX	
Measure No.	1	Avg # Individuals Interest List Per Mth: In-home & Family Support

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-04-05 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides a simple count of individuals who express an interest in In-Home Family Support services (IHFS). For purposes of this measure, interest is defined as placing one’s name on the interest list with the local mental retardation authority (MRA) for IHFS services. The count only includes those individuals on the list who are in “open” status (I.e., it excludes those individuals who are being processed for eligibility to begin receiving the service.)

BL 2012 Data Limitations

The accuracy of the IHFS services interest list is dependent upon the submission of accurate data by the MRAs. Further, MRAs are only required to submit this data quarterly. There may be duplication of names between interest lists for MR services.

BL 2012 Data Source

A person seeking MR services or an individual seeking MR services on behalf of another person with intellectual or developmental disabilities begins the review of service options with the local MRA staff. If the individual, legal representative or family member decides they are interested in IHFS services, the name of the individual is entered onto the interest list for IHFS services in the CARE system.

BL 2012 Methodology

This is a simple count on the last day of each month of individuals whose names have been entered into the Client Assignment and Registration (CARE) system as interested in IHFS services. In capturing the average monthly number of individuals on the interest list for a given fiscal year, the average of the months in the fiscal year is calculated. Where necessary, future and past periods are estimated based on the current quarterly counts available.

BL 2012 Purpose

Pursuing IHFS services is initiated by individuals, family members, and legally authorized representatives following discussions of service options with staff of the local MRAs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	5	Mental Retardation In-Home Services	
Measure Type	EX		
Measure No.	2	# of Individuals Receiving Svcs at the End of the Fiscal Year: MR-IHFS	

Calculation Method: N **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-04-05 EX 02
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of individuals with Mental Retardation (MR) who received in-home/family support assistance at the end of the fiscal year. Individuals are provided assistance for the purchase of supportive services that will enable them to remain independent. Individuals are eligible for assistance up to \$3,600 a year.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the department's Health and Human Services Administrative System (HHSAS) Financials.

BL 2012 Methodology

Data for this measure are the sum of one month of data from 1 August to 31 August in each reporting fiscal year to report the number of in-home individuals who receive assistance. Reported data reflects data capture due to one-month lag during normal reporting.

BL 2012 Purpose

This measure provides a means to establish baseline funding levels from biennium to biennium

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	4	Community Services and Supports - State	
Strategy No.	5	Mental Retardation In-Home Services	
Measure Type	OP		
Measure No.	1	# of Individuals with MR Receiving In-Home and Family Support Per Year	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-04-05 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This is a simple count of individuals that receive an In-Home and Family Support grant through community MR services during a fiscal year. The program provides small financial grants to individuals with intellectual or developmental disabilities so that they may live independently or at home with their families. Uses of these funds include purchase of disability related services such as respite care, specialized therapies and support counseling, adaptive equipment and home modifications, as well as training and non-traditional supports such as in-home parent training to address challenging behaviors. Individuals make co-payments, based on income, and the ongoing annual grant amount cannot exceed \$2,500. In addition, one-time grants for architectural modifications or specialized equipment are available.

BL 2012 Data Limitations

The accuracy of the department's Client Assignment and Registration (CARE) system is dependent upon accurate and timely information being entered into the CARE system by the local MRAs. If information is not entered into the CARE system accurately and within the quarter, this measure will be understated each quarter.

BL 2012 Data Source

As individuals are evaluated for In-Home and Family Support services, registration information is entered into the department's CARE system by staff of the local MRA. All entries into the In-Home and Family Support services CARE system are given a start date of September 1 of the current fiscal year. Production reports of individuals served are issued quarterly based on the information in the CARE system.

BL 2012 Methodology

The total unduplicated number of individuals that receive MR In-Home and Family Support grants during the fiscal year is tallied for each local authority and for the system. The production report lists total number of individuals served year to date.

BL 2012 Purpose

Research shows that among the most important factors in predicting success of services are the involvement of the individuals in selection of the service provider and the scope and duration of the services and supports needed. In-Home and Family Support is a strategy that for over 10 years has offered Texans with intellectual or developmental disabilities and their families this opportunity. It is a model consistent with the trend in health and social services toward voucher-type alternatives. Data suggest that services and supports made available through this sub-strategy have prevented the need for more expensive interventions.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	5	Program of All-inclusive Care for the Elderly (PACE)	
Strategy No.	1	Program of All-inclusive Care for the Elderly (PACE)	
Measure Type	EF		
Measure No.	1	Avg Monthly Cost Per Recipient: Program for All Inclusive Care (PACE)	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-05-01 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost for providing a month of care for a PACE individual. PACE provides community-based services for frail and aging individuals who would qualify for nursing facility placement. A comprehensive care approach is used to provide an array of medical, functional, and day activity services for a capitated monthly fee that is below the cost of comparable institutional care.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

The source for expenditure and recipient data is approved-to pay data from the Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of expenditures for premiums paid to PACE providers during the months of the reporting period divided by the sum of the number of PACE recipients (output measure 1) during the reporting period, divided by the number of months of the reporting period, yields the reported performance. PACE differs from STAR+PLUS in that all PACE recipients are long-term care utilizers. In addition, the PACE premium includes the cost of Medicare co-insurance and deductibles, as well as the cost of prescription drugs.

BL 2012 Purpose

This measure is important because it provides the unit cost associated with providing long-term care and acute care services to PACE recipients. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	5	Program of All-inclusive Care for the Elderly (PACE)	
Strategy No.	1	Program of All-inclusive Care for the Elderly (PACE)	
Measure Type	EX		
Measure No.	1	Number of Persons Receiving Svcs End of Fiscal Year: PACE	

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 01-05-01 EX 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individuals who, based upon approved-to-pay claims, received one or more services under the Program of All-inclusive Care for the Elderly (PACE) during the last month of the fiscal year being reported.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

The source for expenditure and recipient data is approved-to pay data from the Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

This is a simple unduplicated count of individuals who received Program of All-inclusive Care for the Elderly (PACE) services during the last month of the fiscal year being reported.

BL 2012 Purpose

This measure provides a count of individuals served through the agency's PACE project. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	5	Program of All-inclusive Care for the Elderly (PACE)	
Strategy No.	1	Program of All-inclusive Care for the Elderly (PACE)	
Measure Type	OP		
Measure No.	1	Avg # of Recipients Per Month: Program for All Inclusive Care (PACE)	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-05-01 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of individuals who are enrolled in a Program for All Inclusive Care (PACE) managed care model. PACE is a national demonstration project that provides community-based services to frail and aging individuals who qualify for nursing facility placement. It uses a comprehensive care approach, furnishing an array of services for a monthly fee that is below the cost of comparable institutional care. All PACE individuals are dually eligible (Medicare and Medicaid) long-term-care utilizers.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

The source for expenditure and recipient data is approved-to pay data from the Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of the monthly number of PACE recipients for all months of the reporting period is divided by the number of months in the reporting period.

BL 2012 Purpose

This measure provides a count of individuals served through the agency's PACE project. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	1	Nursing Facility Payments	
Measure Type	EF		
Measure No.	1	Average Daily Nursing Facility Rate	

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-06-01 EF 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average daily Medicaid rate (payment) for providing nursing facility care.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the days of service billed for a month of service, the Medicaid payments as well as the amount of individual income contribution ultimately incurred for months that have not yet closed out must be estimated using "completion factors". The concept of completion factors is that data, as of a given number of claims processing months after the months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore for a given month of service, the number of individuals on claims, approved-to-pay to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Month-of-service to-date data that reports by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, the amount of patient's "applied income" associated with approved-to-pay claims, and the amounts approved-to-pay are obtained from the department's MG 7000 report.

BL 2012 Methodology

The measure is computed by adding the sum of the estimated monthly incurred amount of individual income applied to the cost of care for all months in the reporting period, plus the sum of the estimated monthly amount of Medicaid nursing facility payments incurred for all months of the reporting period. This total is then divided by the sum of the total number of Medicaid days of nursing facility service incurred for all months in the reporting period.

BL 2012 Purpose

This measure is important because it quantifies the unit cost of the average rate of reimbursement to nursing facilities for the care provided to eligible Medicaid residents. Texas' reimbursement system has established different rates dependent on the level of care provided. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	1	Nursing Facility Payments	
Measure Type	EF		
Measure No.	2	Avg Amount of Individual Income Applied to the Cost of Care Per Day	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-06-01 EF 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average amount of personal income that individuals apply to the cost of their care per day. After deductions are made for out-of-pocket medical expenses not covered by Medicaid, for living expenses of a spouse living in the community and the \$60 per month that is allowed for personal needs, individuals are required to apply their remaining income toward the cost of their own care.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the days of service billed for a month of service, the amount of individual income contribution ultimately incurred for months that have not yet closed out must be estimated using "completion factors". The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore for a given month of service, the number of individuals on claims approved-to-pay to-date, divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Month-of-service to-date data that reports by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, the amount of patient's "applied income" associated with approved -to-pay claims, and the amounts approved-to-pay are obtained from the department's MG 7000 report.

BL 2012 Methodology

Data are derived by dividing the estimated total amount of individual applied income for a month of service by the Medicaid days of service incurred for the same month of service. The average applied income per (patient) day for a given month of service is then standardized by multiplying by the ratio of calendar days for the month of service to a "standard" month of 30.416 days (365 days per year divided by 12 months). The reported data is calculated by taking the sum of the product of the standardized applied income per day (as calculated above), times the number of Medicaid days incurred for each month of the reporting period, and dividing that sum by the sum of the number of Medicaid days of nursing facility service incurred over the entire reporting period.

BL 2012 Purpose

This measure quantifies the unit cost for one of the components (clients' contribution) in the formula that computes the cost for a day of nursing facility care. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	1	Nursing Facility Payments	
Measure Type	EF		
Measure No.	3	Net Nursing Facility Cost Per Medicaid Resident Per Month	

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-06-01 EF 03
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average net nursing facility cost per Medicaid nursing facility resident (individual) per month.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, the amount of patient's "applied income" associated with approved-to-pay claims, and the amounts approved-to-pay are obtained from the department's MG 7000 report.

BL 2012 Methodology

The average daily nursing home rate for the reporting period less the (standardized) applied income per day for the reporting period equals the standardized net cost per Medicaid resident per day for the reporting period. The standardized net cost per Medicaid resident per day is then multiplied by 30.416 days to obtain the value for the reporting period. See efficiency measures 1 and 2 under this strategy for discussions of each of these components.

BL 2012 Purpose

This measure is important because it is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the total unit cost to DADS for providing Medicaid reimbursed services in a nursing facility. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	1	Nursing Facility Payments	
Measure Type	EF		
Measure No.	4	Average Monthly Cost Per Individual: Personal Needs Allowance	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-06-01 EF 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average amount of the "State Supplementation for Personal Needs Allowance (PNA)" per individual per month. PNA is the amount of money an individual is allowed to retain in order to pay for incidentals that are not provided by the institution. The standard SSI payment for a person in an institution is only \$30 per month. All eligible individuals receive a supplemental payment of \$15 per month.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

The payment amount is established by agency rule and does not vary by client.

BL 2012 Methodology

By agency rule, all eligible individuals receive a supplemental personal needs allowance (PNA) payment of \$15 per month in order to enhance their PNA above the SSI standard payment amount. Since the payment amount is established by agency rule and does not vary by individual, the reported value equals the value stated by rule.

BL 2012 Purpose

This measure is important because it quantifies the benefit amount for individuals who receive this service, which was mandated by the Texas Legislature.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	6		Nursing Facility and Hospice Payments
Strategy No.	1		Nursing Facility Payments
Measure Type	OP		
Measure No.	1		Average Number Receiving Medicaid-funded Nursing Facility Services/Mo

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-06-01 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of individuals receiving Medicaid-funded nursing facility services during the reporting period.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of days of service ultimately incurred must be estimated for months that have not yet closed out, by using "completion factors" applied to the number of days of service on claims approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of days of service on approved-to-pay claims to-date divided by the appropriate completion factor, divided by the number of calendar days in the month equals the estimated number of persons ultimately served.

BL 2012 Data Source

Two types of data are used to compute this measure. The number of individuals authorized to receive the above services is obtained from the department's System for Application, Verification, Eligibility Referral and Reporting (SAVERR) and the Service Authorization System (SAS). Month-of-service to-date data that reports, by type of service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved to-pay, the amount of patient's "applied income" associated with approved-to-pay claims, and the amounts approved to-pay are obtained from the department's MG 7000 report.

BL 2012 Methodology

Data are computed by taking the number of Medicaid days of nursing facility services ultimately incurred for a month of service and dividing by the number of calendar days in the month to derive an average daily census. This result is the average number of individuals receiving services during the month. The reported data are calculated by dividing the sum of the monthly number of individuals receiving Medicaid-funded nursing facility services for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It provides a count of individuals receiving the service that expends the majority of funding appropriated to this strategy. This count is an indication of service demand and is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	1	Nursing Facility Payments	
Measure Type	OP		
Measure No.	2	Average Number Receiving Personal Needs Allowance Per Month	

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-06-01 OP 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average unduplicated number of Medicaid eligible, Supplemental Security Income (SSI) institutional individuals who received a 100% state-funded payment to enhance their "Personal Needs Allowance" (PNA) above the SSI standard payment amount. The PNA is the amount of funds an individual is allowed to retain in order to pay for incidentals that are not provided by the institution. The standard SSI payment for a individual in an institution is only \$30 per month. All eligible individuals receive a supplemental payment of \$15 per month.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Individual counts are obtained from the department's Health and Human Services Administrative System (HHSAS) Financials. The payment amount is established by rule and does not vary by individual.

BL 2012 Methodology

Monthly individual counts for this measure are derived each month by dividing the monthly amount expended for this service by \$15. The monthly average for the reporting period is calculated by dividing the sum of the monthly individual counts for all months in the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure is important because it quantifies the number of individuals who receive this service, which was mandated by the Texas Legislature.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	6	Nursing Facility and Hospice Payments
Strategy No.	2	Medicare Skilled Nursing Facility
Measure Type	EF	
Measure No.	1	Net Medicaid/Medicare Copay Per Individual-Nursing Facility Svcs/Mo

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-06-02 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the net monthly payment per individual receiving co-paid Medicaid/Medicare nursing facility services. The department pays the daily Medicare skilled nursing facility co-insurance payments for individuals who are eligible for both Medicare and Medicaid.

BL 2012 Data Limitations

Since it takes several months to close out 100% of the days of service billed, the Medicaid payments as well as the amount of individual income contribution ultimately incurred, data for months that have not yet closed out must be estimated using "completion factors". The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on claims approved-to-pay to date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Month-of-service to-date data that reports by type-of-service, the number of clients for whom claims have been approved-to-pay, number of units of service approved-to-pay, amount of patients applied income associated with approved-to-pay claims, and amounts approved-to-pay are from DADS' MG 7000 report.

BL 2012 Methodology

Monthly cost/client depends on 2 factors: 1)avg days of svc/client/month, and 2)avg net \$/day of svc. Avg net \$/day of svc is broken down: 1)avg daily co-pmt rate less 2)standardized avg amt of client inc applied to \$ of care/day. Avg daily co-pmt rate for each mo is calculated by dividing tot pmts incurred for a mo of service + tot amt of clientinc applied to \$ of care by the days of scv incurred to-date. Standardized avg amt of client inc applied to \$ of care/day for each mo is derived: divide tot amt of applied income incurred for mo of svc by days of svc incurred for same mo of scv. This avg is standardized by multiplying ratio of calendar days for mo of svc to a "standard" mo of 30.416 days (365 days/yr divided by 12 mos). Avg daily co-pmt rate for each mo less the standardized avg amt of individual inc applied to \$ of care/day for each mo = avg net \$/day of svc for each mo.

Continued Below.

BL 2012 Purpose

Strategy-Related Measures Definitions

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Continuation of Methodology:

For each mo of svc, net pmt/client for copaid Medicaid/Medicare NF svcs is calculated by: multiply avg days of svc/ client X avg net \$/day. Reported data are computed by taking the sum of the product of the net pmt/client (as calculated above),X the # of individuals (receiving co-paid Medicaid/Medicare NF svcs) for each mo of the reporting period, and dividing that amt by the sum of the clients for all mos of reporting period.

Purpose:

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the unit cost for the Medicare co-payment for eligible nursing facility residents. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	2	Medicare Skilled Nursing Facility	
Measure Type	OP		
Measure No.	1	Average Number Receiving Nursing Facility Copayments/Mo	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-06-02 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the monthly average number of persons receiving co-paid Medicaid/Medicare nursing facility services during the reporting period. The department pays the daily Medicare skilled nursing facility co-insurance payments for persons who are eligible for both Medicare and Medicaid.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of persons on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of persons ultimately served.

BL 2012 Data Source

Two types of data are used to compute this measure. The number of individuals authorized to receive the above services is obtained from the department's System for Application, Verification, Eligibility Referral and Reporting (SAVERR) and the Service Authorization System (SAS). Month-of-service to-date data that reports, by type of service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved to-pay, the amount of patient's "applied income" associated with approved-to-pay claims, and the amounts approved to-pay are obtained from the department's MG 7000 report.

BL 2012 Methodology

The reported data are calculated by dividing the sum of the monthly number of persons receiving co-paid Medicaid/ Medicare nursing facility services for all months of the reporting period by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It provides a count of persons receiving one of the services funded under this strategy. This count is an indication of service demand and is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	3	Hospice	
Measure Type	EF		
Measure No.	1	Average Net Payment Per Individual Per Month for Hospice	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-06-03 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average net cost per individual per month for Hospice Services. Expenditures are defined as payments made to providers for services delivered to clients, as well as incurred amounts for services delivered but not yet paid. The average monthly number of Medicaid Hospice clients is defined under output measure 1.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Month-of-service to-date data that reports by type-of-service, the number of clients for whom claims have been approved-to-pay, the number of units of service approved-to-pay, the amount of patient's "applied income" associated with approved-to-pay claims, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of the monthly expenditures for Medicaid hospice services (by month-of-service) for all months in the reporting period, divided by the average monthly number of Medicaid hospice clients for the reporting period, divided by the number of months in the reporting period yields the reported performance.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the total unit cost to the agency for providing Medicaid reimbursed hospice services. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	6		Nursing Facility and Hospice Payments
Strategy No.	3		Hospice
Measure Type	OP		
Measure No.	1		Average Number of Individuals Receiving Hospice Services Per Month

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-06-03 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average of the unduplicated monthly number of individuals receiving Hospice services during the reporting period.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals ultimately served must be estimated for months that have not yet closed out, by using "completion factors" specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the number of individuals on approved-to-pay claims to-date divided by the appropriate completion factor equals the estimated number of individuals ultimately served.

BL 2012 Data Source

Month-of-service to-date data that reports, by type of service, the number of clients for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department's Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The reported data are calculated by dividing the sum of the monthly number of individuals receiving Hospice services for all months of the reporting period by the number of months in the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It provides a count of individuals receiving one of the services funded under this strategy. This count is an indication of service demand and is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	4	Promote Independence by Providing Community-based Services	
Measure Type	EF		
Measure No.	1	Average Monthly Cost Per Individual Served: Promoting Independence	

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-06-04 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average monthly cost of long term services and supports waiver services provided to Rider 14 individuals. Expenditures are defined as payments made to providers for services delivered to individuals as well as incurred amounts for services delivered but not yet paid. The average monthly number of Rider 14, Promoting Independence individuals (80th Texas Legislature) is defined under output measure 1 of this strategy.

BL 2012 Data Limitations

Because it takes several months to close out 100% of the claims for a month of service, the number of individuals as well as cost per individual per month ultimately served must be estimated for months that have not yet closed out, by using “completion factors” specific to each service applied to the number of individuals approved-to-pay to-date and/or the number of individuals authorized to receive services, the units of service approved-to-pay to-date, and the payment amounts approved-to-pay to-date. The concept of completion factors is that data, as of a given number of claims processing months after the month of service, can be considered a certain percent complete based upon historical patterns. Therefore, for a given month of service, the payment amounts approved-to-pay to-date divided by the appropriate completion factor equals the estimated expenditures ultimately incurred.

BL 2012 Data Source

Month-of-service to-date data that reports, by type-of-service, the number of individuals for whom claims have been approved-to-pay, the number of units of service approved-to-pay, and the amounts approved-to-pay are obtained from the department’s Claims Management System (CMS) by means of ad hoc query.

BL 2012 Methodology

The sum of monthly long-term services and supports waiver expenditures for Rider 14 individuals by month-of-service for all months in the reporting period is divided by the average monthly number of Rider 14 individuals for the months of the reporting period; this is then divided by the number of months in the reporting period.

BL 2012 Purpose

This measure partially quantifies the Department of Aging and Disability Services (DADS) success in its “Promoting Independence” efforts. As individuals relocate from nursing facilities to community services and supports, Rider 14, 80th Legislature, allows the DADS to transfer funds from nursing facilities to community services and supports to cover the cost of shift in services.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	6	Nursing Facility and Hospice Payments	
Strategy No.	4	Promote Independence by Providing Community-based Services	
Measure Type	OP		
Measure No.	1	Avg # of Individuals Served Through Promoting Independence Per Month	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-06-04 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of individuals who are successfully moved from a nursing facility into long-term services and supports waiver services provided in the community, and paid for by the State of Texas. Individuals must be residing in a Texas nursing facility immediately prior to transitioning, and their nursing home stay must have been eligible for reimbursement by Medicaid (80th Texas Legislature, Rider 14: Promoting Independence).

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Individuals meeting the above criteria are identified and tracked through the Department of Aging and Disability Services (DADS) Service Authorization System (SAS). Counts are reported through SAS on a monthly basis.

BL 2012 Methodology

Counts are collected on a monthly basis. The monthly average for the reporting period is calculated by dividing the sum of the monthly number of Rider 14 clients (as described above) for all months of the reporting period, by the number of months in the reporting period.

BL 2012 Purpose

This measure partially quantifies DADS' success in its "Promoting Independence" efforts. As clients relocate from nursing facilities to community care services, Rider 14, Eightieth Legislature, allows the Department to transfer funds from nursing facilities to community care services to cover the cost of shift in services.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EF		
Measure No.	1	Monthly Cost Per ICF/MR Medicaid Eligible Individual	

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This efficiency measure is the average monthly cost per individual in Community Intermediate Care Facilities for the Mentally Retarded (ICF/MR).

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of ICF/MR services up to 365 days after the end of the service month. Therefore, for the current fiscal year, the numerator is an estimated expenditure amount based on prior period billing data and the denominator is actual service authorizations for the current quarter.

BL 2012 Data Source

The measure is derived from service authorizations and billing data provided on a monthly basis. The calculation uses the average billing rate per individual from the Claims Management System (CMS). The actual billing rates are already net of applied income. Since there is a full twelve-month billing window, the average billing rate is an average of the prior months that are complete. The calculation also uses the monthly number of service authorizations from the Client Assignment and Registration (CARE) system. This combination of service authorizations and average billing rates is used rather than utilizing the billing system alone because of the twelve month billing window for submitting claims.

BL 2012 Methodology

The average billing rate for each month is multiplied by the number of service authorizations to determine a monthly expenditure amount. The monthly expenditure amount for each of the three months in the reporting quarter is summed. The number of service authorizations for each of the three months in the reporting quarter is also summed. The quarterly expenditure amount is divided by the quarterly number of service authorizations for an average monthly cost per individual for the reporting quarter. Due to the large billing window in this program, the values reported in the Automated Budget and Evaluation System of Texas (ABEST) will not be updated to reflect actual average monthly billing rates from the billing system alone until a year later. In ABEST, the reported values for each quarter of the previous fiscal year will be updated upon submission of either the Operating Budget or the Legislative Appropriations Request (LAR) document.

BL 2012 Purpose

This measure allows the agency to track the cost, over time, of ICF/MR services provided to individuals served by state operated and non-state operated providers.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	1	Number of Individuals in ICF/MR Medicaid Beds Per Year	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides an unduplicated workload count of individuals who received ICF/MR services during the fiscal year.

BL 2012 Data Limitations

ICF/MR providers are allowed to submit claims no longer than 365 days from the month the service was provided in order for the claims to be paid. Although most providers do not delay submission of claims for this amount of time, any delay in submission of claims beyond the period being reported will result in this measure being understated.

BL 2012 Data Source

The number of persons served in any period is based on service authorization data, which is made at the time an individual is approved for ICF/MR placement/reimbursement. Service authorization information is entered into the department's Client Assignment and Registration (CARE) system. A monthly Department of Aging and Disability Services (DADS) production report (ICF/MR Program Data Report) is generated from the database and provides information about the number of individuals with service authorizations by size of facility and level of need.

BL 2012 Methodology

This is a simple unduplicated count of individuals that received ICF/MR services during the fiscal year.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	7		Intermediate Care Facilities - Mental Retardation
Strategy No.	1		Intermed Care Facilities - for Persons w/ MR (ICF/MR)
Measure Type	EX		
Measure No.	2		Number ICF/MR Individuals with Residential Length of Stay 0-12 Months

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure relates to the length of stay for an individual in an ICF/MR and reports the number of individuals whose length of stay is one year or less. A length of stay is defined as date of authorization to date of an absence from the facility for more than 30 days.

BL 2012 Data Limitations

None

BL 2012 Data Source

Information about individual movement is entered into the department's Client Assignment and Registrations (CARE) system. Movement includes admission, absence and discharge. From this CARE system, the number of days from admission (date of authorization) to present can be calculated.

BL 2012 Methodology

This measure is calculated for individuals residing in an ICF/MR on the last day of the fiscal year. For all persons residing in the facilities who have not been absent from their facility for more than 30 days during the year, the total days from the date of authorization to the end of the reporting period are counted. From this total count of individuals, the number of individuals in an ICF/MR for one through 365 days is counted.

BL 2012 Purpose

These facilities are intended to provide long-term services and supports for individuals with intellectual and developmental disabilities that need or desire 24-hour supervised living environments. The number of individuals with shorter lengths of stay is relatively insignificant. These facilities have a stable number of residents and new admissions to facilities are dependent upon a bed becoming available.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	3	Number ICF/MR Individuals with Residential Length of Stay 13-23 Mths	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 03

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure relates to the length of stay for an individual in an ICF/MR and reports the number of individuals whose length of stay is 366 days through 730 days. A length of stay is defined as date of authorization to date of an absence from the facility for more than 30 days.

BL 2012 Data Limitations

None

BL 2012 Data Source

Information about individual movement is entered into the department's Client Assignment and Registration (CARE) system. Movement includes admission, absence and discharge. From this CARE system, the number of days from admission (date of authorization) to present can be calculated.

BL 2012 Methodology

This measure is calculated for individuals residing in an ICF/MR on the last day of the fiscal year. For all persons residing in the facilities who have not been absent from their facility for more than 30 days during the year, the total days from the date of authorization to the end of the reporting period are counted. From this total count of individuals, the number of individuals in an ICF/MR for 366 through 730 days is counted.

BL 2012 Purpose

These facilities are intended to provide long-term services and supports for individuals with intellectual and developmental disabilities that need or desire 24-hour supervised living environments. The number of individuals with shorter lengths of stay is relatively insignificant. These facilities have a stable number of residents and new admissions to facilities are dependent upon a bed becoming available.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	4	Number ICF/MR Individuals with Residential Length of Stay 24+ Months	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 04

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure relates to the length of stay for an individual in an ICF/MR and reports the number of persons whose length of stay is 731 days or more. A length of stay is defined as date of authorization to date of an absence from the facility for more than 30 days.

BL 2012 Data Limitations

None

BL 2012 Data Source

Information about individual movement is entered into the department's Client Assignment and Registration (CARE) system. Movement includes admission, absence and discharge. From this CARE system, the number of days from admission (date of authorization) to present can be calculated.

BL 2012 Methodology

This measure is calculated for individuals residing in an ICF/MR on the last day of the fiscal year. For all individuals residing in the facilities who have not been absent from their facility for more than 30 days during the year, the total days from the date of authorization to the end of the reporting period are counted. From this total count of individuals, the number of individuals in an ICF/MR for 731 days or more is counted.

BL 2012 Purpose

These facilities are intended to provide long-term services and supports for individuals with intellectual and developmental disabilities that need or desire 24-hour supervised living environments. The number of individuals with shorter lengths of stay is relatively insignificant. These facilities have a stable number of residents and new admissions to facilities are dependent upon a bed becoming available.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	7		Intermediate Care Facilities - Mental Retardation
Strategy No.	1		Intermed Care Facilities - for Persons w/ MR (ICF/MR)
Measure Type	EX		
Measure No.	5		Average Monthly Number of Individuals in ICF/MR, 1-8 Beds

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 05
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average monthly number of individuals who reside in community ICFs/MR that have eight beds or less.

BL 2012 Data Limitations

ICF/MR providers are allowed to submit claims no longer than 365 days from the month service was provided in order for the claims to be paid. Although most providers do not delay submission of claims for this amount of time, any delay in submission of claims beyond the period being reported will result in this measure being understated.

BL 2012 Data Source

The number of persons served in any period is based on service authorization data, which is made at the time an individual is approved for ICF/MR placement/reimbursement. Service authorization information is entered into the department's Client Assignment and Registration (CARE) system. A monthly Department of Aging and Disability Services (DADS) production report (ICF-MR Program Data Report) is generated from the database and provides information about number of persons with service authorizations by size of facility and level of need.

BL 2012 Methodology

Number of persons served is defined as number of service authorizations. The number of service authorizations each month of the period for ICFs/MR with eight beds or less is counted. The numerator is the sum of the monthly number of service authorizations for ICF/MR for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	6	Monthly Cost Per ICF/MR Medicaid Eligible Individual, 1 to 8 Beds	

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 06
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average cost per individual in community ICF/MR that have eight beds or less.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of ICF-MR services up to 365 days after the end of the service month. Therefore, for the current fiscal year, the numerator is an estimated expenditure amount based on prior period billing data and the denominator is actual service authorizations for the current quarter.

BL 2012 Data Source

The measure is derived from service authorizations and billing data provided on a monthly basis. The calculation uses the average billing rate per individual from the Claims Management System (CMS). The actual billing rates are already net of applied income. Since there is a full twelve-month billing window, the average billing rate is an average of the prior months that are complete. The calculation also uses the monthly number of service authorizations from the Client Assignment and Registration (CARE) system. This combination of service authorizations and average billing rates is used rather than utilizing the billing system alone because of the twelve month billing window for submitting claims.

BL 2012 Methodology

The average billing rate for each month is multiplied by the number of service authorizations to determine a monthly expenditure amount. The monthly expenditure amount for each of the three months in the reporting quarter is summed. The number of service authorizations for each of the three months in the reporting quarter is also summed. The quarterly expenditure amount is divided by the quarterly number of service authorizations for an average monthly cost per individual for the reporting quarter. Due to the large billing window in this program, the values reported in the Automated Budget and Evaluation System of Texas (ABEST) will not be updated to reflect actual average monthly billing rates from the billing system alone until a year later. In ABEST, the reported values for each quarter of the previous fiscal year will be updated upon submission of either the Operating Budget or the Legislative Appropriations Request (LAR) document.

BL 2012 Purpose

This measure allows the agency to track the cost, over time, of ICF/MR services provided to individuals served by state operated and non-state operated providers.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	7		Intermediate Care Facilities - Mental Retardation
Strategy No.	1		Intermed Care Facilities - for Persons w/ MR (ICF/MR)
Measure Type	EX		
Measure No.	7		Average Monthly Number of Individuals in ICF/MR, 9-13 Beds

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 07

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average monthly number of individuals who reside in community ICF/MR that have more than eight beds but less than 14 beds.

BL 2012 Data Limitations

ICF/MR providers are allowed to submit claims no longer than 365 days from the month the service was provided in order for the claims to be paid. Although most providers do not delay submission of claims for this amount of time, any delay in submission of claims beyond the period being reported will result in this measure being understated.

BL 2012 Data Source

The number of individuals served in any period is based on service authorization data, which is made at the time an individual is approved for ICF/MR placement/reimbursement. Service authorization information is entered into the department's Client Assignment and Registration (CARE) system. A monthly Department of Aging and Disability Services (DADS) production report (ICF/MR Program Data Report) is generated from the database and provides information about number of persons with service authorizations by size of facility and level of need.

BL 2012 Methodology

Number of individuals served is defined as number of service authorizations. The number of service authorizations each month of the period for ICFs/MR with more than eight beds but less than fourteen beds is counted. The numerator is the sum of the monthly number of service authorizations for ICFs/MR for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	8	Monthly Cost Per ICF/MR Medicaid Eligible Individual, 9-13 Beds	

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 08
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average cost per individual in community ICF/MR that have more than eight beds but less than 14 beds.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of ICF/MR services up to 365 days after the end of the service month. Therefore, for the current fiscal year, the numerator is an estimated expenditure amount based on prior period billing data and the denominator is actual service authorizations for the current quarter.

BL 2012 Data Source

The measure is derived from service authorizations and billing data provided on a monthly basis. The calculation uses the average billing rate per individual from the Claims Management System (CMS). The actual billing rates are already net of applied income. Since there is a full twelve-month billing window, the average billing rate is an average of the prior months that are complete. The calculation also uses the monthly number of service authorizations from the Client Assignment and Registration (CARE) system. This combination of service authorizations and average billing rates is used rather than utilizing the billing system alone because of the twelve month billing window for submitting claims.

BL 2012 Methodology

The average billing rate for each month is multiplied by the number of service authorizations to determine a monthly expenditure amount. The monthly expenditure amount for each of the three months in the reporting quarter is summed. The number of service authorization for each of the three months in the reporting quarter is also summed. The quarterly expenditure amount is divided by the quarterly number of service authorizations for an average monthly cost per individual for the reporting quarter. Due to the large billing window in this program, the values reported in the Automated Budget and Evaluation System of Texas (ABEST) will not be updated to reflect actual average monthly billing rates from the billing system alone until a year later. In ABEST, the reported values for each quarter of the previous fiscal year will be updated upon submission of either the Operating Budget or the Legislative Appropriations Request (LAR) document.

BL 2012 Purpose

This measure allows the agency to track the cost, over time, of ICF/MR services provided to individuals served by state operated and non-state operated providers.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	9	Average Monthly Number of Individuals in ICF/MR, 14+ Beds	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 09

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average monthly number of individuals who reside in community ICF/MR which have 14 beds or greater.

BL 2012 Data Limitations

ICF/MR providers are allowed to submit claims no longer than 365 days from the month the service was provided in order for the claims to be paid. Although most providers do not delay submission of claims for this amount of time, any delay in submission of claims beyond the period being reported will result in this measure being understated.

BL 2012 Data Source

The number of individuals served in any period is based on service authorization data, which is made at the time an individual is approved for ICF/MR placement/reimbursement. Service authorization information is entered into the department's Client Assignment and Registration (CARE) system. A monthly Department of Aging and Disability Services production report (ICF/MR Program Data Report) is generated from the database and provides information about number of individuals with service authorizations by size of facility and level of need.

BL 2012 Methodology

Number of individuals served is defined as number of service authorizations. The number of service authorizations each month of the period for ICFs/MR with fourteen or more beds is counted. The numerator is the sum of the monthly number of service authorizations for ICFs/MR for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR beds with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	10	Monthly Cost Per ICF/MR Medicaid Eligible Individual, 14+ Beds	

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 10

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average cost per individual in community ICF/MR that have 14 or more beds.

BL 2012 Data Limitations

Original claims for services provided may be submitted by providers of ICF/MR services up to 365 days after the end of the service month. Therefore, for the current fiscal year, the numerator is an estimated expenditure amount based on prior period billing data and the denominator is actual service authorizations for the current quarter.

BL 2012 Data Source

The measure is derived from service authorizations and billing data provided on a monthly basis. The calculation uses the average billing rate per individual from the Claims Management System (CMS). The actual billing rates are already net of applied income. Since there is a full twelve-month billing window, the average billing rate is an average of the prior months that are complete. The calculation also uses the monthly number of service authorizations from the Client Assignment and Registration (CARE) system. This combination of service authorizations and average billing rates is used rather than utilizing the billing system alone because of the twelve month billing window for submitting claims.

BL 2012 Methodology

The average billing rate for each month is multiplied by the number of service authorizations to determine a monthly expenditure amount. The monthly expenditure amount for each of the three months in the reporting quarter is summed. The number of service authorizations for each of the three months in the reporting quarter is also summed. The quarterly expenditure amount is divided by the quarterly number of service authorizations for an average monthly cost per individual for the reporting quarter. Due to the large billing window in this program, the values reported in the Automated Budget and Evaluation System of Texas (ABEST) will not be updated to reflect actual average monthly billing rates from the billing system alone until a year later. In ABEST, the reported values for each quarter of the previous fiscal year will be updated upon submission of either the Operating Budget or the Legislative Appropriations Request (LAR) document.

BL 2012 Purpose

This measure allows the agency to track the cost, over time, of ICF/MR services provided to individuals served by state operated and non-state operated providers.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	7	Intermediate Care Facilities - Mental Retardation
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)
Measure Type	EX	
Measure No.	11	Average Monthly Number of ICF/MR Medicaid Beds, 8 or Less

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 11

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average number of certified beds in community ICF/MR that have eight beds or less.

BL 2012 Data Limitations

None.

BL 2012 Data Source

The Department of Aging and Disability Services (DADS) maintains a database within the Client Assignment and Registration (CARE) system of all ICF/MR providers that contains information about location and size of each facility. The agency certifies beds for the purpose of Medicaid reimbursement. The number of certified beds determines the size of the facility.

BL 2012 Methodology

The number of Medicaid certified beds in ICFs/MR with eight beds or less each month is determined for the last day of the month. The measure is the average number of beds each month as calculated for the reporting quarter and year-to-date. The numerator is the sum of the monthly bed count for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	12	Average Monthly Number of ICF/MR Beds, 9-13	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 12
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average number of certified beds in community ICF/MR which have greater than eight beds but less than 14.

BL 2012 Data Limitations

None

BL 2012 Data Source

DADS maintains a database within the Client Assignment and Registration (CARE) system of all ICF/MR providers that contains information about location and size of each facility. The agency certifies beds for the purpose of Medicaid reimbursement. The number of certified beds determines the size of the facility.

BL 2012 Methodology

The number of Medicaid certified beds in ICFs/MR with nine to thirteen beds each month is determined for the last day of the month. The measure is the average number of beds each month as calculated for the reporting quarter and year-to-date. The numerator is the sum of the monthly bed count for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	7	Intermediate Care Facilities - Mental Retardation	
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)	
Measure Type	EX		
Measure No.	13	Average Monthly Number of ICF/MR Medicaid Beds, 14+	

Calculation Method: N **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 EX 13

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This explanatory measure is the average number of certified beds in community ICFs/MR which have 14 beds or greater.

BL 2012 Data Limitations

None

BL 2012 Data Source

The Department of Aging and Disability Services (DADS) maintains a database within the Client Assignment and Registration (CARE) system of all ICF/MR providers that contains information about location and size of each facility. The Department certifies beds for the purpose of Medicaid reimbursement. The number of certified beds determines the size of the facility.

BL 2012 Methodology

The number of Medicaid certified beds in ICFs/MR with fourteen beds or more is determined for the last day of the month. The measure is the average number of beds each month as calculated for the reporting quarter and year-to-date. The numerator is the sum of the monthly bed count for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	7	Intermediate Care Facilities - Mental Retardation
Strategy No.	1	Intermed Care Facilities - for Persons w/ MR (ICF/MR)
Measure Type	OP	
Measure No.	1	Average Number of Persons in ICF/MR Medicaid Beds Per Month

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This output measure is the average number of individuals who reside in all Community ICF/MRs.

BL 2012 Data Limitations

ICF/MR providers are allowed to submit claims no longer than 365 days from the end of the month the service was provided in order for the claims to be paid. Although most providers do not delay submission of claims for this amount of time, any delay in submission of claims beyond the period being reported will result in this measure being understated. Values reported in the Automated Budget and Evaluation System of Texas (ABEST) will be updated regularly and when the appropriation year closes.

BL 2012 Data Source

The number of individuals served in any period is based on service authorization data, which is made at the time an individual is approved for ICF/MR placement/reimbursement. Service authorization information is entered into the department's Client Assignment and Registration (CARE) system. A monthly production report (ICF/MR Program Data Report) is generated from the database and provides information about number of individuals with service authorizations by size of facility and level of need.

BL 2012 Methodology

Number of individuals served is defined as number of service authorizations. The number of service authorizations each month of the period for all ICFs/MR is counted. The measure is the average number of individuals in ICFs/MR each month as calculated for the reporting quarter and year-to-date. The numerator is the sum of the monthly number of service authorizations for ICFs/MR for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 539	Agency: Aging and Disability Services, Department of
Goal No.	1 Long-term Services and Supports
Objective No.	7 Intermediate Care Facilities - Mental Retardation
Strategy No.	1 Intermed Care Facilities - for Persons w/ MR (ICF/MR)
Measure Type	OP
Measure No.	2 Average Number of ICF/MR Medicaid Beds Per Month

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-07-01 OP 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This output measure is the average number of certified beds in all Community ICF/MR.

BL 2012 Data Limitations

None

BL 2012 Data Source

The Department of Aging and Disability Services (DADS) utilizes the Client Assignment and Registration (CARE) system database of all ICF/MR providers that contains information about location and size of each facility. DADS staff certifies beds for the purpose of Medicaid reimbursement. The number of certified beds determines the size of the facility.

BL 2012 Methodology

The total number of Medicaid certified beds in all ICFs/MR each month is determined for the last day of the month. The measure is the average number of beds each month as calculated for the reporting quarter and year-to-date. The numerator is the sum of the monthly bed count for each month of the reporting period. The denominator is the number of months in the reporting period. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate ICF/MR Medicaid beds with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	8		State Supported Living Centers
Strategy No.	1		State Supported Living Centers
Measure Type	EF		
Measure No.	1		Average Monthly Cost Per Campus Resident

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EF 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures information regarding what it costs the Department of Aging and Disability Services (DADS) each month, on average, to provide State Supported Living Centers and State Center services.

BL 2012 Data Limitations

Data must be current and accurate in the department's Client Assignment and Registration (CARE) system as of the date the reports are produced.

BL 2012 Data Source

Funding for SSLC campus residential services includes the federal portion of Medicaid, Medicare, other federal interagency grants and reimbursements, third party/patient fees, state general revenue match for Medicaid, and other funds. The department's accounting system contains all expenditure data for the state facilities. Costs include both facility administrative and residential operations. Excluded costs include depreciation, employee benefits paid by the Employee Retirement System, Central Office administrative costs and statewide administrative costs.

BL 2012 Methodology

The numerator is the total expenditures paid for by DADS for SSLC campus residential services for each month in the reporting period divided by the number of months in the reporting period. The denominator is the average monthly number of state mental retardation campus residents. The formula is numerator/denominator.

BL 2012 Purpose

This measure allows the agency to track the cost of an occupied bed at an SSLC campus over time. This is of particular importance in light of increased health care costs due to the complex medical and behavioral needs of the current state supported living center residents.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	8		State Supported Living Centers
Strategy No.	1		State Supported Living Centers
Measure Type	EF		
Measure No.	2		Avg # Days Individuals w/IDD Wait for Admission Any Living Ctr Campus

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EF 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides the number of days that individuals with intellectual or developmental disability wait for admission to a state supported living center (SSLC), when the individual would accept admission to any center in the state.

BL 2012 Data Limitations

If an individual submits an application packet for a specific SSLC and subsequently decides to accept admission to any center with an appropriate vacancy, the individual is moved from the database for a specific SSLC to the database for any SSLC effective on the first day of the month of the change. When the individual is subsequently admitted to a SSLC, the number of days the individual waited for admission will be calculated from the date of initial referral for a specific SSLC. The effect of this methodology will be an increase in the average days individuals wait for admission to any SSLC. However, there does not seem to be a more precise method of calculating days that an individual waits for admission.

BL 2012 Data Source

The source of the data is the completed application packet. Once the packet is received at the local SSLC, center staff will review the packet for completeness. If all required information is included in the application packet, center staff will input the referral information into a desktop database that is electronically submitted to the State SSLC division at the Department of Aging and Disability Services (DADS) by the local center. (Maintaining this information in the Client Assignment and Registration (CARE) system is being studied and may be implemented at some future time.)

BL 2012 Methodology

This is an average of days that all individuals wait for admission to a SSLC when any center would be acceptable. The numerator is the total of all days that individuals waited for admission to any SSLC for those individuals admitted to a SSLC during the quarter. The denominator is the number of individuals admitted to a facility during the reporting period from the waiting list for any SSLC. The formula is numerator/denominator. For year-to-date each quarter: The numerator is the sum of days all individuals admitted during the months from the beginning of the fiscal year to the end of the current quarter waited for admission to any facility. The denominator is the number of individuals admitted from the waiting list for any SSLC since the beginning of the fiscal year. The formula is numerator /denominator.

BL 2012 Purpose

Admissions to SSLCs are based on specific criteria as defined in Texas Administrative Code, Chapter 412, Subchapter F, Continuity of Services - State Mental Retardation Facilities. Individuals are considered to be waiting for admission to a SSLC upon receipt of a completed application packet by the SSLC. Responsibility for completion of the application packet to a SSLC rests with the local MRA as provided in 25 TAC A §412.265. Number of days that an individual waits for admission reflects the availability of services and efficiency of the system in accommodating individual choice.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	EF		
Measure No.	3	Avg # Days Individuals w/MR Wait Admission Specific Living Ctr Campus	

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EF 03

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides the number of days that individuals with intellectual and developmental disabilities wait for admission to a state supported living center (SSLC), when the individual would only accept admission to a specific center.

BL 2012 Data Limitations

If an individual submits an application packet for a specific SSLC and subsequently decides to accept admission to any center with an appropriate vacancy, the individual is moved from the database for a specific SSLC to the database for any SSLC effective on the first day of the month of the change. When the individual is subsequently admitted to a SSLC, the number of days the individual waited for admission will be calculated from the date of initial referral for a specific SSLC. This methodology should not affect the average days persons wait for admission to a specific SSLC.

BL 2012 Data Source

The source of the data is the completed application packet. Once the packet is received at the local SSLCF, center staff will review the packet for completeness. If all required information is included in the application packet, center staff will input the referral information into a desktop database that is electronically submitted to the State SSLC division at the Department of Aging and Disability Services (DADS) by the local facility. (Maintaining this information in the Client Assignment and Registration (CARE) system is being studied and may be implemented at some future time.)

BL 2012 Methodology

This is an average of days that all individuals wait for admission to a specified SSLC. The numerator is the total of all days that individuals waited for admission to a specific SSLC for those individuals admitted to a SSLC during the quarter. The denominator is the number of individuals admitted to a center during the reporting period from the waiting list for a specific SSLC. The formula is numerator/denominator. For year-to-date each quarter: The numerator is the sum of days all individuals admitted during the months from the beginning of the fiscal year to the end of the current quarter waited for admission to a specific facility. The denominator is the number of individuals admitted from the waiting list for a specific SSLC since the beginning of the fiscal year. The formula is numerator/denominator.

BL 2012 Purpose

Admissions to SSLCs are based on specific criteria as defined in Texas Administrative Code, Chapter 412, Subchapter F, Continuity of Services - State Mental Retardation Facilities. Individual are considered to be waiting for admission to a SSLC upon receipt of a completed application packet by the designated SSLC. Responsibility for completion of the application packet to a SSLC rests with the local Mental Retardation Authority as provided in 25 TAC Â§412.265. Number of days that an individual waits for admission reflects the availability of services and efficiency of the system in accommodating individual choice.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	EX		
Measure No.	1	Number of LC Campus Residents Who Are under 18 Years of Age Per Year	

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EX 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides a snapshot look at the age of residents in state supported living centers (SSLC). Of concern in this measure are those residents who are children and adolescents and require compliance with federal and state regulations pertaining to education.

BL 2012 Data Limitations

None

BL 2012 Data Source

Individuals employed by the SSLC enter the date of birth at time of admission into the department's system. A standard production report provides the number of customers served less than 18 years of age.

BL 2012 Methodology

This measure is a simple unduplicated count of SSLC residents between the ages of 0 and 17 (inclusive). It is a point in time measure obtained on the last day of the state fiscal year (8/31).

BL 2012 Purpose

This measure allows the agency to track the proportion of children and adolescents residing in SSLCs for planning purposes. Individuals with intellectual and developmental disabilities who are in residence at SSLCs include school aged youth whose educational needs are largely met by the school system.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	1	Long-term Services and Supports
Objective No.	8	State Supported Living Centers
Strategy No.	1	State Supported Living Centers
Measure Type	EX	
Measure No.	2	Avg # Day Individls Interested LV Center Placement Wait Admission

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EX 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure captures the length of time an individual waits for admission to a State Supported Living Center (SSLC). The wait time begins with the submission of written information to the SSLC and ends with actual admission to a center.

BL 2012 Data Limitations

The calculation methodology for this measure includes length of time an individual actually waits for admission and the length of time it takes an individual to complete the application process. The accuracy of the SSLC interest list is dependent upon submission of written information to the SSLC by the Mental Retardation Authority (MRA).

BL 2012 Data Source

When a individual seeking SSLC admision on behalf of an individual w IDD begins the applicaton process, the individuals name is put into DADS CARE database (DB) as an inquiry for SSLC services by facilty staff. When a completed app packet is recvd by the SSLC, DB is updated to indicate the person is waiting for admision. Once the individual is admitted to a SSLC, the DB system inputs the admision status date into the DB. If an individual is found to not meet the criteria for SSLCs, MRA staff notify the individual of the right to appeal (as defined by 25TAC Chapter 412, Subchapter F, Continuity of Services-State Mental Retardation Facilities). MRA staff notify the center, which will remove the individual from the list if the appeal is not pursued or upheld. If the individual completng the applicaton decides to not pursue the app process, MRA staff will notify the center which will input that status date to remove the individual from the list.

BL 2012 Methodology

At the end of the fiscal year, the total number of individuals on the list from inception of the list who continue to be on the list or who have been admitted in a SSLC is calculated. This calculation will exclude those individuals who are no longer on the list due to withdrawal of interest or inactivation. The number of days that the identified individuals either waited for admission or have been on the list since their start date is also calculated. The numerator is the number of days individuals were on the list from the begin date to the admission date plus the number of days individuals were on the list from the begin date to the current date. The denominator is the total number of individuals on the list as either active or admitted. The formula is numerator / denominator.

BL 2012 Purpose

The length of time an individual waits for admission to a SSLC reflects the accessibility of services.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	EX		
Measure No.	3	Number Interested In Living Center Placement	

Calculation Method: C **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EX 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides a simple count of individuals who express an interest in pursuing State Supported Living Center (SSLC) admission by initiating an application for such admission. For purposes of this measure, interest is defined as beginning the application process for SSLCs.

BL 2012 Data Limitations

The accuracy of the SSLC interest list is dependent upon the submission of written information to the facility by the Mental Retardation Authority (MRA). This measure captures an unduplicated count of individuals throughout the year regardless of on-going or continued interest and does not provide data regarding number of individuals interested in SSLC admission on any given day.

BL 2012 Data Source

When an individual seeking SSLC admission on behalf of an individual with intellectual and developmental disabilities begins the application process, the name of the individual is entered into the Department of Aging and Disability Services (DADS) Client Assignment and Registration (CARE) system database as an "inquiry" for SSLC services. Staff of the SSLC input this data into the database.

BL 2012 Methodology

This is a continuous simple count of individuals from point of interest to admission. The count includes the number of individuals on the interest list on the first day of the fiscal year and all additions and subtractions to the list during the fiscal year.

BL 2012 Purpose

SSLC admissions (other than placements pursuant to the Family Code) are initiated by family members and legally authorized representatives following discussions of residential options with staff of the local Mental Retardation Authorities (MRAs).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	EX		
Measure No.	4	Number of LC Campus Residents Per Year	

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 EX 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides an unduplicated workload count of individuals receiving State Supported Living Center (SSLC) services during one fiscal year.

BL 2012 Data Limitations

Data must be current and accurate in the department's Client Assignment and Registration (CARE) system as of the date the reports are produced.

BL 2012 Data Source

Enrollment data are obtained from the department's CARE system. Standard production reports from the CARE system provide the unduplicated number of individuals served during the year by the SSLCs.

BL 2012 Methodology

This measure is a simple count of individuals with one day or longer in residence at a SSLC at any time during the state fiscal year.

BL 2012 Purpose

This measure provides the actual number of individuals who reside at a SSLC campus at any time during the year.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	OP		
Measure No.	1	Average Monthly Number of SSLC Campus Residents	

Calculation Method: N **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides the number of individuals enrolled in State Supported Living Center campus residential services each month on average. Enrollment is defined as the total number of individuals residing at the facility or absent for such purposes as home visits, hospitalizations, etc. with the intention of returning to the facility. Intellectual and developmental disability campus services are provided at state supported living centers.

BL 2012 Data Limitations

None

BL 2012 Data Source

This is average monthly enrollment. Enrollment is the census plus all absences (individuals are expected to return to the facility). Enrollment data is obtained from the department's Client Assignment and Registration (CARE) system. All individuals enrolled in SSLC facilities have an assignment code in the CARE system that indicates whether the person is on campus or absent from the campus with reason for absence. A standard production report (HC021950) from the CARE system provides the information.

BL 2012 Methodology

The numerator is the total number of individuals absent or present in all state supported living center facilities for each month in the reporting period (as shown in report HC021950). The denominator is the number of months in the reporting period, quarter or year to date. The formula is numerator/denominator.

BL 2012 Purpose

This measure reflects the system-wide level of activity occurring over time and allows the agency to associate the utilization of state supported living center campus services with related costs and outcomes.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	8		State Supported Living Centers
Strategy No.	1		State Supported Living Centers
Measure Type	OP		
Measure No.	2		Avg Mthly # Individuals w/IDD Waiting Admission Any State Supported LC

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 OP 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides the number of individuals with intellectual or developmental disabilities requesting residential services in a state supported living center facility (SSLC) anywhere in the state, on average any given month.

BL 2012 Data Limitations

The count includes only those individuals for whom a completed application has been received and admission to any facility in the state is acceptable to the individual or legally authorized representative.

BL 2012 Data Source

When an individual with intellectual or developmental disability or the individual's legally authorized representative requests residential services in a SSLC, and the MRA determines that the individual meets the criteria for admission or commitment the MRA will compile all information required to complete an application packet. The complete application packet is forwarded to the SSLC serving the area in which the applicant lives. The source of the data is the completed application packet. Once the packet is received at the designated SSLC, facility staff will review the packet for completeness. If all required information is included in the application packet, facility staff will input the referral information into a desktop database that is electronically submitted to the SSLC division at the Department of Aging and Disability Services (DADS) by the local facility.

BL 2012 Methodology

This information includes name of individual, Client Assignment and Registration (CARE) system identification number, date of referral, designated facility, and the MRA. (Maintaining this information in the CARE system is being studied and may be implemented at some future time.) The State Office for SSLC retains responsibility for management of the waiting list. The average monthly number of individuals waiting for admission to any SSLC is calculated as follows: The numerator is the total number of individuals waiting in month one of the quarter, plus the total number of individuals waiting in month two of the quarter, plus the total number of individuals waiting in month three of the quarter. The denominator is the number of months in the reporting period. For year-to-date, the number waiting in 3, 6, 9 or 12 months is summed and divided by the number of months year-to-date. The formula is numerator/denominator.

BL 2012 Purpose

Admissions to SSLCs are based on specific criteria as defined in Texas Administrative Code, Chapter 412, Subchapter F, Continuity of Services - State Mental Retardation Facilities. Individuals are considered to be waiting for admission to a state supported living center upon receipt of a completed application packet by the designated SSLC. Responsibility for completion of the application packet to a SSLC facility rests with the local Mental Retardation Authority as provided in 25 TAC Â §412.265. At times, a completed application packet is received on the same day as admission. These individuals are not counted as waiting for purposes of this measure, although the MRA may have been working on getting the application completed for several months.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1		Long-term Services and Supports
Objective No.	8		State Supported Living Centers
Strategy No.	1		State Supported Living Centers
Measure Type	OP		
Measure No.	3		Avg Mthly # Individls w/IDD Waiting Admission Specific LC Campus

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 01-08-01 OP 03

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure provides the number of individuals with intellectual or developmental disabilities requesting residential services in a specified SSLC, on average for any given month.

BL 2012 Data Limitations

The count includes only those individuals for whom a completed application has been received and admission is restricted to one facility.

BL 2012 Data Source

When an individual with an intellectual or developmental disability, or the individual's legally authorized representative requests residential services in a SSLC, and the MRA determines that the individual meets the criteria for admission or commitment, the MRA compiles all info required to complete an application. The complete application is forwarded to the SSLC serving the area in which the applicant lives. This local state supported living center ensures the application packet is forwarded to the specified state supported living center. The source of the data is the completed application packet. Once the packet is received at the local state supported living center facility, facility staff will review the packet for completeness. If all required information is included in the application packet, facility staff will input the referral information into a desktop database that is electronically submitted to the SSLC division at DADS by the local facility.

BL 2012 Methodology

This information includes name of individual, Client Assignment and Registration (CARE) identification number, date of referral, designated facility, the desired facility, and the MRA. (Maintaining this information in the CARE system is being studied and may be implemented at some future time.)The average monthly number of individuals waiting for admission to a specific SSLC is calculated as follows: The numerator is the total number of individuals waiting in month one of the quarter, plus the total number of individuals waiting in month two of the quarter, plus the total number of individuals waiting in month three of the quarter. The denominator is the number of months in the reporting period. For year-to-date the number waiting in 3, 6, 9 and 12 months is summed and divided by the number of months year-to-date. The formula is numerator/denominator.

BL 2012 Purpose

Admissions to SSLCs are based on specific criteria as defined in Texas Administrative Code, Chapter 412, Subchapter F, Continuity of Services - State Mental Retardation Facilities. Individuals are considered to be waiting for admission to a state supported living center facility upon receipt of a complete application packet by the designated state supported living center. Responsibility for completion of the application packet to a state supported living center rests with the local Mental Retardation Authority as provided in 25 TAC Â§412.265. At times, a completed application packet is received on the same day as admission. These individuals are not counted as waiting for purposes of this measure, although the MRA may have been working on getting the application completed for several months.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	OP		
Measure No.	4	Number of Referrals to the Ombudsman	

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference:
Key Measure: N **New Measure: Y** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of referrals to the Office of the Independent Ombudsman for emergency reform to provide more oversight and protection for residents of living centers

BL 2012 Data Limitations

Data for this measure is available and updated on the 15th of each month.

BL 2012 Data Source

Referrals are submitted via phone calls, emails, and meetings with consumers, family and staff. A Consumer Rights and Services (CRS) Ombudsman Reports is filled out for each of these referrals.

BL 2012 Methodology

Total number of Consumer Rights and Services (CRS) Ombudsman Reports filed on a monthly basis; and compiling them to determine a total for the fiscal year.

BL 2012 Purpose

This measure tracks the number of referrals to the Office of the Independent Ombudsman for emergency reform to provide more oversight and protection for residents of living centers.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	1	Long-term Services and Supports	
Objective No.	8	State Supported Living Centers	
Strategy No.	1	State Supported Living Centers	
Measure Type	OP		
Measure No.	5	Number of Reviews/Investigations Performed by the Ombudsman	

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-S70-1 01-08-01 OP 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of reviews/ investigations performed by the Independent Ombudsman.

BL 2012 Data Limitations

Data for this measure is available and updated on the 15th of each month.

BL 2012 Data Source

The numbers of referrals reviewed /investigated are tracked on the Assistant Ombudsman report.

BL 2012 Methodology

Total number of reviews /investigations on a monthly basis; and compiling them to determine a total for the fiscal year.

BL 2012 Purpose

This measure provides a means to establish the baseline for funding levels from biennium to biennium.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	EF		
Measure No.	1		Average Cost Per Facility Visit

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 EF 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of a facility visit. A facility visit is defined as an on-site visit by one or more surveyors for the purpose of conducting a licensing inspection, a standard or a re-certification survey, a complaint investigation, monitoring visit, or a follow-up visit.

BL 2012 Data Limitations

A visit that has multiple purposes is counted only once. (i.e. A standard survey during which a complaint investigation and follow-up are conducted is counted as one visit.)

BL 2012 Data Source

The average cost is based on direct costs attributed to the Department of Aging and Disability Services' (DADS) program activity codes 430 (Survey & Certification Title XVIII Services), 433 (Nursing Facility Survey and Certification), 434 (ICF/MR Survey and Certification), and 436 (Survey and Certification Generic Staff) as recorded in the department's Health and Human Services Administrative System. Included are salary, travel, and overhead (operating costs) expenses. Data for the number of on-site visits is obtained from the Compliance, Assessment, Registration, Enforcement System (CARES) using the ad hoc query system. This report will be titled "Facility On-Site Visits" in the future.

BL 2012 Methodology

Data are computed by totaling the cost amounts for the appropriate reporting periods (numerator) and then dividing by the number of on-site visits for the same time period (denominator) to yield the average cost.

BL 2012 Purpose

This measure provides the unit cost for a facility visit. It is an indicator of the efficiency of agency operations and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	EF		
Measure No.	2		Average Cost Per Medicaid Facility and Hospice Service Contract Issued

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 EF 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of issuing a Medicaid contract to a nursing facility, ICF/MR or hospice service provider. Issuance of a Medicaid provider contract results after the nursing facility or hospice provider has met all of the criteria discussed under output measure 10 of this strategy.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

The average cost is based on a percentage of the salary costs for the employees (Full-time Equivalents (FTEs) in the Facility Enrollment Section, Regulatory Services, who perform the nursing facility and hospice service Medicaid provider enrollment work. These FTE (full-time equivalent) salary costs are accounted for in the department's automated Health and Human Services Administrative System. The affected FTEs expend from 5% to 90% of their time on this effort. The percentage of time each FTE spends on this activity is determined by the Unit manager's administrative experience. Data are obtained from the Department of Aging and Disability Services (DADS) Provider Central Data Repository (CDR). At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report will be titled "Medicaid Facility Service Contracts Issued" in the future.

BL 2012 Methodology

Cost data are computed by totaling the associated percentage of salary costs for all FTEs for the appropriate reporting period (numerator). This result is then divided by the number of nursing facility, ICF/MR and hospice service Medicaid contracts issued for the same reporting period (denominator) to yield the average cost.

BL 2012 Purpose

This measure provides the unit cost for issuing a Medicaid contract to eligible participating nursing facilities, ICF/MR and hospice service providers. It is an indicator of the efficiency of agency operations and is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	EX		
Measure No.	1		Number of Facilities Terminated from Licensure and/or Certification

Calculation Method: N **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 EX 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of facilities that are terminated from the Medicare (Title XVIII) and/or the Medicaid (Title XIX) program, the number of facilities that have had their license revoked, and the number of facilities that were denied license renewal during the reporting period. Reasons for denial of a license are described in the rules for nursing facilities (Section 19.214), for ICF/MR (Section 90.17), for assisted living facilities (Section 92.17), and for adult day care facilities (Section 98.19).

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Regulatory Services Provider Licensing Enforcement Sub-Unit's Termination Log. At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report does not have a name or number.

BL 2012 Methodology

The number of facilities terminated from licensure and/or certification programs during the months of the reporting period is totaled.

BL 2012 Purpose

This measure is a reflection of the agency's performance as it pertains to initiating corrective actions/enforcement (of facilities out of compliance).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	EX		
Measure No.	2		Number of Medicaid Facility Contracts Terminated

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 EX 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of nursing facilities, ICF/MR and Hospice facilities that have had their Medicaid provider contract terminated for failure to meet the Medicaid contracting requirements, for revocation or denial of their license, or for termination of their Medicaid certification.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Regulatory Services Provider Licensing Enforcement Sub-Unit's Termination Log. At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report does not have a name or number.

BL 2012 Methodology

The number of Medicaid facility contracts terminated during the months of the reporting period is summed.

BL 2012 Purpose

This measure is a reflection of the agency's performance as it pertains to initiating corrective actions/enforcement (of facilities out of compliance).

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	1		Number of Long-term Care Facility Certifications Issued

Calculation Method: C **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This is the total number of facility certifications issued for nursing facilities (NF) and ICF/MR. This includes Medicare only nursing facilities, dually certified (Medicare/Medicaid) nursing facilities, Medicaid only nursing facilities, and ICF/MR facilities for individuals with related conditions.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the federal Automated Survey Processing Environment (ASPEN) system and compiled by Data Management and Analysis Sub-Unit. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed to make the necessary calculations. The report will be titled "Number of Long-term Care Facility Certifications Issued" in the future.

BL 2012 Methodology

The number of Long Term Care facility certifications issued for each of the components during the months of the reporting period is totaled. The components are then summed.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the workload expended by the agency in response to its charge of certifying residential care facilities for participation in the Medicare/Medicaid programs. This data is useful in projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	2		Number of Long-term Care Facility Licenses Issued

Calculation Method: C **Target Attainment: H** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total number of facility licenses issued for all types of facilities (nursing facilities, ICFs/MR, assisted living facilities, and adult day care facilities). Data includes new and renewed licenses. A license is considered as issued once it has been printed. Each license has a new expiration date printed on it. (This date may differ from the date on which the license is actually printed.) Nursing facilities and ICFs/MR are licensed for a two-year period and assisted living facilities and adult day care facilities are licensed for one year.

BL 2012 Data Limitations

This measure excludes change of ownership during a licensure period, change of facility name during a licensure period, bed decrease and increase changes, change of facility administrator for nursing facilities and ICFs/MR, and change in ownership of facility stock.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) and CARES Central Data Repository (CDR). At the end of the reporting period, an ad hoc report will be done containing the required data elements needed to make the necessary calculations. The report will be titled "Number of Long-term Care Facility Licenses Issued" in the future.

BL 2012 Methodology

The number of Long-term Care facility licenses issued during the months of the reporting period is summed.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the workload expended by the agency in response to its charge to license the various types of residential care facilities. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	3		# of On-site Nursing Facility/MR Monitoring Visits Completed

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of monitoring visits to nursing facilities and Intermediate Care Facilities for Persons with Mental Retardation (ICF/MR) during the reporting period. A monitoring visit is an on-site visit in addition to the annual inspection/survey to determine financially unstable facilities' compliance with state and federal standards. However, if during a monitoring visit, more than one type of activity is performed (a survey, follow-up to investigation and a new investigation) each type of activity is counted separately for reporting purposes.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Central Data Repository (CDR) that pulls data from the Compliance, Assessment, Regulation, Enforcement System (CARES) and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed to make the necessary calculations. The report will be titled “# of on-site Nursing Facility/ICF/MR Monitoring Visits Completed” in the future.

BL 2012 Methodology

The total number of completed monitoring visits is calculated by summing the number of monitoring visits to nursing facilities with visits to ICFs/MR during the months of the reporting period.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy and indicates how many regulatory visits nursing facilities average per month to determine compliance with state and federal regulations.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	4		Number of Inspections Completed Per Year

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of inspections conducted by the Department of Aging and Disability Services (DADS), Regulatory Services. An inspection is defined as one of the following: a re-certification survey (ICF/MR facilities), a standard survey (certified nursing facilities), an initial survey (ICF/MR facilities or certified nursing facilities), an initial or annual licensing inspection (licensed only nursing facilities, assisted living facilities or adult day care facilities), or change of ownership. A licensing inspection done in conjunction with a survey of a certified facility is not counted as a separate inspection.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) and CARES Central Data Repository (CDR). At the end of the reporting period, an ad hoc report will be done containing the required data elements needed to make the necessary calculations. The report will be titled "Number of Inspections Completed Per Year" in the future.

BL 2012 Methodology

The numbers of inspections completed in long-term care facilities (nursing facilities, ICFs/MR , assisted living facilities and adult day care facilities) during the months of the reporting period are totaled.

BL 2012 Purpose

This measure is important because it is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of inspecting facilities to ensure their compliance with state and federal standards. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	5		Number of First Follow-up Visits Completed Per Year

Calculation Method: C **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 05
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of first follow-up visits completed during the fiscal year for all types of facilities (nursing facilities, ICFs/MR, assisted living facilities, and adult day care facilities). The number of visits resulting in adverse actions and the number of visits not resulting in adverse actions are both included in the count.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required calculations. The report will be titled "Number of First Follow-up Visits Completed Per Year" in the future.

BL 2012 Methodology

The number of first follow-up visits completed during the months covered by the reporting period is summed.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of conducting first follow-up visits to those long-term care facilities not in compliance with state and federal standards at the time of the initial survey, most recent re-certification survey, most recent licensing inspection or complaint/incident investigation, bed change visits, or facility status verification visit to determine if the facility (usually unlicensed) is in compliance with licensure standards. This data is useful in determining future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	6		Number of Investigations Completed

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 06
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of complaint investigations and the number of incident investigations completed in nursing facilities, ICF-MR facilities, assisted living facilities, adult day care facilities and unlicensed facilities. For purposes of this measure, a complaint investigation is defined as the on-site investigation of all allegations associated with an individual complaint intake (assigned an identification number upon intake). An incident investigation is defined as the on-site investigation of all areas of facility compliance associated with an incident as reported by the facility. Facility staff is required to self-report incidents that have resulted in or has the potential of resulting in injury or harm to a resident.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data is obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR), which pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report will be titled "Number of Investigations Completed" in the future.

BL 2012 Methodology

The number of complaint and incident investigations completed during the months of the reporting period is summed.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload in pursuing the validity of inappropriate treatment of residents and/or the existence of other sub-standard conditions. This data is useful in determining future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	7		Total Dollar Amount Imposed from Fines

Calculation Method: C **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 07
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total dollar amount of administrative penalties imposed for all types of facilities during the state fiscal year. It also includes the total amount of civil monetary penalties (CMP) imposed by the department for nursing facilities participating in the Medicaid program, and the total dollar amount of CMPs imposed by the federal Centers for Medicare and Medicaid Services (CMS) on facilities participating in the Medicare/Medicaid (dually certified) or Medicare programs. An administrative penalty is imposed after the state-licensing agency, (DADS) Regulatory Services Licensing, has reviewed the staff recommendation of penalty based upon the findings of the facility's deficient practice(s) and decided on a final penalty. For CMPs, a penalty is imposed after the State Medicaid agency and/or CMS have reviewed the state survey/investigative team's recommendation of a penalty based on the facility's deficient practice(s) and decided on a final penalty.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR), which pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report will be titled "Total Dollar Amount Imposed from Fines" in the future.

BL 2012 Methodology

The total dollar amounts imposed for fines during the months of the reporting period are summed.

BL 2012 Purpose

This measure quantifies one of the primary administrative tools available to the agency to ensure that residential care facilities implement the necessary actions to correct deficient conditions and practices.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	8		Total Dollar Amount Assessed from Fines

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 08
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total dollar amount of administrative penalties assessed for all types of facilities during the reporting period. It also includes the total amount of civil monetary penalties (CMP) assessed by the department for nursing facilities participating in the Medicaid program, and the total dollar amount of CMPs assessed by the federal Centers for Medicare and Medicaid Services (CMS) for facilities participating in Medicare/Medicaid (dually certified) or Medicare programs. A penalty is assessed after the appeal/review process is completed and waiver, negotiated settlement, or hearing proceedings are finalized, and an assessment amount is agreed upon or set.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data is obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR), which pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report will be titled "Total Dollar Amount Assessed from Fines" in the future.

BL 2012 Methodology

The total dollar amounts assessed from fines during each month of the reporting period are totaled. Monthly totals are summed to obtain the year-to-date amount.

BL 2012 Purpose

This measure quantifies one of the primary administrative tools available to the agency to ensure that residential care facilities implement the necessary actions to correct deficient conditions and practices.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	9		Total Dollar Amount Collected from Fines

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 09
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total dollar amount of administrative penalties collected for all types of facilities during the reporting period. It also includes the total amount of civil monetary penalties (CMP) collected by the department for nursing facilities participating in the Medicaid program, and the total dollar amount of CMPs collected by the federal Centers for Medicare and Medicaid Services (CMS) for facilities participating in Medicare/Medicaid (dually certified) or Medicare programs. A penalty amount collected is the amount that facilities have actually paid to the State Medicaid agency and/or the CMS for penalties assessed.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained monthly from the Accounting Division reports of accounts received for the payment of administrative penalties and civil monetary penalties. They are derived from a combination of the class (appropriation budget) and the cash account (0004500). The reports are named Administrative Penalties, and Civil Monetary Penalties.

BL 2012 Methodology

The total dollar amounts collected from fines during the months of the reporting period are summed. Monthly data are totaled over the reporting period.

BL 2012 Purpose

This measure quantifies one of the primary administrative tools available to the agency to ensure that residential care facilities implement the necessary actions to correct deficient conditions and practices.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	10		Number of Medicaid Facility and Hospice Service Contracts Issued

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 10
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of Medicaid provider contracts issued to nursing facilities, ICF/MR's, and hospice service providers. Contracts issued include new facilities or services contracted, ownership changes resulting in a contract issuance, and re-applications after a facility or service's contract is terminated. Enrollment into the Medicaid program involves the facility/service meeting all Medicaid contracting criteria including acceptable completion of the enrollment/application process, compliance with the pertinent state licensing regulations and compliance with the applicable federal and state Medicaid certification regulations. A Medicaid contract is issued after the facility/service is licensed and/or certified. Based on this contract, the facility or service is eligible for vendor payments for the Medicaid individuals residing in the facility or Medicaid individuals receiving hospice services.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Department of Aging and Disability Services (DADS) Provider Central Data Repository (CDR). At the end of the reporting period, an ad hoc report will be done containing the data elements needed to make the necessary calculations. The report will be titled "Number of Medicaid Facility Service Contracts Issued" in the future.

BL 2012 Methodology

The number of Medicaid nursing facility contracts issued during the months of the reporting period is summed; the number of ICF/MR contracts issued during the months of the reporting period is summed; and the number of hospice service contracts issued during the months of the reporting period is also summed. These three sums are totaled to obtain the reported data.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the workload expended by the agency in response to its charge of issuing contracts to Medicaid certified nursing facility, ICF/MR and hospice service providers. This data is a tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	11		Number of Home and Community Support Services Agency Licenses Issued

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 11
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total number of licenses issued by the Department of Aging and Disability Services' (DADS) Regulatory Services Home and Community Support Services Agency (HCSSA) staff. For reporting purposes, a license is considered as issued once it has been printed. Each license has a new expiration date printed on it. (This date may differ from the date on which the license is actually printed.) HCSSAs are licensed for one year.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the HCSSA Integrated System Central Data Repository (CDR). Data will be contained in an ad hoc report from the CDR done at the end of the reporting period. This report will be titled "Number of Home and Community Support Services Agency Licenses Issued" in the future.

BL 2012 Methodology

Data for the appropriate number of months in the reporting period is summed.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of inspecting agencies to ensure their compliance with state and Federal requirements. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	1	Facility and Community-Based Regulation
Measure Type	OP	
Measure No.	12	Number Home & Community Support Services Agency Inspections Conducted

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 12
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total number of inspections conducted during the reporting period by the Department of Aging and Disability Services (DADS) Regulatory Services Home and Community Support Services Agency (HCSSA). For reporting purposes, an inspection is defined as one of the following: an initial licensing survey; an initial certification survey (Medicare certified agencies), a re-survey (licensed only). A licensing inspection done in conjunction with a survey of a Medicare certified agency is not counted as a separate inspection.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the regional HCSSA workload report (Excel worksheet) submitted monthly and compiled by the Data Management and Analysis Sub-Unit. Data will be contained in an ad hoc report done at the end of the reporting period. This report will be titled "Number of Home & Community Support Services Agency Inspections Conducted" in the future.

BL 2012 Methodology

Monthly data, covering the appropriate months of the reporting period, are totaled.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of inspecting agencies to ensure their compliance with state and federal requirements. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2	Regulation, Certification, and Outreach	
Objective No.	1	Regulation, Certification, and Outreach	
Strategy No.	1	Facility and Community-Based Regulation	
Measure Type	OP		
Measure No.	13	Number of Complaint Investigations Conducted: HCSSA	

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 13
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of complaint investigations conducted in Home and Community Support Services Agencies (HCSSA). A complaint investigation is defined as an on-site visit conducted for the purpose of determining compliance with federal and state requirements when a complaint has been filed with the department.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the regional HCSSA workload report (Excel worksheet) submitted monthly and compiled by Data Management and Analysis Sub-unit. Data will be contained in an ad hoc report done at the end of the reporting period. This report will be titled "Number of Complaint Investigations Conducted: HCSSA" in the future.

BL 2012 Methodology

For reporting purposes, monthly data covering the appropriate months in the reporting period are totaled.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of inspecting agencies to ensure their compliance with state and federal requirements. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	14		# Substantiated Complaint Allegation Abuse/Neglect: Nursing Facilities

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 14
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of substantiated complaint allegations of resident abuse and/or neglect in nursing facilities during the state fiscal year. A substantiated complaint allegation is defined as an allegation received as a complaint from a resident, family member, or the public that is determined to be a violation of standards. Regional Regulatory Services survey/investigation staff determine whether allegations are substantiated after a thorough investigation. Abuse and neglect are defined by state and federal regulations. Abuse is defined as the willful inflection of injury, unreasonable confinement, intimidation, or punishment with resulting physical harm, pain, or mental anguish. Neglect is defined as the failure to provide goods and services necessary to avoid physical harm, mental anguish, or mental illness. Abuse and neglect of children residing in nursing facilities is defined by Texas Family Code, Section 261.001.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled “# Substantiated Complaint Allegation Abuse/Neglect: Nursing Facilities” in the future.

BL 2012 Methodology

This measure is computed by summing the number of substantiated complaint allegations of abuse/neglect in nursing facilities during the months of the reporting period.

BL 2012 Purpose

This measure is important because it shows the actual known incidence rate of abuse and neglect occurring in nursing facilities. It is a tool for evaluating the programs effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	15		# Substantiated Complaint Allegations of Abuse/Neglect: ALF

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 15
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of substantiated complaint allegations of abuse and/or neglect in assisted living (AL) facilities during the state fiscal year. Abuse and neglect are defined by state and federal regulations. {See outcome measure 4 for the definitions of abuse and neglect.}

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled “# Substantiated Complaint Allegations of Abuse/Neglect: ALF” in the future.

BL 2012 Methodology

The numbers of substantiated complaint allegations of abuse/neglect in assisted living facilities during the months of the reporting period are totaled.

BL 2012 Purpose

This measure is important because it shows the actual known incidence rate of abuse and neglect occurring in assisted living facilities. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	16		# Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 16

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of substantiated complaint allegations of abuse and/or neglect in adult day care facilities during the state fiscal year. Abuse and neglect are defined by state and federal regulations. {See outcome measure 4 for the definitions of abuse and neglect.}

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled “# Substantiated Complaint Allegations of Abuse/Neglect: Adult Day Care” in the future.

BL 2012 Methodology

The numbers of substantiated complaint allegations of abuse/neglect in adult day health care centers during the months of the reporting period are totaled.

BL 2012 Purpose

This measure is important because it shows the actual known incidence rate of abuse and neglect occurring in adult day health care centers. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	17		Number of Substantiated Complaint Allegations of Abuse/Neglect: MR

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 17
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of substantiated complaint allegations of abuse and/or neglect in ICF/MR during the state fiscal year. A substantiated complaint allegation is defined as an allegation received as a complaint from a resident, family member, or the public that is determined to be a violation of standards. Abuse and neglect are defined by state and federal regulations. See outcome measure 4 for definition of abuse and neglect.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled "Number of Substantiated Complaint Allegations of Abuse/Neglect: ICF/MR" in the future.

BL 2012 Methodology

This measure is computed by summing the number of substantiated complaint allegations of abuse/neglect in ICFs/MR during the months of the reporting period.

BL 2012 Purpose

This measure is important because it shows the actual known incidence rate of abuse and neglect occurring in ICFs/MR. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	1	Facility and Community-Based Regulation
Measure Type	OP	
Measure No.	18	# Substantiated Complaint Allegations Physical Plant: NF

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 18
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of substantiated allegations of unsafe physical plant and/or environmental conditions in nursing facilities (NF) during the state fiscal year. "Unsafe physical plant" is defined as any deficient practice cited under the Life Safety Code and Construction Standards. "Unsafe environmental conditions" is defined as requirements related to the operation of the heating and air conditioning system, water temperatures in areas used by residents and pest control problems that may impact resident health and safety, or related findings.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled "# Substantiated Complaint Allegations Physical Plant: NF" in the future.

BL 2012 Methodology

The number of substantiated complaint allegations will be totaled to cover the appropriate months of the reporting period.

BL 2012 Purpose

This measure is important because it provides the actual number of known unsafe conditions occurring in nursing facilities. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	19		# Substantiated Complaint Allegations Unsafe Physical Plant: ALF

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 19
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of substantiated allegations of unsafe physical plant and/or environmental conditions in assisted living facilities (ALF) during the state fiscal year. "Unsafe physical plant" is defined as any deficient practice cited under the Life Safety Code and Construction Standards. "Unsafe environmental conditions" is defined as requirements related to the operation of the heating and air conditioning system, water temperatures in areas used by residents and pest control problems that may impact resident health and safety, or related findings.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled "# Substantiated Complaint Allegations Unsafe Physical Plant: ALF" in the future.

BL 2012 Methodology

The number of substantiated complaint allegations will be totaled to cover the appropriate months of the reporting period.

BL 2012 Purpose

This measure is important because it provides the actual number of known unsafe conditions occurring in assisted living facilities. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	20		# Substantiated Complaint Allegations Unsafe Physical Plant: ADC

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 20
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of substantiated allegations of unsafe physical plant and/or environmental conditions in adult day care (ADC) facilities during the state fiscal year. "Unsafe physical plant" is defined as any deficient practice cited under the Life Safety Code and Construction Standards. "Unsafe environmental conditions" is defined as requirements related to the operation of the heating and air conditioning system, water temperatures in areas used by residents and pest control problems that may impact resident health and safety, or related findings.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled "# Substantiated Complaint Allegations Unsafe Physical Plant: ADC" in the future.

BL 2012 Methodology

The number of substantiated complaint allegations will be totaled to cover the appropriate months of the reporting period.

BL 2012 Purpose

This measure is important because it provides the actual number of known unsafe conditions occurring in adult day care facilities. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	1	Facility and Community-Based Regulation
Measure Type	OP	
Measure No.	21	# Substantiated Complaint Allegations of Unsafe Physical: MR

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 21
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of substantiated allegations of unsafe physical plant and/or environmental conditions in ICF/MR during the state fiscal year. "Unsafe physical plant" is defined as any deficient practice cited under the Life Safety Code and Construction Standards. "Unsafe environmental conditions" is defined as requirements related to the operation of the heating and air conditioning system, water temperatures in areas used by residents and pest control problems that may impact resident health and safety, or related findings.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Compliance, Assessment, Regulation, Enforcement System (CARES) Central Data Repository (CDR) that pulls data from the CARES and other systems. At the end of the reporting period, an ad hoc report will be done containing the required data elements needed, including a list of allegation codes pre-defined by Regulatory Services Survey Operations staff, to make the necessary calculations. The report will be titled "# Substantiated Complaint Allegations of Unsafe Physical Plant: ICF/MR" in the future.

BL 2012 Methodology

The number of substantiated complaint allegations will be totaled to cover the appropriate months of the reporting period.

BL 2012 Purpose

This measure is important because it provides the actual number of known unsafe conditions occurring in ICFs/MRs. It is a tool for evaluating the program's effectiveness and accessing the accountability of facilities.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	22		# of Initial HCS and TxHmL Reviews Completed

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 22
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of initial reviews completed on Home Community Services (HCS) and Texas Home Living (TxHmL) contracts. An initial review is defined as an on-site visit conducted for the purpose of determining compliance with state requirements for certification with the department.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from an Access database which records all reviews completed. The Access database is maintained by Waiver Survey and Certification staff. Data is entered into the database as review reports are submitted. Data will be contained in an ad hoc report done at the end of the reporting period. This report has no official name or report number.

BL 2012 Methodology

For reporting purposes, monthly data covering the appropriate months in the reporting period are totaled.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of certifying HCS and TxHmL contracts to ensure their compliance with state requirements. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	23		# of Annual Hcs & TxHmL Recertification Reviews Completed

Calculation Method: C **Target Attainment: H** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 23

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of annual reviews completed on Home Community Services (HCS) and Texas Home Living (TxHmL) contracts. An annual certification review is defined as an on-site visit conducted for the purpose of determining compliance with state requirements for re-certification with the department.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from an Access database which records all reviews completed. The Access database is maintained by Waiver Survey and Certification staff. Data is entered into the database as review reports are submitted. Data will be contained in an ad hoc report done at the end of the reporting period. This report has no official name or report number.

BL 2012 Methodology

For reporting purposes, monthly data covering the appropriate months in the reporting period are totaled.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy. It quantifies the agency's workload of certifying HCS and TxHmL contracts to ensure their compliance with state requirements. This data is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	1	Facility and Community-Based Regulation
Measure Type	OP	
Measure No.	24	Number of Abuse/Neglect Reports Received: HCS and TxHmL Providers

Calculation Method: C **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 24
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of final reports received from Texas Department of Family and Protective Services (DFPS) related to allegations of abuse, neglect or exploitation of persons served in the Home and Community-Based Services (HCS) or Texas Home Living (TxHmL) waivers.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from an Access database. Data will be contained in an ad hoc report done at the end of the reporting period. This report has no official name or report number.

BL 2012 Methodology

The numbers of final reports related to allegations of abuse, neglect or exploitation of persons served in the HCS or TxHmL waivers during the months of the reporting period are totaled.

BL 2012 Purpose

This measure is important because it shows the actual number of reports received related to abuse, neglect or exploitation of persons who receive HCS or TxHmL waiver services. It is a tool for assessing the frequency and outcomes of the DFPS investigations related to the HCS and TxHmL waivers.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	1		Facility and Community-Based Regulation
Measure Type	OP		
Measure No.	25		Number of Abuse/Neglect Reports Reviewed: HCS and TxHmL Providers

Calculation Method: C **Target Attainment: L** **Priority:** Cross Reference: Agy 539 081-R-SAR-1 02-01-01 OP 25

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the unduplicated number of reviews conducted by Waiver Survey and Certification staff of final reports received from Texas Department of Family and Protective Services (DFPS) related to allegations of abuse, neglect or exploitation of persons who receive services through the Home and Community-Based Services (HCS) and Texas Home Living (TxHmL) waivers.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from data entry in an Access database. Data will be contained in an ad hoc report done at the end of the reporting period. This report has no official name or report number.

BL 2012 Methodology

The numbers of reviews conducted by Waiver Survey and Certification staff related to final reports received from DFPS related to exploitation of persons served in the HCS and TxHmL waivers.

BL 2012 Purpose

This measure is important because it represents the workload for staff related to follow up on allegations of abuse, neglect or exploitation. It is a tool for assessing the care consumers receive and compliance of HCS and TxHmL contractors to state requirements. It is also useful as a tool for forecasting future staff resources.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	2	Credentialing/Certification
Measure Type	EF	
Measure No.	1	Average Cost Per License Issued: Nursing Facility Administrators

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 EF 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost per license issued to nursing facility administrators. The issuance of licenses establishes the minimal competency of practitioners.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

The number of nursing facility administrator licenses is currently entered in a FoxPro system maintained by the Texas Department of Aging and Disability Services (DADS). The cost of nursing facility administrator licensing staff will be obtained from the Health and Human Services Administrative System.

BL 2012 Methodology

The average cost is calculated by dividing the total cost of the direct charge for nursing facility administrator licensing staff by the total number of licenses issued. The total cost of nursing facility administrator licensing staff includes salary, travel, and overhead of direct staff identified by budgeted-job-number plus a portion of the cost of salary, travel, and overhead of the Licensing Unit supervisor and the Credentialing general administration staff allocated to this function based on full-time equivalents (FTEs). The program activity code overhead costs will be allocated to this function based on FTE. The Credentialing staff will report the total number of licenses issued each reporting period.

BL 2012 Purpose

This measure quantifies the unit cost associated with issuing licenses to nursing facility administrators. This unit cost indicates the efficiency of agency operations and is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	2		Credentialing/Certification
Measure Type	EF		
Measure No.	2		Average Cost Per Credential Issued: Nurse/Medication Aides

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 EF 02

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost per issuance of nurse aide certifications and medication aide permits. The issuance of certifications and permits establishes the minimal competency of practitioners.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Nurse Aide Competency Evaluation Service (NACES) reports the number of nurse aide tests given each month. The number of nurse aide certifications is entered in the Nurse Aide Registry. The number of medication aide permits is entered in the Automated Review Management System (ARMS) maintained by Promissor, Inc., which the Department of Aging and Disability Services (DADS) Credentialing staff has access to. The cost of nurse aide registry staff and medication aide staff are obtained from the Health and Human Services Administrative System.

BL 2012 Methodology

Divide tot cost of reimbursable nurse aide(NA)tests+tot \$ of direct charge NA registry staff who process certs in the Credentialing Sec. & 95% of medication aide(MA)staff by tot # of certs & permits issued. Tot \$ of reimbursable NA tests is obtained by multiplying the set fee/test X the tot # of tests given. Fee/test is set by contract w Promissor. The \$ of NA registry staff who process certs (ID by BJN) includes \$ of salary, travel & overhead + portion of \$ of salary, travel & overhead of NA Unit supervisor & Credentialing general admin staff allocated to this function based on FTE. \$ of MA staff issuing permits (ID by BJN) includes \$ of salary, travel & overhead X 95% + portion of salary, travel & overhead \$ of Licensing Unit supervisor & Credentialing general admin staff allocated to this function based on FTE. PAC overhead \$ will be allocated to this function based on FTE. Credentialing staff will report the tot # certifications, permits & licenses issued each reporting period.

BL 2012 Purpose

This measure quantifies the unit cost associated with issuing credentials to nurse aides and medication aides. This unit cost indicates the efficiency of agency operations and is a useful tool for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	2		Credentialing/Certification
Measure Type	EF		
Measure No.	3		Average Cost Per Complaint Resolved: Nursing Facility Administrators

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 EF 03

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost per referral or complaint on nursing facility administrators. The resolution of the referrals and complaints maintains the function of establishing the minimal competency of practitioners.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Referrals are received from the Regulatory Services staff and complaints are received from the public. The number of referrals and complaints received is captured by the CARTS (Complaints and Referral Tracking Systems) database maintained by the Professional and Credentialing Enforcement unit of Regulatory Services. The cost of the Complaints and Investigations unit will be obtained from the Health and Human Services Administrative System.

BL 2012 Methodology

The average is calculated by dividing the total cost of direct charge staff in the Investigations branch plus the reimbursements made to the Nursing Facilities Administrators Advisory Committee members for travel expenses by the total number of referrals and complaints received. The calculation of this average will be exclusive of the costs for legal support. The cost of staff in the Investigations branch (excluding one BJN) includes the cost of salary, travel, and overhead plus a portion of the cost of salary, travel, and overhead of the Professional Credentialing Enforcement general administration staff allocated to this function based on full-time equivalents (FTE). The program activity code overhead costs will be allocated to this function based on FTE. The staff in the Investigations branch will report the number of referrals and complaints received for the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost associated with pursuing the validity of complaints and referrals of nursing facility administrators. This unit cost indicates the efficiency of agency operations and is a useful tool for projecting funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
--------------	------------	---------	-----------------------------------------------------

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	2	Credentialing/Certification
Measure Type	EF	
Measure No.	4	Average Cost Per Complaint Resolved: Nurse/Medication Aides

Calculation Method: N **Target Attainment: L** **Priority: L** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 EF 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost per referral on nurse aides, medication aides, and uncredentialed direct care personnel. The resolution of the referrals maintains the function of establishing the minimal competency of practitioners.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Referrals are received from Regulatory Services staff. The number of referrals received is tracked in the Employee Misconduct Registry (EMR) and Nurse Aide and Medication Aide tracking databases. The cost of the staff handling referrals on nurse aides, medication aides, and uncredentialed staff is obtained from the Health and Human Services Administrative System.

BL 2012 Methodology

Divide tot cost(\$ of a staff in the Nurse Aide Registry (NAR)unit, 5% of a medication aide (MA), 100% of Employee Misconduct Registry (EMR) staff & 5% of a progr spec ingen admin supvising EMR staff by tot # of referrals & complaints received. Calculation excludes \$ for legal support & intake of complaints/ investigations for NAs. Staff \$ of NAR unit handling NA complaints (ID by BJN) include salary, travel & overhead (STO) + part \$ of STO of NAR unit supervisor & Credentialng gen admin staff alloc to this function based on FTE. MA staff \$ (ID by BJN) include STO X 5% + part of STO \$ of Licensng supervisor & Credentialng gen admin staff alloc to this function based on FTE. EMR staff \$ (ID by BJN) include STO + 5% of STO of a prog spec in gen admin directly supervsing EMR staff & part STO of Credentialng gen admin staff alloc to this functionbased on FTE. PAC OH \$ are alloc based on FTE. Complaints/Investigations Unit reports # of referrals/complaints received in the reporting period.

BL 2012 Purpose

This measure quantifies the unit cost associated with pursuing the validity of complaints and referrals of nurse aides, medication aides, and uncredentialed direct care personnel. This unit cost indicates the efficiency of agency operations and is a useful tool for projecting funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	2		Credentialing/Certification
Measure Type	OP		
Measure No.	1		Number of Licenses Issued Per Year: Nursing Facility Administrators

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 OP 01
Key Measure: Y **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total number of licenses issued or renewed for nursing facility administrators during all months of the reporting period.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the automated nursing facility administrator database.

BL 2012 Methodology

Data are calculated by totaling the number of licenses issued and renewed during the months of the reporting period.

BL 2012 Purpose

This measure quantifies the agency's workload as it pertains to implementing the provisions funded under this strategy. This is useful data for projecting future funding needs.

Strategy-Related Measures Definitions
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **539** Agency: **Aging and Disability Services, Department of**

Goal No.	2	Regulation, Certification, and Outreach
Objective No.	1	Regulation, Certification, and Outreach
Strategy No.	2	Credentialing/Certification
Measure Type	OP	
Measure No.	2	Number of Credentials Issued Per Year: Nurse/Medication Aides

Calculation Method: C **Target Attainment: H** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 OP 02
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total number of credentials issued or renewed for nurse aides and medication aides during all months of the reporting period.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Data are obtained from the Automated Review Management System (ARMS).

BL 2012 Methodology

Data are computed by totaling the number of permits and certifications issued or renewed during the months of the reporting period.

BL 2012 Purpose

This measure quantifies the agency's workload as it pertains to implementing the provisions funded under this strategy. This is useful data for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	2		Credentialing/Certification
Measure Type	OP		
Measure No.	3		Number of Complaints Resolved/Year: Nursing Facility Administrators

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 OP 03
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the total number of complaints and referrals against nursing facility administrators that were resolved during all months of the reporting period. Complaints and referrals are resolved by the Department of Aging and Disability Services (DADS), either administratively by the Professional Credentialing Enforcement branch or through formal Hearings conducted by the Department's Legal Division.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

This information is manually collected. Manual collections of data are pen and paper tabulations of information manually pulled from computer based records. There are no report titles or identifying numbers associated with this process.

BL 2012 Methodology

Data are computed by totaling the number of complaints and referrals dismissed by the Department and number of cases resolved through formal hearing or settlement during the months of the reporting period.

BL 2012 Purpose

This measure quantifies the agency's workload as it pertains to implementing the provisions funded under this strategy. This is useful data for projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2	Regulation, Certification, and Outreach	
Objective No.	1	Regulation, Certification, and Outreach	
Strategy No.	2	Credentialing/Certification	
Measure Type	OP		
Measure No.	4	Number of Complaints Resolved/Year: Nurse/Medication Aides/Direct Care	

Calculation Method: C **Target Attainment: H** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-02 OP 04
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of referrals against medication aides, nurse aides, and uncredentialed staff that have been resolved. The uncredentialed staff is all direct care personnel not licensed by another state agency in long-term care facilities licensed by the Department of Aging and Disability Services (DADS). Referrals are resolved by DADS either administratively by the Professional Credentialing Enforcement branch or through formal hearings conducted by the department's Legal Division.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

This information is collected manually. Manual collections of data are pen and paper tabulations of information manually pulled from Employee Misconduct Registry, Nurse Aide and Mediation Aide tracking database. There are no report titles or identifying numbers associated with this process.

BL 2012 Methodology

Data are computed by tabulating the number of referrals with final action of dismissal or imposition of sanctions for each month of the reporting period. These monthly numbers for each of the months in the reporting period are summed.

BL 2012 Purpose

This measure quantifies the agency's workload as it pertains to implementing the provisions funded under this strategy. This data is useful in projecting future funding needs.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	3		Long-Term Care Quality Outreach
Measure Type	EF		
Measure No.	1		Average Cost Per Quality Monitoring Program Visit

Calculation Method: N **Target Attainment: L** **Priority: M** Cross Reference: Agy 539 081-R-SAR-1 02-01-03 EF 01

Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the average cost of a unit of work of the Quality Monitoring Program during the reporting period. In the case of Quality Monitoring Visits, each visit represents a number of units of work equal to the number of days required to conduct the visit. Rapid Response Team visits, requiring two or more monitors, will represent two or more units of work. Work units for Provider Technical Assistance Meetings that require the participation of quality monitor program staff is equal to the number of facilities that attend the educational meeting.

BL 2012 Data Limitations

Does not apply.

BL 2012 Data Source

Units of work are obtained from a visit database that records actual units of work and checked against monthly activity reports collected by the Quality Monitoring Program managers. The average cost per unit of work is calculated from the program budget and the units of work. There is no specific report name or number.

BL 2012 Methodology

The total number of completed work units is determined from the quality monitoring visits, rapid response team visits and facility participation in provider technical assistance meetings occurring during the reporting period. The quarterly program budget is one-fourth of the annual total distributed to the regions for this activity.

BL 2012 Purpose

This measure is a mechanism for assessing the unit cost of implementing the provisions of this strategy.

Strategy-Related Measures Definitions
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code:	539	Agency:	Aging and Disability Services, Department of
Goal No.	2		Regulation, Certification, and Outreach
Objective No.	1		Regulation, Certification, and Outreach
Strategy No.	3		Long-Term Care Quality Outreach
Measure Type	OP		
Measure No.	1		Number of Quality Monitoring Visits to Nursing Facilities

Calculation Method: C **Target Attainment: L** **Priority: H** Cross Reference: Agy 539 081-R-SAR-1 02-01-03 OP 01
Key Measure: N **New Measure: N** **Percentage Measure: N**

BL 2012 Definition

This measure reports the number of Quality Monitoring Program Work Units that are comprised of Quality Monitoring Visits, Rapid Response Team visits, and Provider Technical Assistance Meetings for nursing facilities during the reporting period. Quality Monitoring visits are usually performed by a single quality monitor; Rapid Response Team visits require two or more quality monitors. Both visit types involve individual facilities. Provider Technical Assistance Meetings, like Rapid Response Team visits, are multidisciplinary; in addition, they provide technical assistance to multiple providers at once. Visit priority is assigned through the use of an Early Warning System algorithm. In this measure, a "visit" is defined as the deployment of an individual monitor to a facility; more precisely this is the program's unit of work, and Rapid Response Team visits may represent 2 or more units of work (because they may require 2 or more monitors).

CONTINUED BELOW IN DATA LIMITATIONS

BL 2012 Data Limitations

Does Not apply.

CONTINUATION OF DEFINITION

Provider Technical Assistance Meeting work units are determined from number of facilities that actually attend each such meeting. Technical assistance meetings involve a small number of facilities (usually fewer than ten) brought together for an intensive technical assistance session.

BL 2012 Data Source

Units of work are obtained from a visit database that records actual units of work and checked against monthly activity reports collected by the Quality Monitoring Program managers. There is no specific report name or number.

BL 2012 Methodology

The total number of completed monitoring visits is determined by counting the number of visits identified as Quality Monitoring visits (including Rapid Response visits) occurring during the reporting period. Similarly, Provider Education Meetings are counted from records of the events.

BL 2012 Purpose

This measure is a mechanism for assessing the agency's performance as it pertains to implementing the provisions of this strategy and indicates how many Quality Monitoring visits and technical assistance events are occurring in accordance with the requirements of Senate Bill 1839, 77th Legislature.